Wave Aberrations and Zernike Coefficients

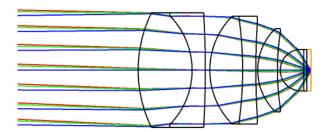
Load the system data from Moodle. It is a microscopic lens with high NA = 1.28 from the book of Laikin.

- a) Show the rms wave aberrations as a function of the defocussing . Discuss the results
- b) Show the rms wave aberration as a function of the field for all wavelengths. Is the system diffraction limited?
- c) Calculate the Zernike coefficients for the primary wavelength on axis and for the maximum field size. What kind of aberration limits the performance in the field?
- d) Calculate the Zernikes on axis behind the first three components and in the image. What can be seen for the changes and the compensation effects in the spherical aberration coefficients?

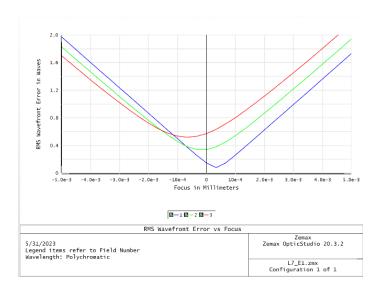
Solution:

The system data are as follows:

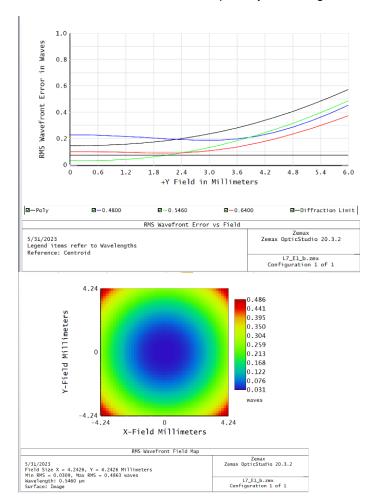
	✓ Surface Type		Comment	Radius		Thickness		Material	Coating		Clear Semi-Dia	
0	OBJECT	Standard ▼		Infinity	Т	167.867320					6.000000	
1		Standard ▼		Infinity		5.000000					2.477504	
2		Standard ▼		5.341620		2.232660		CAF2			2.361041	
3		Standard ▼		-3.180080		0.548640		F2			2.239519	
4	STOP	Standard ▼		-38.305740		0.185420					2.220908	
5		Standard ▼		3.126740		1.358900		CAF2			2.217043	
6		Standard ▼		-15.306040		0.546100		F2			2.136609	
7		Standard ▼		30.728920		0.078740					1.964774	
8		Standard ▼		2.372360		0.815340		SK14			1.713994	
9		Standard ▼		10.200640		0.115734					1.596243	
10		Standard ▼		0.853440		0.970280		BK7			0.852155	
11		Standard ▼		Infinity		0.128972	٧	TYPEA			0.571722	
12		Standard ▼		Infinity		0.177800		N-K5			0.350250	
13	IMAGE	Standard ▼		Infinity		-		WATER			0.063182	



a) The Rms of the wave aberration as a function of the defocus is shown here for the 3 field points. It is seen, that the performance is decreasing for larger field points. Especially, also a shift in best image position is seen, which results from the lack of field flattening.



b) If the wave aberrations are plotted as a function of the field, it is seen, that the system is only diffraction limited in the green inside a field circle with radius 1.8 mm. The same can be seen in the field distribution of the rms for the primary wavelength.



