



**Institute of  
Applied Physics**

Friedrich-Schiller-Universität Jena

# Metrology and Sensing

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Lecture 12-3: Optical Coherence Tomography

2021-02-02

Herbert Gross

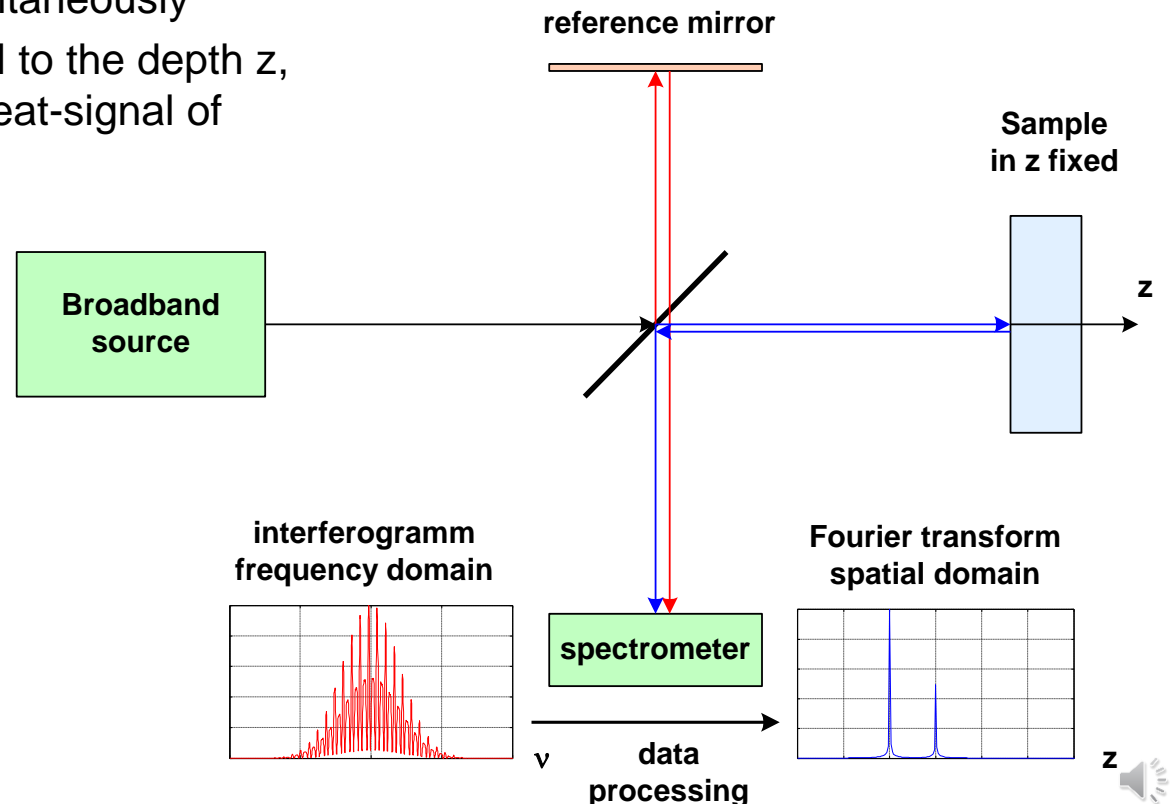




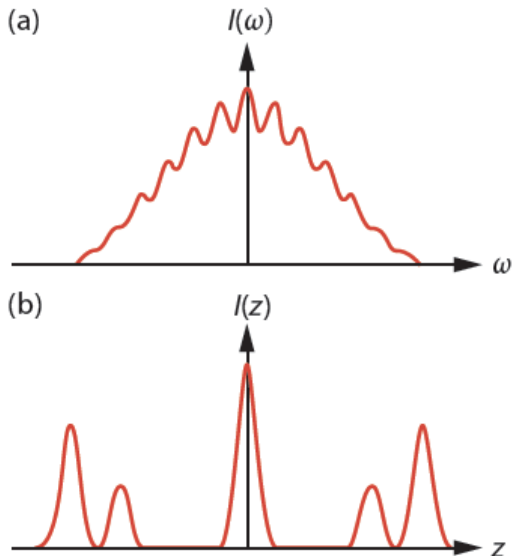
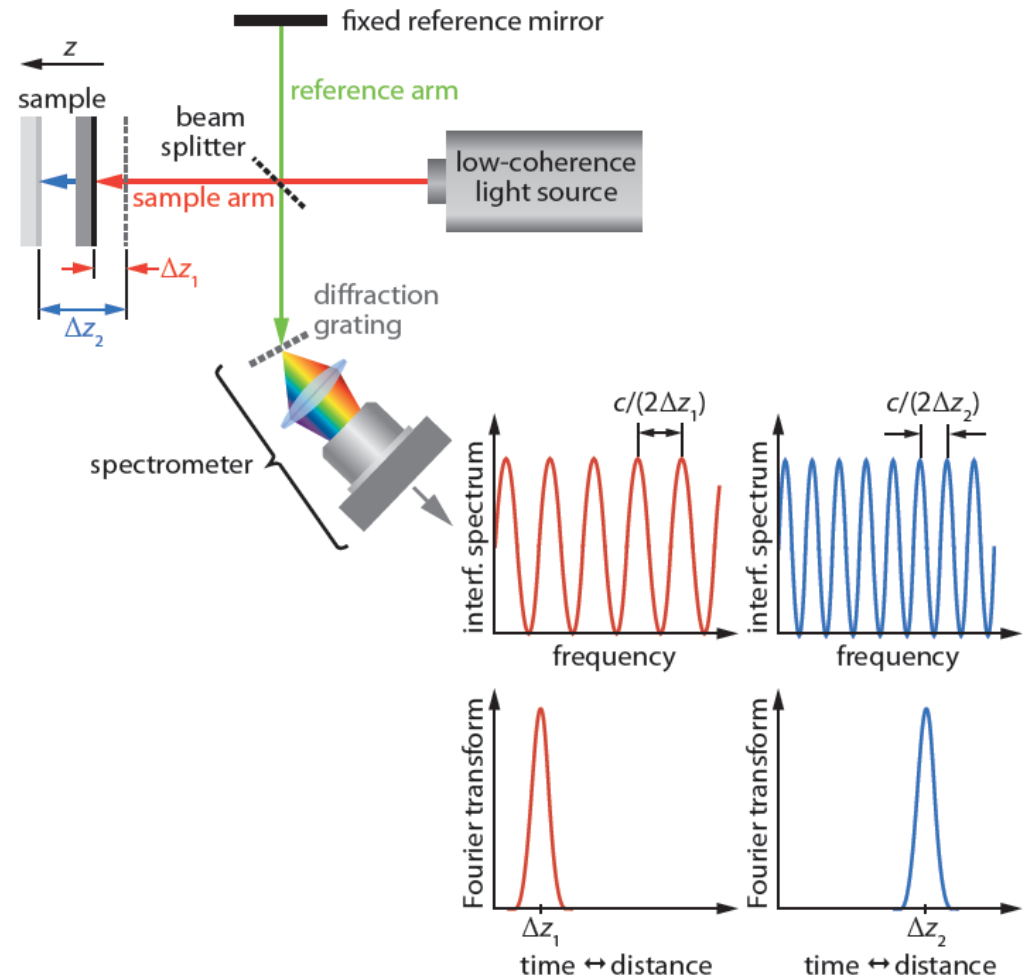
- Fourier domain OCT
- Swept source OCT
- Examples



