

INTRODUCTION TO

OPTICAL MODELING

c1

'modelling'

Uwe Zeitner

Frank Wyrowski

* login @ computer pool

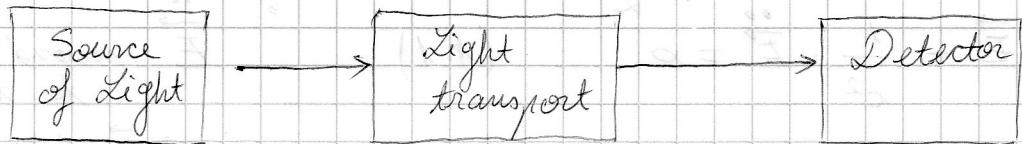
seminars start after the 3rd week

Slides: www.iap.uni-jena.de

→ upper right corner

"teaching"

Optical Modeling and Design



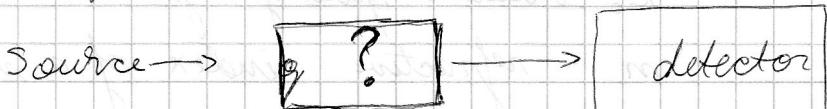
Introduction

Modeling :

Source → optical system → ?

Requires: proper models for the representation of light
proper model which represents the interaction of light & matter

Design :



Requires: proper optimization algorithms (not trivial)

Gross "Handbook of Optical Systems"

→ Volume 1 & 2

J. Smith "Modern Optical Engineering"

Goodman "Introduction to Fourier optics"

1.1. Light representation

- Light:
- particle → photon
 - electromagnetic wave

Maxwell's equations

in homogeneous & isotropic medium

⇒ wave equation:

$$\nabla^2 \vec{E} - \frac{1}{c^2} \cdot \frac{\partial^2 \vec{E}}{\partial t^2} = 0 \quad (1.1)$$

\vec{E} second derivative to time

$\nabla^2 \vec{E}$ derivative top

c velocity of light in a medium

e electric field

$$\frac{c_0}{\sqrt{\epsilon \mu}}$$

ϵ = dielectric constant (permittivity) ϵ_{vac}
 $(\epsilon \geq 1)$ (metamaterials → $\epsilon \rightarrow 0$ or $\epsilon < 1$)
 μ = magnetic permeability ≈ 1

optical materials are
in 99% non-magnetic

c_0 vacuum speed of light

n - refractive index of a material

Solution of (1.1): $\vec{E}(\vec{r}, t) = \vec{E}(\vec{r}) \cdot e^{-i\omega t} \quad (1.2)$

$$\omega = 2\pi\nu$$

ν = time frequency of
the oscillation

Harmonic oscillation

$$\text{Inserting (1.2) in (1.1)} \Rightarrow \boxed{\nabla^2 \vec{E}(\vec{r}) + \frac{\omega^2}{c^2} \cdot E(\vec{r}) = 0} \quad (1.3)$$

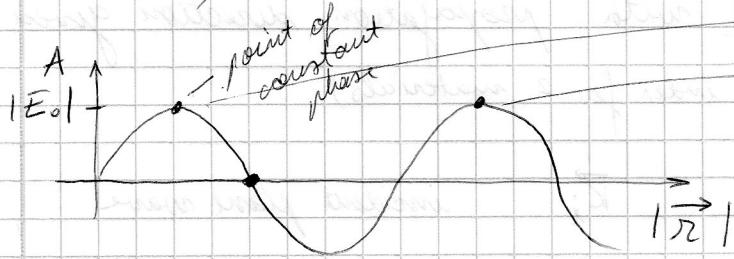
$$\frac{\omega^2}{c^2} = k^2 = \left(\frac{2\pi}{\lambda}\right)^2$$

"modulus of the wave vector"
"wave-number"

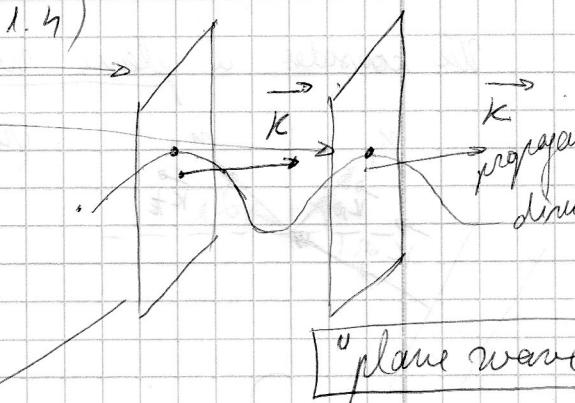
$$c = \lambda \cdot v$$

Basic Solution of (1.3):

$$\vec{E}(\vec{r}) = \vec{E}_0 \cdot e^{i \vec{k} \cdot \vec{r}}$$



(1.4)



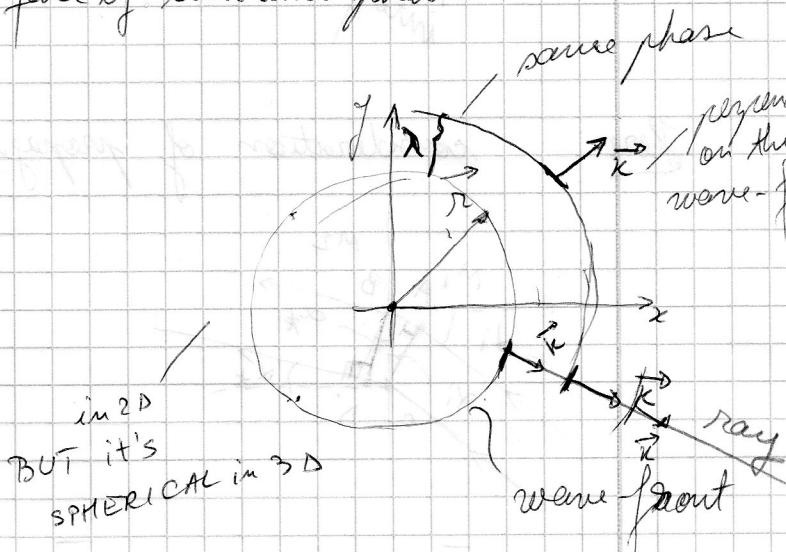
wavefront

=> surface of constant phase

second solution of (1.3):

$$\vec{E}(\vec{r}) = \frac{\vec{E}_0}{r} \cdot e^{i \vec{k} \cdot \vec{r}}$$

cannot go
very close to
the center
(point & source) with this



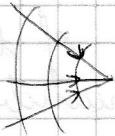
Originating from
a point source

"spherical wave"

\vec{k} points to
different directions $\Rightarrow \vec{j}$ cannot have a global \vec{k} , like for plan
wave

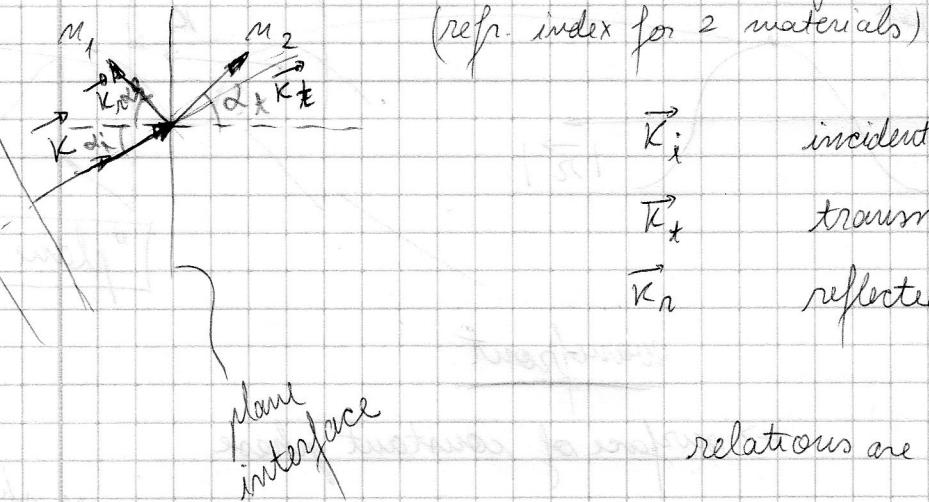
local \vec{k} vectors representing the local direction of propagation

face is larger than the wavelength. Otherwise \rightarrow diffraction



1.2. Interaction of light with plane interfaces

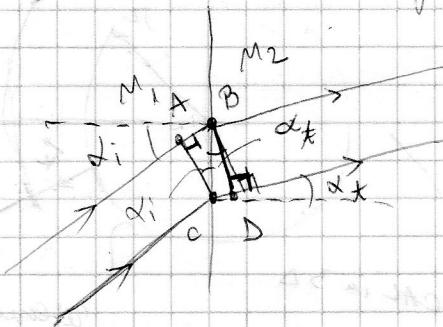
We consider a plane wave with propagation direction given by \vec{k}



\vec{k}_i incident plane wave, α_i
 \vec{k}_t transmitted —, α_t
 \vec{k}_r reflected —, α_r

relations are given by Fresnel's formulae

Here: consideration of propagation speed:



same time for travelling
from A to B like
from C to D

$$\sin \alpha_i = \frac{\bar{AB}}{\bar{CB}}$$

$$\sin \alpha_t = \frac{\bar{CD}}{\bar{CB}}$$

$$t = \frac{\bar{CB} \cdot \sin \alpha_i}{c_1} = \frac{\bar{CB} \cdot \sin \alpha_t}{c_2}$$

$$c = c_0 / n$$

$$\Rightarrow [n_1 \cdot \sin \alpha_i = n_2 \cdot \sin \alpha_t] \quad \text{law of refraction}$$

Snell's Law

Similar consideration for the reflected wave

\Rightarrow

$$\alpha_i = \alpha_r$$

law of reflection

22.10.2013

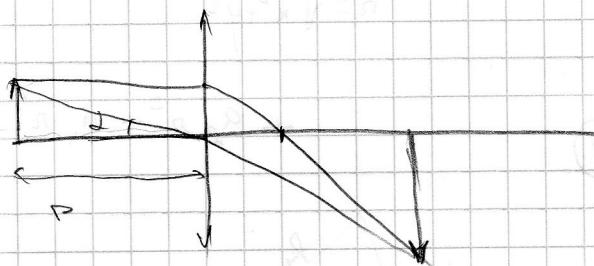
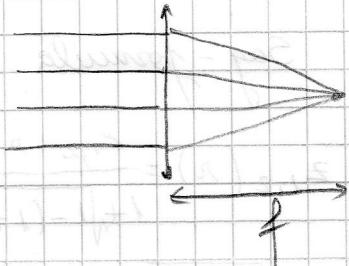
Intro to
Optical Modelling

rap. mitbare : Wednesday 8¹⁵ - 9⁴⁵
(I'm in group ②)

tma. weichelt@uni-jena.de

* Zemax

* Go to the pool, log in and use "Zemax" software



$\lambda \tan \alpha = \text{OBJECT SIZE}$

$$= \frac{1}{5}$$
$$= \frac{3.5}{5}$$

ZEMAX

Lens Data Editor

(λ)
(Gen) (Wav)

general:

Aperture | Units
Layout | Plot Diagram

↳ right click
↳ choose
- No. of Rays

Field Data

Tools → Miscellaneous → Quick Focus

double click on Surface Type \Rightarrow Standard / Paraxial / -

1. paraxial lens

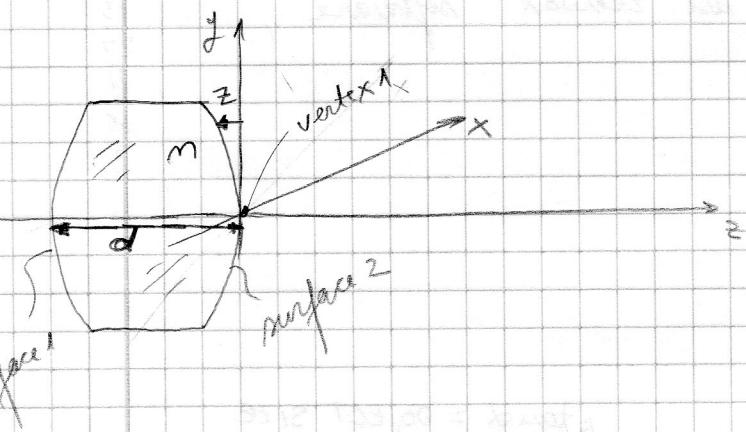
the rays are blocked // STOP: sets the aperture:

2. real lens / bi-convex

2. MODELLING AND DESIGN OF LENS - SYSTEMS

Lens : - rotational symmetric element

- transparent material, refractive index n
- spherical or conical surfaces



Sag-formula

$$z_{1,2}(r) = \frac{c_{1,2} \cdot r^2}{1 + \sqrt{1 - (1 + c_{1,2}) \cdot c}}$$

$$n = \sqrt{x^2 + y^2}$$

thickness, distance of ~~the~~ vertex points (d)

curvature $c_{1,2} = \frac{1}{R_{1,2}}$

radius
of curvature R

conic
constant K :

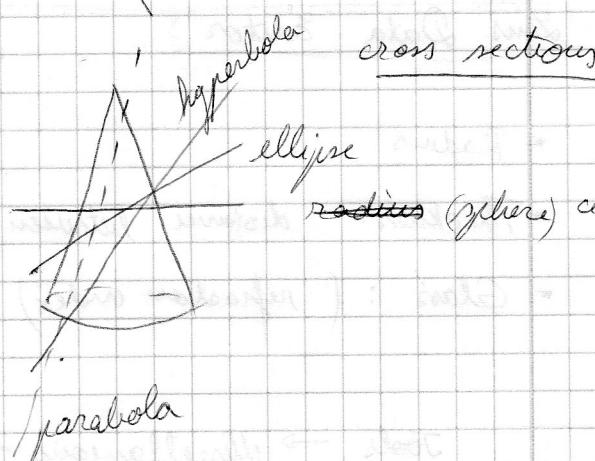
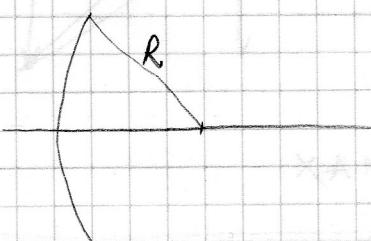
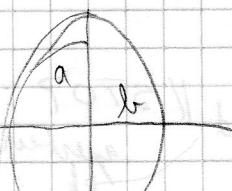
$K < -1 \Rightarrow$ hyperbola

$K = -1 \Rightarrow$ parabola

$-1 < K < 0 \Rightarrow$ ellipse

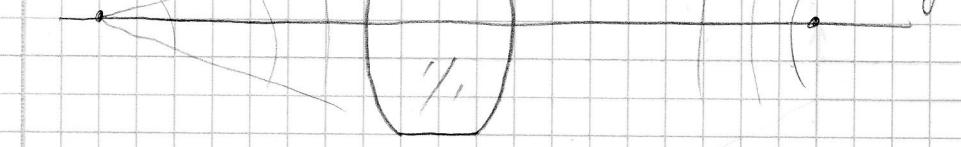
$K = 0 \Rightarrow$ sphere

$K > 0 \Rightarrow$ oblate ellipse



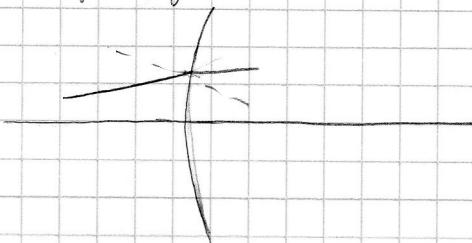
$$\frac{1}{c} = R = \pm \frac{b^2}{a}$$

$$K = -\left(1 - \frac{b^2}{a^2}\right)$$



1. Paraxial approximation, Gaussian Optics

→ ray angles with respect to the optical axis are small



$$m \sin \alpha = m' \sin \alpha'$$

paraxial approximation

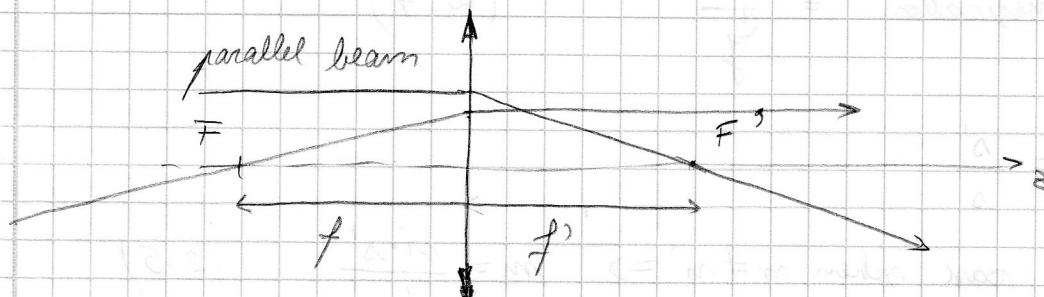
α, α' small \Rightarrow

$$\Rightarrow m \cdot \alpha = m' \cdot \alpha' \quad (2.3)$$

$$\cos \alpha \approx 1$$

2. Ideal lens

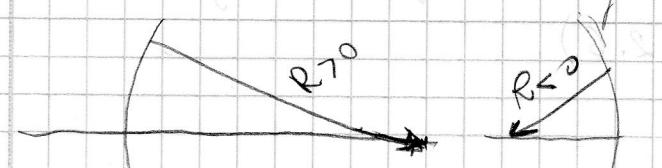
- assuming that the effect of a lens is taking place in a single point



positive lens

Sign convention in optics : distances along the optical axis are positive if they are oriented in sense of a vector in positive

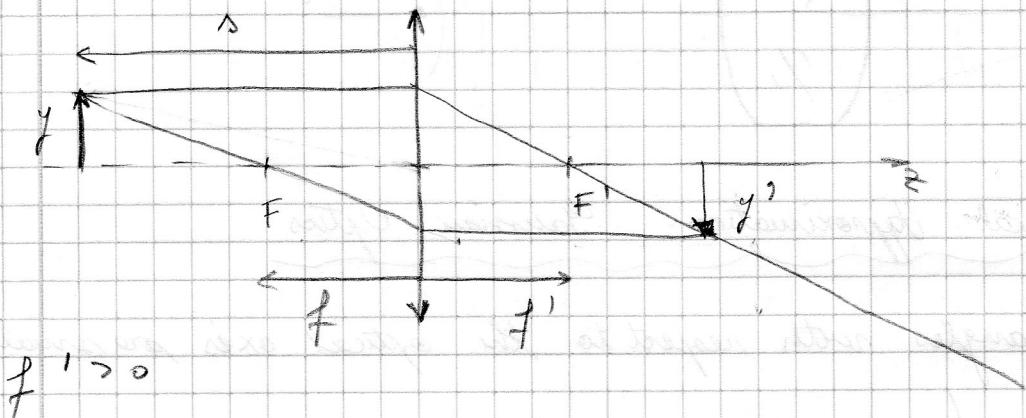
Convention : For radii the direction is oriented from the surface towards the center of curvature !



$$f < 0$$

$$f' > 0$$

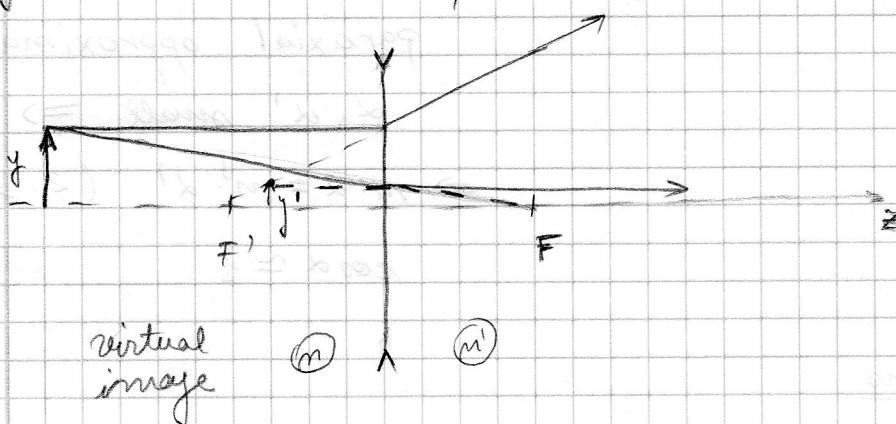
"positive lens"



$$f' > 0$$

"negative lens"

$$f' < 0$$



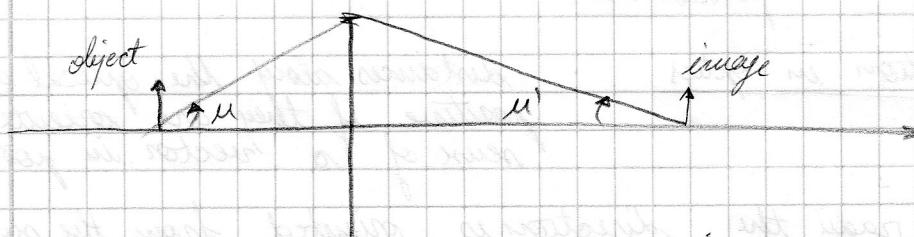
virtual
image

(m) (m')