c) The Zernikes on axis and in the field also demonstrate the change of correction over the field size. In the field, the Marechal approximation for the Strehl ratio completely breaks down.

```
Image

0.0000 mm

0.5460 µm

: 0.55580369 waves

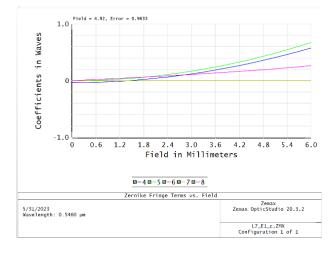
: 0.59580369 waves

: 0.09105352 waves

0.00328743 waves

~7095786
                                                                                                                                                                                            Surface
field
Wavelength
Peak to Valley (to chief)
Peak to Valley (to centroid)
RMS (to chief)
RMS (to centroid)
Variance
                                                                                                                                                                                                                                                            Image
6.0000 mm
0.5460 µm
4.37741709 waves
2.78519800 waves
1.07370619 waves
Surface
Field
Wavelength
Peak to Valley (to chief)
Peak to Valley (to centroid)
RMS (to chief)
RMS (to centroid)
Variance
                    Strehl Ratio (Est)
                                                                                                                                                                                            RMS fit error
Maximum fit error
                                                                                                                                                                                                                                                                       0.00050678 waves
0.00328283 waves
 RMS fit error
Maximum fit error
                                                                                                                                                                                                                                                      0.61332979
0.00000000
1.92417391
0.58266128
0.67929048
                                                                                                                                                                                                  0.12078918
0.00016941
0.00000000
0.00000000
                                                                                                                                                                                                                         .00180266
                                                                                                                                                                                                                     0.00180260
0.00639322
0.00000000
0.00000000
-0.07231759
0.17579142
0.00000000
                                                                                                                                                                                                                        .00006555
                                                                                                                                                                                                                      0.00067060
0.00000000
                                                                                                                                                                                                                      0.00000000
0.00000000
                                                                                                                                                                                                                      0.01184470
```

From the variation of the Zernikes over the field size it is seen, that a large tilt takes place. If only the primary aberrations are considered, Coma (c8), astigmatism (c5) and field curvature (c4) are approximately of the same size.



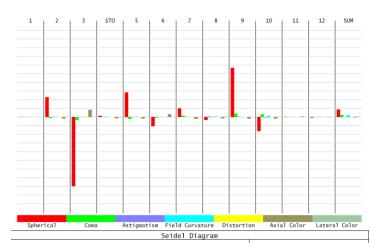
d) If the Zernikes are calculated for the surface indices 4, 7 and 9 and the image, we get the following data:

```
5.38758877
                                                                                                        -0.16594574
                                             -9.33855398
7
    1
            -16.44721392
                                                                                                                            (p) * COS (A)
(p) * SIN (A)
                              Z
Z
                                             0.00000000
                                                            Z
Z
                                                                           0.00000000
                                                                                               2
                                                                                                         9 99999999
              0.00000000
                                   3
                                                                 3
                                                                                           Z
                                                                                                        0.00000000
                                             0.00000000
                                                                           0.00000000
                                                                                               3
              0.00000000
                                                                                                                            (2p^2 - 1)
(p^2) * COS (2A)
(p^2) * SIN (2A)
                                                                           8.31509634
                                                                                                        -0.02585636
                                   4
                                           -15.10166076
                                                                 4
             -26.12095807
                                             0.00000000
                                                                           0.00000000
                                                                                               5
                                                                                                         0.00000000
              0.00000000
                                             0.00000000
                                                                           0.00000000
                                                                                                         0.00000000
              0.00000000
                                                                                                                            (3p^2 - 2) p * COS (A)
(3p^2 - 2) p * SIN (A)
                              Z
Z
Z
                                   7
                                             0.00000000
                                                            Z
                                                                 7
                                                                           0.00000000
                                                                                           Z
                                                                                               7
                                                                                                         0.00000000
              0.00000000
    8
              0.00000000
                                   8
                                             0.00000000
                                                            Z
Z
                                                                 8
                                                                           0.00000000
                                                                                           Z
Z
                                                                                               8
                                                                                                         0.00000000
                                            -6.56724253
                                                                           3.29615815
                                                                                                                            (6p^4 - 6p^2 + 1)
    9
            -10.74084716
                                                                                                         0.12910795
```

It is seen, that the contributions of the various components compensate the effect of the c9. The contributions are approximately (subtracting the absolute values):

```
-10.74 (surfaces 2,3,4), +4.18 (surfaces 5,6,7),, +9.86 (surfaces 8,9), -3.16 (surfaces 10,11,12)
```

If the Seidel contributions are inspected, qualitatively the same result occurs. It is seen in the Zernike table, that also a considerable contribution of 5th order spherical aberrations should be compensated.



5/31/2023
Wavelength: 0.5460 µm.
Maximum aberration scale is 0.20000 Millimeters.
Grid lines are spaced 0.02000 Millimeters.

