## **Probability for Computer Science**

Spring 2021

Lecture 22



Prof. Claire Monteleoni

### Today

#### Introduction to Information Theory

- Compression
- Information Rate
- Huffman Coding



## Exercise (or Pre-class assignment)

- Complete the reading listed on Schedule.
  - Study examples, especially McKay Book, examples 5.4 5.8.
- Compute the entropy of the following distributions.
  - Once you have set up the equation, you can use a calculator to solve (e.g. logarithms, multiplication), approx. to 3 decimal places.
- 1. P(A) = 1/2, P(B) = 1/4, P(C) = 1/8, P(D) = 1/8.

2.	Symbol	Probability		
	A	0.30		
	В	0.30		
	C	0.13		
	D	0.12		
	E	0.10		
	F	0.05		

### Intro to compression and coding

- We need to transmit messages, sequences of symbols, e.g.  $A_1A_3A_1A_2A_4A_3$ .
  - e.g. text, music, any digitized data.
  - Can also view A<sub>i</sub> as the event that the transmitted symbol is A<sub>i</sub>.
- Goal: represent message using as few bits as possible.
- Compressed messages must be uniquely decodable.



### Intro to compression and coding

- Simplest binary code is fixed-length: each codeword has k bits.
  - Recall: Can encode 2<sup>k</sup> events using k bits.
- Variable-length code: use shorter bit strings (codewords) for more probable events.
  - Recall: More probable events contain less information.
- The compression limit is determined by the entropy.



### Fixed-length codes

- Consider the alphabet of capital letters and the space character.
- We need to encode 27 characters.
- How many bits do we need for a fixed length code?
   5 bits. (4 bits could only uniquely encode 16 characters).
- For Example: A = 00000, B = 00001, C = 00010, D = 00011, etc.
   This is the idea behind ASCII.
- Fixed length codes (of k bits) are uniquely decodable
- Simply segment into bit-strings of length k, and there's a unique symbol corresponding to each bit string.

### Towards a variable length code

Given a fixed length code:

A = 00000, B = 00001, C = 00010, D = 00011, etc.

- What if we want to use fewer bits? Perhaps drop the leading 0's:
- E.g. A = 0, B = 1, C = 10, D = 11, etc.
- What's the problem with this encoding?
- How do we decode 101? Or 111?



### Prefix codes

A symbol code is called a prefix code if no codeword is a prefix of any other codeword.

This makes messages (strings of symbols) uniquely decodable.

Which of the following codes are prefix codes for A, B, C?

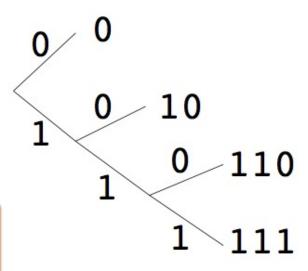


#### Prefix codes

Any prefix code can be represented as a binary tree.

 Each leaf is a codeword for one of the symbols, computed as the bit-string along the path from root.

Any code that can be represented as a binary tree is a prefix code, e.g.



Now: Exercise 1



Credit: D. MacKay 2003

#### Prefix codes

A symbol code is called a prefix code if no codeword is a prefix of any other codeword.

Any fixed-length code is a prefix code.

- Each codeword is exactly k bits, and unique, so none is a prefix of another

So why not always use a fixed-length code?

May want to reduce the number of bits transmitted on average.

We would like to design a prefix code to minimize the expected length of an encoded message.

#### Information Rate

If we know the frequency of each symbol  $A_i$ , we can view it as a probability,  $P(A_i)$ .

The information rate of a code is the average number of bits per symbol:

$$R(A_1, \dots, A_n) = \sum_{i=1}^{n} P(A_i) L(A_i)$$

where L(A<sub>i</sub>) is the number of bits in the codeword for A<sub>i</sub>.



#### Bound on the Information Rate

The best achievable (minimum) information rate, for any code in which each symbol is uniquely encoded, is the entropy:

$$R(A_1,\ldots,A_n) \ge H(A_1,\ldots,A_n)$$

$$\sum_{i=1}^{n} P(A_i)L(A_i) \ge \sum_{i=1}^{n} P(A_i)I(A_i)$$

Now: Exercises 2 & 3 
$$=\sum_{i=1}^n P(A_i)\log_2\left(\frac{1}{P(A_i)}\right)$$

### Optimal codes

Fixed-length codes are optimal when all symbols occur with equal probability.

When the symbols have different probabilities, the optimal code will be a variable-length code.

- but not *any* variable length code; some achieve worse information rates than others.



#### **Huffman Code: Information Rate bound**

The information rate of the Huffman code is upper bounded as follows:

$$R(A_1,\ldots,A_n) \le H(A_1,\ldots,A_n) + 1$$

This is optimal for prefix codes.

And remember, for any code which uniquely encodes each symbol,  $\frac{1}{4}$ 

$$H(A_1,\ldots,A_n) \le R(A_1,\ldots,A_n)$$

## Huffman algorithm

- 1. Take the two least probable symbols in the alphabet. These two symbols will be given the longest codewords, which will have equal length, and differ only in the last digit.
- 2. Combine these two symbols into a single symbol, and repeat.

At the end, codewords are read from root to leaf.



Credit: D. MacKay 2003

# Example 1

œ	gton 1 gton 6	gton 3	aton	1	
$\boldsymbol{x}$	step 1 step 2	-			
a	0.25 - 0.25 - 0.00	$0.25\frac{0}{1}0$	$0.55 \frac{0}{2}$	1.0	
b	0.25 - 0.25 -	0.45 + 0	$0.45^{2}$ 1		
С	0.2 - 0.2	/_			
d	$0.15 \xrightarrow{0} 0.3$	$0.3^{-1}$			
е	0.15 1	$\overline{a_i}$	$p_i$	$h(p_i)$	i
			0.25	2.0	

Now: Exercise 4

Credit: D. MacKay 2003

$a_i$	$p_i$	$h(p_i)$	$l_i$	$c(a_i)$
a	0.25	2.0	2	00
b	0.25	2.0	2	10
С	0.2	2.3	2	11
d	0.15	2.7	3	0
	0 4 5	~ -	•	

#### Post-class

#### Post-class exercises:

- Propose a symbol distribution and then design a Huffman code for it. Compute its information rate.
- Optional: Compare with info. rate of code constructed top-down (Starting from all events, recurse, making equiprobable splits).

