



DeepJSCC-I++: Robust and Bandwidth-Adaptive Wireless Image Transmission

Chenghong Bian, Yulin Shao, Deniz Gunduz

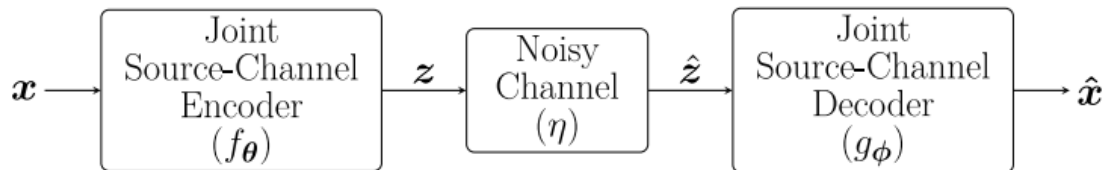
DeepJSCC-I++

- **Outline**
 - **Introduction & Motivation**
 - **System Model**
 - **Proposed Method**
 - **Experimental Results**
 - **Q & A**
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Introduction & Motivation

▪ DeepJSCC revisit

- An encoder $f_{\theta}(\cdot)$ and decoder $g_{\phi}(\cdot)$ parameterized by neural networks.
- $x \in \mathbb{R}^{C \times H \times W}$, $z \in \mathbb{C}^k$, with $\rho = \frac{k}{N}$, $N = C \times H \times W$.
- Jointly optimize $\{\theta, \phi\}$ to minimize reconstruction distortion, e.g., $\|x - \hat{x}\|_2^2$.



- Key merit:
 - avoiding cliff and leveling effect
 - Achieving better reconstruction performance.

- Performance metrics:

- $PSNR = 10 \log_{10} \frac{255^2}{\frac{1}{N} \|x - \hat{x}\|_F^2}$

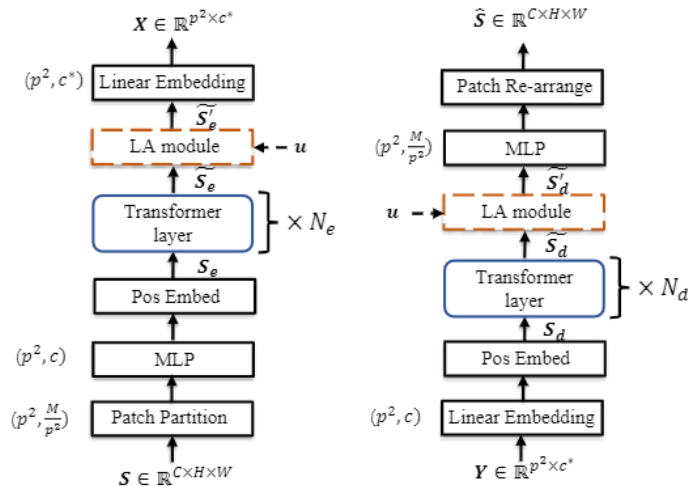
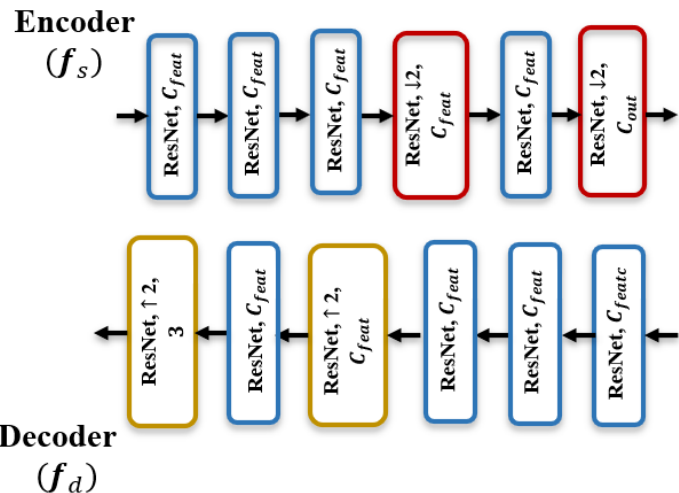
Introduction & Motivation

Motivation for DeepJSCC-I++

- $\{\theta, \phi\}$ parameterized by CNNs/ViT, occupy 100+ Mb.
- $\{\theta, \phi\}$ are optimized for a specific $\{SNR, \rho\}$.
- 4 different SNR points and 4 bandwidth ratios \rightarrow 16 models \rightarrow 1.6 Gb space.

