



**Institute of
Applied Physics**

Friedrich-Schiller-Universität Jena

Metrology and Sensing

Lecture 11-2: Phase retrieval

2021-01-14

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- Parameter
- Algorithm



- Necessary known basic parameter for reconstruction:
 1. wavelength λ
 2. aperture in image space $\sin(u)$
 3. pixel size of detector
 4. pinhole size in object space
 5. magnification m
 6. z-values of z-stack

- Critical data:
 1. pixel size
 2. size of pinhole (coherence, throughput)
 3. deconvolution parameter of algorithm
 4. background of intensity
 5. selection of z-planes

- Mathematical description for Image formation, Integral equation, inverse problem
- Approximation with isoplanatic range: Psf shift invariant, convolution computed with Fourier methods
- Discretization: pixelized image delivers a linear system
- Solution via optimization due noise and constraints

$$I_{image}(x) = \int I_{psf}(x, x') \cdot I_{object}(x, x') dx' + I_{noise}(x)$$

$$I_{image}(x) = I_{psf}(x) * I_{object}(x) + I_{noise}$$

$$I_{image}(v) = I_{psf}(v) \cdot I_{object}(v) + I_{noise}$$

$$I_{jk}^{(ima)} = \sum_{j'} \sum_{k'} I_{j-j', k-k'}^{(psf)} \cdot I_{j', k'}^{(obj)} + I_{jk}^{(noi)}$$

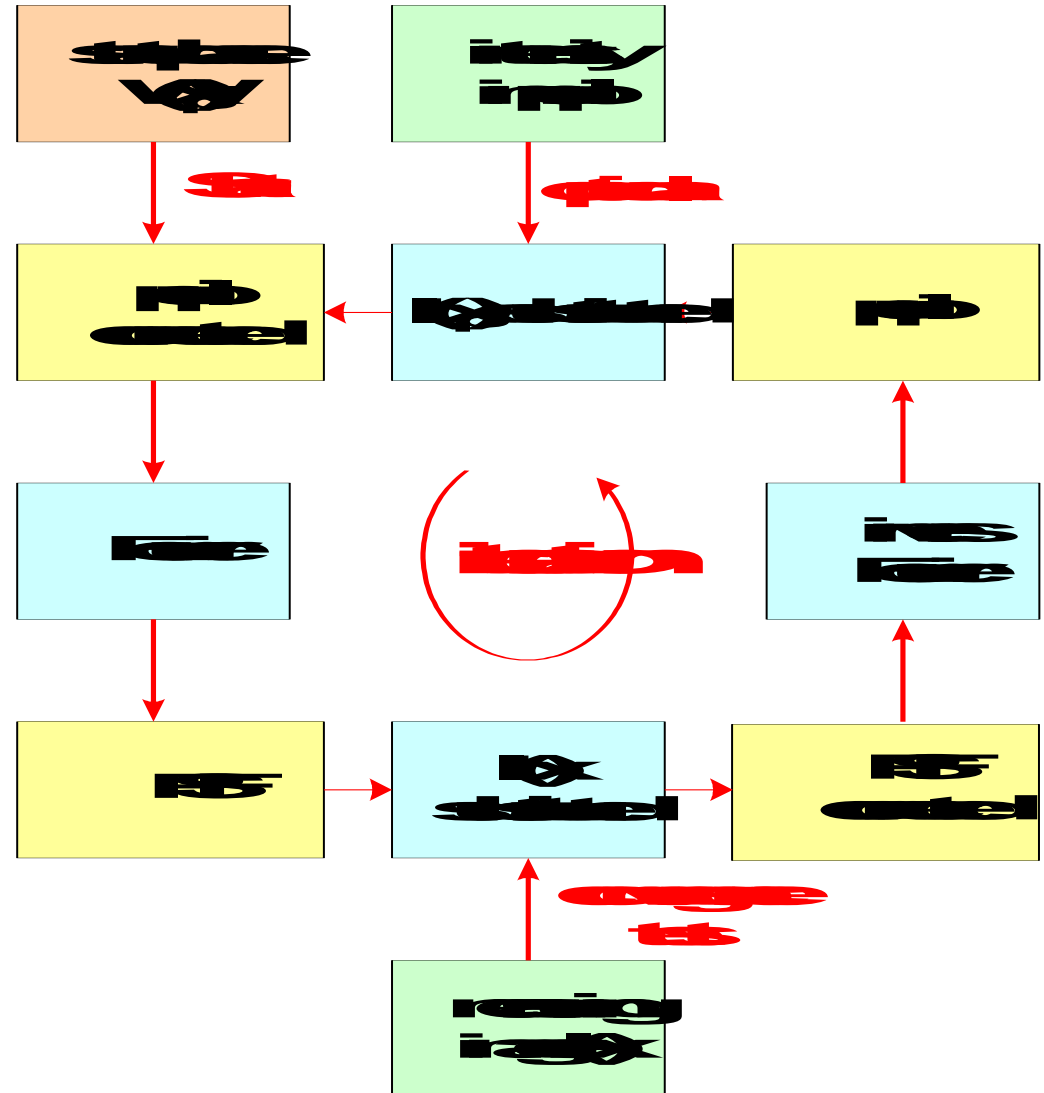
$$\vec{g} = \mathbf{A} \cdot \vec{x} + \vec{n}$$

