

Fundamentals of Modern Optics

Exercise 5

17.11.2014

to be returned: 24.11.2014, at the beginning of the lecture

Problem 1 - Normal modes in dielectrics (2+2+2+1+1 points)

For transversal waves in an isotropic, homogeneous, and dielectric medium, the field amplitude $\vec{E}(\vec{r}, \omega)$ is given by the Helmholtz equation in Fourier domain,

$$\left[\Delta + \frac{\omega^2}{c^2} \epsilon(\omega) \right] \vec{E}(\vec{r}, \omega) = 0, \quad \text{with } \epsilon(\omega) = \epsilon'(\omega) + i\epsilon''(\omega).$$

Assume an electric field $\vec{E}(\vec{r}, \omega) = \vec{E}_0(\omega) e^{i\vec{k}\vec{r}}$, describing a plane wave with the complex wave vector $\vec{k} = \vec{k}' + i\vec{k}''$.

- Derive the set of equations which connects \vec{k}' and \vec{k}'' with ϵ' and ϵ'' .
- Discuss the conditions for propagating and evanescent waves with respect to $\epsilon(\omega)$ from that set of equations.
- Now assume a dielectric function containing one sharp resonance at frequency ω_0 ,

$$\epsilon(\omega) = 1 + \frac{f_0}{(\omega_0^2 - \omega^2 - i\gamma\omega)}, \quad \text{where } \gamma \ll \omega_0 \text{ (weak damping regime).}$$

with

$$f_0 = 10 \text{ fs}^{-2}, \omega_0 = 5 \text{ fs}^{-1} \text{ and } \gamma = 10^{-3} \text{ fs}^{-1}.$$

Separate the real and imaginary parts of $\epsilon(\omega)$ to get $\epsilon'(\omega)$ and $\epsilon''(\omega)$ and calculate the ratio $\eta(\omega) \equiv \epsilon''(\omega)/\epsilon'(\omega)$. Sketch/plot this function and identify the frequency regions where $|\eta(\omega)| \ll 1$. (交叉点)

- Just above the resonance frequency, we have $\epsilon'(\omega) < 0$. Determine the local minimum of $|\eta(\omega)|$ in this regime, assume $\vec{k}' \parallel \vec{k}'' \parallel \vec{e}_z$, and calculate the approximated refractive index $n(\omega_1) + i\kappa(\omega_1)$ at this point for $\epsilon''(\omega_1) \ll |\epsilon'(\omega_1)|$. Find the distance $z_0(\omega_1)$, in units of the vacuum wavelength λ , where the amplitude of the plane wave is reduced by $1/e$ (the so-called $1/e$ penetration depth).
- Further away from ω_0 , the regime with $0 < \epsilon'(\omega) < 1$ is reached. Calculate the approximated refractive index $n(\omega_2) + i\kappa(\omega_2)$ for $\vec{k}' \parallel \vec{k}'' \parallel \vec{e}_z$ at $\omega_2 = 6 \text{ fs}^{-1}$ as well as the $1/e$ penetration depth $z_0(\omega_2)$ in units of the vacuum wavelength λ . Compare your result with the one obtained in (d). (穿透深度)

Problem 2 - Traveling and standing wave (2+2+1+1 points)

The electric-field complex amplitude vector for a monochromatic wave of wavelength λ_0 traveling in free space is $\vec{E}(\vec{r}) = E_0 \sin(\beta y) e^{i\beta z} \vec{e}_x$

- Derive an expression for the magnetic-field complex amplitude vector $\vec{H}(\vec{r})$.
- Determine the direction of the flow of optical power by calculating the time averaged Poynting Vector.
- This wave may be regarded as the sum of two waves. Derive expressions for each wave and determine their directions of propagation. Draw a simple sketch of each plane wave.
- Determine a relation between β and λ_0 .

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Problem 3 - Evanescent waves (2+2 points)

Consider an amplitude distribution $u_0(x, y)$ of a Gaussian beam in cartesian coordinates,

$$u_0(x, y) = A \exp [-(x^2 + y^2)/W^2] \quad \text{with } W > 0.$$

- a) Compute its spatial frequency spectrum $U_0(k_x, k_y)$. Grafically explain your result in units of the wavelength and indicate homogenous and evanescent wave regions in the case of vacuum.
- b) What is the minimum value for the waist W in order to transmit at least 90% of the beam energy in vacuum? Express your result in units of the wavelength.