Zemax Zemax OpticStudio 20.3.2

L7_E1_c.ZMX Configuration 1 of 1

Aplanatic lens

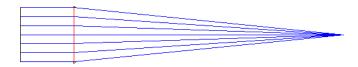
Consider a collimated incoming beam with wavelength 500 nm and diameter 10 mm. This bundle should be focussed by a perfect lens of focal length f = 50 mm.

- a) Place an aplanatic-concentric lens shortly behind the ideal lens with the material SF57. What is the resulting numerical aperture in the image space? Show at least two different methods to find the best image position.
- b) Show that the spherical aberration of this setup is exactly zero for all orders.
- c) Aplanatic means, that the linear coma vanishes and the imaging is free of coma for a small but finite field size. Show this property by using a small field of 2° for the current system. What is the largest present aberration?

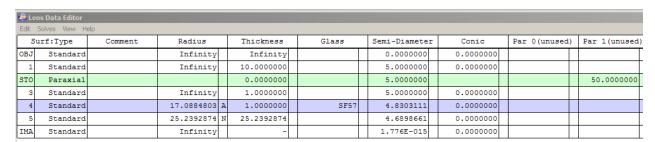
Solution:

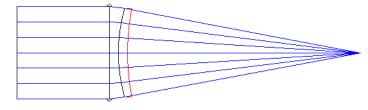
The initial focussing lens is established as follows:

🥭 Le	🗜 Lens Data Editor													
Edit	Edit Solves View Help													
		Comment	Radius	Thickness	Glass	Semi-Diameter	Conic	Par 0 (unused)	Par 1 (unused)					
OBJ	Standard		Infinity	Infinity		0.0000000	0.0000000							
1	Standard		Infinity	10.0000000		5.0000000	0.0000000							
STO	Paraxial			0.0000000		5.0000000			50.0000000					
3	Standard		Infinity	50.0000000		5.0000000	0.0000000							
IMA	Standard		Infinity	-		0.0000000	0.0000000							



a) A lens with thickness 1 mm is placed 1 mm behind the lens. The first surface is made aplanatic by a solve, the second surface is mad concentric by choosing the solve 'marginal ray normal' to force the marginal ray to be concentric.





If a single ray trace is performed, we get the direction cosine of the marginal ray to be 0.1858. It can also be seen, that the marginal ray is concentric at the surface 5.

```
3: Ray Trace
 Ray Trace Data
 File : C:\Gross\Lectures - Gross\Optical Design with Zemax\Aplanatic lens.ZMX
Title:
Date: 13.11.2012
 Units : Millimeters
Wavelength : 0.500000 µm
Coordinates : Local
 Direction cosines are after refraction or reflection from the surface or object.
Normalized X Field Coord (Hx) :
Normalized Y Field Coord (Hy) :
Normalized X Pupil Coord (Px) :
Normalized Y Pupil Coord (Py) :
                                                      0.0000000000
                                                     0.0000000000
                                                     1.0000000000
 Real Ray Trace Data:
                X-coordinate
                                          Y-coordinate
                                                                       Z-coordinate
                                                                                                     X-cosine
                                                                                                                     0.0000000000
                       Infinity
                                                  Infinity
                                                                              Infinity
                                                                                               0.0000000000
         Infinity Infinity 0.000000000E+000 5.0000000000E+000 0.000000000E+000 5.000000000E+000 0.00000000E+000 4.8303110655E+000 0.000000000E+000 4.6898661252E+000 0.000000000E+000 1.7763568394E-015
                                                                0.0000000000
                                                                                                                     0.0000000000
                                                                0.0000000000E+000
0.0000000000E+000
                                                                                               0.0000000000
                                                                                                                    -0.0995037190
                                                                6.9688934549E-001
                                                                                               0.0000000000
                                                                                                                   -0.1858161068
                                                                4.3955384906E-001
0.0000000000E+000
                                                                                                                    -0.1858161068
 Paraxial Ray Trace Data:
```

