

# Data types

Data abstraction e.g. type indexed = int \* real (7, 2.1) : indexed

e.g. datatype order = LESS | EQUAL | GREATER

values LESS : order

EQUAL : order

GREATER : order

Int. compare : int \* int → order

Case Int.compare (x, y) of

LESS ⇒ --

| EQUAL ⇒ --

| GREATER ⇒ --

constant constructors

e.g. datatype bool = true | false

datatype extint = NegInt | Finite of int | PosInt

(\* minInt : int list → extint \*)

fun minInt (l : int list) : extint = PosInt

| minInt (x :: xs) =

let

fun tmin (l : int list, acc : int) : int = acc

| tmin (y :: ys, acc) = tmin (ys, Intmin (y, acc))

in

Finite (tmin (xs, x))

end

Value of type extint

NegInt

Finite 1

Finite ~1

PosInt

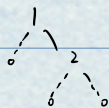
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val Finite (M) = minInt L

Finite → a constructor that expects values of type int & returns values of type extint.

Finite : int → extint

Empty tree



Node with two subtrees & an integer

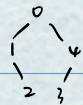
datatype tree = Empty | Node of tree \* int \* tree

Datatype can be recursive!

val t1 = Node (Empty, 1, Node (Empty, 2, Empty)) → t1 is a value of type tree

val t2 = Node (Node (Empty, 3, Empty), 4, Empty)

val t = Node (t1, 0, t2)



(\* depth : tree → int \*)

fun depth (Empty : tree) : int = 0

| depth (Node (t1, n, t2)) = 1 + Int.max (depth t1, depth t2)

THM depth is total.

By structural on T. (N.T.S. depth (T) returns a value for all T : tree)

Base Case : T = Empty N.T.S. depth Empty returns a value

⇒ 0 (clause 1)

Inductive Step : T = Node (t1, n, t2) for some t1 : tree, n : int, t2 : tree

N.T.S. depth (T) returns a value



IH:  $\text{depth}(t_1) \rightarrow d_1 \text{ value}$   
 $\text{depth}(t_2) \rightarrow d_2 \text{ value}$

Showing  $\text{depth}(\text{Node}(t_1, n, t_2))$

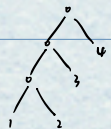
$$\Rightarrow 1 + \text{Int.max}(\text{depth } t_1, \text{depth } t_2) \quad (\text{clause 2})$$

$$\Rightarrow 1 + \text{Int.max}(d_1, d_2) \quad (\text{IH})$$

$$\Rightarrow 1 + v \quad (\text{Some value } v \text{ by totality of Int.max})$$

$$\Rightarrow v' \quad (\text{Some value } v' \text{ by totality of } +)$$

datatype tree = leaf of int | Node of tree \* tree



var tr = Node (Node (Node (leaf 1, leaf 2),  
 leaf 3),  
 leaf 4)

(\* flatten : tree  $\rightarrow$  int list \*)

fun flatten (leaf x: tree) : int list = [x]

| flatten (Node (t1, t2)) = flatten (t1) @ flatten (t2)

eg.  $\text{flatten}(tr) \rightarrow [1, 2, 3, 4]$

$O(n^2)$

(\* flatten2 : tree \* int list  $\rightarrow$  int list

REQUIRES: true

ENSURES:  $\text{flatten2}(T, acc) \cong \text{flatten}(T) @ acc$

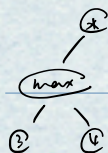
\*)

fun flatten2 (leaf x: tree, acc: int list) : int list = x :: acc

| flatten2 (Node (t1, t2), acc) = flatten2 (t1, flatten2 (t2, acc))  $\rightarrow$  Not tail recursive!

(call recursion twice)

datatype optree = Val of int | Oper of optree \* (int \* int  $\rightarrow$  int) \* optree



oper (oper (Val 3, Int.max, Val 4), fun (x, y)  $\Rightarrow$  x \* y, Val 2)

op \*

(\* eval : optree  $\rightarrow$  int \*)

fun eval (Val x) = x

| eval (Oper (t1, f, t2)) = f (eval t1, eval t2)

## Asymptotic Cost Analysis

(lemma:  $\text{length}(\text{rev } l) \cong \text{length } l$ )

$W_{\text{rev}}(n) = c_1 + W_{\text{rev}}(n-1) + W_{@}(n-1, 1)$ , same  $c_1$

Unrolling:  $W_{\text{rev}}(n) \leq \dots$

$W_{\text{rev}}(n) \leq c_1 + W_{\text{rev}}(n-1) + c_1(n-1)$ , same  $c_1$

$$\leq c_0 + n \cdot c_1 + (n(n+1)/2) \cdot c_1 \Rightarrow O(n^2)$$

Analyze tree  $W_{\text{tree}}(n, m)$  with  $m, n$  as sizes of input lists.