-- Too large for a mobile device!

- Is it possible to have a single $\{\theta, \phi\}$ pair for all $\{SNR, \rho\}$?



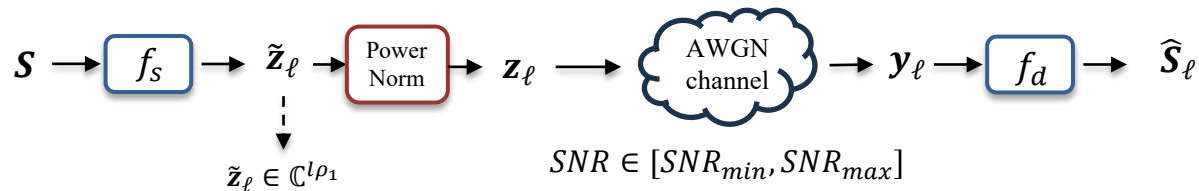
Possible neural network architectures to parameterize $\{\theta, \phi\}$:

Left: CNN,
Right: ViT.

System Model

System Model

- Communication over an AWGN channel,
 - $\mathbf{y} = \mathbf{z} + \mathbf{n}$; $\mathbf{z} \in \mathbb{C}^k, n_i \sim \mathcal{CN}(0, \sigma^2)$.
- Assumptions of packets:
 - Possible supported bandwidth ratios $[\rho_1, \dots, \rho_L]$ with $\rho_l = l\rho_1$. (discrete)
 - Channel qualities: $SNR \in [SNR_{min}, SNR_{max}]$ (continuous).
- Channel model shown below:



Proposed Method

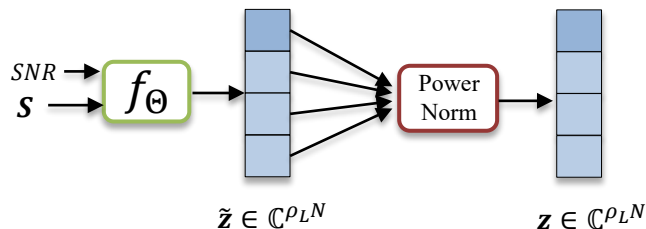
▪ Solutions

- Solution A: Successive Refinement
- SNR-adaptive solution is given in [2], first focus on the varying bandwidth setting.

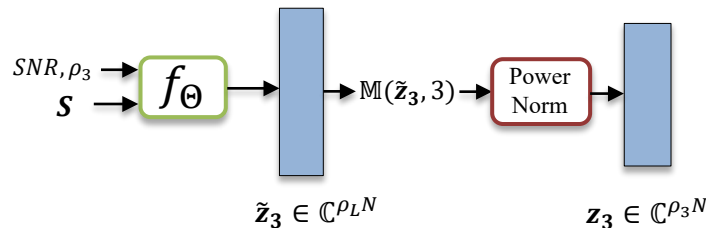
– Shown in figure (a):

- $\tilde{\mathbf{z}} = f_{\Theta}(\mathbf{S}, \text{SNR})$
- Partition $\tilde{\mathbf{z}}$ to L blocks, denote it as $\tilde{\mathbf{z}}[l], l \in [1, L]$.
- **Block-wise** Power normalize: $\mathbf{z}[l] = \frac{\tilde{\mathbf{z}}[l]}{\|\tilde{\mathbf{z}}[l]\|_2}$.
- The first $k \in [1, L]$ blocks should be able to reconstruct the image, \hat{S}_l to some level.
- Loss function:

$$- \mathcal{L} = \sum_{l=1}^L \|\mathbf{S} - \hat{S}_l\|_F^2$$



(a) Successive refinement



(b) Bandwidth adaptive

A concrete example
of $L = 4, \ell = 3$

Proposed Method

Solution B: Bandwidth Adaptive; in figure (b)

- Obtain target bandwidth, ℓ , from the user.

