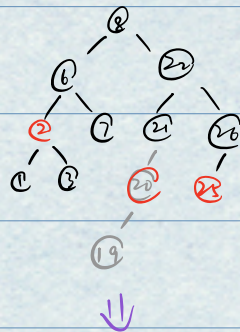


# Red Black Tree

datatype 'a dict = Empty  $\rightarrow$  considered Black  
 | Red of 'a dict \* 'a entry \* 'a dict  
 | Black of 'a dict \* 'a entry \* 'a dict

## RBT invariants

- 1) Sorted on the key
  - 2) children of Red are Black
  - 3) # Black on any path from the node down to an Empty is the same
- "well-balanced black height"
- $depth \leq 2 \log_2(|nodes| + 1)$



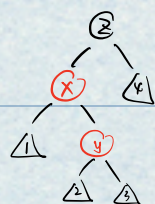
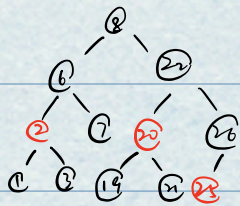
valid RBT!

insert 25  $\Rightarrow$  must be red to keep (iii) invariant

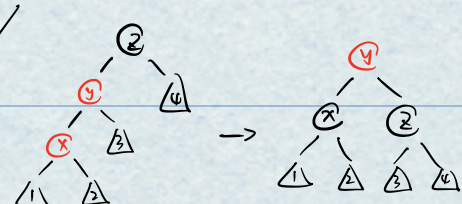
insert 19  $\Rightarrow$  red? (i) violation!

$\Downarrow \Rightarrow$  black? (iii) violation!

we need a rotation!



(4th clause)  
restoreLeft



(3th clause)  
restoreLeft

## Almost RBT (ARBT) invariants:

(ii) Red not may have one Red child

(i) & (iii) the same

(\* restoreLeft : 'a dict  $\rightarrow$  'a dict

REQUIRES: d is RBT / d's root is Black, its left child is ARBT, right child is RBT

ENSURES: rotateLeft(d) is RBT, same black height as d

\*)

fun restoreLeft (Black (Red (Red (d1, x, d2), y, d3), z, d4)) =

Black (Red (Red (d1, x, d2), y, d3), z, d4)



## Insert

(\* insert: 'a dict \* 'a entry  $\rightarrow$  'a dict

RE & EN: RBT

\*)

fun insert (d, e as (k, v)) =

let

fun ins = ---

in

(case ins(d) of

Red (t as (Red(-), -, -))  $\Rightarrow$  Black(t),

Red (t as (-, -, Red(-))  $\Rightarrow$  Black(t)

| d'  $\Rightarrow$  d')

(\* ins: 'a dict  $\rightarrow$  'a dict

RE: d is RBT

EN: ins(Black(t)) is RBT, ins(Red(t)) is ARBT

\*)

fun ins (Empty) = Red (Empty, e, Empty)  $\Rightarrow$  can have Red - Red violation

| ins (Black (d, e' as (k', -), r)) =

case String.compare (k, k') of

EQUAL  $\Rightarrow$  Black (d, e, r)  $\Rightarrow$  replace

| LESS  $\Rightarrow$  restoreLeft (Black (ins(d), e', r))

| -  $\Rightarrow$  restoreRight (Black (d, e', ins(r)))

| ins (Red (d, e' as (k', -), r)) =

case String.compare (k, k') of

EQUAL  $\Rightarrow$  Red (d, e, r)  $\Rightarrow$  replace

| LESS  $\Rightarrow$  Red (ins(d), e', r) } why don't call restore? No need to!

| -  $\Rightarrow$  Red (d, e', ins(r))

(\* lookup: 'a dict  $\rightarrow$  key  $\rightarrow$  'a option \*)

fun lookup d k =

let

fun lk (Empty) = NONE

| lk (Red t) = lk t

| lk (Black t) = lk t

and lk' (d, (k', v), r) =

mutual recursion (case String.compare (k, k') of

EQUAL  $\Rightarrow$  SOME (v)

| LESS  $\Rightarrow$  lk(d)

| GREATER  $\Rightarrow$  lk(r)

in

lk d



end

```
structure RBT :> DICT = struct ... end
```

```
val r1 = RBT.insert (RBT.empty, ("a", 1))
```

int RBT.dict

```
val r2 = RBT.insert (r1, ("b", 2))
```

```
val look2 = RBT.lookup r2
```

RBT.key  $\rightarrow$  int option

look 2 "b"  $\Rightarrow$  SOME 2

look 2 "c"  $\Rightarrow$  NONE