$$\left| \mathbf{A} \cdot \vec{x} - \vec{b} \right|^2 = \min$$

$$\Phi = \left| \mathbf{A} \cdot \vec{x} - \vec{b} \right|^2 + \mu \cdot \left| \vec{x} \right|^2 = \min$$

Gerchberg-Saxton-Algorithm

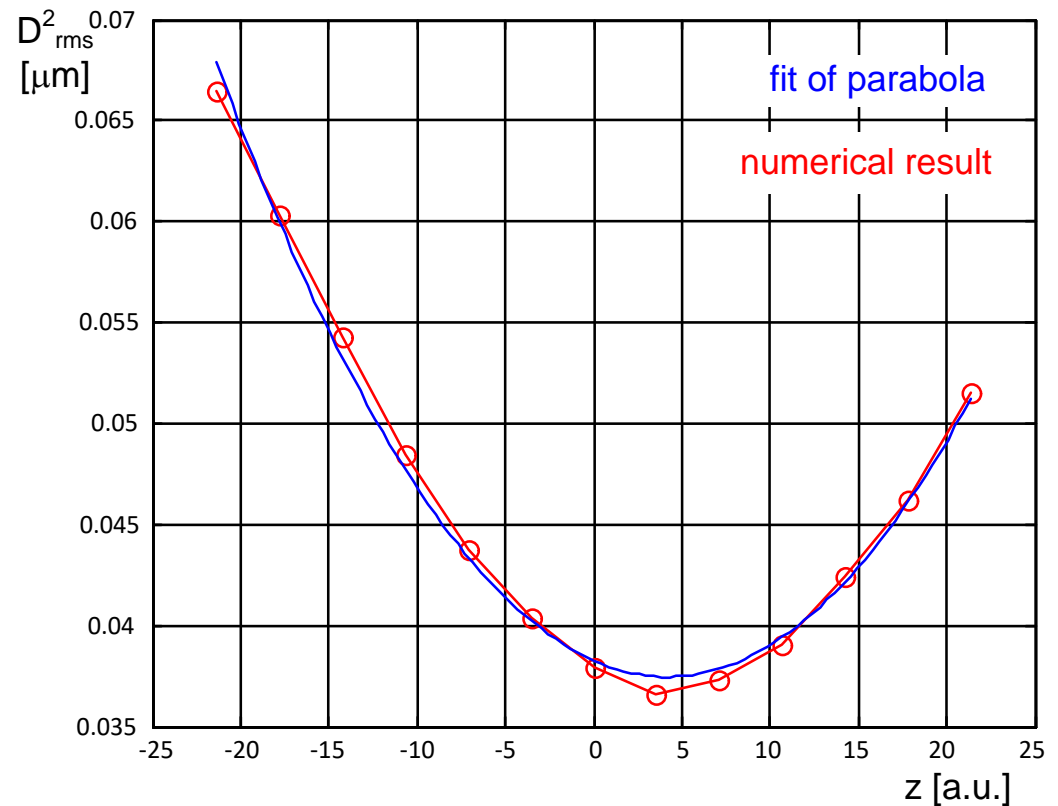
- Iterative reconstruction of the pupil phase with back-and-forth calculation between image and pupil:
IFTA / Gerchberg-Saxton
- Substitution of known intensity
- Problems with convergence:
Twin-image degeneration
- Modified algorithms:
 1. Fienup-acceleration
 2. Non-least-square
 3. Use of pupil intensity