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Problem 1 - Normal modes in dielectrics

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$$a) \left[\Delta + \frac{\omega^2}{c^2} \epsilon(\omega) \right] \vec{E}(P, \omega) = 0$$

$$\left[\Delta + \frac{\omega^2}{c^2} \epsilon(\omega) \right] \vec{E}(P, \omega) = -k^2 \vec{E}_0(\omega) \cdot e^{ikr} + \frac{\omega^2}{c^2} \epsilon(\omega) \cdot \vec{E}_0(\omega) e^{ikr}$$

$$= \left[-k^2 + \frac{\omega^2}{c^2} \epsilon(\omega) \right] \cdot \vec{E}_0 \cdot e^{ikr} = 0$$

$$\Rightarrow k^2 = \frac{\omega^2}{c^2} \epsilon(\omega)$$

Since $\begin{cases} \epsilon(\omega) = \epsilon'(\omega) + i\epsilon''(\omega) \\ \vec{k} = \vec{k}' + i\vec{k}'' \end{cases}$

~~So $k = \sqrt{\epsilon' + i\epsilon''}$~~

$$S_0 \quad \vec{k}'^2 - \vec{k}''^2 + 2i\vec{k}' \cdot \vec{k}'' = \frac{\omega^2}{c^2} \epsilon'(\omega) + i\frac{\omega^2}{c^2} \epsilon''(\omega)$$

$$\Rightarrow \begin{cases} \vec{k}'^2 - \vec{k}''^2 = \frac{\omega^2}{c^2} \epsilon'(\omega) \\ 2\vec{k}' \cdot \vec{k}'' = \frac{\omega^2}{c^2} \epsilon''(\omega) \end{cases}$$

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b) (1) when $\epsilon'(\omega) > 0, \epsilon''(\omega) = 0$

$$\begin{cases} \vec{k}'' = 0, |\vec{k}'| = \frac{\omega}{c} \sqrt{\epsilon'} \end{cases}$$

propagating wave without loss

$$\vec{k}' \perp \vec{k}'' \quad \vec{k}^2 = \vec{k}'^2 - \vec{k}''^2 = \frac{\omega^2}{c^2} \epsilon'(\omega)$$

evanescent wave (only exist at interfaces)

(2) when $\epsilon'(\omega) < 0, \epsilon''(\omega) = 0$

$$\begin{cases} \vec{k}' = 0, |\vec{k}''| = \frac{\omega}{c} \sqrt{-\epsilon'} \end{cases}$$

non-propagating wave (strong damping)

$$\vec{k}' \perp \vec{k}'' \quad |\vec{k}''| > |\vec{k}'| \quad \vec{k}'^2 - \vec{k}''^2 = \frac{\omega^2}{c^2} \epsilon'(\omega)$$

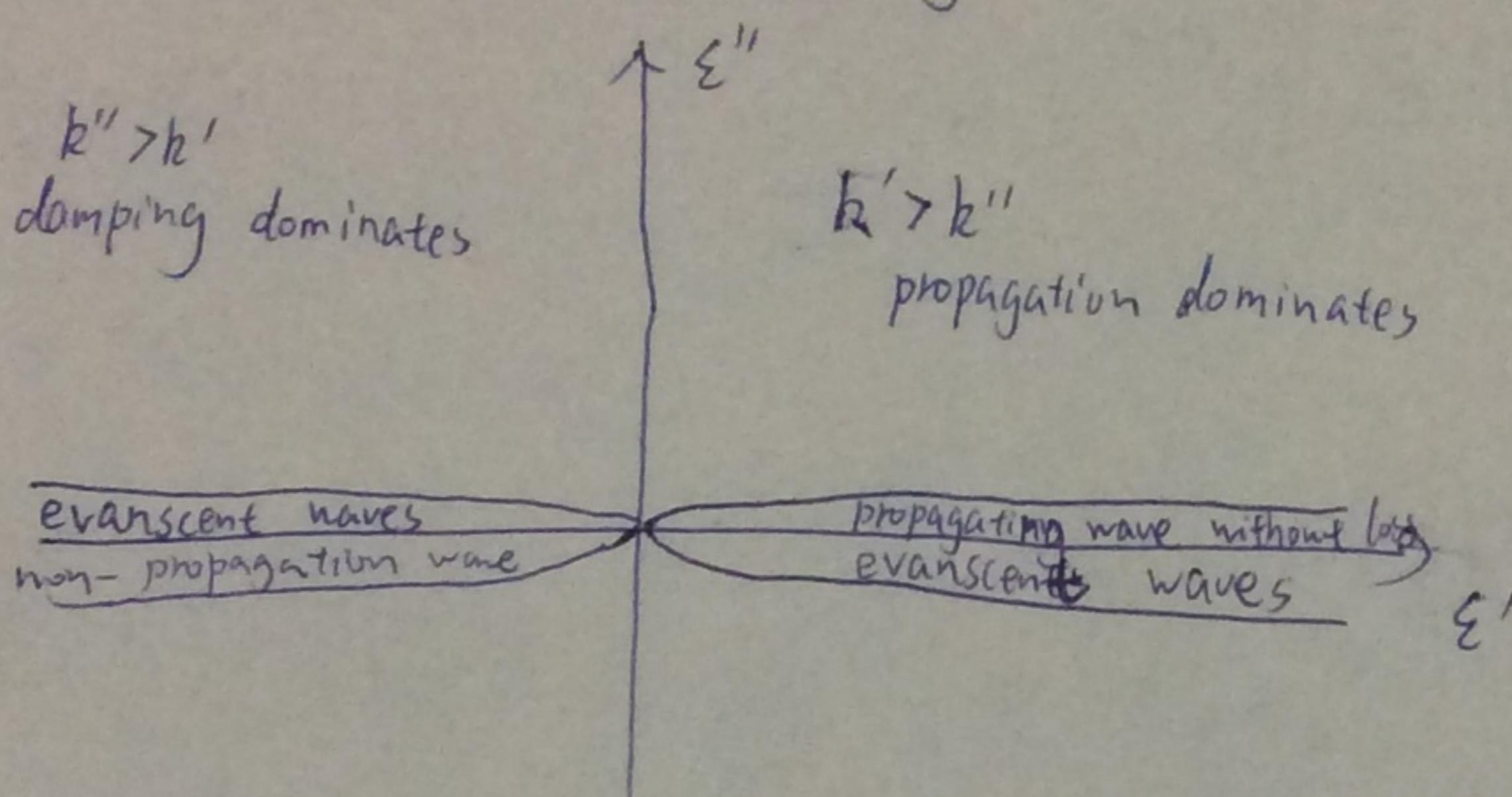
evanescent wave (only exist at interfaces)