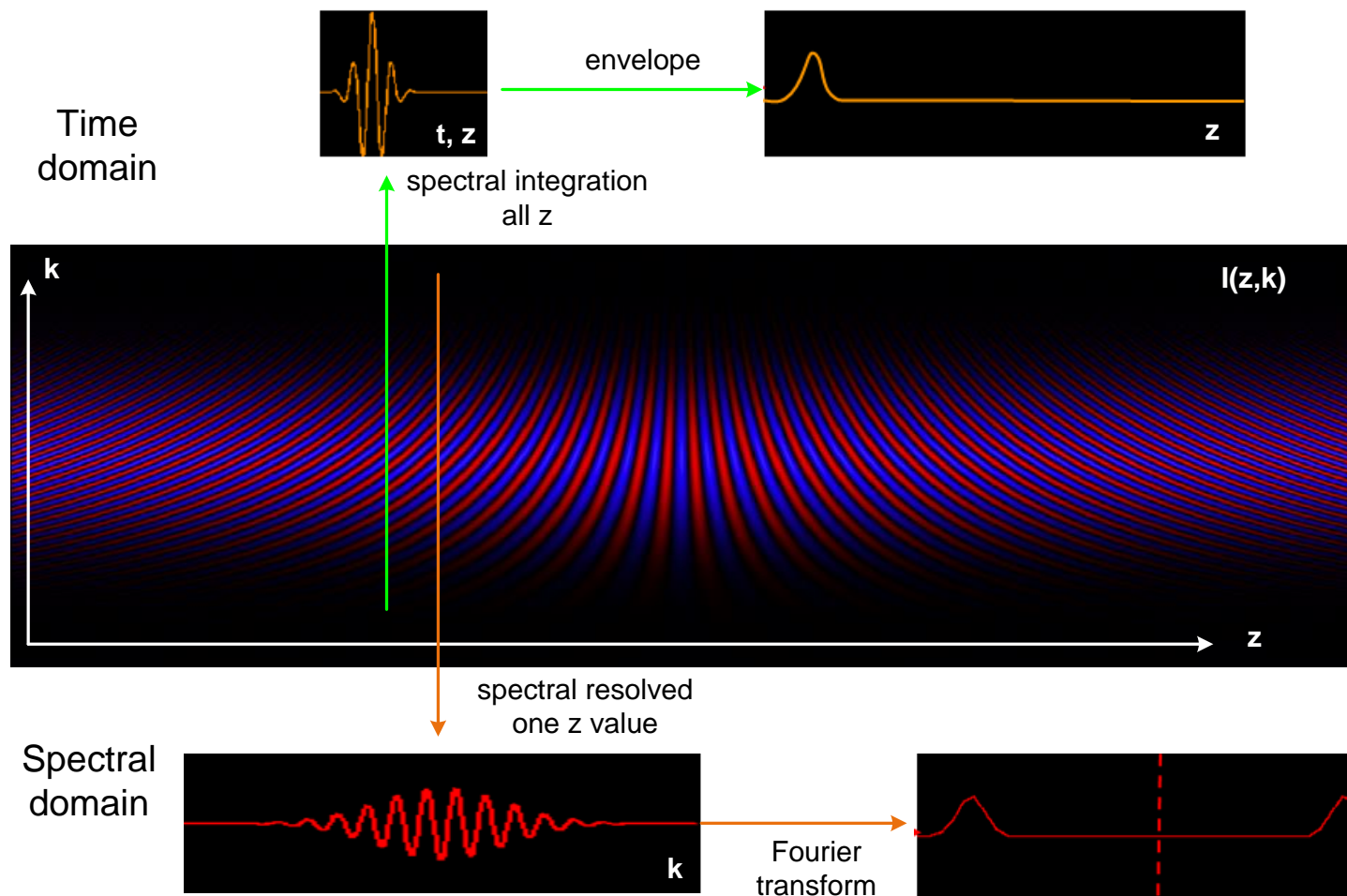
- Spectral Domain-OCT:
  - broad band source
  - reference mirror fixed in position, no A-scan necessary
  - signal splitted by spectrometer
- The high-frequency content of the signal is analyzed:  
full spectral resolution, no coarse envelope
- All depth are measured simultaneously
- The frequency is proportional to the depth  $z$ ,  
measured is the overlayed beat-signal of  
all scatterers