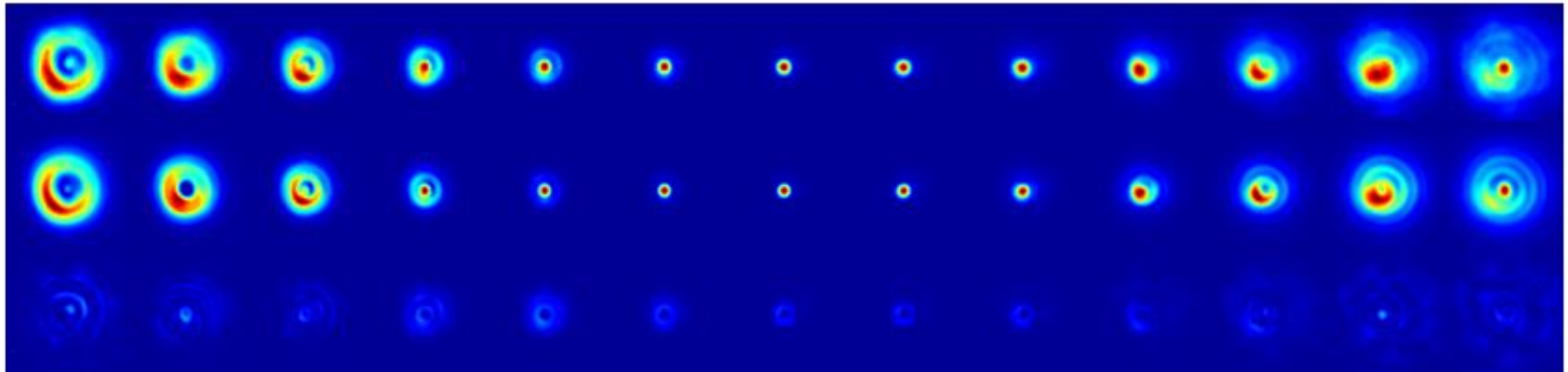
Possible numerical algorithms:

1. Fourier algorithm
2. NLSQ-algorithm with Zernike coefficients (modal)
3. Input-Output-algorithm according to Fienup
4. Yang-Gu-algorithm
5. Ping-Pong-algorithm
6. Gerchberg-Saxton-algorithm (error reduction)
7. Ferwerda-algorithm
8. Gradient methods

- Paraxial approximation:
 - quadratic curve of second moment of spot size
 - check of wrong data possible
 - deviations for larger aberrations