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$$(3) \quad \varepsilon - \text{complex number}, \quad \begin{cases} |\vec{k}'| = \frac{\omega}{c} \sqrt{\varepsilon'(\omega)} \\ |\vec{k}''| = \frac{\omega \varepsilon''(\omega)}{2c \sqrt{\varepsilon'(\omega)}} \end{cases}$$

i) $\begin{cases} \varepsilon' > 0 \\ \varepsilon'' > 0 \end{cases} \Rightarrow k' > k''$ propagating wave with loss (propagation dominates)

ii) $\begin{cases} \varepsilon' < 0 \\ \varepsilon'' > 0 \end{cases} \Rightarrow k'' > k'$ propagating wave with loss (damping dominates)



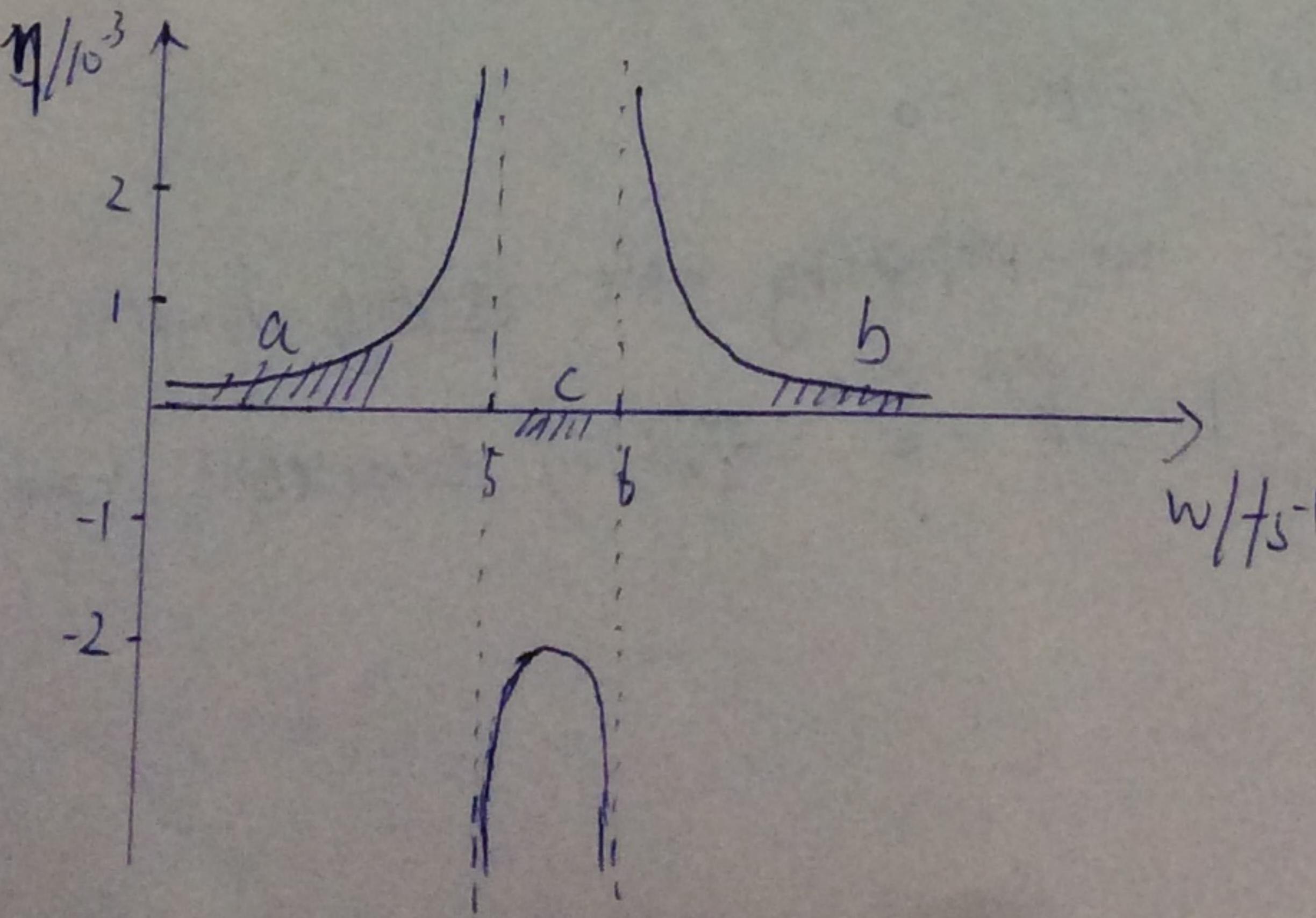
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$$\epsilon) \quad \varepsilon(\omega) = 1 + \frac{f_0}{\omega_0^2 - \omega^2 - i\gamma\omega} = 1 + \underbrace{\frac{f_0(\omega_0^2 - \omega^2)}{(\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2}}_{\varepsilon'(\omega)} + i \underbrace{\frac{f_0\gamma\omega}{(\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2}}_{\varepsilon''(\omega)}$$