- Fourier Domain-OCT: setup
- Signals:
  - a) intensity spectrum
  - b) spatial intensity distribution



- Signal evaluation
  - time domain OCT
  - spectral domain OCT





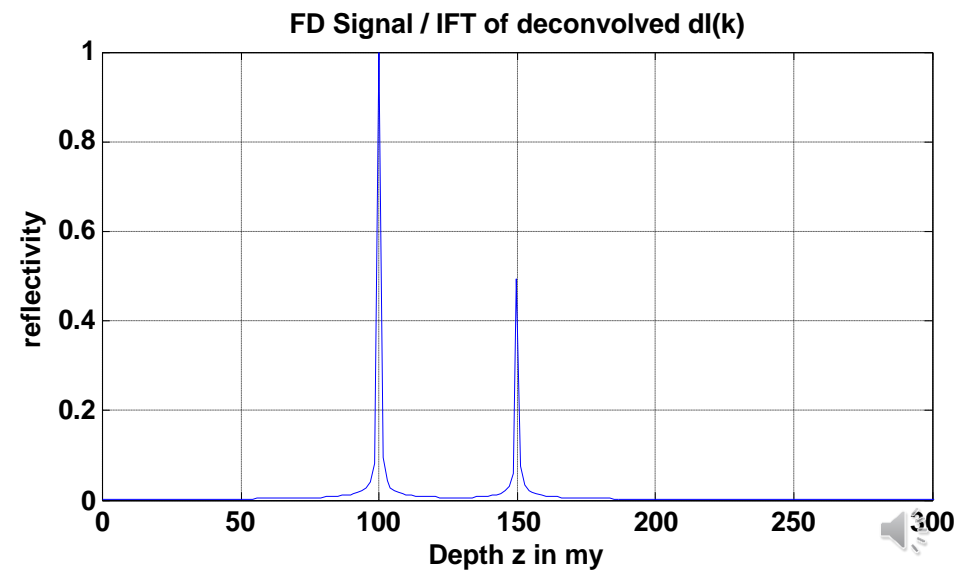
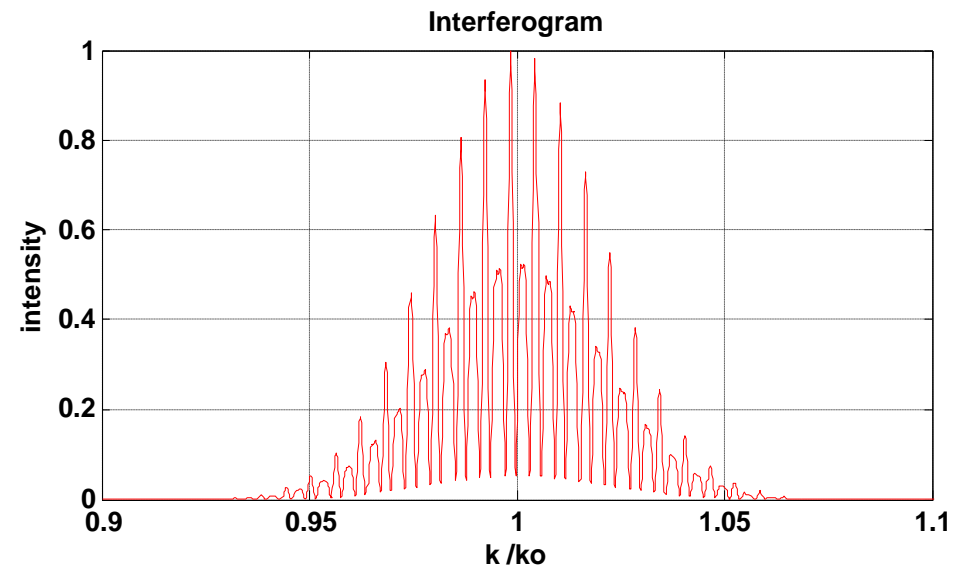
# Properties of Fourier Domain OCT

