# FoMO in a nutshell

Important things to memorize for the course »Fundamentals of Modern Optics«\*

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Here, we collect important relations, facts, formulas and other things that one should memorize throughout the course to give you a summary of the most important results. Of course, we cannot list every required formula and we assume that you already have a solid math background. Hence, we cannot guarantee completeness of the list.

# **FOMO** topics

### Maxwell's equations

• Macroscopic Maxwell's equations (time domain):

$$\begin{aligned} \nabla \cdot \mathbf{D}(\mathbf{r},t) &= \rho \left( \mathbf{r},t \right) \\ \nabla \times \mathbf{E}(\mathbf{r},t) &= -\frac{\partial \mathbf{B}(\mathbf{r},t)}{\partial t} \\ \nabla \cdot \mathbf{B}(\mathbf{r},t) &= 0 \end{aligned} \qquad \nabla \times \mathbf{H}(\mathbf{r},t) &= \mathbf{j}(\mathbf{r},t) + \frac{\partial \mathbf{D}(\mathbf{r},t)}{\partial t} \end{aligned}$$

• Macroscopic Maxwell's equations (frequency domain):

$$\nabla \cdot \mathbf{D}(\mathbf{r}, \omega) = \rho(\mathbf{r}, \omega)$$

$$\nabla \times \mathbf{E}(\mathbf{r}, \omega) = i\omega \mathbf{B}(\mathbf{r}, \omega)$$

$$\nabla \times \mathbf{H}(\mathbf{r}, \omega) = \mathbf{j}(\mathbf{r}, \omega) - i\omega \mathbf{D}(\mathbf{r}, \omega)$$

• Constitutive relations (linear material response; in optics usually  $\mu(\mathbf{r},\omega) \equiv 1$  (non-magnetizable):

$$\begin{split} \mathbf{D}(\mathbf{r},\omega) &= \varepsilon_0 \mathbf{E}(\mathbf{r},\omega) + \mathbf{P}(\mathbf{r},\omega) = \varepsilon_0 \varepsilon(\mathbf{r},\omega) \mathbf{E}(\mathbf{r},\omega) \\ \mathbf{P}(\mathbf{r},\omega) &= \varepsilon_0 \chi(\mathbf{r},\omega) \mathbf{E}(\mathbf{r},\omega) \end{split}$$

• Time domain material response (response function):

$$\mathbf{P}(\mathbf{r},t) = \varepsilon_0 \int_{-\infty}^{t} R(\mathbf{r},t-t') \mathbf{E}(\mathbf{r},t) dt, \text{ where } R(\mathbf{r},t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \chi(\mathbf{r},\omega) e^{-i\omega t} d\omega$$

• Complex permitivity:

$$\varepsilon(\mathbf{r}, \boldsymbol{\omega}) = 1 + \chi(\mathbf{r}, \boldsymbol{\omega}) + i \frac{\sigma(\mathbf{r}, \boldsymbol{\omega})}{\varepsilon_0 \boldsymbol{\omega}}$$

<sup>\*</sup>Please report any typos or other errors to: thomas.kaiser.1@uni-jena.de

• Continuity equation (conservation of charge):

$$\nabla \cdot \mathbf{j}(\mathbf{r},t) + \frac{\partial \rho(\mathbf{r},t)}{\partial t} = 0$$

$$\underbrace{\iint_{\partial V} \mathbf{j} \, d\mathbf{S}}_{I} = -\frac{\partial}{\partial t} \underbrace{\iiint_{V} \rho \, dV}_{Q}$$

• Time averaged Poynting vector, loss:

$$\langle \mathbf{S}(\mathbf{r}) \rangle = \frac{1}{2} \operatorname{Re} \big[ \mathbb{E}(\mathbf{r}, \boldsymbol{\omega}) \times \mathbf{H}^*(\mathbf{r}, \boldsymbol{\omega}) \big] \qquad \nabla \cdot \big\langle \mathbf{S}(\mathbf{r}) \big\rangle < 0 \Leftrightarrow \text{system is lossy}$$

• Wave equations (vacuum):

$$\nabla \times \nabla \times \mathbf{E}(\mathbf{r},t) - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}(\mathbf{r},t)}{\partial t^2} = 0 \qquad \qquad \nabla \times \nabla \times \mathbf{H}(\mathbf{r},t) - \frac{1}{c^2} \frac{\partial^2 \mathbf{H}(\mathbf{r},t)}{\partial t^2} = 0$$