$$\eta(\omega) = \frac{\varepsilon''(\omega)}{\varepsilon'(\omega)} = \frac{f_0\gamma\omega}{(\omega_0^2 - \omega^2)^2 + \gamma^2\omega^2 + f_0(\omega_0^2 - \omega^2)} = \frac{f_0\gamma\omega}{(\omega_0^2 - \omega^2)(\omega_0^2 - \omega^2 + f_0) + \gamma^2\omega^2}$$

for $f_0 = 10 \text{ fs}^{-2}$, $\omega_0 = 5 \text{ fs}^{-1}$, $\gamma = 10^{-3} \text{ fs}^{-1}$

$$\eta(\omega) = \frac{10^{-2}\omega}{(25 - \omega^2)(35 - \omega^2) + 10^{-6}\omega^2}$$



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there are 2 asymptotic points, one is near resonance frequency ω_0 , another one is near $\sqrt{\omega^2 + f_0}$.

There are 3 regions, where $|\eta| \ll 1$, as the shadow region in sketch.

$$a) \omega \ll \omega_0 = 5 \text{ fs}^{-1}$$

$$b) \omega \gg \sqrt{\omega^2 + f_0} \approx 5.9 \text{ fs}^{-1}$$

c) region C between ω_0 and $\sqrt{\omega^2 + f_0}$, where $|\eta|_{\min, \text{region C}} \approx 2 \times 10^{-3} \ll 1$

$$d) |\eta|_{\min, \text{region C}} \approx 2 \times 10^{-3}, \epsilon' < 0$$

$$\eta' = \frac{f_0 r [(\omega_0^2 - \omega^2)^2 + (rw)^2 + f_0(\omega_0^2 - \omega^2)] - f_0 r w [-2w(\omega_0^2 - \omega^2 + f_0) - 2w(\omega^2 - \omega^2) + 2w^2]}{[(\omega_0^2 - \omega^2)^2 + (rw)^2 + f_0(\omega_0^2 - \omega^2)]^2}$$

$$= 0$$

$$\Rightarrow (\omega_0^2 + w^2)(\omega_0^2 - w^2 + f_0) + 2w^2(\omega_0^2 - \omega^2) - r^2 w^2 = 0$$

$$\Rightarrow 3w^4 - w^2(f_0 + 2\omega_0^2 - r^2) - \omega_0^2(\omega_0^2 + f_0) = 0$$

$$\Rightarrow w^2 = \frac{f_0 + 2\omega_0^2 - r^2 + \sqrt{(f_0 + 2\omega_0^2 - r^2)^2 + 12\omega_0^2(\omega_0^2 + f_0)}}{6} \approx 29.790$$

$$\Rightarrow w_1 \approx 5.458 \text{ fs}^{-1}$$

$$-|\eta|_{\min, \text{region C}} = \eta(w_1) \approx -0.0022$$

for $\epsilon''(w_1) \ll |\epsilon'(w_1)|$, we can have

~~$$\sqrt{\epsilon} = \sqrt{\epsilon' + i\epsilon''} = \sqrt{|\epsilon'| + i\frac{\epsilon''}{|\epsilon'|}} = \sqrt{|\epsilon'|} \sqrt{1 - i\frac{\epsilon''}{|\epsilon'|}} = \sqrt{|\epsilon'|} \left(1 - \frac{i}{2} \frac{\epsilon''}{|\epsilon'|}\right) = h(w_1) + iR(w_1)$$~~