- $\tilde{\mathbf{z}}_\ell = \mathbf{f}_\Theta(\mathbf{S}, \text{SNR}, \ell)$

- Masking instead of partitioning:

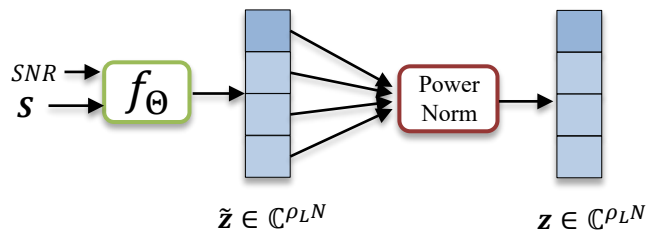
- $\mathbf{z}_\ell = \mathbf{M}(\tilde{\mathbf{z}}_\ell, \ell)$

- Train the model as:

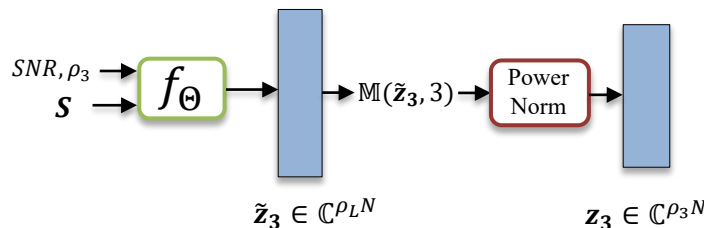
- $\mathcal{L} = \mathbb{E}_{\ell \sim \mathcal{U}(1,L)} \|\mathbf{S} - \hat{\mathbf{S}}_\ell\|_F^2$

Compare with Solution A:

- Additional information from the user is required.
- The reconstruction can be performed when all the \mathbf{z}_ℓ is received.
- Since different users have different requirements, ℓ , in general, it only fits the point-to-point channel, instead of broadcast channel (where solution A can be easily applied).



(a) Successive refinement



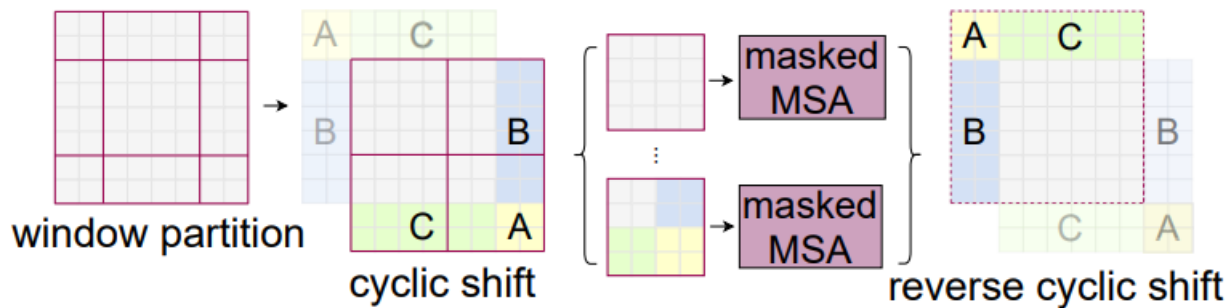
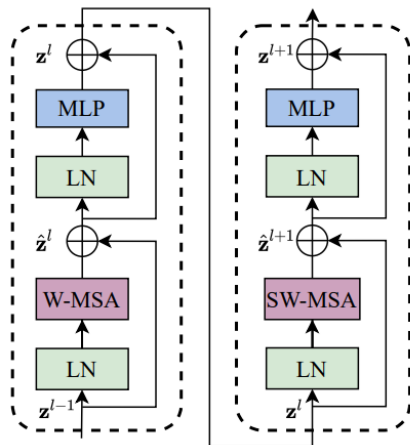
(b) Bandwidth adaptive

A concrete example
of $L = 4, \ell = 3$

Proposed Method

▪ A good backbone – Swin Transformer

- A good neural network backbone makes a difference – an improvement from ViT to CNN is observed [3]
- Make it even better by adopting the state-of-the-art Swin transformer.
- A brief introduction below:
 - The key idea for Swin is to perform self attention within a (small, e.g., 7x7) window instead of the whole image.
 - Shifting window operations enable communication between different windows.
 - **Swin Transformer Block** (Left) Illustration of SW-MSA module (Right).