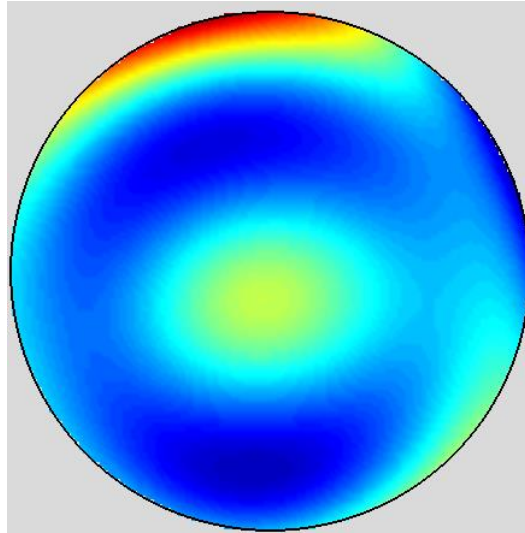
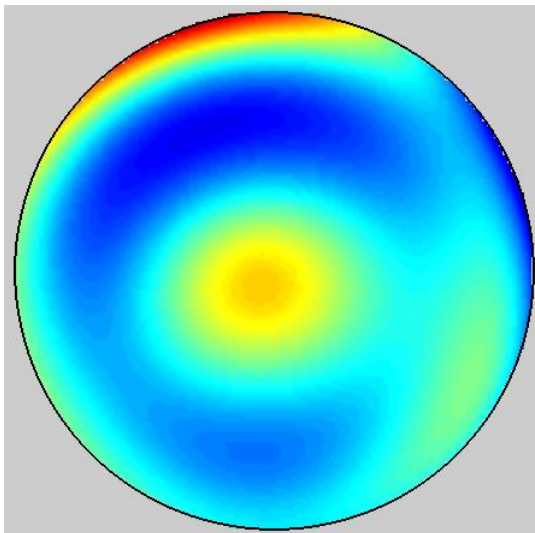