~~$$\sqrt{\epsilon} = \sqrt{\epsilon' + i\epsilon''} = i\sqrt{|\epsilon'| - i\epsilon''} = i\sqrt{|\epsilon'|} \sqrt{1 - i\frac{\epsilon''}{|\epsilon'|}} = i\sqrt{|\epsilon'|} \left(1 - \frac{i}{2} \frac{\epsilon''}{|\epsilon'|}\right) = \frac{1}{2} \frac{\epsilon''}{\sqrt{|\epsilon'|}} + i\sqrt{|\epsilon'|}$$~~

$$= h(w_1) + iH(w_1)$$

$$\text{So } n(\omega_1) = \frac{1}{2} \frac{\epsilon''(\omega)}{\sqrt{\epsilon'(\omega)}} \quad K(\omega_1) = \sqrt{|\epsilon'(\omega)|}$$

$$\Rightarrow K(\omega_1) \approx 1.0428$$

$$n(\omega_1) \approx \frac{1}{2} \cdot |\eta(\omega_1)| \cdot K(\omega_1) = 0.0011$$

refractive index is $\tilde{n}(\omega_1) = 0.0011 + i \cdot 1.0428$

$$\vec{E} = \vec{E}_0(\omega) e^{ik \cdot z} \cdot e^{-k'' z}$$

$$\vec{E}(z=0, \omega) = \vec{E}_0(\omega)$$

$$\vec{E}(z_0, \omega) = \vec{E}_0(\omega) \cdot \cancel{e^{-k'' z_0}} \cdot e^{-1}$$

$$\Rightarrow k'' z_0 = 1, z_0 = \frac{1}{k''(\omega_1)}$$

$$\text{since } k'' = \frac{\omega_1}{c} \cdot K = \frac{2\pi}{\lambda} \cdot K$$

$$\text{so } z_0(\omega_1) = \frac{1}{2\pi K(\omega_1)}$$

In units of the vacuum wavelength λ ,

$$z_0(\omega_1) = \frac{1}{2\pi K(\omega_1)} \lambda \approx 0.152 \lambda$$

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$$\text{e) } \omega_2 = 6 \text{ fs}^{-1}$$

$$\text{in this case, } \epsilon'(\omega) > 0, \eta > 0 \quad n(\omega_2) = \sqrt{\epsilon'(\omega_2)} = 0.30$$

$$\text{and } K(\omega_2) = \frac{1}{2} \cdot \frac{\epsilon''(\omega_2)}{\sqrt{\epsilon'(\omega_2)}} \approx 8.223 \times 10^{-4} \quad \text{what about } m(\omega_2)^2?$$

$$z_0(\omega_2) = \frac{1}{2\pi K(\omega_2)} \cdot \lambda = 1.9354 \times 10^2 \lambda$$

Therefore, $z_0(\omega_2) \gg z_0(\omega_1)$

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Problem 2 Traveling and standing wave

a) $\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} = -\mu_0 \frac{\partial \vec{H}}{\partial t}$

in Fourier domain, $\nabla \times \vec{E}(\vec{r}, \omega) = i\omega \mu_0 \vec{H}(\vec{r}, \omega)$

$$\begin{aligned}\vec{H}(\vec{r}) &= \frac{1}{i\omega \mu_0} \nabla \times \vec{E}(\vec{r}) = \frac{-E_0}{2\omega \mu_0} \nabla \times [(e^{i\beta y} - e^{-i\beta y}) \cdot e^{i\beta z} \vec{e}_x] \\ &= \frac{E_0 \cdot \beta}{\omega \mu_0} [\sin(\beta y) \cdot e^{i\beta z} \vec{e}_y + i \cos(\beta z) e^{i\beta z} \vec{e}_x]\end{aligned}$$

$$\Rightarrow \vec{H}(\vec{r}) = \frac{E_0 \cdot \beta}{\omega \mu_0} \begin{pmatrix} 0 \\ \sin(\beta y) e^{i\beta z} \\ i \cos(\beta z) e^{i\beta z} \end{pmatrix} \quad \checkmark$$

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b) $\langle \vec{S}(\vec{r}, t) \rangle = \frac{1}{2} \operatorname{Re} [\vec{E}(\vec{r}) \times \vec{H}^*(\vec{r})]$

$$= \frac{1}{2} \operatorname{Re} \left[E_0 \begin{pmatrix} \sin(\beta y) e^{i\beta z} \\ 0 \\ 0 \end{pmatrix} \times \frac{E_0 \cdot \beta}{\omega \mu_0} \begin{pmatrix} 0 \\ \sin(\beta y) e^{-i\beta z} \\ -i \cos(\beta z) e^{-i\beta z} \end{pmatrix} \right]$$

$$= \frac{E_0^2 \beta}{2\omega \mu_0} \operatorname{Re} \begin{pmatrix} 0 \\ i \cos(\beta y) \cdot \sin(\beta z) \\ \sin^2(\beta y) \end{pmatrix}$$