- the modulation frequency depends on the path length difference
- simultaneous measurement of all backscattering contributions: larger sensitivity
  - Fourier transform adds signals coherent
  - noise is added incoherent
- faster image processing due to missing A scan
- signal drop-off with increasing depth  
spectrometer resolution changes over depth
- positive and negative  $\Delta z$  cannot be distinguished



# Fourier Domain OCT Example Calculation

- Only z-dependence
- 2 discrete scatterers



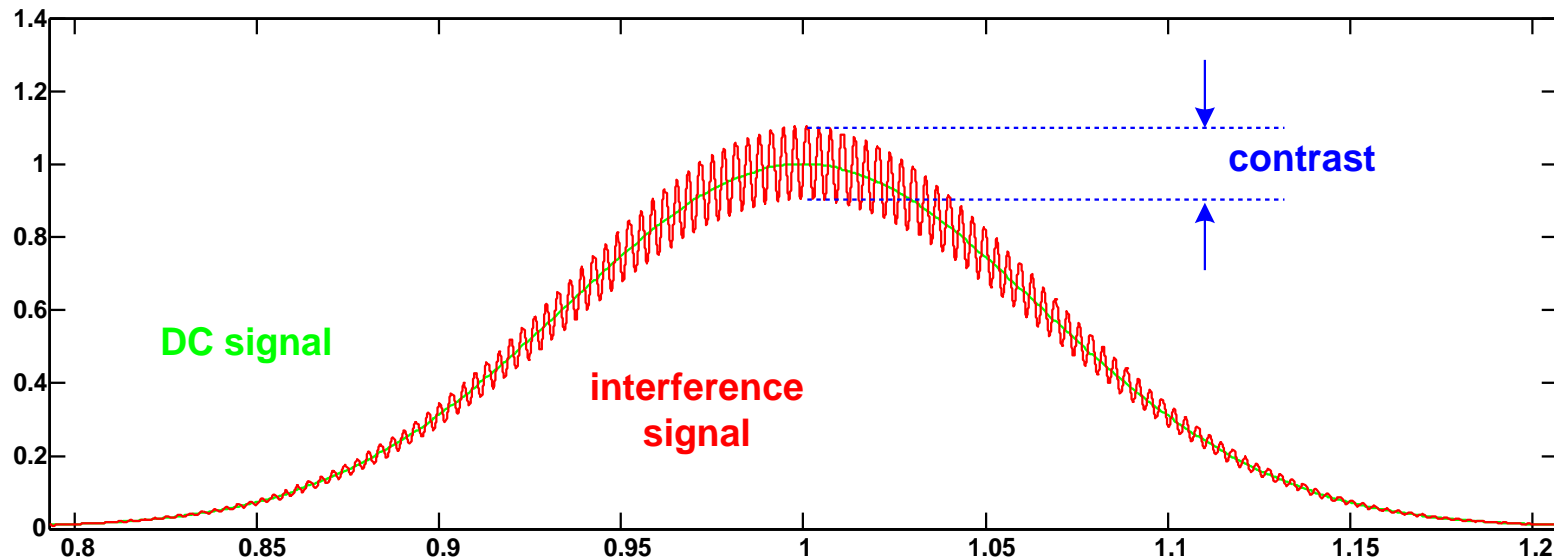


# Fourier Domain OCT Signal

- Typical Fourier Domain  
OCT signal  
only z-part

$$I_{FD}(k, \omega) = \frac{\rho}{4} \cdot S(k) \cdot \left( r_R^2 + \sum_j |r_{Sj}|^2 \right) + \frac{\rho}{2} \cdot S(k) \cdot r_R \cdot \sum_j r_{Sj} \cdot \cos(2k(z_R - z_{Sj})) \\ + \frac{\rho}{4} \cdot S(k) \cdot \sum_{j \neq m} r_{Sj} \cdot r_{Sm} \cdot \cos(2k(z_{Sj} - z_{Sm}))$$