# Normal modes in homogeneous, isotropic matter, non-magnetizable

• Helmholtz equation (wave equation in temporal Fourier domain; homogeneous, isotropic matter):

$$\Delta \mathbf{E}(\mathbf{r}, \boldsymbol{\omega}) + \frac{\boldsymbol{\omega}^2}{c^2} \boldsymbol{\varepsilon}(\boldsymbol{\omega}) \mathbf{E}(\mathbf{r}, t) = 0$$

• Plane waves are the eigenmodes of free space. They take the form

$$\mathbf{E}(\mathbf{r},\omega) = \mathbf{E}_0 e^{i\mathbf{k}\mathbf{r}}$$

and their dispersion relation reads as:

$$k_x^2 + k_y^2 + k_z^2 = \frac{\omega^2}{c^2} \varepsilon(\omega)$$

• Refractive index:

$$n(\omega) = \frac{k(\omega)}{k_0} = \frac{k(\omega)}{\omega} c$$

• Maxwell relation:

$$n(\omega) = \sqrt{\varepsilon(\omega)}$$
 which implies for real and imaginary parts:  $(n' + in'')^2 = n'^2 - n''^2 + 2in'n'' = \varepsilon' + i\varepsilon''$ 

• Finding electric or magnetic field from each other in frequency domain in regions without source:

$$\mathbf{H}(\mathbf{r},\omega) = -\frac{i}{\omega\mu_0}\nabla \times \mathbf{E}(\mathbf{r},\omega) \qquad \qquad \mathbf{E}(\mathbf{r},\omega) = \frac{i}{\omega\varepsilon_0\varepsilon(\mathbf{r},\omega)}\nabla \times \mathbf{H}(\mathbf{r},\omega)$$

• Propagating, lossy and evanescent waves:

$$\langle \mathbf{S} \rangle \neq 0, \nabla \cdot \langle \mathbf{S} \rangle = 0 \Leftrightarrow \text{lossless propagation}$$

$$\langle \mathbf{S} \rangle \neq 0, \nabla \cdot \langle \mathbf{S} \rangle < 0 \Leftrightarrow \text{lossy propagation}$$

$$\langle \mathbf{S} \rangle = 0, \nabla \cdot \langle \mathbf{S} \rangle = 0 \Leftrightarrow \text{evanescence}$$

# Beam propagation

• ... see beam propagation scheme handout

#### Gaussian beams

• Rayleigh length:

$$z_0 = \frac{k}{2}w_0^2$$

• q-parameter definition:

$$\frac{1}{q(z)} = \frac{1}{R(z)} + i \frac{2}{kw^2(z)}$$

• q-parameter at waist position:

$$q_0 = -iz_0$$

• q-parameter after propagation:

$$q(d) = q(0) + d$$

• Condition for finding waist position:

$$\operatorname{Re}[q(z)] = 0$$

• ABCD matrix formalism:

$$\hat{M} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}, \quad \hat{M}_{tot} = \hat{M}_n \cdot \dots \hat{M}_3 \cdot \hat{M}_2 \cdot \hat{M}_1$$
$$q_{n+1} = \frac{Aq_n + B}{Cq_n + D}$$

#### Mathematical tools

### Miscellaneous math formulas

Complex exponentials, trigonometric and hyperbolic functions:

$$e^{ix} = \cos x + i \sin x$$

$$\cos x = \frac{1}{2} \left( e^{ix} + e^{-ix} \right)$$

$$\sin x = \frac{1}{2i} \left( e^{ix} - e^{-ix} \right)$$

$$\cosh x = \frac{1}{2} \left( e^x + e^{-x} \right)$$

$$\sinh x = \frac{1}{2} \left( e^x - e^{-x} \right)$$

$$\sinh x = \sin x$$

• Integration:

$$\int u(x) \frac{dv(x)}{dx} dx = u(x)v(x) - \int \frac{du(x)}{dx} v(x) dx$$
$$\int f(x) dx = \int f(\xi) \frac{dx}{d\xi} d\xi$$

• Gaussian functions:

$$\int_{-\infty}^{\infty} e^{-x^2} dx = \sqrt{\pi}$$

$$\operatorname{FT} \left\{ A \exp \left[ -\frac{1}{2} \frac{t^2}{t_0^2} \right] \right\} = \frac{At_0}{\sqrt{2\pi}} \exp \left[ -\frac{1}{2} \frac{\omega^2}{(1/t_0)^2} \right]$$

The Fourier transform of a Gaussian function is a Gaussian function.

