



**Institute of
Applied Physics**

Friedrich-Schiller-Universität Jena

Lens Design I

Lecture 11: Correction I

2024-06-27

Yueqian Zhang



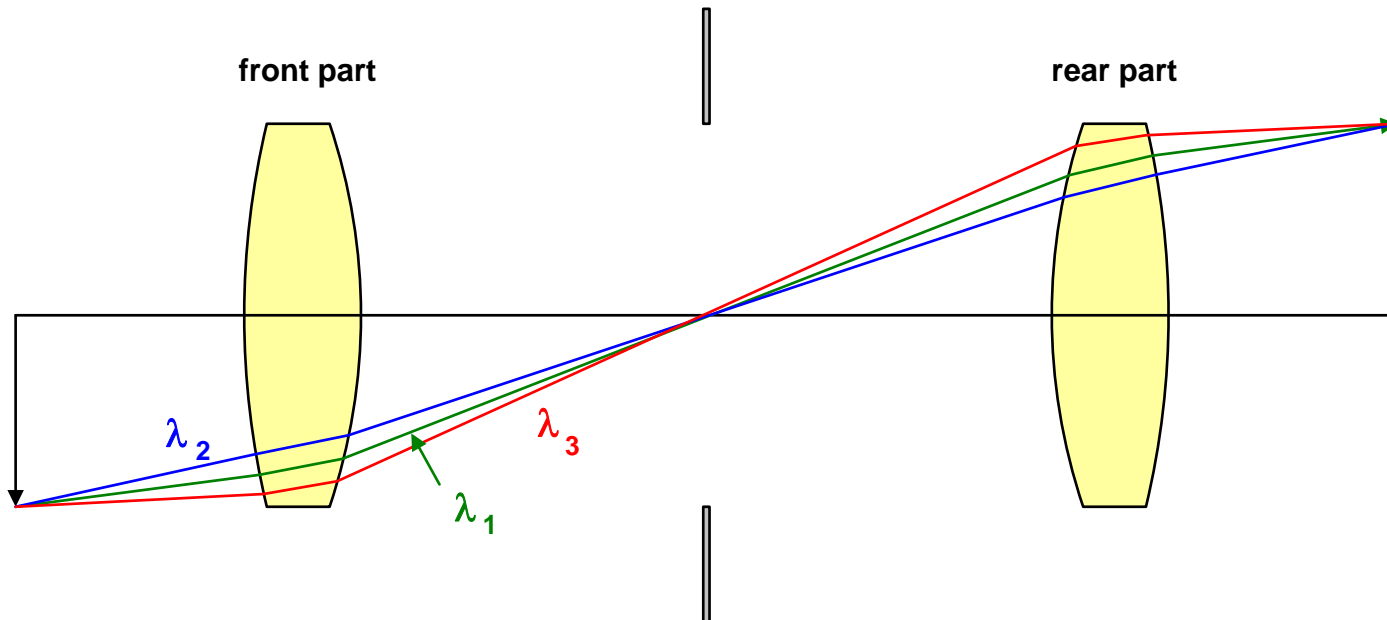
Preliminary Schedule - Lens Design I 2024

1	04.04.	Basics	Zhang	Introduction, Zemax interface, menus, file handling, preferences, Editors, updates, windows, coordinates, System description, 3D geometry, aperture, field, wavelength
2	18.04.	Properties of optical systems I	Tang	Diameters, stop and pupil, vignetting, layouts, materials, glass catalogs, raytrace, ray fans and sampling, footprints
3	25.04.	Properties of optical systems II	Tang	Types of surfaces, cardinal elements, lens properties, Imaging, magnification, paraxial approximation and modelling, telecentricity, infinity object distance and afocal image, local/global coordinates
4	02.05.	Properties of optical systems III	Tang	Component reversal, system insertion, scaling of systems, aspheres, gratings and diffractive surfaces, gradient media, solves
5	16.05.	Advanced handling I	Tang	Miscellaneous, fold mirror, universal plot, slider, multiconfiguration, lens catalogs
6	23.05.	Aberrations I	Zhang	Representation of geometrical aberrations, spot diagram, transverse aberration diagrams, aberration expansions, primary aberrations
7	30.05.	Aberrations II	Zhang	Wave aberrations, Zernike polynomials, measurement of quality
8	06.06.	Aberrations III	Tang	Point spread function, optical transfer function
9	13.06.	Optimization I	Tang	Principles of nonlinear optimization, optimization in optical design, general process, optimization in Zemax
10	20.06.	Optimization II	Zhang	Initial systems, special issues, sensitivity of variables in optical systems, global optimization methods
11	27.06.	Correction I	Zhang	Symmetry principle, lens bending, correcting spherical aberration, coma, astigmatism, field curvature, chromatical correction
12	04.07.	Correction II	Zhang	Field lenses, stop position influence, retrofocus and telephoto setup, aspheres and higher orders, freeform systems, miscellaneous