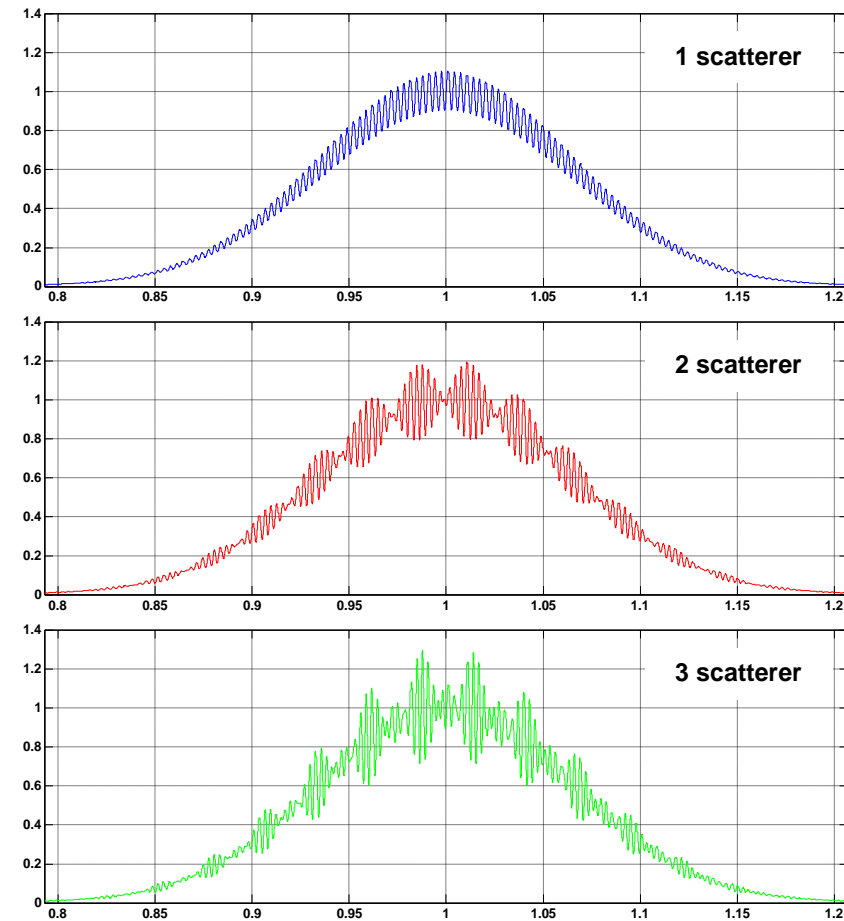
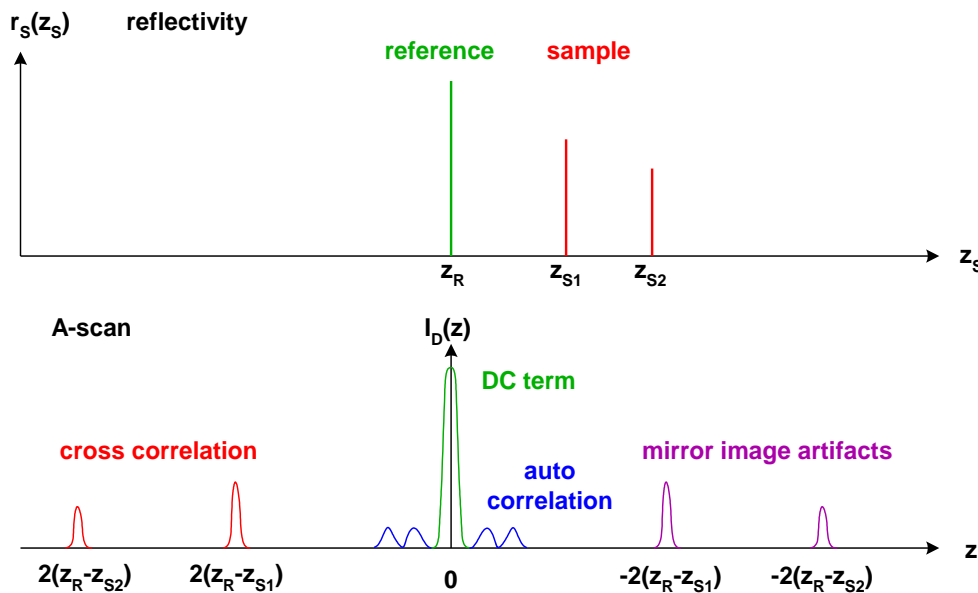
- First term: DC  
Second term: interference, cross-correlation, contains information  
Third term: autocorrelation between scatterers