$$m = \text{magnification} = \frac{y'}{y} \quad (2.4)$$

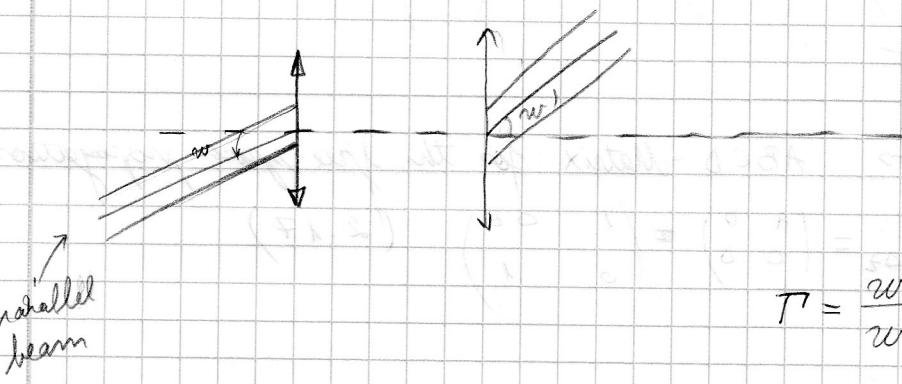
$$m = \frac{y'}{y} = -\frac{s'}{s}$$

$$\text{More general case when } m \neq m' \Rightarrow m = \frac{m \cdot s'}{m' \cdot s} \quad (2.5)$$



$$m = \frac{m \cdot u}{m' \cdot u'} \quad \boxed{\text{thin lens}}$$

u, u' aperture angles
(2.6)



$$T' = \frac{w'}{w} \quad (2.7)$$

Lens equation

$$\frac{f'}{s'} + \frac{f}{s} = 1 \quad (2.8)$$

in general $\frac{f'}{m'} = \frac{f}{m}$ (2.9)

$$m = m' \Rightarrow \left[\frac{1}{s'} + \frac{1}{s} = \frac{1}{f} \right]$$

lens maker's equation (2.10)

$$L = s - s' \quad \text{object-image distance} \quad (2.11)$$

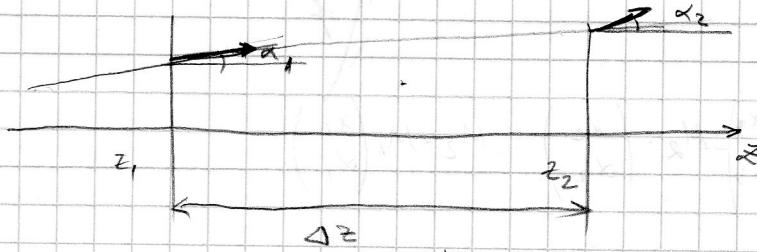
// if we need equations, we can find them in a table

2.3. ABCD - Matrix formalism

Derivation of the formalism

consider a free-space-propagation of a range between planes z_1 and $z_2 = z_1 + \Delta z$

a) free space propagation
(no diffraction)



ray coordinates: x, α -

parameters in z_2

$$x_2 = x_1 + \Delta z \cdot t$$

$$\alpha_2 = \alpha_1 \quad (2.15)$$

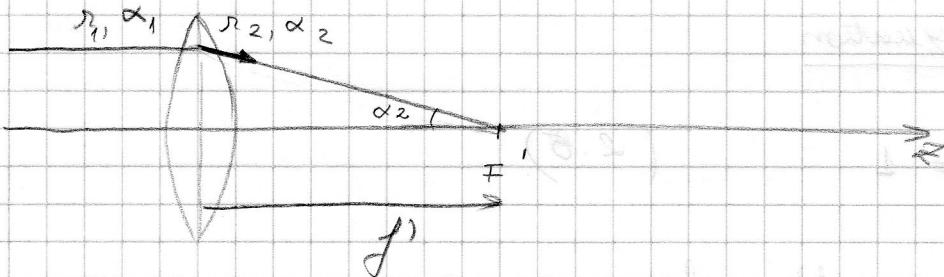
$$\begin{pmatrix} x_2 \\ \alpha_2 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & \alpha_1 \end{pmatrix} \begin{pmatrix} x_1 \\ \alpha_1 \end{pmatrix} = M_{\Delta z} \begin{pmatrix} x_1 \\ \alpha_1 \end{pmatrix}$$

$$M_{\Delta z}$$

\rightarrow ABCD -Matrix for the free-space propagation

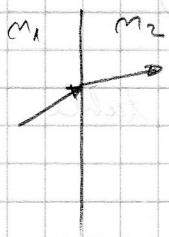
$$M_{\Delta z} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & \Delta z \\ 0 & 1 \end{pmatrix} \quad (2.17)$$

b) The thin lens:



$$\begin{cases} r_2 = r_1 \\ \alpha_2 = -\frac{r_1}{f'} + \alpha_1 \end{cases} \quad M_f = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f'}, 1 \end{pmatrix} \quad (2.18)$$

c) Transition at a plane interface

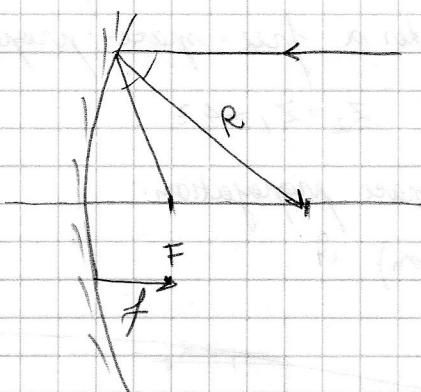


$$M_{\text{refraction}} = \begin{pmatrix} 1 & 0 \\ 0 & \frac{m_1}{m_2} \end{pmatrix}$$

$$\frac{\sin \alpha_1}{\sin \alpha_2} = \frac{m_2}{m_1} \quad \alpha_2 = \alpha_1 \cdot \frac{m_1}{m_2}$$

d) Curved mirror, $R=2f$

$$M_M = \begin{pmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{pmatrix}$$



$$\begin{pmatrix} x_2 \\ \alpha_2 \end{pmatrix} = M_1 \begin{pmatrix} x_1 \\ \alpha_1 \end{pmatrix} \quad \begin{pmatrix} x_3 \\ \alpha_3 \end{pmatrix} = M_2 \cdot \begin{pmatrix} x_2 \\ \alpha_2 \end{pmatrix} = M_2 \circ M_1 \begin{pmatrix} x_1 \\ \alpha_1 \end{pmatrix}$$

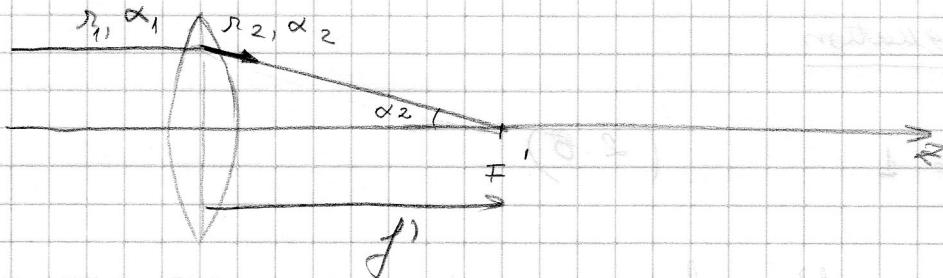
$$\begin{pmatrix} x_2 \\ \alpha_2 \end{pmatrix} = \begin{pmatrix} 1 & \Delta z \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ \alpha_1 \end{pmatrix} = M_{\Delta z} \begin{pmatrix} x_1 \\ \alpha_1 \end{pmatrix}$$

$$M_{\Delta z}$$

\rightarrow ABCD-Matrix for the free-space propagation

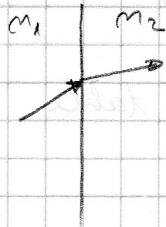
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$$\begin{cases} r_2 = r_1 \\ \alpha_2 = -\frac{r_1}{f} + \alpha_1 \end{cases} \quad M_f = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix} \quad (2.18)$$

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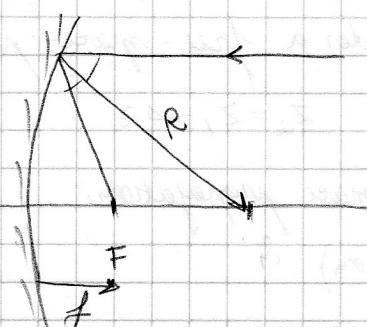


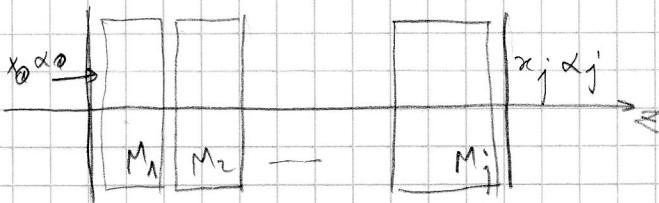
$$M_{\text{refraction}} = \begin{pmatrix} 1 & 0 \\ 0 & \frac{n_1}{n_2} \end{pmatrix}$$

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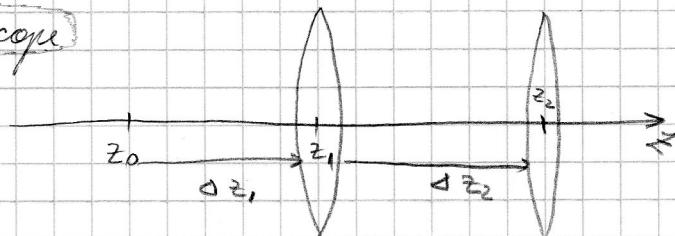
$$M_M = \begin{pmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{pmatrix}$$





$$M_{sys} = M_j \cdot M_{j-1} \cdots \cdot M_2 \cdot M_1$$

Example : Telescope



$$M_{sys} = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f_2} & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & \Delta z_2 \\ 0 & 1 \end{pmatrix}^{f_1} \cdot \begin{pmatrix} 1 & 0 \\ -\frac{1}{f_1} & 1 \end{pmatrix} = \begin{pmatrix} 1 & \Delta z_1 \\ 0 & 1 \end{pmatrix}$$