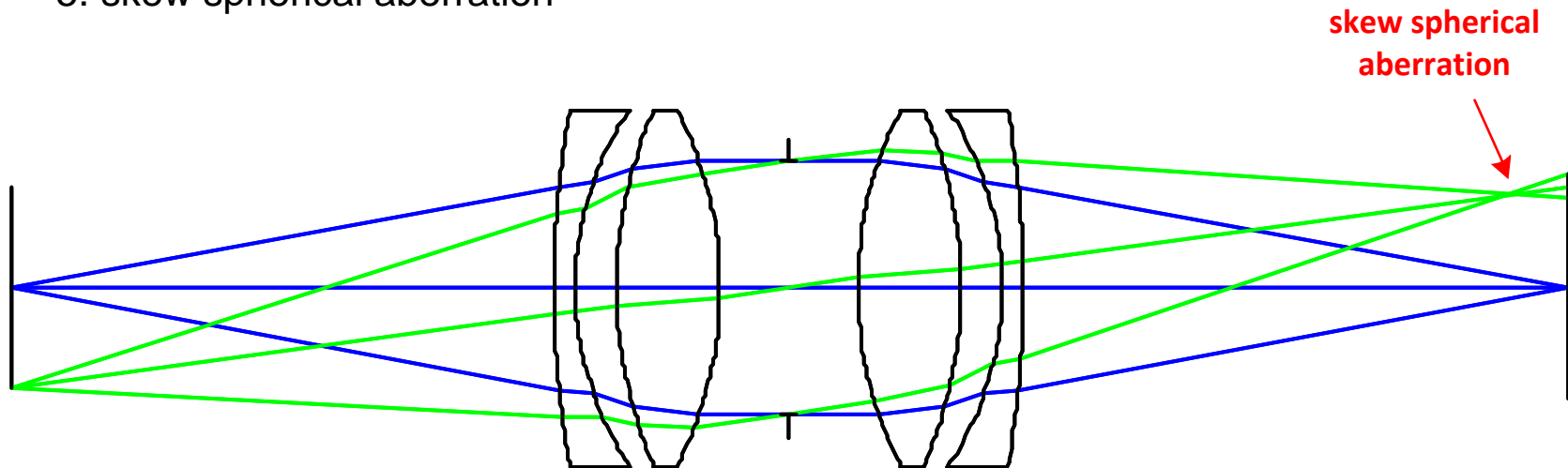
1. Symmetry principle
2. Lens bending
3. Spherical correction
4. Coma and astigmatism
5. Field flattening
6. Chromatical correction

- Perfect symmetrical system: magnification $m = -1$
- Stop in centre of symmetry
- Symmetrical contributions of wave aberrations are doubled (spherical)
- Asymmetrical contributions of wave aberration vanishes $W(-x) = -W(x)$
- Easy correction of:
coma, distortion, chromatical change of magnification



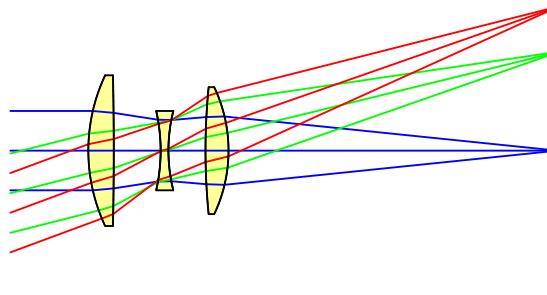
Ideal symmetrical systems:

- Vanishing coma, distortion, lateral color aberration
- Remaining residual aberrations:
 1. spherical aberration
 2. astigmatism
 3. field curvature
 4. axial chromatical aberration
 5. skew spherical aberration

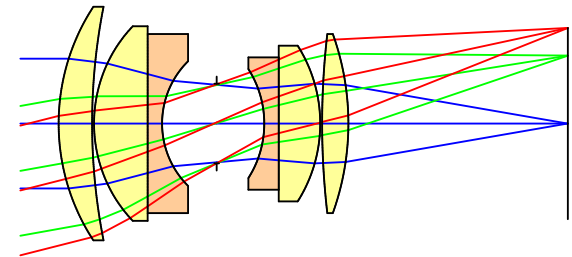


- Application of symmetry principle: photographic lenses
- Especially field dominant aberrations can be corrected
- Also approximate fulfillment of symmetry condition helps significantly:
quasi symmetry
- Realization of quasi-symmetric setups in nearly all photographic systems