# Fourier Domain OCT Signal

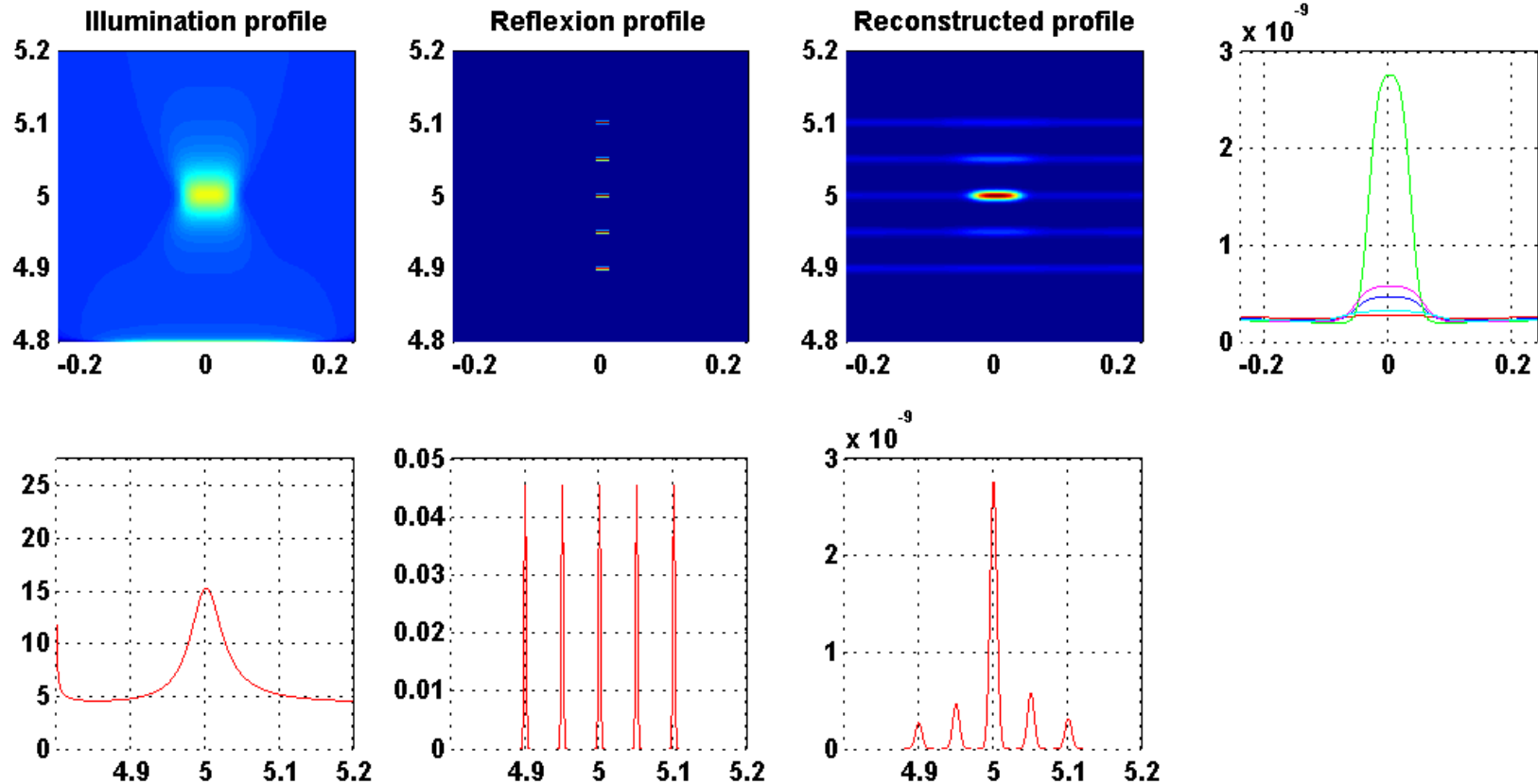
- Signal complexity depends on scatter-distribution