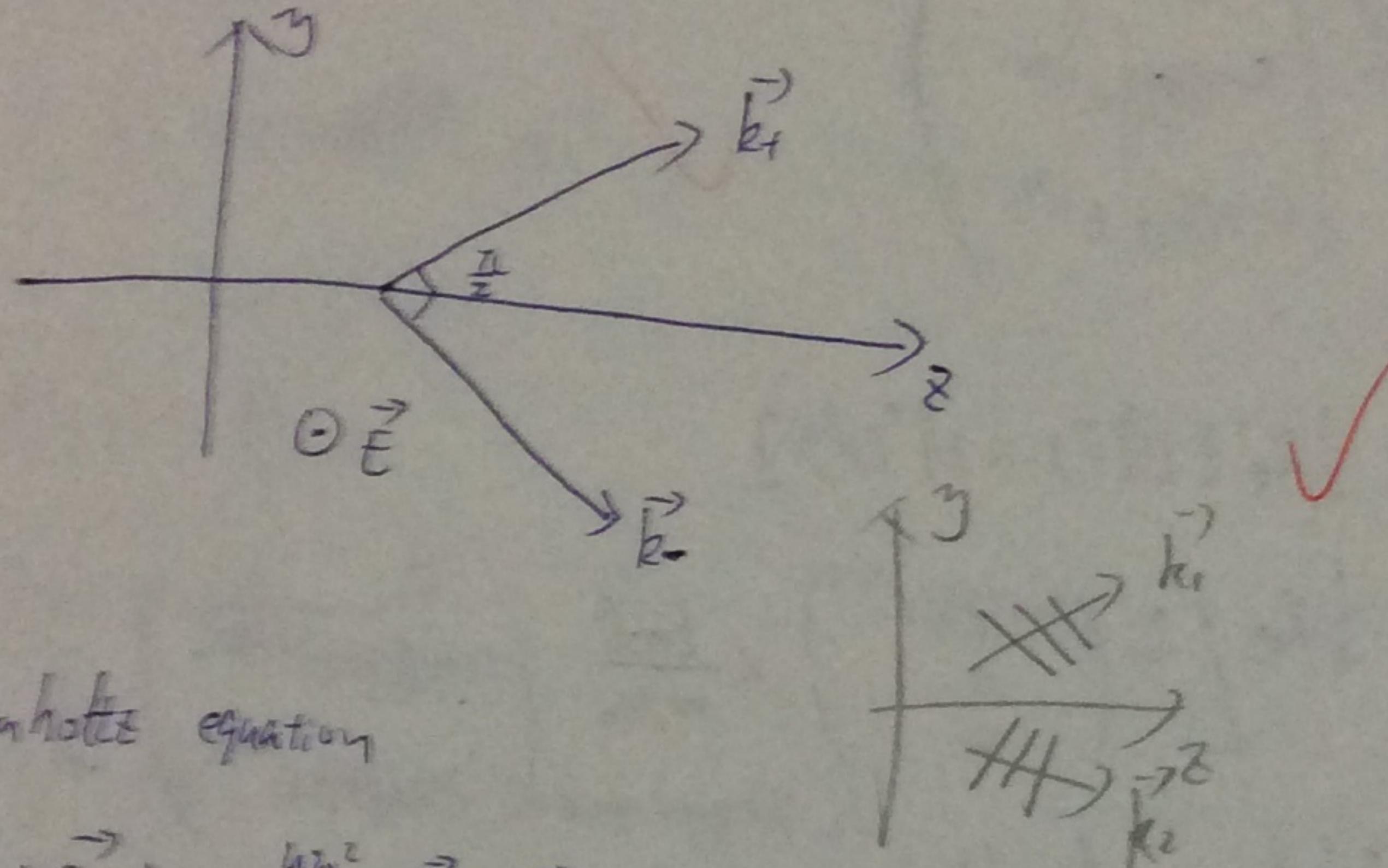
$$= \frac{E_0^2 \beta}{2\omega \mu_0} \begin{pmatrix} 0 \\ 0 \\ \sin^2(\beta y) \end{pmatrix} \quad \checkmark$$

$$\Rightarrow \langle \vec{S}(\vec{r}, t) \rangle \parallel \vec{e}_z$$

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$$\begin{aligned}
 c) \quad \vec{E}(P) &= E_0 \cdot \sin(\beta z) e^{i\beta z} \hat{e}_x \\
 &= \frac{E_0}{2i} (e^{i(\beta z + \beta_0)} - e^{i(\beta z - \beta_0)}) \cdot \hat{e}_x \\
 &\stackrel{(e^{iz} = \cos z + i \sin z)}{=} \underbrace{\frac{E_0}{2} e^{i[\beta(z + \beta_0) - \frac{\pi}{2}]}}_{\vec{E}_+} \hat{e}_x + \underbrace{\frac{E_0}{2} e^{i[\beta(z - \beta_0) + \frac{\pi}{2}]}}_{\vec{E}_-} \hat{e}_x
 \end{aligned}$$