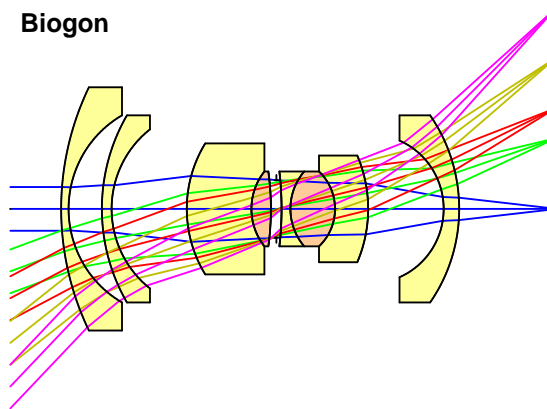
Triplet



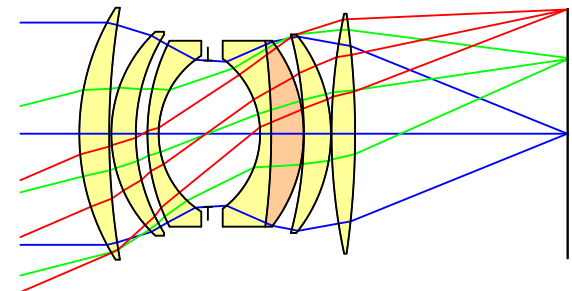
Double Gauss (6 elements)



Biogon



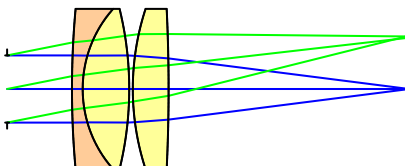
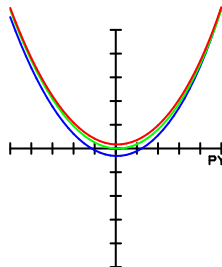
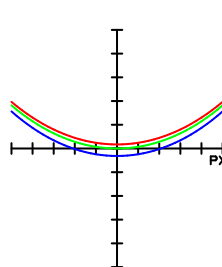
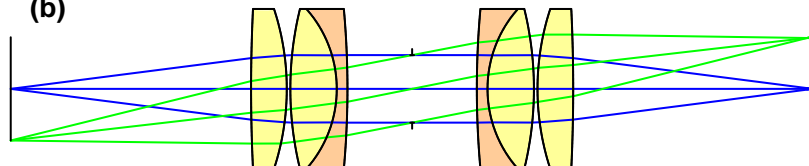
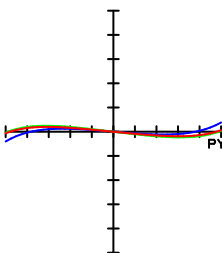
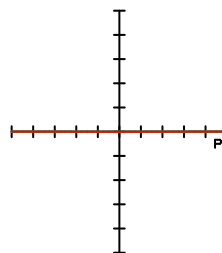
Double Gauss (7 elements)



Coma Correction: Symmetry Principle



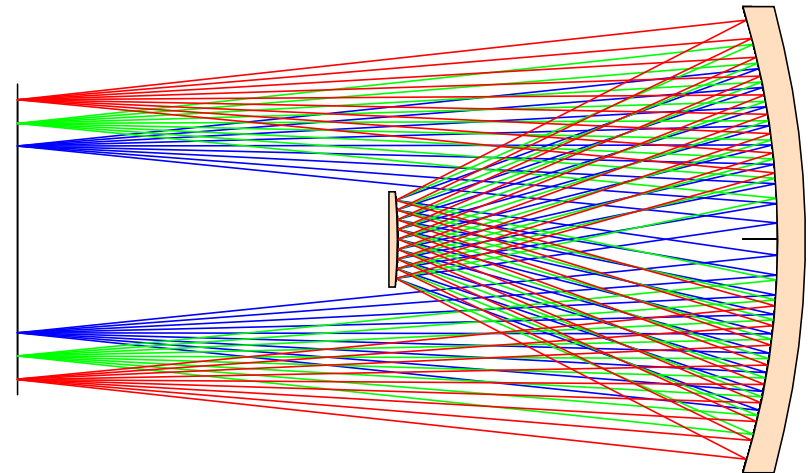
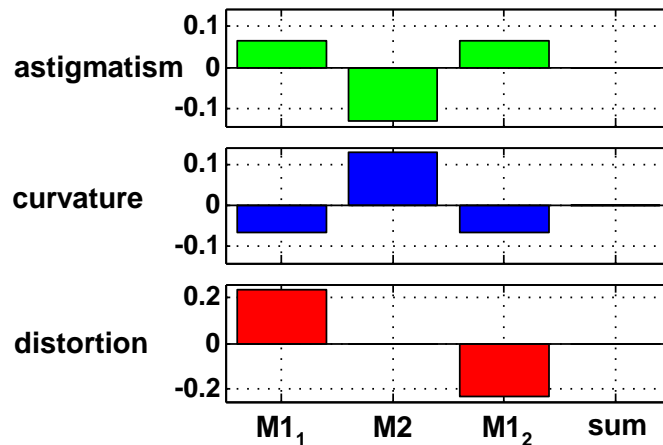
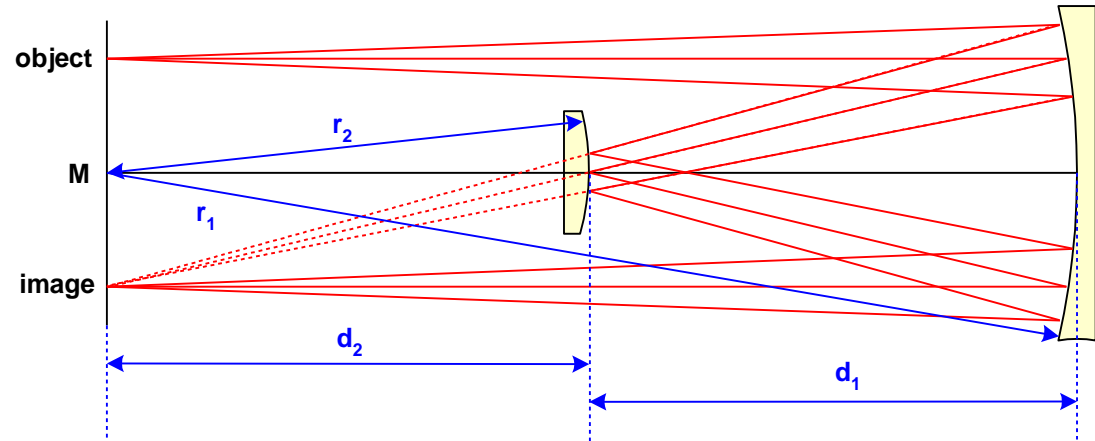
- Perfect coma correction in the case of symmetry
- But magnification $m = -1$ not useful in most practical cases