• Area element in radial coordinates:

$$dA = r dr d\varphi$$

#### Field theory

• Vector identities:

$$\nabla \times \nabla \times \mathbf{a} = \nabla \cdot (\nabla \mathbf{a}) - \Delta \mathbf{a}$$

$$\Delta a = \nabla (\nabla a), \text{ but } \Delta \mathbf{a} = (\nabla \cdot \nabla) \mathbf{a} = \nabla^2 \mathbf{a} \neq \nabla (\nabla \mathbf{a})$$

$$\mathbf{a} \times \mathbf{b} \times \mathbf{c} = \mathbf{b}(\mathbf{a}\mathbf{c}) - \mathbf{c}(\mathbf{a}\mathbf{b})$$

• Integral theorems:

$$\iiint_{V} \nabla \cdot \mathbf{a} \, dV = \oiint_{\partial V} \mathbf{a} \, d\mathbf{S}$$

$$\iint_{A} \nabla \times \mathbf{a} \, d\mathbf{S} = \oint_{\partial A} \mathbf{a} \, d\mathbf{r}$$
(Gauss)
(Stokes)

# Fourier transform, $\delta$ -function

 In the course, we define the one-dimensional Fourier transform as (these definitions regarding sign and prefactor conventions influence nearly every expression in this document that contains Fourier transforms):

Forward (going to Fourier domain):

$$\tilde{f}(\omega) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(t) e^{i\omega t} dt$$
 (temporal Fourier domain)
$$\tilde{f}(k) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(x) e^{-ikx} dx$$
 (spatial Fourier domain)

A Fourier transform gives the strengths of the different plane wave (space) or time harmonic (time) frequency components.

Backward (coming from Fourier domain):

$$f(t) = \int_{-\infty}^{\infty} \tilde{f}(\omega) e^{-i\omega t} d\omega$$
 (temporal Fourier domain) 
$$f(x) = \int_{-\infty}^{\infty} \tilde{f}(k) e^{ikx} dk$$
 (spatial Fourier domain)

An inverse Fourier transform represents a decomposition of a function into plane wave components (space) or harmonic oscillations (time).

• Fourier shifting theorem:

$$FT\{f(t-t_0)\} = e^{i\omega t_0} \tilde{f}(\omega) \qquad FT^{-1}\{\tilde{f}(\omega-\omega_0)\} = e^{-i\omega_0 t} f(t)$$

$$FT\{f(x-x_0)\} = e^{-ikx_0} \tilde{f}(k) \qquad FT^{-1}\{\tilde{f}(k-k_0)\} = e^{ik_0 x} f(x)$$

The shifting of a function corresponds to a harmonic modulation in Fourier domain.

• Fourier transform of a derivative (I cannot recall an occasion where the backward relations would be needed, so I leave them out):

$$\operatorname{FT}\left\{\frac{\mathrm{d}f(t)}{\mathrm{d}t}\right\} = -i\omega\,\tilde{f}(\omega)$$

$$\operatorname{FT}\left\{\frac{\mathrm{d}f(x)}{\mathrm{d}x}\right\} = ik\,\tilde{f}(k)$$

A derivative in the real domain corresponds to a simple multiplication in Fourier domain.

•  $\delta$ -function:

$$\delta(x-x_0) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{ik(x-x_0)} \, \mathrm{d}k$$

The  $\delta$ -function is the Fourier transform of a plane wave (space) or harmonic oscillation (time).

$$f(x_0) = \int_{-\infty}^{\infty} f(x) \, \delta(x - x_0) \, \mathrm{d}x$$

The  $\delta$ -function »picks out« the value of a function at a particular point. It is just meaningful under an integral.

• Fourier transform of the  $\delta$ -function:

$$FT \{ \delta(t - t_0) \} = \frac{1}{2\pi} e^{i\omega t_0} \qquad FT^{-1} \{ \delta(\omega - \omega_0) \} = e^{-i\omega_0 t}$$

$$FT \{ \delta(x - x_0) \} = \frac{1}{2\pi} e^{-ikx_0} \qquad FT^{-1} \{ \delta(k - k_0) \} = e^{ik_0 x}$$

The Fourier transform of a  $\delta$ -function is a plane wave (space) of harmonic oscillation (time)

• Convolution:

$$[f \otimes g](t) = \int f(\tau)g(t-\tau) dt \qquad [f \otimes g](x) = \int f(x')g(x-x') dx$$
  
$$\text{FT}\{[f \otimes g](t)\} = 2\pi \tilde{f}(\omega)\tilde{g}(\omega) \qquad \text{FT}\{[f \otimes g](x)\} = 2\pi \tilde{f}(k)\tilde{g}(k)$$

Convolution in the real domain corresponds to a simple multiplication in the Fourier domain.