[3] H. Wu, et al., "Vision transformer for adaptive image transmission over MIMO channels," in IEEE International Conference on Communications (ICC), 2023.

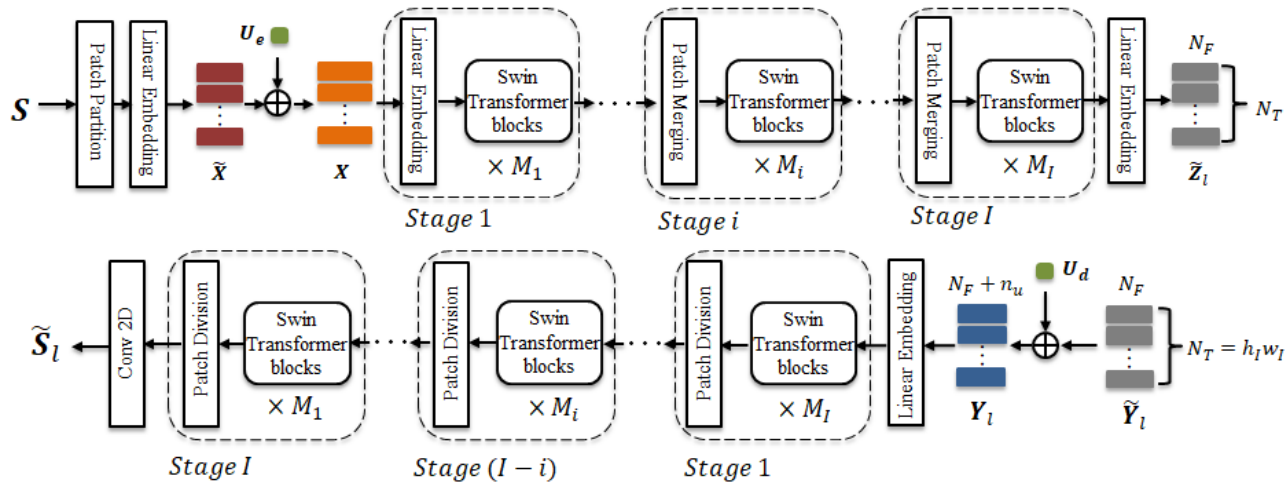
[4] Z. Liu, et al., "Swin transformer: Hierarchical vision transformer using shifted windows," in ICCV, October 2021

Proposed Method

■ The overall framework

– Explaining details of the framework:

- Patch partitioning: $S(C, H, W) \rightarrow X' \left(Cp^2, h = \frac{H}{p}, w = \frac{W}{p} \right)$ -- dividing the image evenly.
- Patch Merging: $X(d, h', w') \rightarrow X' \left(d', \frac{h'}{2}, \frac{w'}{2} \right)$ -- can be understood as stacking the patches and transform.
- Patch Division: $X(d, h', w') \rightarrow X'(d', 2h', 2w')$ -- can be achieved via 2d transpose convolution.