Symmetry principle	Image height:	$y' = 19 \text{ mm}$	
	Pupil section:	meridional	sagittal
	Transverse Aberration:	$\Delta y'$ 0.5 mm	$\Delta y'$ 0.5 mm
(a)			
(b)			

- Concentric system of Offner:
relation

$$d_1 = d_2 = \frac{r_1}{2} = r_2$$

- Due to symmetry:
Perfect correction of field aberrations in third order



- Catadioptric system with $m = -1$ according Dyson

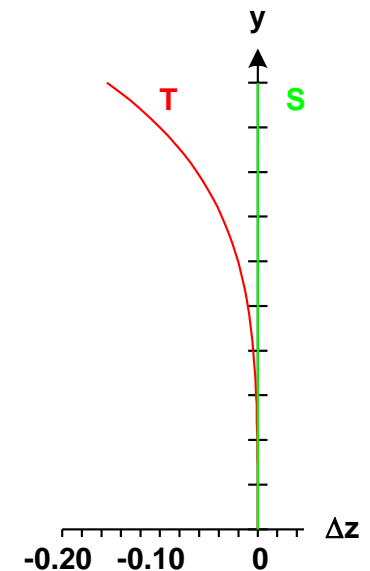
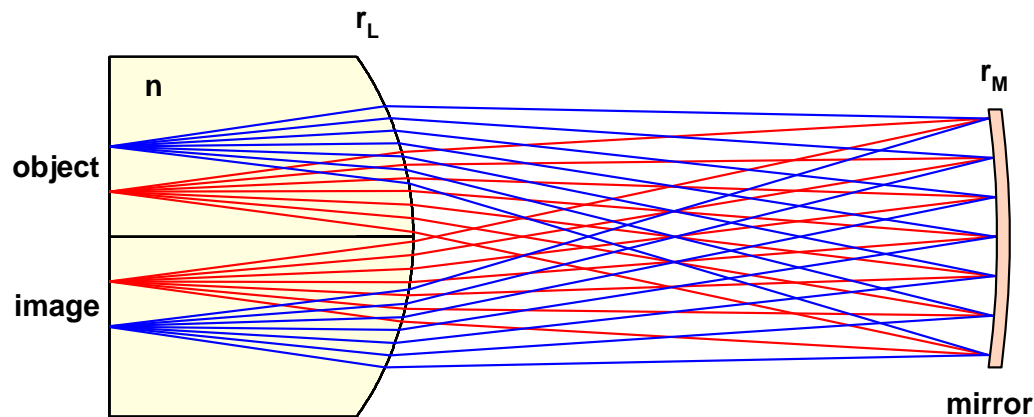
Advantage : flat field

Application: lithography and projection

- Relation:

$$r_L = \frac{n-1}{n} \cdot r_M$$

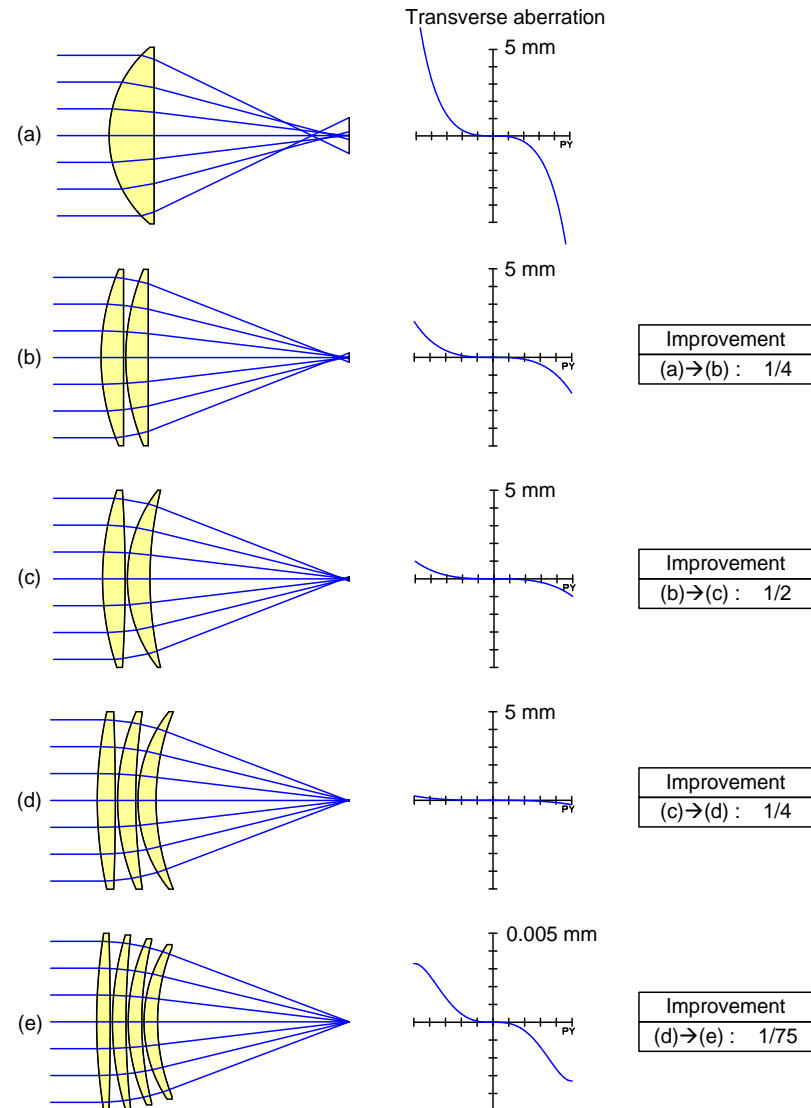
- Residual aberration : astigmatism





Correcting Spherical Aberration: Lens Splitting

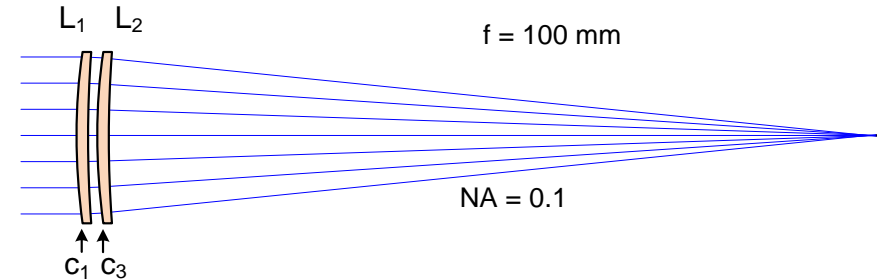
- Correction of spherical aberration:
Splitting of lenses
- Distribution of ray bending on several surfaces:
 - smaller incidence angles reduces the effect of nonlinearity
 - decreasing of contributions at every surface, but same sign
- Last example (e): one surface with compensating effect