- Evaluation of real data psf-stack

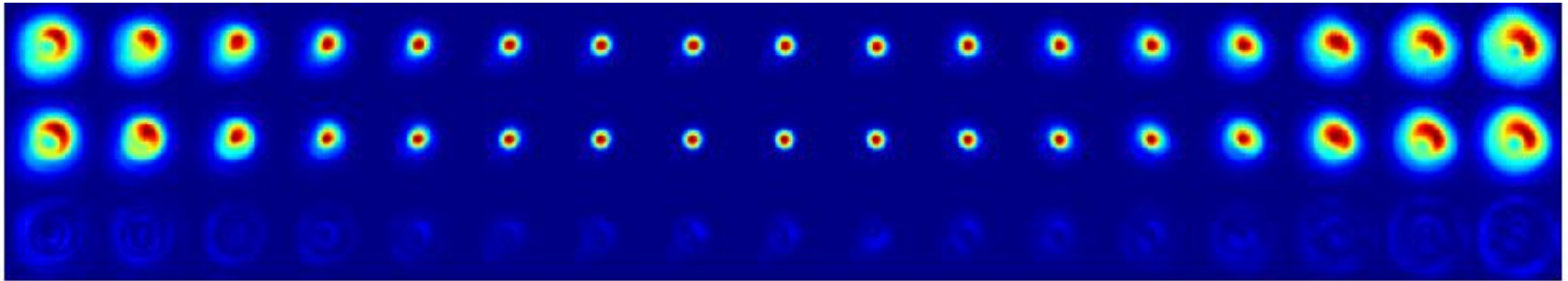


measurement

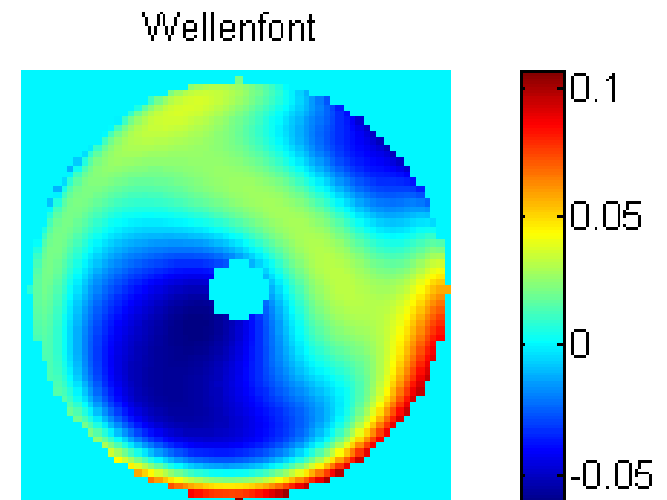