Proposed Method

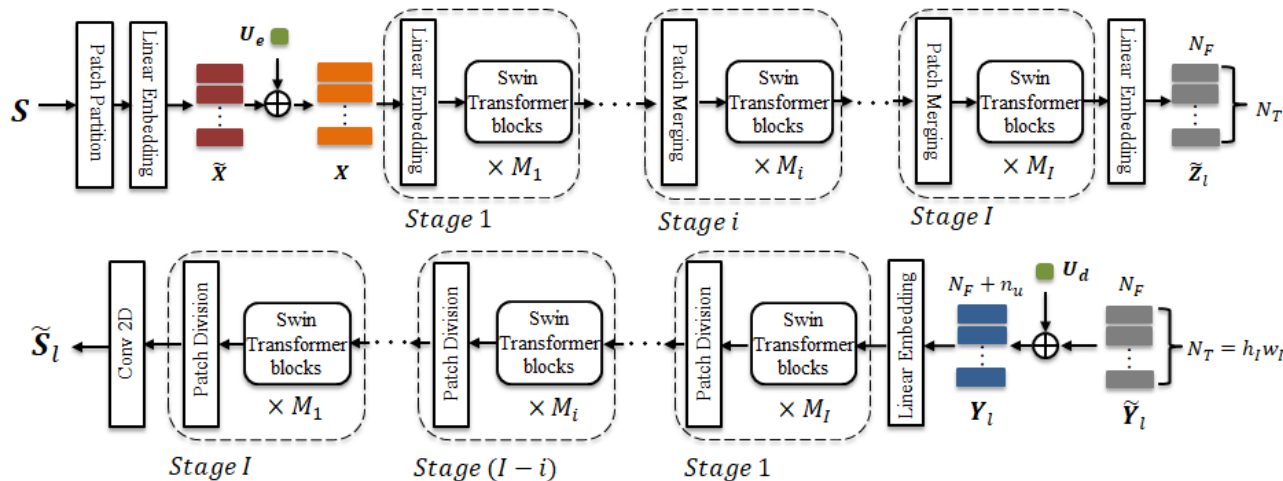
▪ The overall framework

– Side information generation:

- $U = MLP([\rho_\ell, SNR])$, Note, if successive refinement, we use only SNR values.
- Concatenate U to each token of \tilde{X} (d, h, w) to generate X ($d + n_{emb}, h, w$).

– We show by experiments, we can achieve both SNR and bandwidth adaptive objective by feeding $MLP([\rho_\ell, SNR])$ to the framework.

– **Contrary to [2], we don't need to add the SA block to achieve SNR-adaptive! The Swin transformer performs it automatically.**



Varying features vs varying tokens:

- The output of the encoder is a matrix with $N_T \times N_F$.
- For different ρ , we can either transmit less amount of N_t or less amount of N_f . Simulations show different strategies yield similar reconstruction performance.

Proposed Method

▪ Training methodology

– Naïve training strategy for Solution A (successive refinement):

- Recall: total bandwidth budget, ρ_L , is fixed, number of layers, L , is fixed, the first l layers should recover the original image to some level...
- $\mathcal{L} = \sum_{l=1}^L ||\mathbf{S} - \hat{\mathbf{S}}_l||_F^2$ if noise power is fixed, if not:
- $\mathcal{L} = \mathbb{E}_{\gamma \sim \mathcal{U}(\gamma_1, \gamma_2)} \sum_{l=1}^L ||\mathbf{S} - \hat{\mathbf{S}}_{l, \gamma}||_F^2$, where γ_1, γ_2 denote the min and max SNR value.

– Naïve training strategy for Solution B (bandwidth adaptive):

- Recall: user specific bandwidth ratio ρ_ℓ is known to the transmitter, ℓ is a random variable.
- $\mathcal{L} = \mathbb{E}_{\ell \sim \mathcal{U}(1, L)} ||\mathbf{S} - \hat{\mathbf{S}}_\ell||_F^2$ if noise power is fixed, if not:
- $\mathcal{L} = \mathbb{E}_{\gamma \sim \mathcal{U}(\gamma_1, \gamma_2), \ell \sim \mathcal{U}(1, L)} ||\mathbf{S} - \hat{\mathbf{S}}_{\ell, \gamma}||_F^2$
- This is achieved by randomly sample γ, ℓ from the uniform distributions and then calculate the end-to-end loss.
- All the equations assume a same weight $w_\ell = 1$ for all the bandwidth ratios.

Proposed Method

- Dynamic weight assignment (DWA)
 - Problem of Naïve training for Solution A&B:
 - Having $w_\ell = 1$ for all bandwidth ratios results in:
 - the model only focus on optimizing the lower ρ_l with much larger loss \mathcal{L}_ℓ .
 - $PSNR_\ell$ of the higher bandwidth ratios are much worse than $PSNR_l^*$ of the separately trained models.
 - This will be verified in the experiments.
 - **Solution:**
 - Consider unequal w_ℓ to different ρ_ℓ 's.
 - However, it is hard to figure out a good configuration of \mathbf{w} to achieve a good overall performance.
 - Instead of pre-assigned values, we have:
 - **Dynamic weight assignment: assigning larger w_l^t to \mathcal{L}_l^t with larger ρ_l dynamically during the training epochs t .**