Spherical Correction of a Doublet

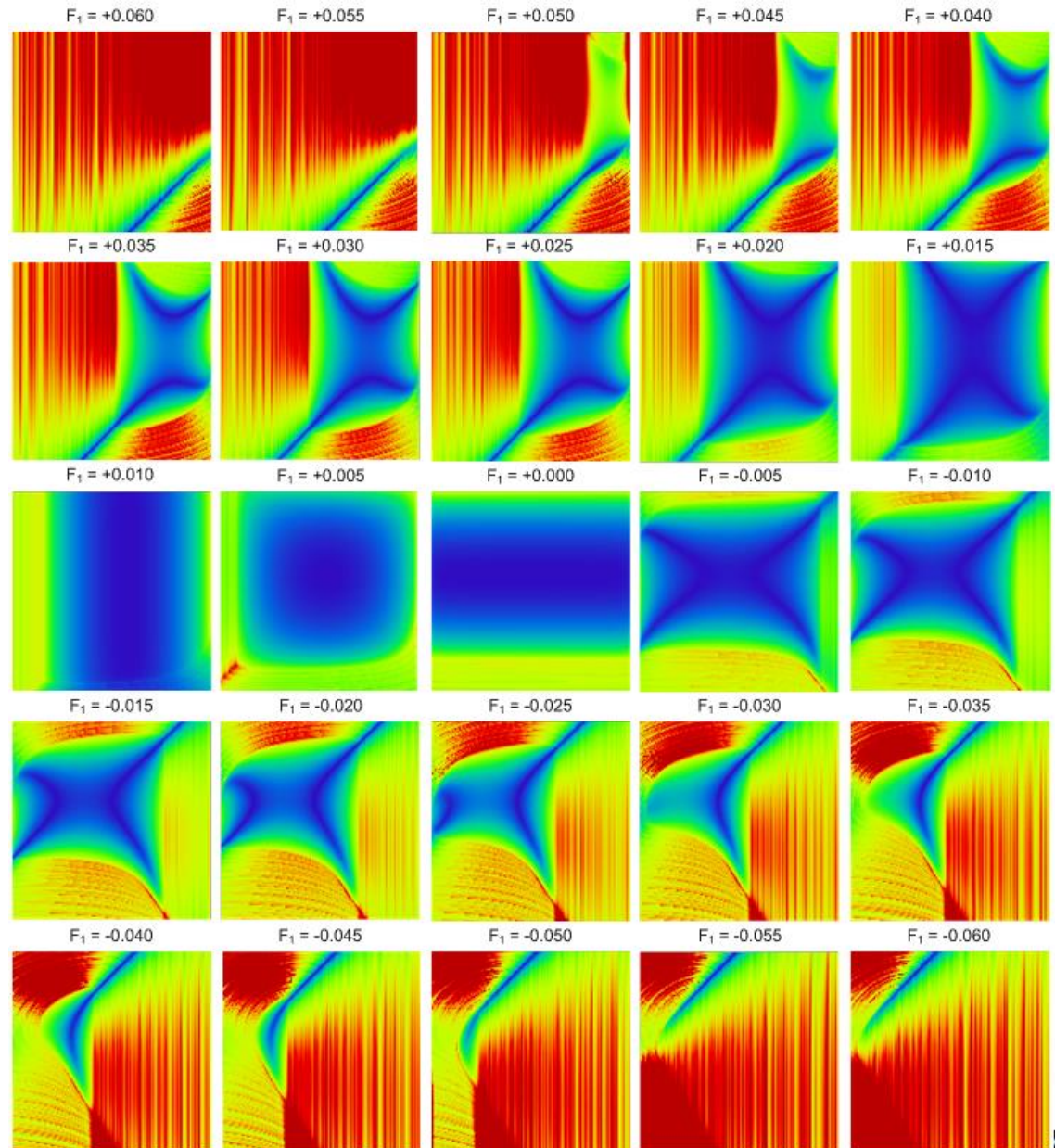
- Only spherical correction by bending only
- Fixed focal length
- 3 degrees of freedom
 F_1, c_1, c_3



- Correction of spherical aberration by one counterbended surface
- Every of the 4 surfaces can serve as corrector
- The lens with the corrector surface must have negative power with virtual imaging impact
Spherical correction of a single lens only for $M \gg 1$ or $M \ll -1$ (parabolic behavior)
- First lens corrector: retro focus type setup
- Second lens corrector: tele setup
- In any case long branch of solutions with changing bending of the other lens,
- Best solution: positive lenses have bending with the same spherical contributions

Spherical Correction of a Dublet

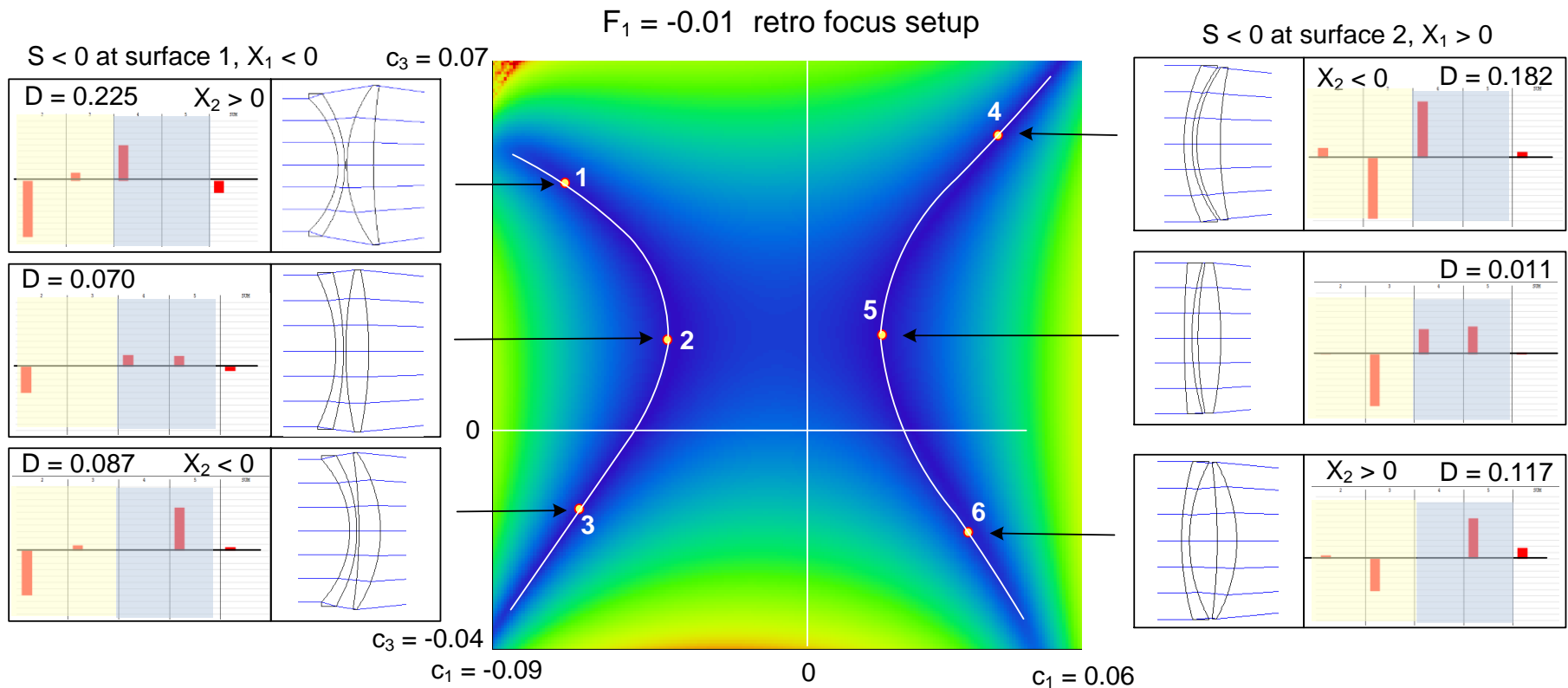
- Numerical solution
- F_1 varied
- Parameter every chart:
horizontal: $c_1 = -0.1 \dots +0.1$
vertical: $c_3 = -0.1 \dots +0.1$