M_4 δz_3 M_2 M_1
 propagation in free space

$$= \begin{pmatrix} 1 - \frac{\Delta z_2}{f_1} & \Delta z_1 + \Delta z_2 - \Delta z_1 \cdot \frac{\Delta z_2}{f_1} \\ \frac{\Delta z_2}{f_1 f_2} - \frac{f_1}{f_2} - \frac{1}{f_2} & \left(1 - \frac{\Delta z_2}{f_2}\right) \left(1 - \frac{\Delta z_1}{f_1}\right) - \frac{\Delta z_1}{f_2} \end{pmatrix}$$

2.3.2. General Properties of ABCD-Matrices

1) mathematical property

Determinant M

$$|M| = AD - BC = \frac{m_1}{m_2} \rightarrow \text{only 3 independent components of } M$$

2) Equivalent optical system

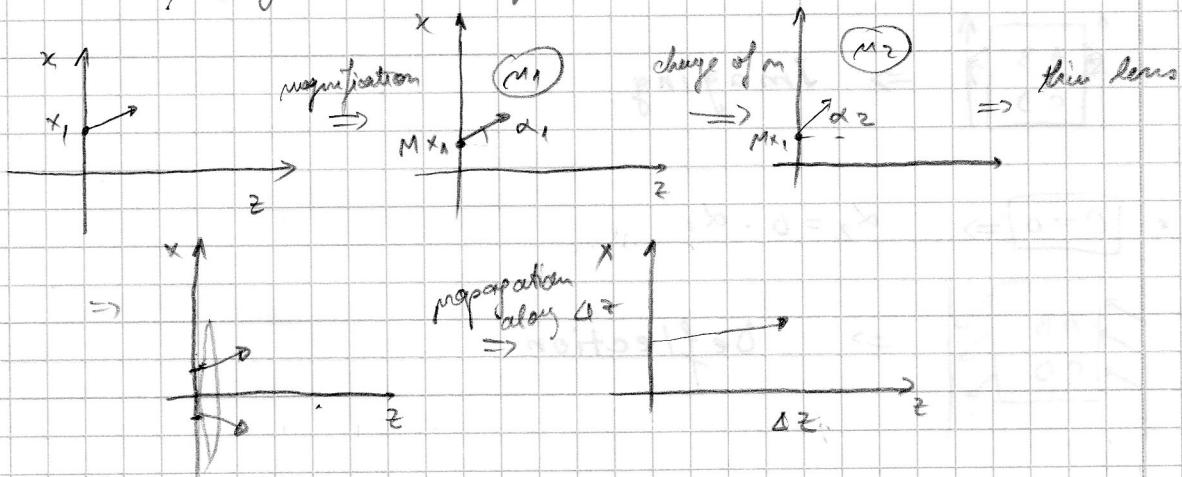
- systems having the same ABCD-Matrix \rightarrow showing the same optical behaviour
- can be used to decompose the matrix M into a set of basic optical operations \rightarrow constructing an equivalent system with 4 elementary operations:

1. magnification change

2. change of index from m_1 to m_2

3. thin lens of optical power $D = \frac{1}{f}$

4. propagation along distance Δz



$$M_{eq} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} 1 & \Delta z \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ -\frac{1}{f \cdot m_2} & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ 0 & \frac{m_1}{m_2} \end{pmatrix} \begin{pmatrix} M & 0 \\ 0 & \frac{1}{M} \end{pmatrix}$$

↑ lens is embedded in a medium of index m_2 .

\Rightarrow 4 variables:

$$\frac{1}{f \cdot m_2} = -\frac{C \Delta}{AD - BC} = -\frac{C}{M}$$

$$\frac{m_1}{m_2} = AD - BC$$

-1-

$$M = \frac{AD - BC}{D}$$

$$\Delta z = \frac{B}{D}$$

* these operations can also be applied on arbitrary fields \vec{E} .
considerations are not restricted to rays.

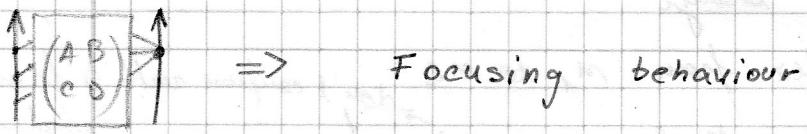
Diffraction integral (like Fresnel diffraction formula) in combination
with ABCD matrix \Rightarrow Collins Integral

If $D = 0 \Rightarrow$ another arrangement of the above sequence solves the singularity.

3) Special cases :

• $A = 0 \Rightarrow x_2 = B \cdot x_1$

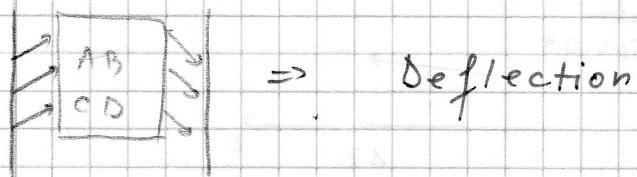
$$\begin{pmatrix} x_2 \\ x_2 \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \cdot \begin{pmatrix} x_1 \\ x_1 \end{pmatrix}$$



• $B = 0 \Rightarrow x_2 = A \cdot x_1$



• $C = 0 \Rightarrow x_2 = D \cdot x_1$

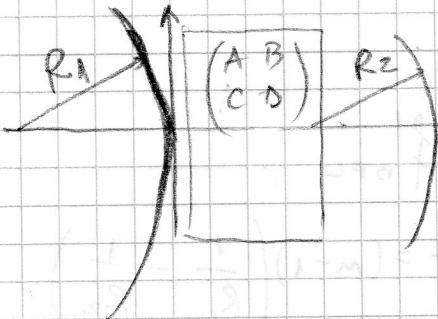


• $D = 0 \Rightarrow x_2 = C \cdot x_1$



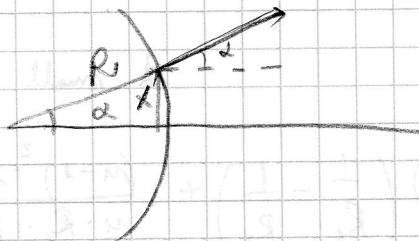
4) Transformation of a spherical wave

! usual waves in imaging systems !



R_1 : radius of a spherical wave entering the system

Question : $R_2 = ?$



small angles :

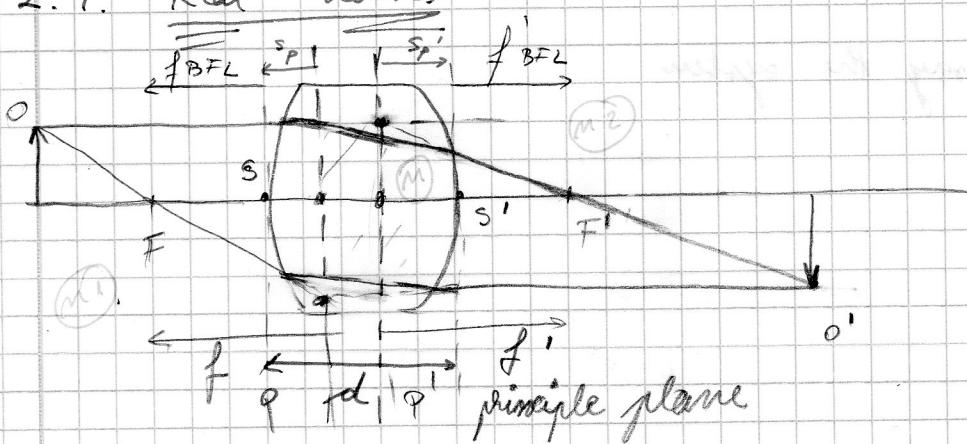
$$\tan \alpha \approx \alpha = \frac{x}{R} \Rightarrow x_1 = R_1 \alpha, \\ x_2 = R_2 \alpha_2$$

- put into the definition of the ABCD matrix (2.16)

$$\Rightarrow R_2 = \frac{A \cdot R_1 + B}{C \cdot R_1 + D}$$

Example : lens $f' \Rightarrow \frac{1}{R_2} = \frac{1}{R_1} - \frac{1}{f'}$

2.4. Real Lenses



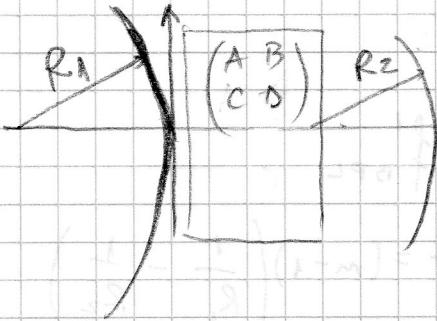
P, P' = principle planes

f_{BFL}, f'_BFL = back focal length

refractive power $\phi = -\frac{m_1}{f} = \frac{m_2}{f'}$

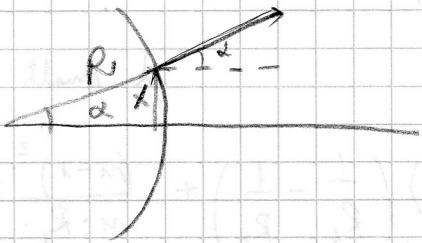
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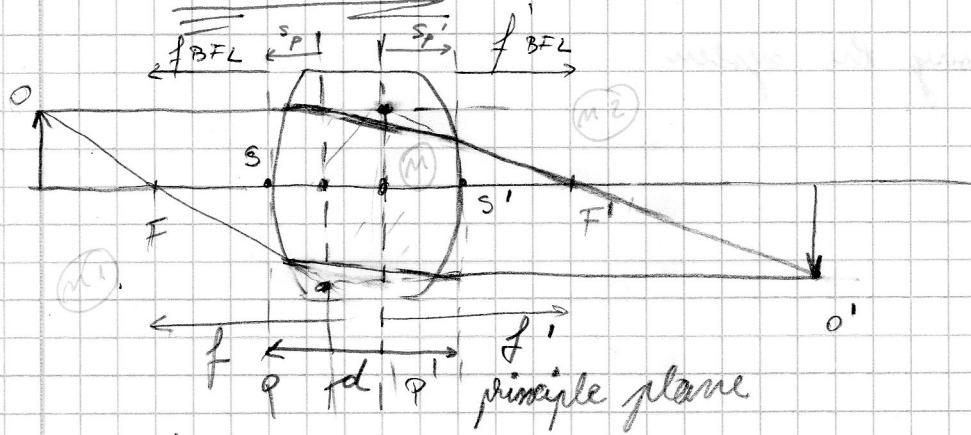
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- put into the definition of the ABCD matrix (2.16)

$$\Rightarrow R_2 = \frac{A \cdot R_1 + B}{C \cdot R_1 + D}$$

Example: lens $f' \Rightarrow \frac{1}{R_2} = \frac{1}{R_1} - \frac{1}{f'}$

2.4. Real Lenses



P, P' = principle planes

f_{BFL}, f'_{BFL} = back focal length

refractive power $\phi = -\frac{m_1}{f} = \frac{m_2}{f'}$

"thin lens": radii of curvature of lens surface is large compared to d : $|C_{1/2} \cdot d| \ll 1$

curvature

, the principle planes coincide and $f' = f'_{BFL}$

$$\text{if } m_1 = m_2 = 1 \text{ (lens in air)} \Rightarrow \frac{1}{f} = (m-1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right)$$

d small

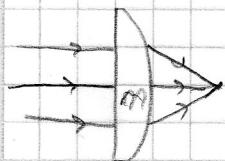
$$d \text{ not negligible} \quad \phi = \frac{1}{f'} = (m-1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right) + \frac{(m-1)^2 \cdot d}{m \cdot R_1 \cdot R_2}$$