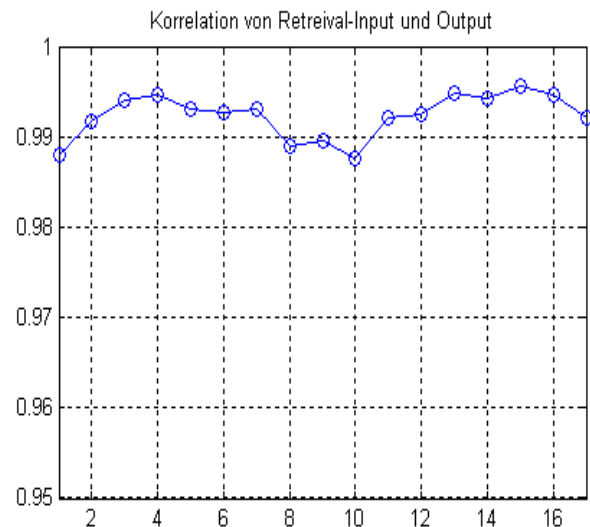
model phase



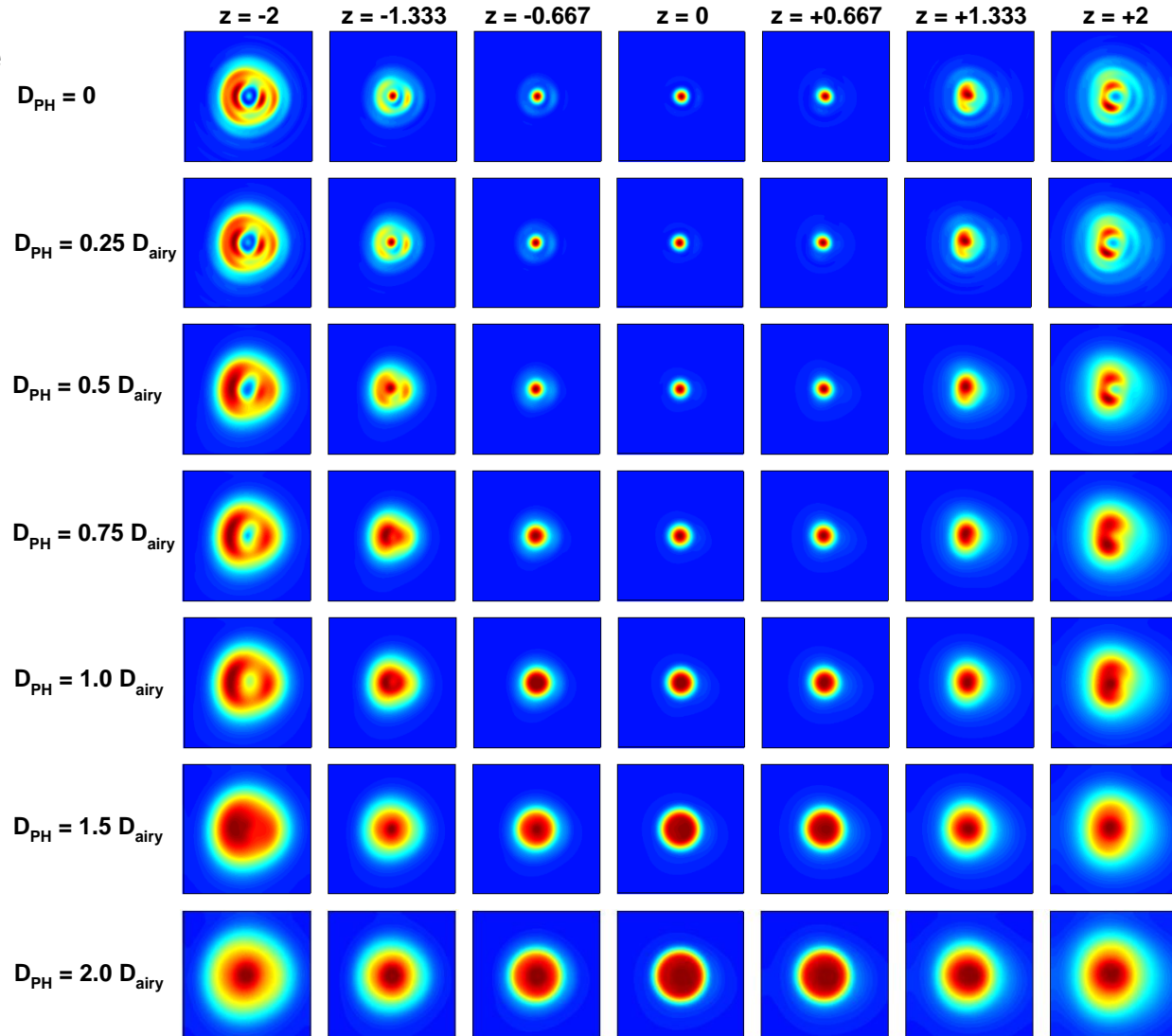
- Phase retrieval method
- Image z-stack