Proposed Method

▪ Dynamic weight assignment (DWA)

- The weights w_l^t are updated according to the reconstruction performance evaluated over the validation dataset:
 - The separately trained models with $[\rho_1, \dots, \rho_L]$ yields $[PSNR_1^*, \dots, PSNR_L^*]$
 - Use it as a criterion to guide the training process of DeepJSCC-I++
- we first determine the gap from the optimal results, then calculate the weight for each bandwidth ratio.

$$\begin{aligned}\Delta_l^t &= PSNR_l^* - PSNR_l^t, \\ w_l^t &= \text{clip}(2^{\alpha(\Delta_l^t - \beta)} - 1, 0, \Gamma),\end{aligned}\tag{5}$$

- Explanation of the Parameters
 - α : how sensitive the weight w.r.t to the gap.
 - β : allowable gap from the optimal PSNR value.
 - Γ : designed for stable training, should not be too large.
 - The function in (5) is continuous from $[0, \Gamma]$.

Proposed Method

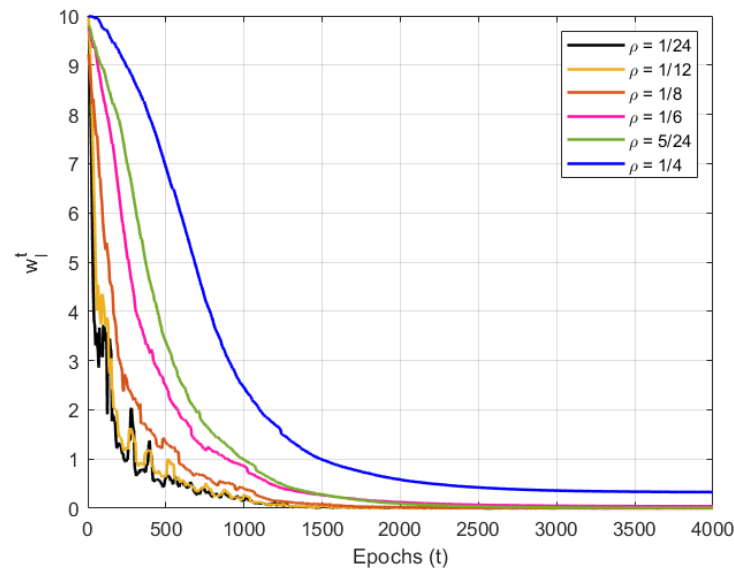
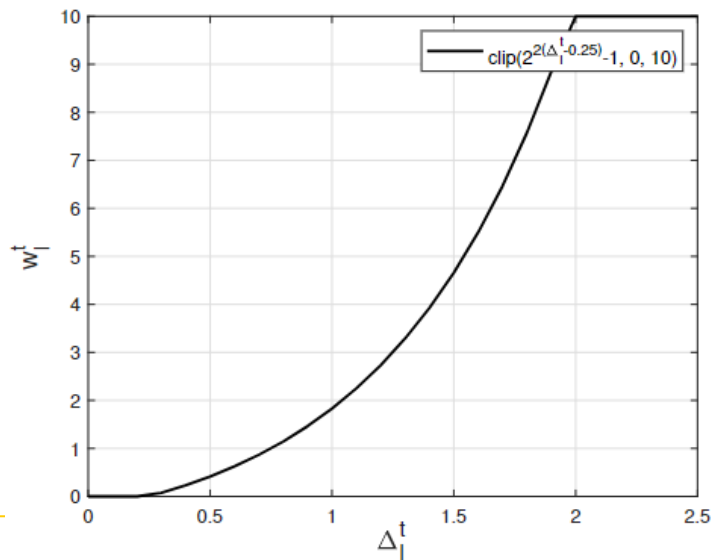
- A summary of the DeepJSCC-l++ framework