Position of principle planes :

$$s_p = \frac{R_1 \cdot d}{(m-1)d + m(R_2 - R_1)}$$

$$s'_p = - \frac{R_2 \cdot d}{(m-1)d + m(R_1 - R_2)}$$

↑ this was analysing the system



$$m = \frac{1}{f} (n)$$

2.5. Optical Materials

- Glasses
- Crystals
- Plastics
- Liquids
- Gases
- Glues or Cements

Here we restrict to isotropic materials
~~-~~ dielectric materials

$$\tilde{m}(\lambda) = \underline{m}(\lambda) + i \underline{k}(\lambda)$$

refraction absorption

complex refractive index

These parameters are given at normal conditions: $T = 293\text{ K}$

$$\rho = 1013\text{ mbar}$$

$$\Rightarrow \frac{dm}{dT}$$

thermooptical coefficient

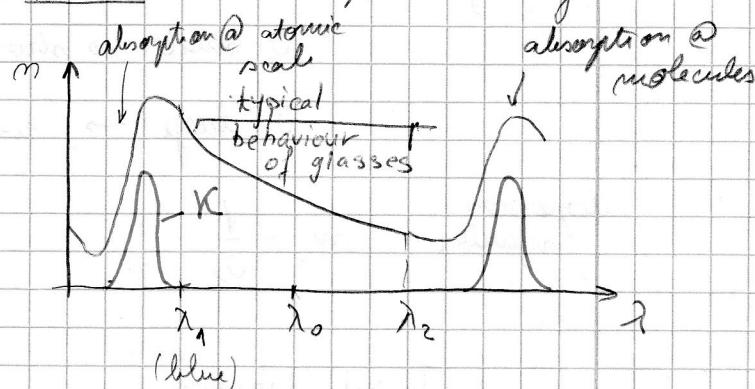
2.5.1. Treatment of dispersion in the optical design

dispersion $m = f(\lambda)$

$$\frac{dm}{d\lambda} < 0$$

NORMAL
DISPERSION

(for all transparent materials)



$$\frac{1}{f} = (m-1) \cdot \left(\frac{1}{R_1} - \frac{1}{R_2} \right) ; \lambda \rightarrow \Rightarrow m \uparrow \Rightarrow f \rightarrow$$

$f_2 > f_1$ because $\lambda_1 < \lambda_2$

$d\lambda$

In optical design, dispersion is characterized by 3 wavelengths:
many wavelength λ_0

two secondary colors λ_1, λ_2

$$\text{V15: } \begin{array}{l} \text{1st choice for } \lambda_0: \quad \lambda_e = 546.1 \text{ nm} \\ \quad \quad \quad \lambda_F = 480 \text{ nm} \\ \quad \quad \quad \lambda_C = 643.8 \text{ nm} \end{array} \quad \left. \begin{array}{l} \text{typical choice} \\ \text{for microscopy} \end{array} \right\} \text{Je}$$

$$\begin{array}{l} \text{2nd choice for } \lambda_0: \quad \lambda_d = 587.6 \text{ nm} \\ \quad \quad \quad \lambda_F = 486.1 \text{ nm} \\ \quad \quad \quad \lambda_C = 656.3 \text{ nm} \end{array} \quad \left. \begin{array}{l} \text{for Photography} \\ \text{application} \end{array} \right\} \text{Jd}$$

wavelengths are red-shifted because
to longer λ . / are more ...

Characterisation of the dispersion by a single number

Abbe number: $D = \frac{\lambda_0 - 1}{m_{\lambda_1} + m_{\lambda_2}}$

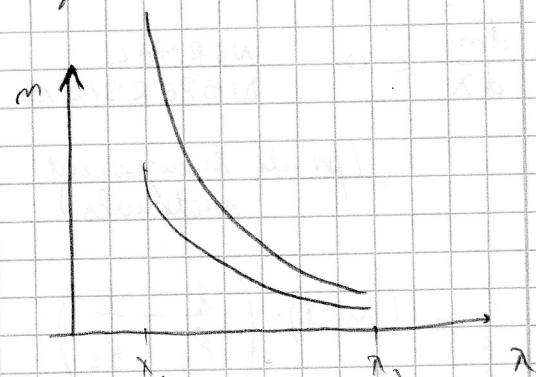
vacuum: $m = 1 \approx \text{air}$

optical glasses: $D = 20 \dots 120$

D -small \rightarrow strong dispersion

D -large \rightarrow weak dispersion

dispersion number: $m_e = \frac{1}{D_e}$

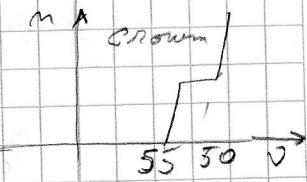


Typical behaviour:

$$m \uparrow \Rightarrow \frac{dm}{d\lambda} \uparrow$$

Historical distinction:

- crown glass : $\nu > 55$, $n < 1.6$
 $\nu > 50$, $n > 1.6$
- flint glass $\nu < 55$, $n < 1.6$
 $\nu < 50$, $n > 1.6$



Fit equations for $n(\lambda)$

Fellmeier 1: $n(\lambda) = \sqrt{\frac{K_1 \cdot \lambda^2}{\lambda^2 - \lambda_1} + \frac{K_2 \cdot \lambda^2}{\lambda^2 - \lambda_2} + \frac{K_3 \cdot \lambda^2}{\lambda^2 - \lambda_3} + 1}$

λ_3 - fitting parameters

Fellmeier 2: $n(\lambda) = \sqrt{A + \frac{B_1 \cdot \lambda^2}{\lambda^2 - \lambda_1} + \frac{B_2 \cdot \lambda^2}{\lambda^2 - \lambda_2} + 1}$

$\lambda_{\text{blue}} \neq \lambda_{\text{red}}$

others: Schott - Formula

Herzberger - Formula

2.5.2. Design of an achromatic lens

- calculating a doublet lens with reduced chromatic aberration

$$\phi = \frac{1}{f} = (n-1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right)$$

$n(\lambda)$ geometrical parameters $\neq f(\lambda)$

$$\phi = (n-1) \cdot A$$


 neglecting the distance between them: $\phi_{\text{sum}} = \phi_1 + \phi_2$
 (check. = "with matrix")

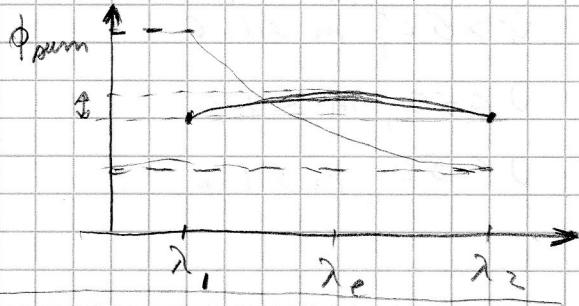
$$\phi_{\text{sum}} = (n_1 - 1) A_1 + (n_2 - 1) A_2$$

central wavelength λ_c

$$\begin{cases} \frac{\phi_1}{\phi_2} = (n_{1c} - 1) A_1 \\ \frac{\phi_2}{\phi_1} = (n_{2c} - 1) A_2 \end{cases}$$

(3)

general $\phi_{\text{sum}}(\lambda) = [m_1(\lambda) - 1] A_1 + [m_2(\lambda) - 1] A_2 \quad (*)$



$$\phi_{\text{sum}}(\lambda_{F'}) \stackrel{!}{=} \phi_{\text{sum}}(\lambda_{c'}) \quad (**)$$

Inserting (*) into (**) and replace the A_1 & A_2 with $\bar{\phi}_1$ and $\bar{\phi}_2$, respectively

$$\Rightarrow \frac{m_{1F'} - m_{1c'}}{m_{1c'} - 1} \cdot \bar{\phi}_1 = \frac{m_{2F'} - m_{2c'}}{m_{2c'} - 1} \cdot \bar{\phi}_2$$

$$1/\mathcal{D}_{e1} \quad 1/\mathcal{D}_{e2}$$

$$\Rightarrow \left[\frac{\bar{\phi}_1}{\mathcal{D}_1} + \frac{\bar{\phi}_2}{\mathcal{D}_2} = 0 \right]$$

Condition of achromacy

$$\Rightarrow \phi_{\text{sum}} \uparrow \quad \curvearrowleft \quad \lambda$$

$$\bar{\phi}_1 = \frac{\bar{\phi}_2}{\mathcal{D}_2}$$

lens: $\bar{\phi}_1 = \frac{\mathcal{D}_1}{\mathcal{D}_1 - \mathcal{D}_2} : \phi_{\text{sum}}$

lens: $\bar{\phi}_2 = \frac{\mathcal{D}_2}{\mathcal{D}_2 - \mathcal{D}_1} : \phi_{\text{sum}}$

$\mathcal{D}_1 \neq \mathcal{D}_2$! otherwise the equation

are not solvable

\Rightarrow need - different materials with distinct \mathcal{D}_1 and \mathcal{D}_2 .