\vec{E}_+ and \vec{E}_- are two plane waves, propagating along $\vec{k}_t = (0, \beta, \beta)$ and $\vec{k}_- = (0, -\beta, \beta)$, respectively.



d) Helmholtz equation

$$\Delta (\vec{E}_+ + \vec{E}_-) + \frac{\omega_0^2}{c^2} (\vec{E}_+ + \vec{E}_-) = 0$$

$$\Rightarrow -\vec{k}_+^2 \vec{E}_+ - \vec{k}_-^2 \vec{E}_- + \frac{\omega_0^2}{c^2} (\vec{E}_+ + \vec{E}_-) = 0$$

Since $\vec{k}_+^2 = \vec{k}_-^2 = 2\beta^2$, so $-\vec{k}_+^2 \vec{E}_+ - \vec{k}_-^2 \vec{E}_- + \frac{\omega_0^2}{c^2} (\vec{E}_+ + \vec{E}_-) = (-2\beta^2 + \frac{\omega_0^2}{c^2}) (\vec{E}_+ + \vec{E}_-) = 0$

$$\Rightarrow -2\beta^2 + \frac{\omega_0^2}{c^2} \Rightarrow \beta = \frac{1}{\sqrt{2}} \frac{\omega_0}{c} = \frac{\sqrt{2}\pi}{\lambda_0}$$

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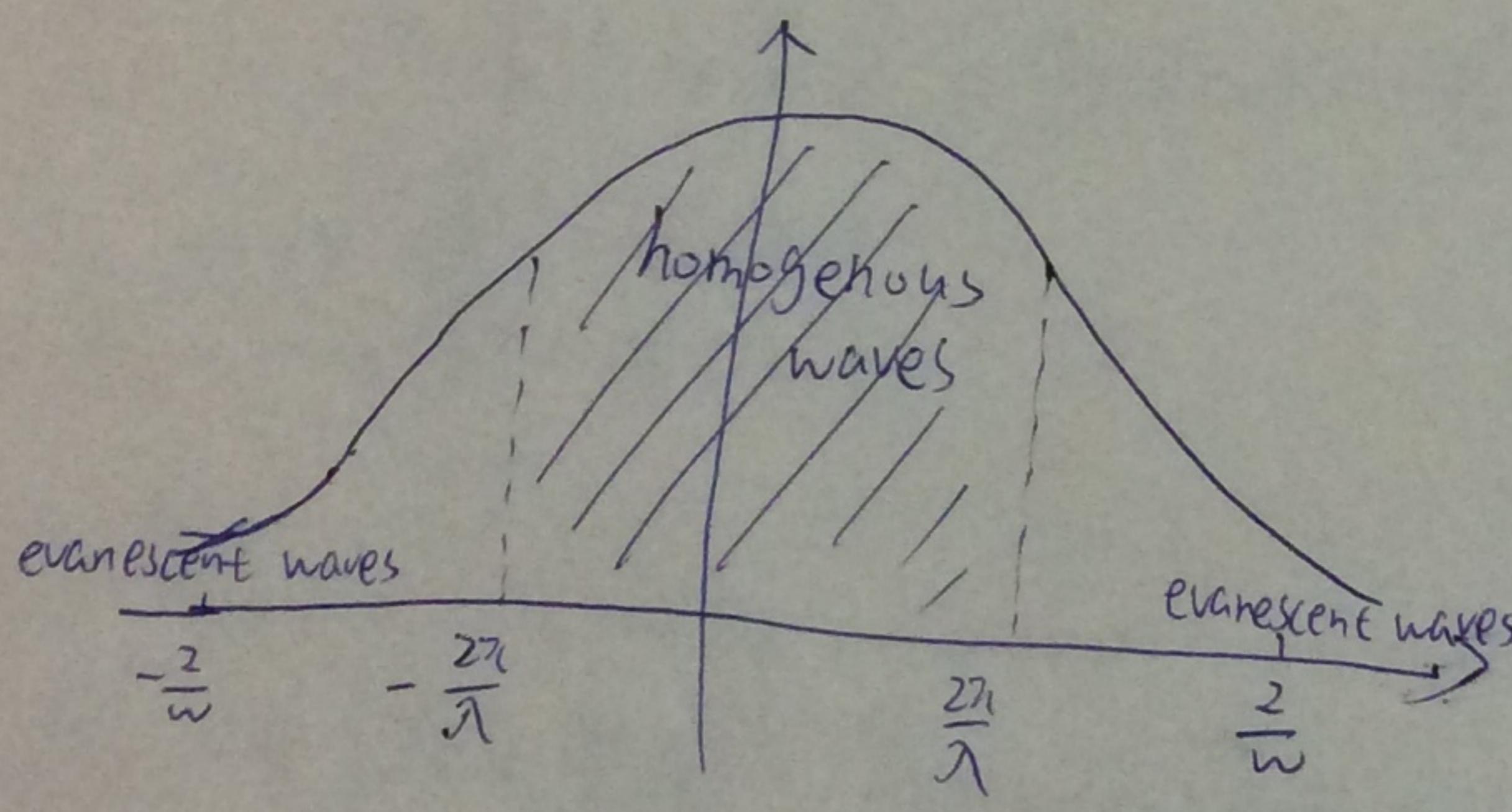
problem 3 - Evanescent waves