The best image position can be obtained by

- 1. Quick focus option
- 2. Solve at the last surface with marginal ray height 0
- 3. Pick up on the last (concentric) surface radius
- 4. Optimizing the last thickness as a variable with minimal spot size
- b) If the Zernike polynomials are calculated, they are exactly zero for all orders.

```
2: Zernike Fringe Coefficients
 Update Settings Print Window
 Wavelength
                                                              : 0.5000 um
 Peak to Valley (to chief) :
Peak to Valley (to centroid) :
                                                                           0.00000001 waves
                                                                          0.00000001 waves
 RMS (to chief)
RMS (to centroid)
                                                                           0.00000000 waves
                                                                           0.000000000 waves
 Variance
                                                                          0.00000000 waves :
 Strehl Ratio (Est)
 RMS fit error
                                                                          0.00000000 waves
 Maximum fit error
                                                                          0.00000001 waves
                        0.00000000 : 1
                                                     1

(p) * COS (A)

(p) * SIN (A)

(2p^2 - 1)

(p^2) * COS (2A)

(p^2) * SIN (2A)
                        0.000000000:
                        0.00000000 :
                        0.00000000 :
                        0.000000000:
                        0.000000000:
                                                     (3p<sup>2</sup> - 2) p * COS (A)

(3p<sup>2</sup> - 2) p * COS (A)

(3p<sup>2</sup> - 2) p * SIN (A)

(6p<sup>4</sup> - 6p<sup>2</sup> + 1)

(p<sup>3</sup>) * COS (3A)

(p<sup>3</sup>) * SIN (3A)
                        0.00000000 :
                        0.00000000 :
       10
11
                        0.000000000 :
0.000000000 :
       12
13
                                                     (4p^2-3) p^2 * COS (2A)
(4p^2-3) p^2 * SIN (2A)
 Z
Z
Z
Z
                        0.000000000:
                                                     (10p<sup>4</sup> - 12p<sup>2</sup> + 3) p * COS
(10p<sup>4</sup> - 12p<sup>2</sup> + 3) p * SIN
(20p<sup>6</sup> - 30p<sup>4</sup> + 12p<sup>2</sup> - 1)
       14
                        0.000000000:
       15
16
                        0.000000000 :
0.000000000 :
       17
18
                        0.000000000 :
0.000000000 :
                                                      (p^4) * COS (4A)
(p^4) * SIN (4A)
 Z
Z
Z
Z
                                                     (5p<sup>2</sup> - 4) p<sup>3</sup> * COS (3A)
(5p<sup>2</sup> - 4) p<sup>3</sup> * SIN (3A)
(15p<sup>4</sup> - 20p<sup>2</sup> + 6) p<sup>2</sup> * CO
       19
                        0.00000000 :
       20
                        0.000000000 :
0.000000000 :
                                                     (15p^4 - 20p^2 + 6) p^2 * C(

(15p^4 - 20p^2 + 6) p^2 * S;

(35p^6 - 60p^4 + 30p^2 - 4)

(35p^6 - 60p^4 + 30p^2 - 4)

(70p^8 - 140p^6 + 90p^4 - 2(

p^5) * COS (5A)

(p^5) * SIN (5A)

(6p^2 - 5) p^4 * COS (4A)

(6p^2 - 5) p^4 * SIN (4A)

(21p^4 - 30p^2 + 10) p^3 * (

(21p^4 - 70p^2 + 10) p^3 * (
       22
                        0.00000000 :
 Z
Z
Z
Z
Z
Z
Z
Z
                        0.000000000:
       24
                        0.00000000 :
                        0.00000000 :
       26
                        0.00000000 :
       27
28
                        0.000000000 :
0.000000000 :
       29
30
                        0.00000000 :
                        0.00000000 :
       31
                        0.00000000 :
                                                      (56p^6 - 105p^4 + 60p^2 - 10)

(56p^6 - 105p^4 + 60p^2 - 10)
       33
                        0.00000000 :
       34
35
                                                      (126 p^8 - 280p^6 + 210p^4
(126 p^8 - 280p^6 + 210p^4
                        0.00000000 :
                        0.00000000 :
                                                     (252p^10 - 630p^8 + 560p^6 -
       36
                        0.000000000:
```