# FD OCT in 3D Gaussian Beams Example

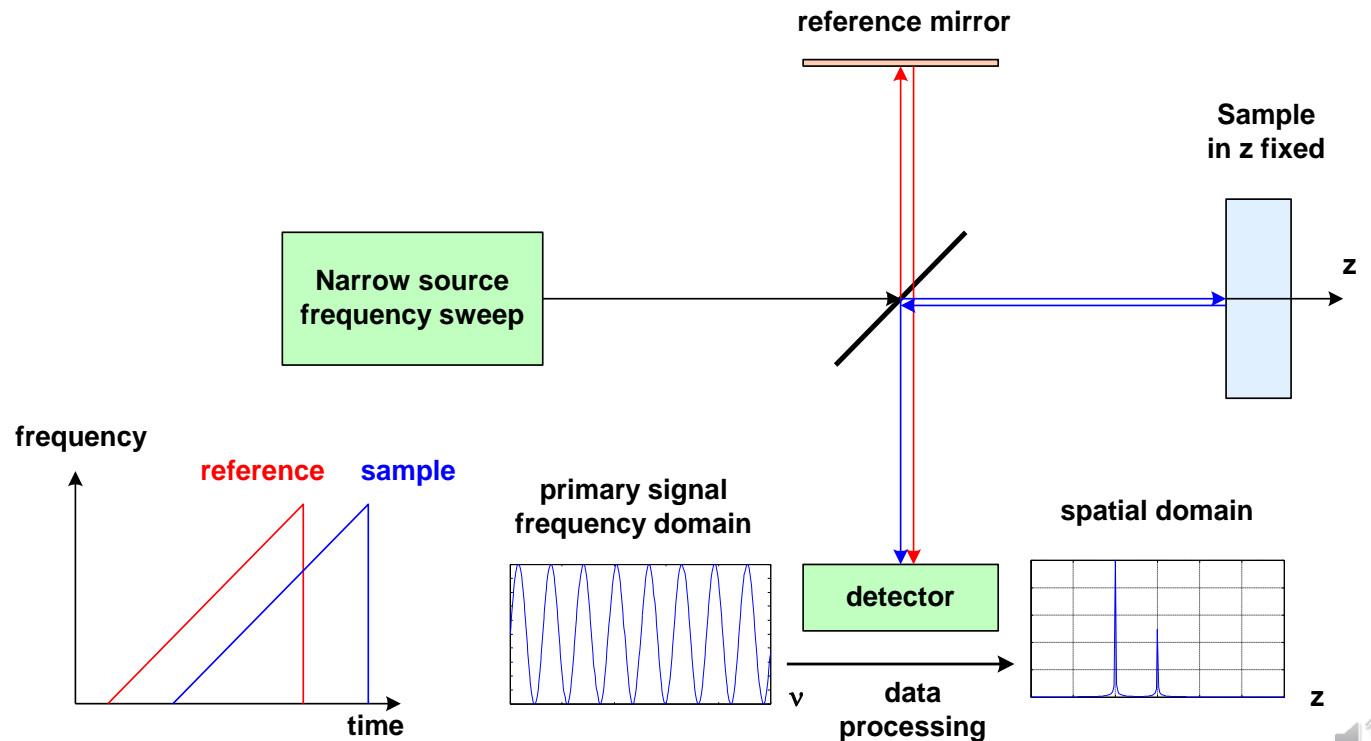
- 5 discrete scatter planes with finite extend
- Without EDF-caustic



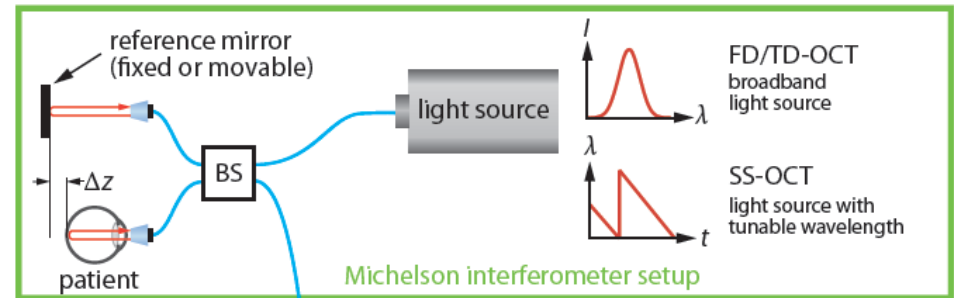


# Fourier Domain OCT with Swept Source

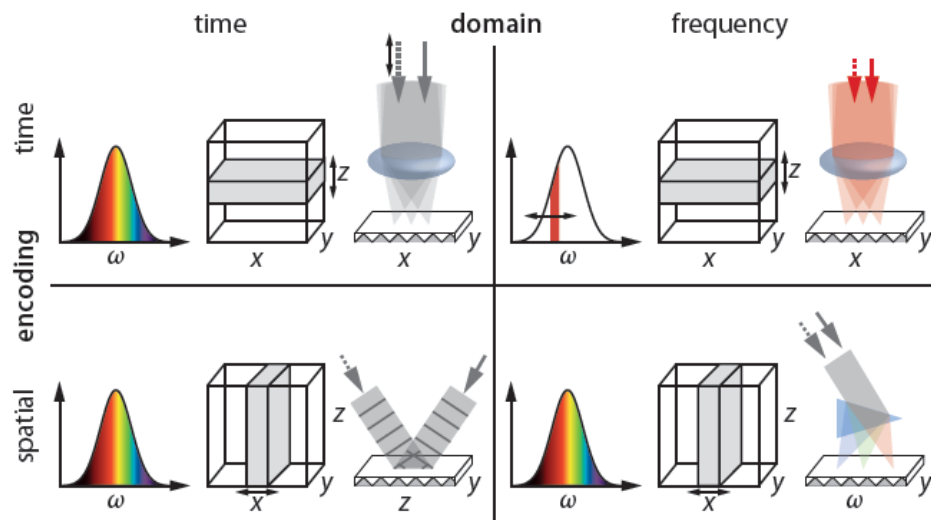
- Special setup for Fourier domain OCT:
  - SS-OCT: swept source instead of broad spectral source
  - source has tunable wavelength
  - advantage: no spectrometer necessary
  - usually faster than classical Fourier domain OCT
  - tunable laser expensive