Spherical Correction of a Doublet



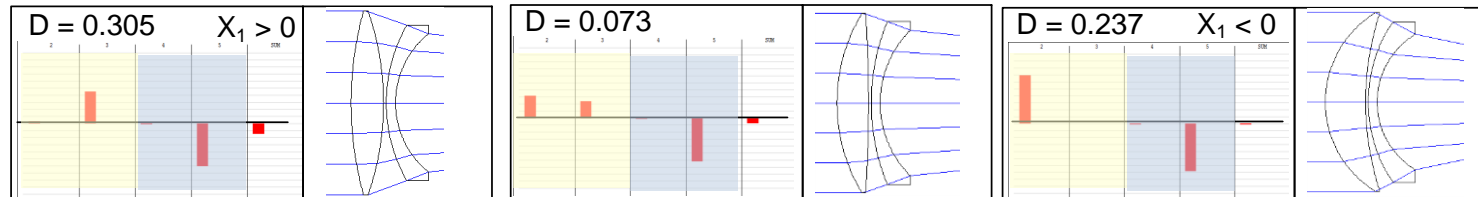
- Case of correcting first lens
Seidel bars not to scale



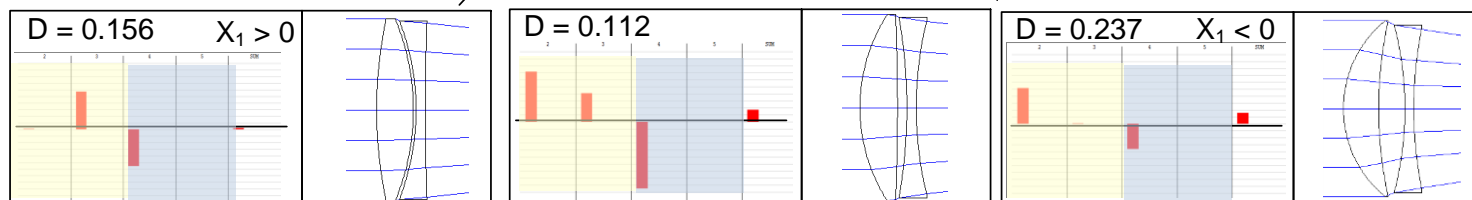
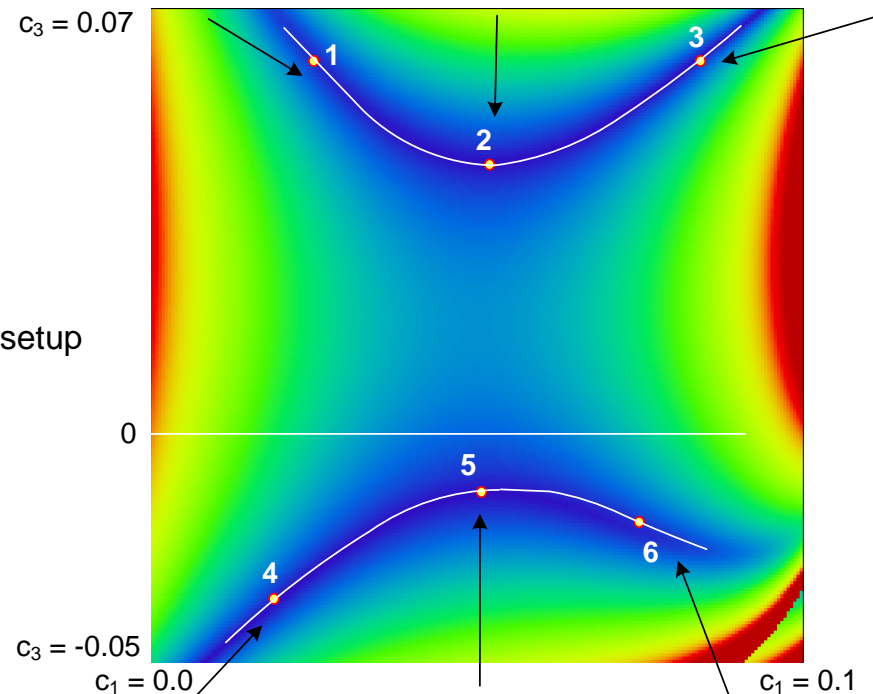
Spherical Correction of a Dublet