Algorithm 1 Overall Training Process for DeepJSCC-l++ Model with DWA.

```
1: Initialize  $w_l^1 = 1, \forall l \in [L]$ 
2: for  $t = 1, \dots, T$  do
3:   Training Phase:
4:   for each batch do
5:     Sample  $l \in [L], \text{SNR} \in [\text{SNR}_{min}, \text{SNR}_{max}]$ 
6:     Encoder:  $z_l = f_{\Theta}(\mathbf{S}, \text{SNR}, l)$ 
7:     Decoder:  $\tilde{\mathbf{S}}_l = g_{\Psi}(\mathbf{y}, \text{SNR}, l)$ 
8:     Weighted Loss:  $\mathcal{L}_l^t = w_l^t \|\mathbf{S} - \tilde{\mathbf{S}}_l\|_2^2$ .
9:     Optimize  $\{\Theta, \Psi\}$  using  $\mathcal{L}_l^t$ .
10:  Validation Phase:
11:  for  $l \in [L]$  do
12:    Calculate  $\text{PSNR}_l^t, \Delta_l^t$  over validation set.
13:    Update  $w_l^t$  according to (5).
```

Simulation Results

- Analysis of DWA
 - Given parameters: $(\alpha, \beta, \Gamma) = (2, 0.25, 10)$.
 - Left figure \rightarrow the w_l^t v.s. Δ_l^t .
 - Right figure \rightarrow We train a bandwidth adaptive DeepJSCC-I++ (solution B) with $L = 6$ and 4000 epochs.
 - Larger bandwidth ratio needs larger weight.
 - The gap for $\rho_L = 1/4$ is larger than $\beta = 0.25$ dB even when the training ends.



Simulation Results

- Bandwidth & SNR adaptive

- Experiment setup

- CIFAR-10 dataset, 32 x 32 resolution
 - Swin encoder/decoder employ $I = 2$ stages with the numbers of Swin transformer blocks in each stage is set to $M_1 = 4, M_2 = 2$, the number of features c is set to 256, the window size to $w = 8$.
 - The dimension of the embedding is set to 2.
 - Train for 4000 epochs, varying learning rate initialized at 10^{-4} , which is reduced by a factor of 0.95 if the validation loss does not drop for 20 epochs.
 - DeepJSCC-I++ models for Solution A & B are trained under the conditions:
 - $SNR \in [4, 10]$ dB
 - $\rho_l \in \left[\frac{1}{24}, \frac{1}{12}, \frac{1}{8}, \frac{1}{6}, \frac{5}{24}, \frac{1}{4}\right]$

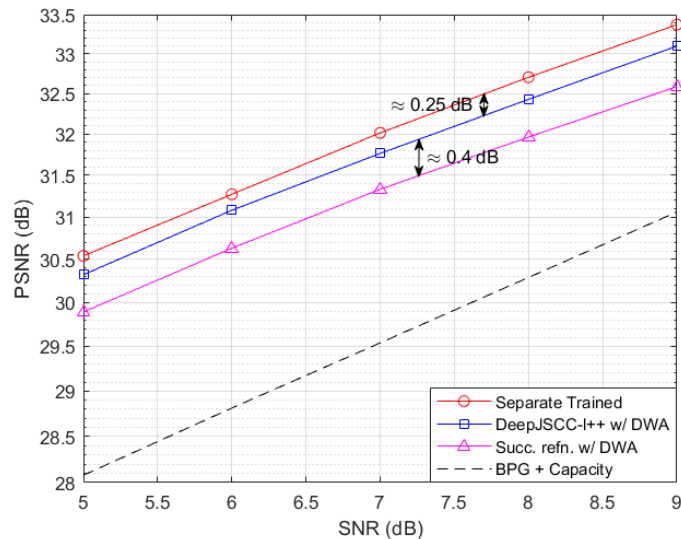
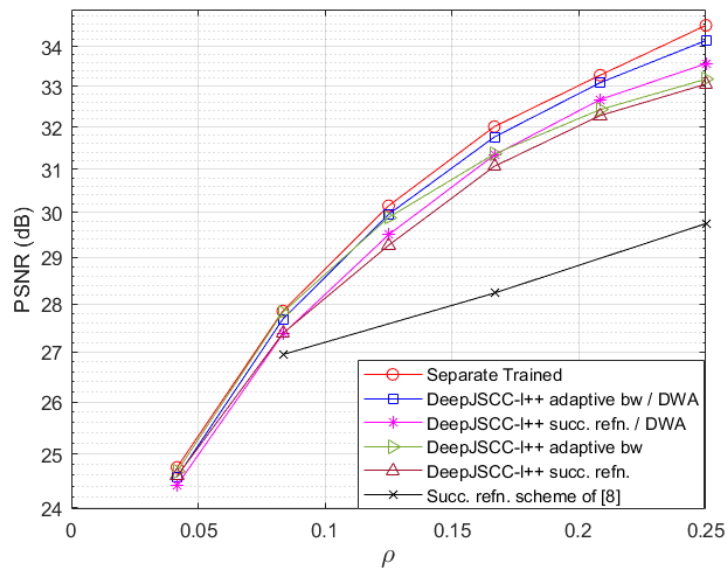
- Benchmark:

- 1. Separately trained models -- upper bound for the proposed DeepJSCC-I++
 - 2. BPG compression algorithm delivered at the (AWGN) channel capacity.

Simulation Results

Bandwidth & SNR adaptive

- Left: PSNR under (fixed) $SNR = 7$ dB, with varying bandwidth ratios.
 - DWA improves the reconstruction performance a lot at larger ρ_l yet only sacrifice very little PSNR at small ρ_l .
 - Solution B can outperform Solution A as its encoding phase is more flexible (known the target ρ_l)
- Right: PSNR under (fixed) $\rho_l = \frac{1}{6}$, with varying channel qualities.
 - The proposed schemes can significantly outperform the digital baseline while avoiding cliff-effect.
 - Adapting to SNR does not sacrifice the performance at all, the gap between the optimal is from the bandwidth adaptive part.



Simulation Results

Supplementary materials

- Left → Compare the Swin transformer with ViT.
 - Configuration: SNR = 7 dB, separately trained.
- Right → varying patches/tokens v.s. varying features.
 - Swin Transformer, with architecture shown in Slide 10.

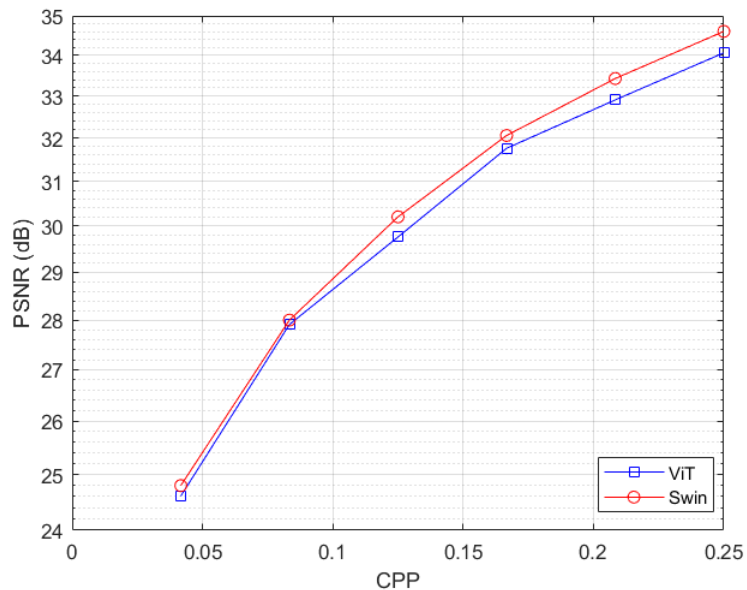


Table I: Evaluation for the *varying patches* and *varying features* DeepJSCC-l++ schemes at SNR = 7 dB in terms of PSNR (dB).

| ρ | 1/16 | 1/8 | 3/16 | 1/4 |
|--------------------------|-------|-------|-------|-------|
| <i>varying patches</i> | 26.12 | 30.01 | 32.53 | 34.32 |
| <i>varying features</i> | 26.14 | 30.01 | 32.53 | 34.31 |
| <i>separate training</i> | 26.36 | 30.23 | 32.70 | 34.55 |

Thanks for Listening!

Any questions are welcomed! 😊