$$\begin{aligned} a) U_0(k_x, k_y) &= \frac{A}{(2\pi)^2} \int e^{-\frac{x^2+y^2}{w^2}} e^{-ik_x x + ik_y y} dx dy \\ &= \frac{A}{(2\pi)^2} \underbrace{\int_{-\infty}^{\infty} e^{-\frac{x^2}{w^2} - ik_x x} dx}_{I_1(k_x)} \underbrace{\int_{-\infty}^{\infty} e^{-\frac{y^2}{w^2} - ik_y y} dy}_{I_2(k_y)} \end{aligned}$$

$$I_1(k_x) = \int_{-\infty}^{\infty} e^{-(\frac{x}{w} + \frac{ik_x w}{2})^2 - (\frac{k_x w}{2})^2} dx = e^{-(\frac{k_x w}{2})^2} \cdot w \sqrt{\pi}$$

$$I_2(k_y) = \int_{-\infty}^{\infty} e^{-(\frac{y}{w} + \frac{ik_y w}{2})^2 - (\frac{k_y w}{2})^2} dy = e^{-(\frac{k_y w}{2})^2} \cdot w \sqrt{\pi}$$

$$\Rightarrow U_0(k_x, k_y) = \frac{A}{4\pi} w^2 \cdot e^{-\frac{k_x^2 + k_y^2}{4/w^2}}$$



$k_x^2 + k_y^2 > (\frac{2\pi}{\lambda})^2$ - evanescent waves

$k_x^2 + k_y^2 < (\frac{2\pi}{\lambda})^2$ - homogenous waves

b) ~~only homogenous waves can propagate in vacuum~~

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b) only homogenous waves can propagate in vacuum

$$\iint_{-K}^K U_0^2(k_x, k_y) dk_x dk_y \sim \text{transmitted beam energy}$$

$$\text{So, } \iint_{-K}^K U_0^2(k_x, k_y) dk_x dk_y = 0.9 \times \iint_{-\infty}^{\infty} U_0^2(k_x, k_y) dk_x dk_y = 0.9 \times \left(\frac{A}{4\pi} w^2\right)^2 \cdot \left(\frac{\sqrt{2}}{w}\right)^2 \times \pi$$

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d) Determine a relation

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$$\iint_{-K}^K U_0(k_x, k_y) dk_x dk_y = \left(\frac{A}{4\pi} w^2\right)^2 \iint_{-K}^K e^{-\frac{k_x^2+k_y^2}{2w^2}} dk_x dk_y$$

$$\stackrel{P^2 = k_x^2 + k_y^2}{=} \left(\frac{A}{4\pi} w^2\right)^2 \cdot \int_0^K \int_0^{2\pi} e^{-\frac{P^2}{2w^2}} p \cdot dp \cdot d\phi = \left(\frac{A}{4\pi} w^2\right)^2 \cdot \pi \cdot \int_0^K e^{-\frac{P^2}{2w^2}} dp^2$$

$$= \left(\frac{A}{4\pi} w^2\right)^2 \cdot \pi \cdot \frac{2}{w^2} \cdot (1 - e^{-\frac{K^2}{2w^2}})$$

$$= 0.9 \cdot \left(\frac{A}{4\pi} w^2\right)^2 \cdot \pi \cdot \frac{2}{w^2}$$

$$\Rightarrow 1 - e^{-\frac{K^2}{2w^2}} = 0.9$$

$$- K^2 \cdot \frac{w^2}{2} = \ln(0.1) \approx -2.3$$

$$w_{\min} = \sqrt{\frac{4.6}{K^2}} = \sqrt{\frac{4.6 \times \lambda^2}{(2\pi)^2}} \approx \frac{2.146 \lambda}{2\pi} \approx 0.342 \lambda$$

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