- Correlation of image
- Phase in pupil

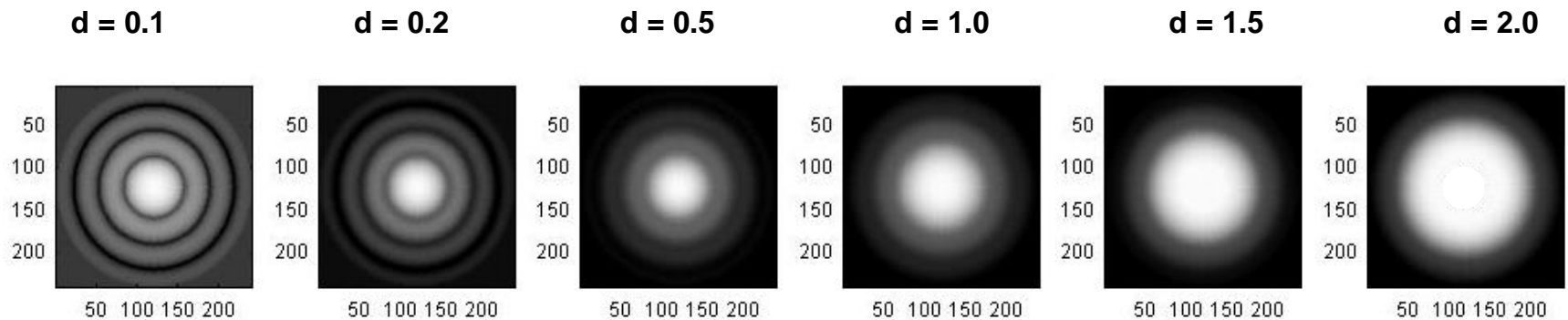
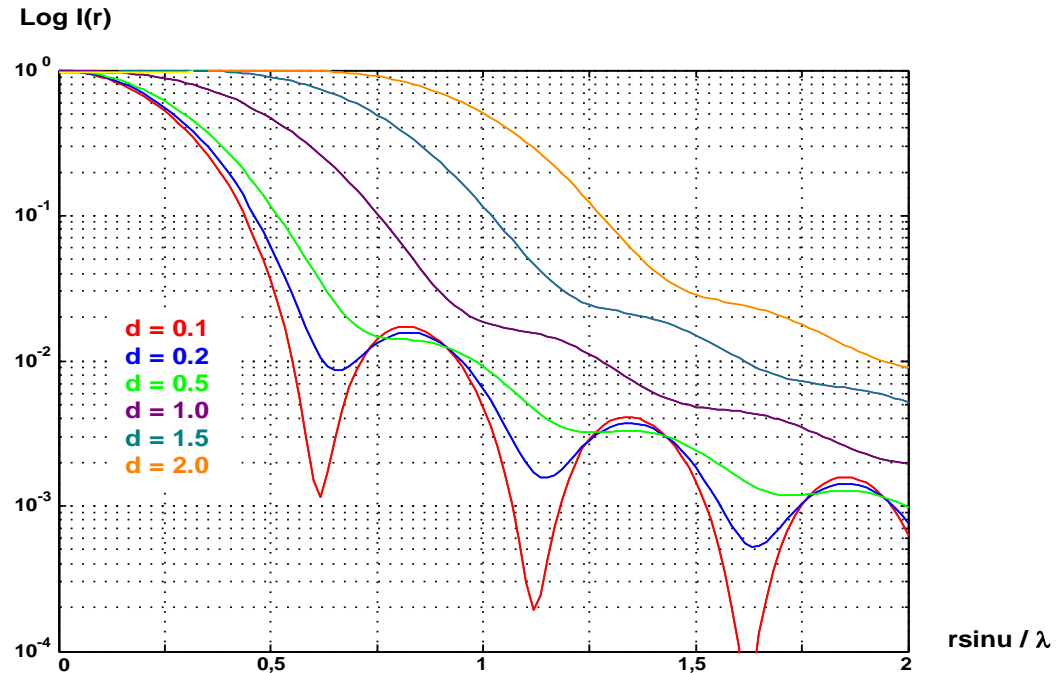