c) If a field of 2° is introduced and the Zernike coefficients are calculated for the field point in the image and behind the 4th surface (the aplanatic), we get the following picture:

```
Surface
                                                                                                                  Surface
                                           : 2.0000 (deg)
                                                                                                                                                              : 2.0000 (deg)
Field
                                                                                                                  Field
                                                                                                                  Wavelength
                                                                                                                                                              : 0.5000 µm
: 0.98906306 waves
                                              0.5000 µm
Peak to Valley (to chief)
Peak to Valley (to centroid)
                                                    0.42436528 waves
                                                                                                                  Peak to Valley (to chief)
                                                     0.41949035 waves
0.11961177 waves
                                                                                                                  Peak to Valley (to centroid)
                                                                                                                                                                       0.97834634 waves
                                                                                                                                                                       0.22880861 waves
RMS (to chief)
                                                                                                                  RMS (to chief)
RMS (to centroid)
Variance
                                                    0.11958708 waves
0.01430107 waves squared
                                                                                                                  RMS (to centroid)
                                                                                                                                                                       0.22874623 waves
                                                                                                                                                                       0.05232484 waves squared
                                                                                                                  Variance
                                                                                                                  Strehl Ratio (Est)
Strehl Ratio (Est)
                                                    0.56859687
                                                                                                                                                                      0.12672931
RMS fit error
Maximum fit error
                                                                                                                  RMS fit error
Maximum fit error
                                                    0.00000000 waves
                                                                                                                                                                      0.00000000 waves
                                                    0.00000001 waves
                                                                                                                                                                      0.00000001 waves
                0.20794872 : 1
                                                                                                                                  0.34701665 : 1
                                     (p) * COS (A)
(p) * SIN (A)
                                                                                                                                                       (p) * COS (A)
(p) * SIN (A)
                                                                                                                                  0.00000000 :
0.01078681 :
                0.00000000 :
                0.00490450:
                                      (2p^2 - 1)
(p^2) * COS (2A)
(p^2) * SIN (2A)
                                                                                                                                                        (2p^2 - 1)
(p^2) * COS (2A)
(p^2) * SIN (2A)
                0.20837470
                                                                                                                                  0.34699634 :
               -0.00021696 :
                                                                                                                                  0.000000000:
                0.00000000 :
                                      (3p^2 - 2) p * COS (A)
(3p^2 - 2) p * SIN (A)
                                                                                                                                                        (3p^2 - 2) p * COS (A)
(3p^2 - 2) p * SIN (A)
                0.00000000 :
                                                                                                                                  0.00000000 :
                0.00246706 :
                                                                                                                                  0.00539378 :
                                     (3p<sup>2</sup> - 2) p * SIN (A)

(6p<sup>4</sup> - 6p<sup>2</sup> + 1)

(p<sup>3</sup>) * COS (3A)

(p<sup>3</sup>) * SIN (3A)

(4p<sup>2</sup>-3) p<sup>2</sup> * COS (2A)

(4p<sup>2</sup>-3) p<sup>2</sup> * SIN (2A)

(10p<sup>4</sup> - 12p<sup>2</sup> + 3) p * COS (A)

(10p<sup>4</sup> - 12p<sup>2</sup> + 3) p * SIN (A)
               0.00042809 :
                                                                                                                                 -0.00001686 :
                0.000000000:
    10
                                                                                                                                  0.00000000 :
    11
12
                                                                                                                      11
12
              -0.00000102 :
                                                                                                                                 -0.00140829 :
              -0.00001191 :
                                                                                                                                  0.00065732 :
                                                                                                                      13
14
    13
               0.00000000 :
                                                                                                                                  0.00000000 :
                                                                                                                 Z
Z
Z
Z
Z
Z
                0.000000000:
                                                                                                                                  0.00000000 :
    15
               0.00000997 :
                                                                                                                      15
                                                                                                                                  0.00000041:
                                                                                                                                                       (10p^4 - 12p^2 + 3) p * SIN
(20p^6 - 30p^4 + 12p^2 - 1)
(p^4) * COS (4A)
(p^4) * SIN (4A)
(5p^2 - 4) p^3 * COS (3A)
(5p^2 - 4) p^3 * SIN (3A)
                                                                                                                      16
17
                0.00000211
                                                                                                                                  0.00000345 :
    17
                0.000000000:
                                                                                                                                  0.00000683 :
    18
                0.00000000 :
0.00000000 :
                                                                                                                      18
                                                                                                                                   0.00000000 :
                                                                                                                                  0.00000000 :
                                                                                                                                  0 00000496 •
```

In the image, defocus (which is here in field the field curvature) and astigmatism are the dominating aberrations. Directly behind the aplanatic surface, only defocus has a considerable amount. This shows, that the concentric surface limits the system performance by astigmatism and field curvature. The change in the coma of the aplanatic surface is extremely small.