- combination of a positive and a negative lens.

$$\mathcal{D}_1 - \mathcal{D}_2 = (-1) \cdot (\mathcal{D}_2 - \mathcal{D}_1) \quad ; \quad \mathcal{D}_1, \mathcal{D}_2 > 0$$

Example

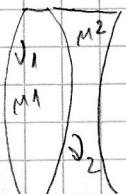
BK7 glass : $m_e = 1.518$

$$D_e = 63.9$$

SF6 $m_e = 1.805$

$$D_e = 25.4$$

$$\begin{aligned} f' &= \text{define} \\ f' &= 200 \text{ mm} \Rightarrow f'_1 = 120.5 \text{ mm} \\ f'_2 &= -303.1 \text{ mm} \end{aligned}$$



$$\frac{1}{f'} = (m-1) \cdot \left(\frac{1}{R_1} - \frac{1}{R_2} \right)$$

Assume : • $R_1 = -R_2$ for lens 1

$$f = 200 \text{ mm}$$

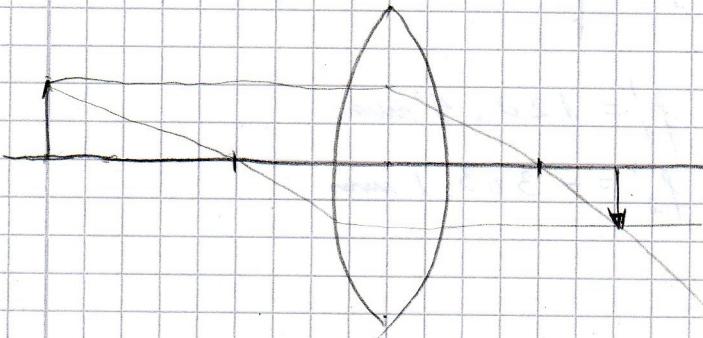
$$\begin{aligned} \Leftrightarrow R_1 &= 124.8 \text{ mm} \\ R_2 &= -124.8 \text{ mm} \end{aligned}$$

• for lens 2 we have no air gap \Rightarrow

$$R_1 = 124.8 \text{ mm}$$

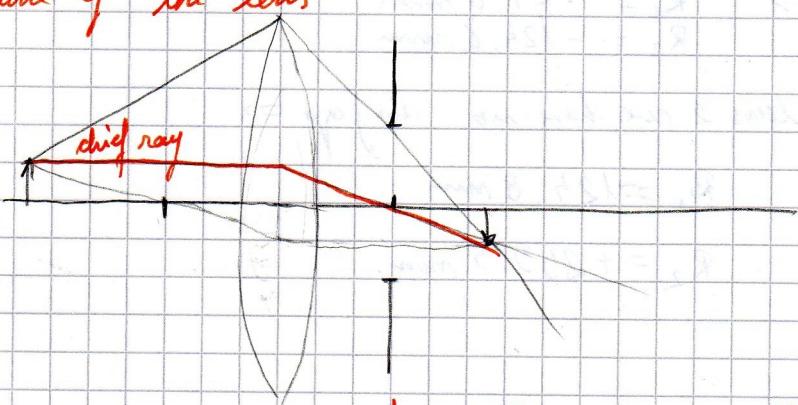
$$R_2 = +255.5 \text{ mm}$$

6. Imaging Systems



Essential element of each imaging system : the stop/aperture

- stop (as the limiting aperture) can be located at any any portion in the system
- * One special position : [stop] is located at the back focal plane of the lens



→ the ray cone on the object side is symmetric to the ray parallel to the optical axis.

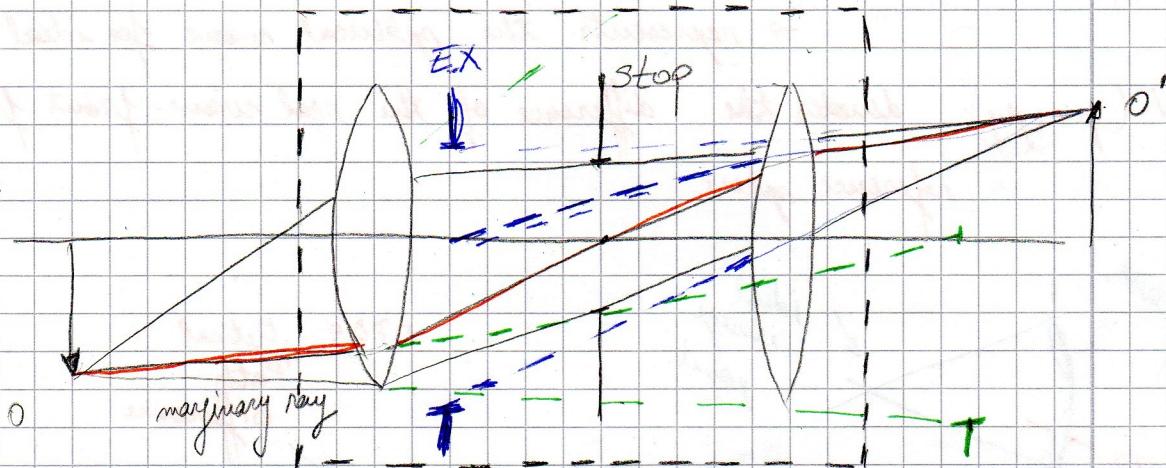
Chief ray: the ray that goes through the center of the stop

object-side
telecentric

- * reverse arrangement : stop in the front focal-plane → image side telecentric system

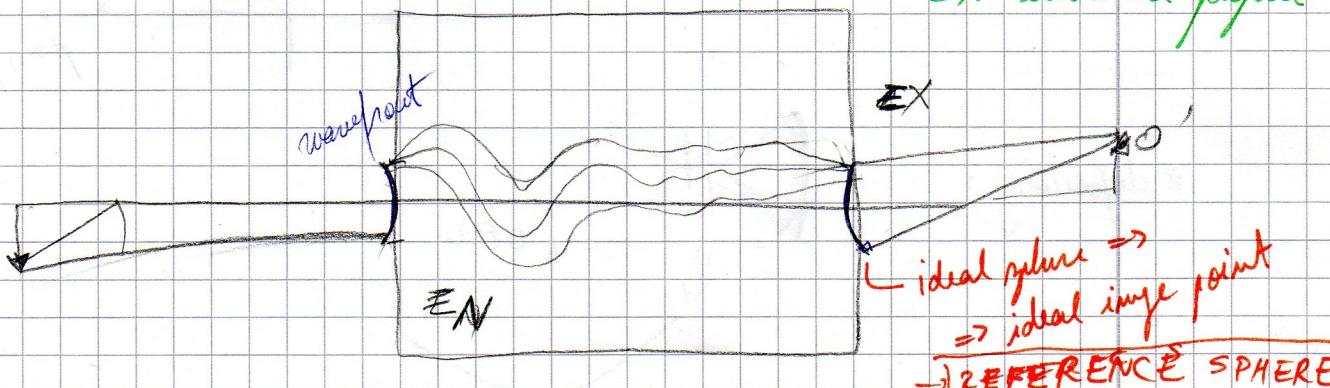
\Rightarrow both-side
telecentric
 $\perp EN$

- general two lens systems



EX - exit pupil

EN - entrance pupil



the concept of EN and EX combines the computational elegance of the ray-tracing based propagation with the power of a wave optical analysis of imaging systems

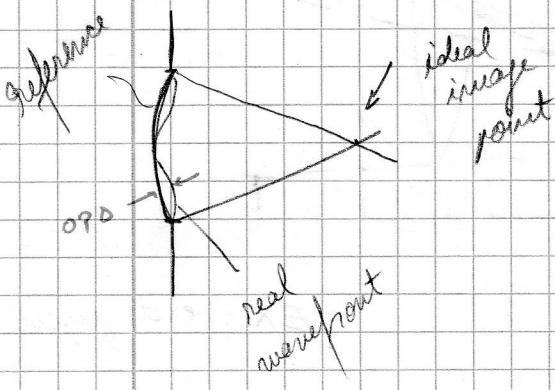
wavefront: equal phase !

- E_X and E_N are images of the same limiting aperture of the system.
- strict relation between coordinates in E_N and coordinates in E_X
 - aberrations of the system can be projected onto the reference sphere in E_X → wave front aberration function $W(p_x, p_y)$

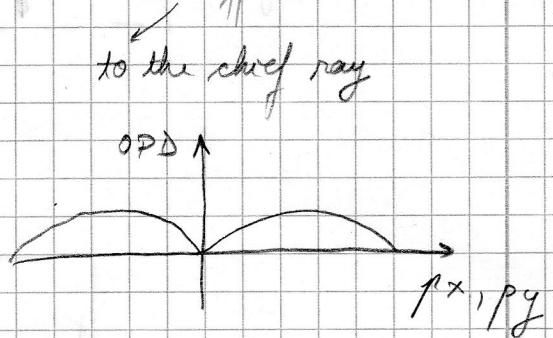
Reference sphere: located in E_X

→ represents the spherical wave for ideal imaging

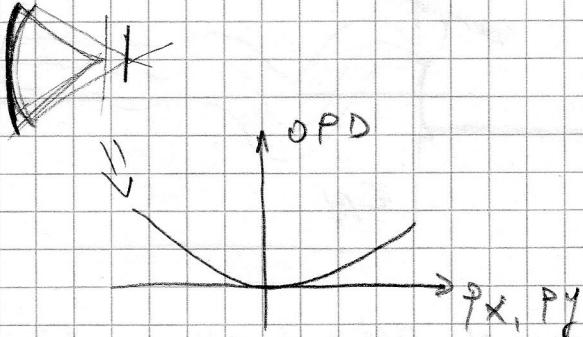
$W(p_x, p_y)$ denotes the difference of the real wave-front from the reference sphere

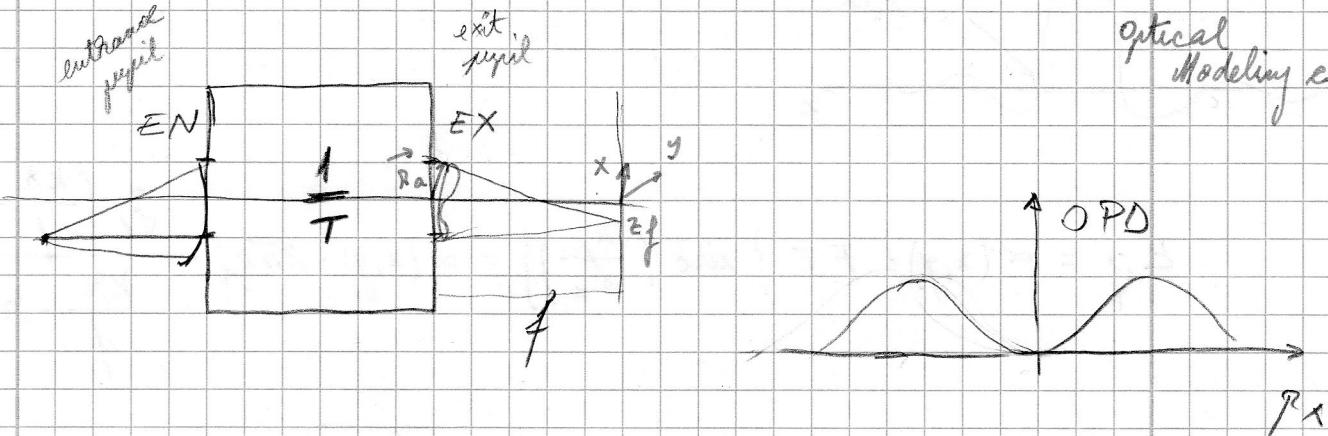


$OPD =$ Optical Path Difference

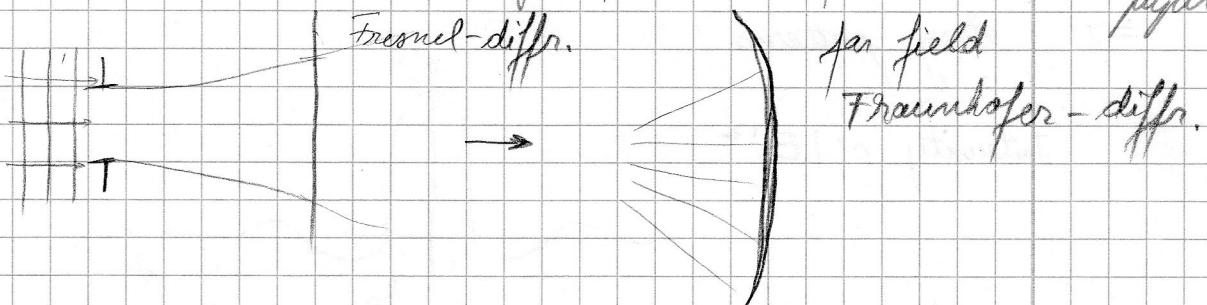


* defocusing:





=> Resolution is determined by diffraction of the aperture / exit pupil



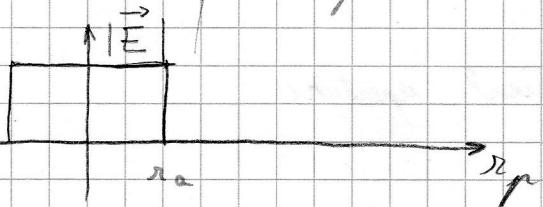
OPD defined on a sphere \rightarrow wave propagation into the focal plane
can be computed by the Fraunhofer
diffraction integral

$$\vec{E}(\omega, x, y, z_f) = \underbrace{\frac{w}{i2\pi c f}}_{\alpha(x, y)} \cdot e^{ikf} \cdot e^{ik \frac{x^2 + y^2}{2f}} \cdot \iint_{\text{pupil}} \vec{E}(\omega, p_x, p_y, \frac{z_p^2}{p}) dp_x dp_y$$

pupil coordinates

$\mathcal{FT} \left\{ \vec{E}^*(\omega, p_x, p_y, \frac{z_p^2}{p}) \right\}$

\vec{E} on the reference sphere in EX



$\text{ay}(\vec{E}) \rightarrow \text{OPD}$

ideal case : $\text{OPD} = 0$

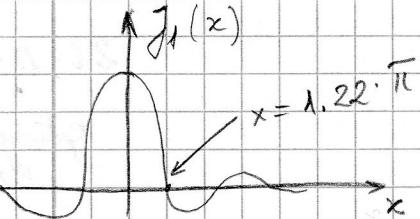
$$E_i(r_p, z_p) = \text{circ}\left(\frac{r_p}{r_a}\right)$$

$$\text{circ}(x) = \begin{cases} 1, & x < 1 \\ 0, & x \geq 1 \end{cases}$$

$$\mathcal{FT} \left\{ \text{circ}\left(\frac{r_p}{r_a}\right) \right\} = 2\pi r_a^2 \cdot J_1\left(\frac{k_r}{f} \cdot r_a\right)$$

$\frac{k_r}{f} \cdot r_a$

J_1 = Bessel function
of first kind



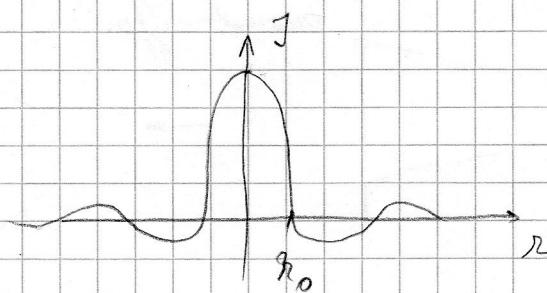
$$E_{if} = \alpha(x, y) \cdot FT \left\{ \text{circ} \left(\frac{r_p}{r_a} \right) \right\} = \alpha(x, y) \cdot 2\pi r_a^2 \cdot$$

$$\frac{J_1 \left(\frac{kx}{f} \cdot r_a \right)}{\frac{kx}{f} \cdot r_a}$$

$$r = \sqrt{x^2 + y^2}$$

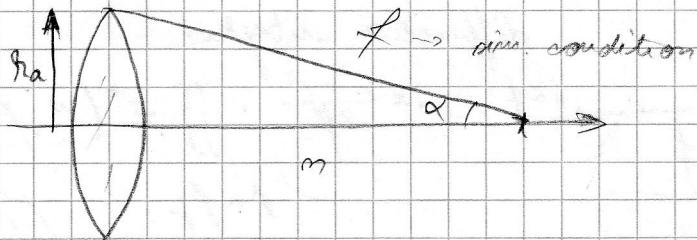
airy pattern

Intensity $\sim |E|^2$



Radius of the central peak:

$$r_0 = 0.61 \cdot \frac{\lambda f}{r_a}$$



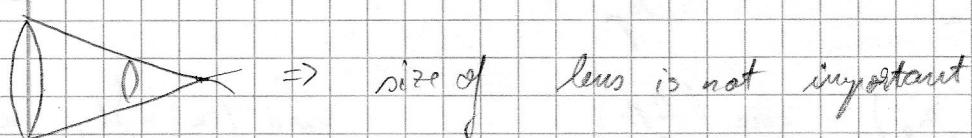
$$\sin \alpha = \frac{r_a}{f} \quad \lambda = \frac{\lambda}{m}$$

$$r_0 = 0.61 \cdot \frac{\lambda_0}{m \cdot \sin \alpha}$$

ABBE'S RESOLUTION FORMULA

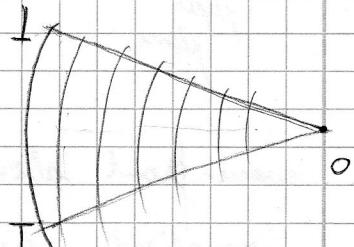
$$NA = m \cdot \sin \alpha$$

numerical aperture

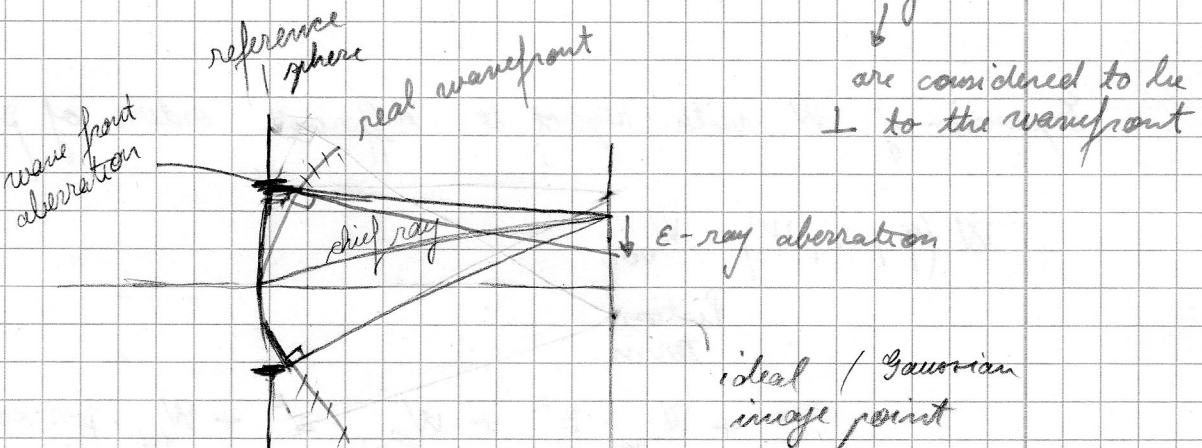


$$FT \mid \vec{E}_p \rangle$$

- Ideal imaging:
- 1:1 relation between object and image points
 - ~~equal~~
different lateral object distances will result in equal lateral image distances
 - "diffraction limited imaging"



- real lens systems: typically we don't have perfect spherical waves
- wave-front deformations are described by OPA
- ↳ can also consider ray aberrations



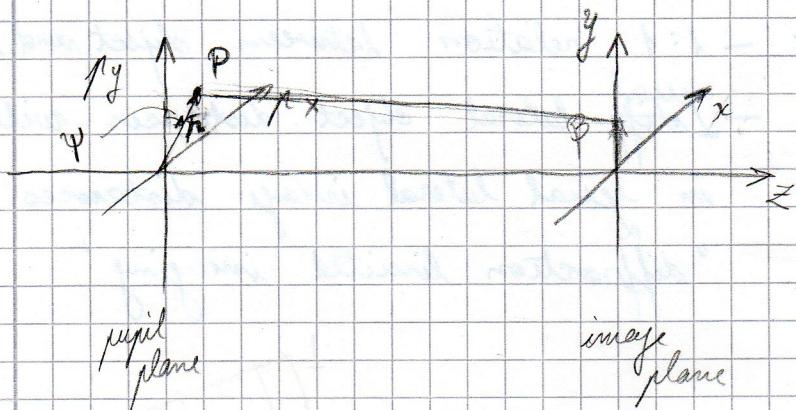
$$e_x \sim \frac{\partial w}{\partial x} ; \quad e_y \sim \frac{\partial w}{\partial y}$$

lens design \equiv aberration balancing

as one cannot avoid aberrations completely in a real system.