- Image with finite size of the pinhole:
convolution
- Characteristic diffraction
structures hidden with
growing size D_{ph}
- Deconvolution necessary
for $D_{ph} > 0.3 \dots 0.4 D_{airy}$
- Pinholes larger than $4 D_{airy}$
are not feasible



Incoherent Image of a Pinhole

- Logarithm of intensity
- Diffraction ripples disappear with growing diameter d





Deconvolution - Algorithms

- Incoherent imaging with noise

$$I_{image}(x) = I_{psf}(x) * I_{object}(x) + I_{noise}$$

- Wiener deconvolution with fixed Tikhonov regularization

$$I_{object}(v) = \frac{I_{psf}^*(v) \cdot I_{image}(v)}{|I_{psf}(v)|^2 + \mu}$$

- Wiener deconvolution with variable Tikhonov regularization

$$I_{object}(v) = \frac{I_{psf}^*(v) \cdot I_{image}(v)}{|I_{psf}(v)|^2 + \frac{P_{noise}}{P_{object}}}$$

- Lucy-Richardson deconvolution

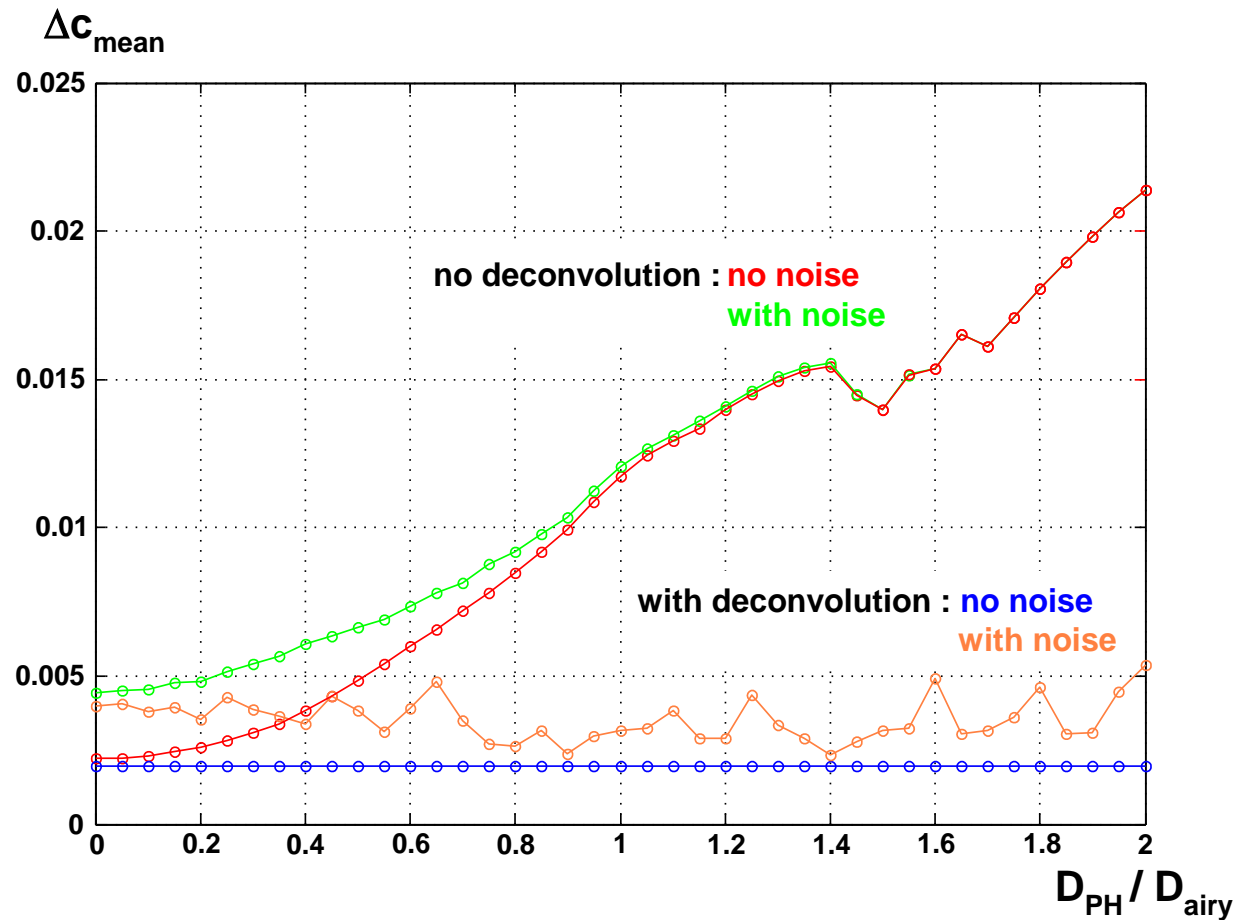
$$I_{obj}^{(k+1)}(x, y) = I_{obj}^{(k)}(x, y) \cdot \left[I_{psf}(-x, -y) * \frac{I_{image}(x, y)}{I_{psf}(x, y) * I_{obj}^{(k)}(x, y)} \right]$$

- Wavelet-Deconvolution



Error in Phase Retrieval without Deconvolution

- Error of results, if no deconvolution is performed
- Error increases with pinhole size
- Deconvolution seems to be necessary for pinholes larger than $0.4 D_{\text{airy}}$





Variable Pinhole Deconvolution: Criteria

- Criteria for pinhole deconvolution:

1. minimal rms of stack
sensitive for noise

$$I_{rms} = \sqrt{\frac{\sum_j [I_j^{(model)} - I_j^{(ist)}]^2}{n_x \cdot n_y \cdot n_z}}$$

2. Maximum correlation of the stacks
robust, but less significant

$$K = \frac{\iiint I_{model} \cdot I_{ist} dx dy dz}{\sqrt{\iiint I_{model}^2 dx dy dz} \cdot \sqrt{\iiint I_{ist}^2 dx dy dz}}$$

3. Minimum entropy
best results

$$S = - \sum_{m,n} I_{mn} \cdot \ln I_{mn}$$



Iteration Phase Retrieval for finite sizes of Pinhole

- Possibilities with / without deconvolution