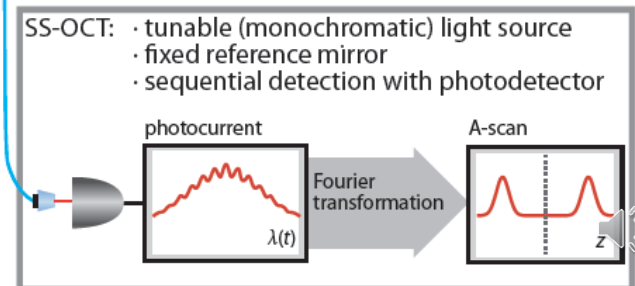
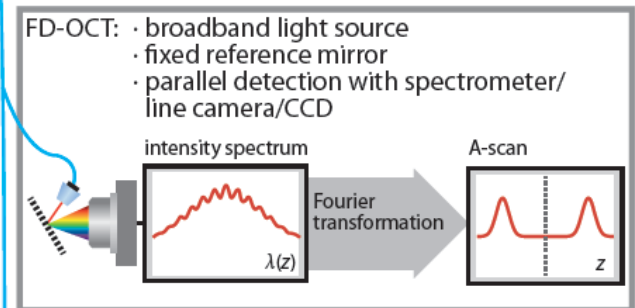
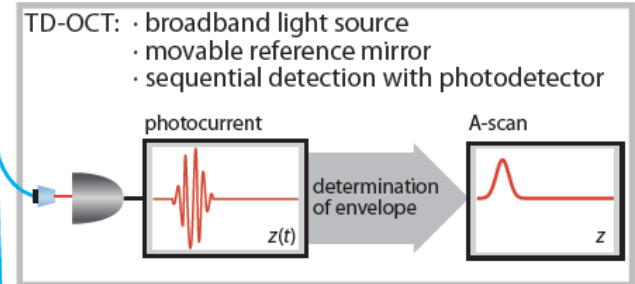
# Overview on OCT Setups



Ref: M. Kaschke



corresponding types of OCT detection





# Fourier Domain OCT Signal

- Field in the reference arm
- Field in the sample arm
- Interference field

$$E_R = \frac{E_0(k, \omega)}{\sqrt{2}} \cdot r_R \cdot e^{i2kz_R}$$

$$E_S = \frac{E_0(k, \omega)}{\sqrt{2}} \cdot r_S(z) \otimes e^{i2kz_S}$$

$$I_{FD} = \rho \cdot |E_R + E_S|^2$$

- Discrete scattering model:  
OCT signal

$$I_{FD}(k, \omega) = \frac{\rho}{2} \left| \frac{E_0(k, \omega)}{\sqrt{2}} \right|^2 \cdot \left| r_R \cdot e^{i(2kz_R - \omega t)} + \sum_j r_{Sj} \cdot e^{i(2kz_{Sj} - \omega t)} \right|^2$$

with reflectivities  $r_j$

$$r_S(z_S) = \sum_j r_{Sj} \cdot \delta(z_S - z_{Sj})$$

- Final signal evaluation
  - 1st term: DC signal (underground)
  - 2nd term cross correlation with reference, interesting term
  - 3rd term autocorrelation between scatterers (small)

$$\begin{aligned} I_{FD}(k, \omega) = & \frac{\rho}{4} \cdot S(k) \cdot \left( r_R^2 + \sum_j |r_{Sj}|^2 \right) \\ & + \frac{\rho}{2} \cdot S(k) \cdot r_R \cdot \sum_j r_{Sj} \cdot \cos(2k(z_R - z_{Sj})) \\ & + \frac{\rho}{4} \cdot S(k) \cdot \sum_{j \neq m} r_{Sj} \cdot r_{Sm} \cdot \cos(2k(z_{Sj} - z_{Sm})) \end{aligned}$$





# Fourier Domain OCT Signal

- Signal evaluation: inverse Fourier transform  
DC-term subtracted by difference measurement  
Auto-correlation: mostly negligible

$$I(z) = \hat{F}^{-1}[I(k)] = \hat{F}^{-1}[S(k)] \otimes \left\{ \frac{r_s^2}{2n_s} \cdot \delta(z) + \frac{r_R \cdot r_s}{2n_s} + \frac{1}{16n_s^2} \cdot A_c(r_s(z)) \right\}$$

$$r_s(z) = \frac{n_s}{r_R} \cdot F^{-1} \left[ \frac{\Delta I(k)}{S(k)} \right]$$

- Heterodyne efficiency:  
Decrease in signal strength due to scattering underground for gaussian beams

$$\begin{aligned} \langle I^2(z) \rangle_{\text{cohgate}} &= \frac{\alpha^2 P_R P_S \sigma_b}{\pi w_{\text{non}}^2} \cdot \left[ e^{-2\mu_s z} + \frac{4w_{\text{non}}^2}{w_{\text{non}}^2 + w_S^2} \cdot e^{-2p_b \mu_s z} \cdot e^{-\mu_s z} \cdot (1 - e^{-\mu_s z}) + \frac{w_{\text{non}}^2}{w_S^2} \cdot e^{-4p_b \mu_s z} \cdot (1 - e^{-\mu_s z})^2 \right] \\ &= \langle I^2 \rangle_0 \cdot \Psi(z) \end{aligned}$$