- Design goals:
- high resolution
 - high image contrast
 - homogeneous illumination
 - similarity between image and object

Here: monochromatic aberrations



W ... wave-front aberration function in the pupil plane

β ... normalized field height

r ... normalized pupil height of point P

ψ ... azimuth of P

$$W = W(\beta, r, \psi)$$

Expansion of W with respect to different orders of $\beta, r, \cos \psi$

$$W(\beta, r, \psi) = W_{000}$$

Piston term

$$+ W_{200} \cdot \beta^2 + W_{020} \cdot r^2 + W_{111} \cdot \beta \cdot r \cdot \cos \psi$$

DEFOCUS

LATERAL
MAGNIFICATION
ORDER

the odd ones are 0!

$$+ W_{400} \beta^4 + W_{040} r^4 + W_{131} \beta r^3 \cos \psi + W_{222} \beta^2 r^2 \cos^2 \psi$$

SPHERICAL
ABERRATION

COMA

ASTIGMATISM

$$+ W_{220} \cdot \beta^2 r^2 + W_{311} \beta^3 r \cdot \cos \psi$$

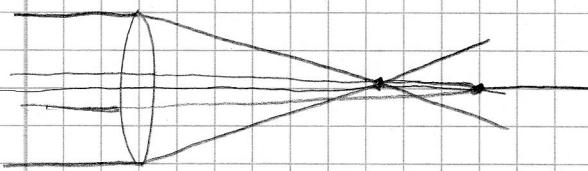
FIELD

CURVATURE

DISTORTION

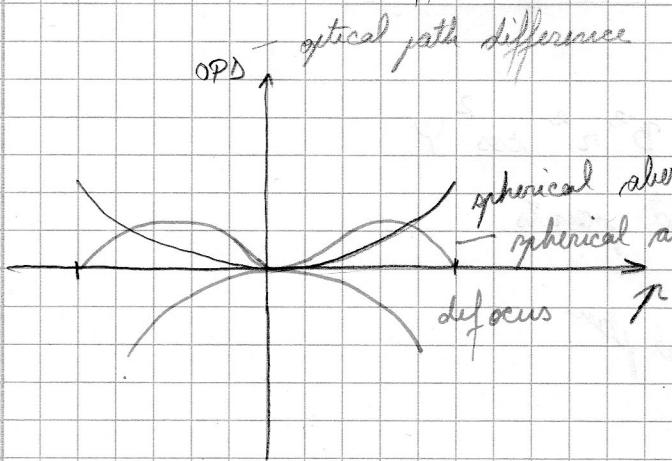
+ higher other terms

\Rightarrow 5 classical Seidel-aberrations

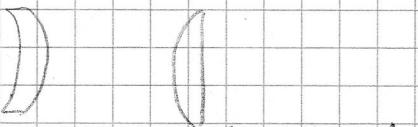
2.7.1 Spherical aberration $\sim r^4$ 

* to avoid spherical abr. we should use a hyperboloid lens
cause for sph. ab.: $f' = f(r)$

different focal length for different ray rail
in the pupil plane



$$\text{Lens equation: } \frac{1}{f} = (n-1) \left(\frac{1}{R_1} - \frac{1}{R_2} \right)$$


big abr. smaller sph. ab.

$$\text{shape factor: } c = \frac{R_2 + R_1}{R_2 - R_1}$$

Minimize sph. abr.:  - more surfaces \Rightarrow more degrees of freedom

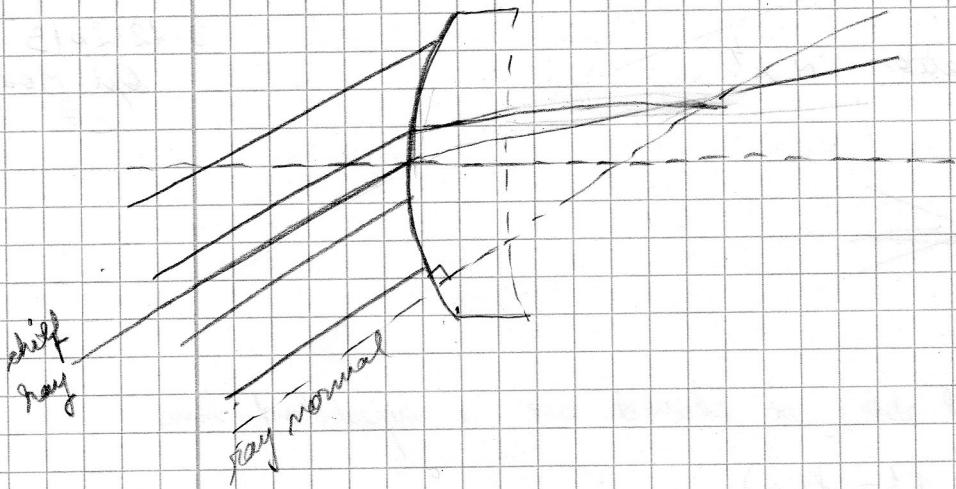
1) non-linearity of reflexion law

2.7.2 Coma $\sim \beta \cdot r^3 \cos \Psi$

- "non-symmetry error"

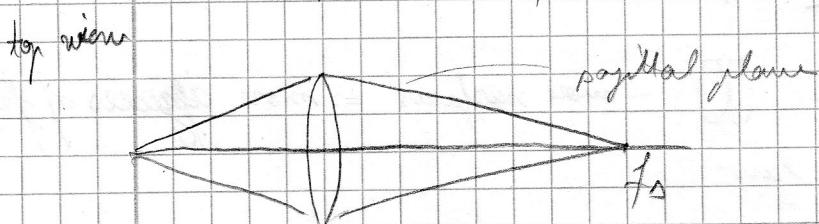
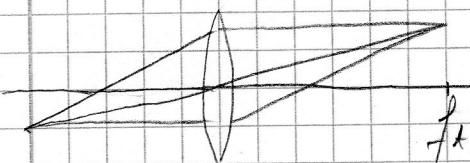
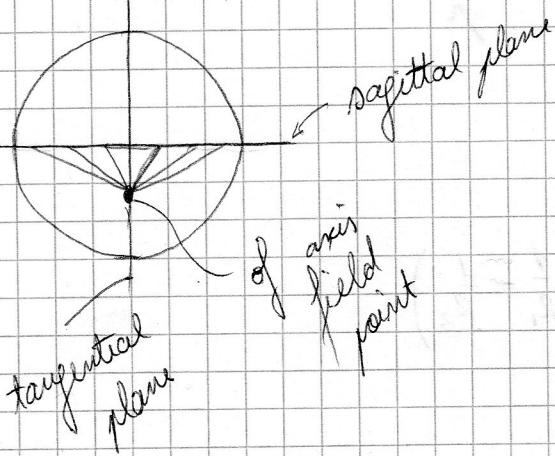
- occurs for ray bundles who's chief ray is not symmetric to the optical axis.





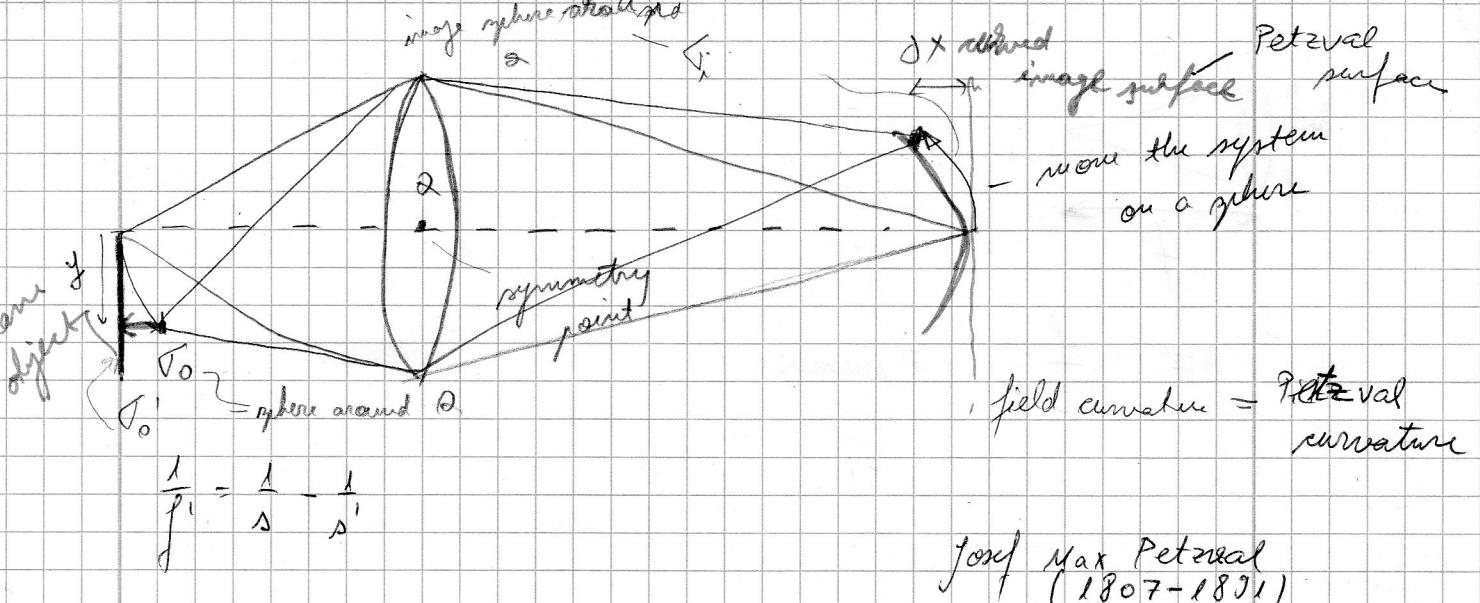
2.7.3. Astigmatism $\sim \beta^2 r^2 \cos^2 \psi$

- occurs for off-axis field points



2.7.4. Field curvature $\sim \beta^2 \cdot r^2$

- natural image surface is not a plane

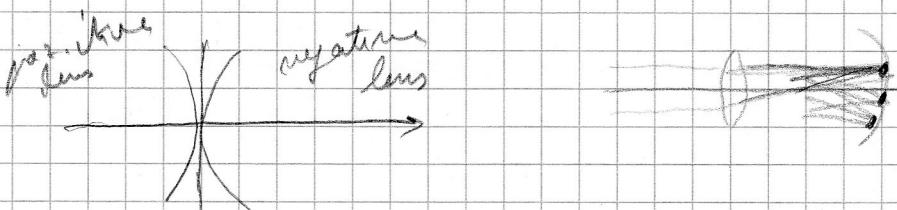


Distance of the image point from the image plane:

$$\Delta x = \frac{1}{2} \cdot \sum_{j=1}^m \frac{1}{n_j f_j}$$

m = number of lenses in
the optical systems
(Petzval m)

For a positive lens we have a negative radius of the Petzval surface
and for my lens, \rightarrow positive m



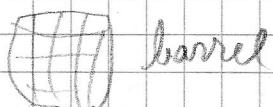
* to improve: field flattener

The Cook triplet

$$2.7.5. \underline{\text{Distortion}} \sim p^3 \cdot r \cdot \cos \psi$$

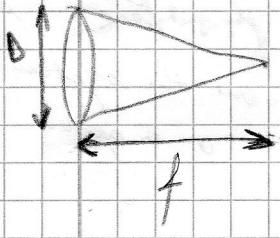
- distortion of image scale due to different transversal magnifications for each field point.

positive dist.



neg. dist.: pin cushion





$$F\# = \frac{f}{D} \quad F \text{ number}$$