Bc:  $W_{\text{tree}}(0, n) = c_0$ , for some  $c_0$ , all  $n$

IS:  $W_{\text{tree}}(n, m) = c_1 + W_{\text{tree}}(n-1, m+1)$ , same  $c_1$ , all  $n$

$$= \dots = n \cdot c_1 + c_0$$



## Analyze tree summation

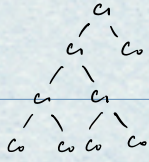
fun sum Empty = 0

| sum (Node (l, x, r)) = sum l + sum r + x

Wsum (n) with n: # nodes

BC) Wsum (0) = C<sub>0</sub> for some C<sub>0</sub>

Recursive case) Wsum (n) = C<sub>1</sub> + Wsum (N<sub>l</sub>) + Wsum (N<sub>r</sub>) some C<sub>1</sub>, with N<sub>l</sub>: # nodes on the left, N<sub>r</sub>: ... right



$$Wsum (n) = C_1 n + C_0 (n+1) \Rightarrow O(n)$$

A binary tree has n nodes iff it has n+1 leaves.

Opportunity for parallelism? Ssum (n) = C<sub>1</sub> + max { Ssum (N<sub>l</sub>), Ssum (N<sub>r</sub>) }, some C<sub>1</sub>

What if N<sub>l</sub> = n-1, N<sub>r</sub> = 0? Suppose the tree is balanced.

$$\begin{aligned}
 \Rightarrow Ssum (n) &= C_1 + \max \{ Ssum (n/2), Ssum (n/2) \} \\
 &= C_1 + Ssum (n/2) \\
 &= C_1 + C_1 + Ssum (n/4) \\
 &= \dots = (\log_2 n) C_1 \Rightarrow O(\log n)
 \end{aligned}$$

Express span as Ssum (d), d: depth

$$Ssum (0) = C_0$$

$$Ssum (d) = C_1 + \max \{ Ssum (d-1), Ssum (d-1) \}$$

$$= C_1 + Ssum (d-1)$$

$$\Rightarrow O(d) \text{ holds for all trees!}$$

**Balance** { (i) empty  
(ii) a Node whose 2 subtrees are balanced with depth differing  $\leq 1$

Work at each level: C<sub>1</sub>, 2C<sub>1</sub>, 4C<sub>1</sub>, ..., 2<sup>d-1</sup>C<sub>1</sub>, 2<sup>d-1</sup>C<sub>0</sub>

$$W(n) = C_1 (1 + \dots + 2^{d-1}) + C_0 2^{d-1} \leq C 2^d \Rightarrow O(\log n)$$

$$S(n) = C_1 (1 + 1 + \dots + 1) + C_0 \leq c d \Rightarrow O(\log n)$$

$$C = \max \{ C_1, C_0 \}$$

## Sorting

compare:  $t \times t \rightarrow \text{order}$  for some type t

eg. Int.compare, String.compare

For lists: L is sorted iff compare (x, y)  $\Rightarrow$  LESS / EQUAL whenever x appears to the left of y

( \* ins: int \* int list  $\rightarrow$  int list

REQUIRES: L is sorted

ENSURES: ins (x, L)  $\Rightarrow$  a sorted permutation of x::L

\*

don't delete / add any elements

fun ins (x, l) = [x]

| ins (x, y::ys) = (case compare (x, y) of

GREATER  $\Rightarrow$  y::ins (x, ys)

| \_  $\Rightarrow$  x::y::ys)

Wins (n) with n: list length

$$Wins (0) = C_0$$

$$Wins (n) = C_1 + Wins (n-1) \quad (\text{case 1})$$

$$Wins (n) = C_2 \quad (\text{case 2})$$

$$\Rightarrow O(n)$$

( \* ins: int list  $\rightarrow$  int list

REQUIRES: true

ENSURES: isort (L)  $\Rightarrow$  a sorted permutation of L

\*

fun isort ([]) = []

| isort (x::xs) = ins (x, isort xs)

$$W_{isort} (0) = C_0$$

$$W_{isort} (n) = C_1 + W_{isort} (n-1) + W_{ins} (n-1)$$

$$W_{isort} (n) \leq C_1 + C_2 \cdot n + W_{isort} (n-1) \Rightarrow O(n^2)$$