- Case of correcting 2nd lens

$S < 0$ at surface 4, $X_2 < 0$



$F_1 = +0.03$ tele setup

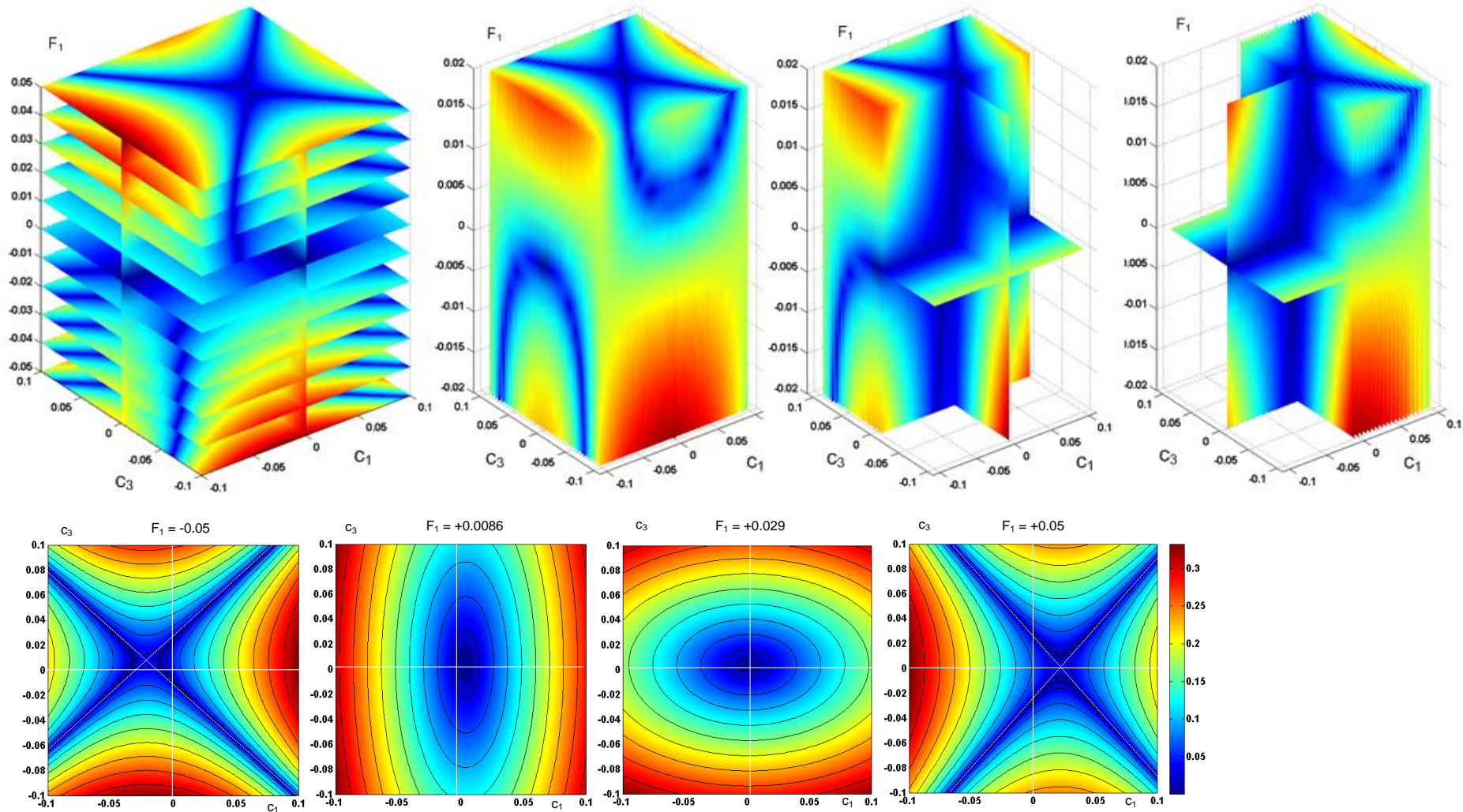


$S < 0$ at surface 3, $X_2 > 0$

Spherical Correction of a Dublet

- Analytical solution with Seidel theory
- Use of formula

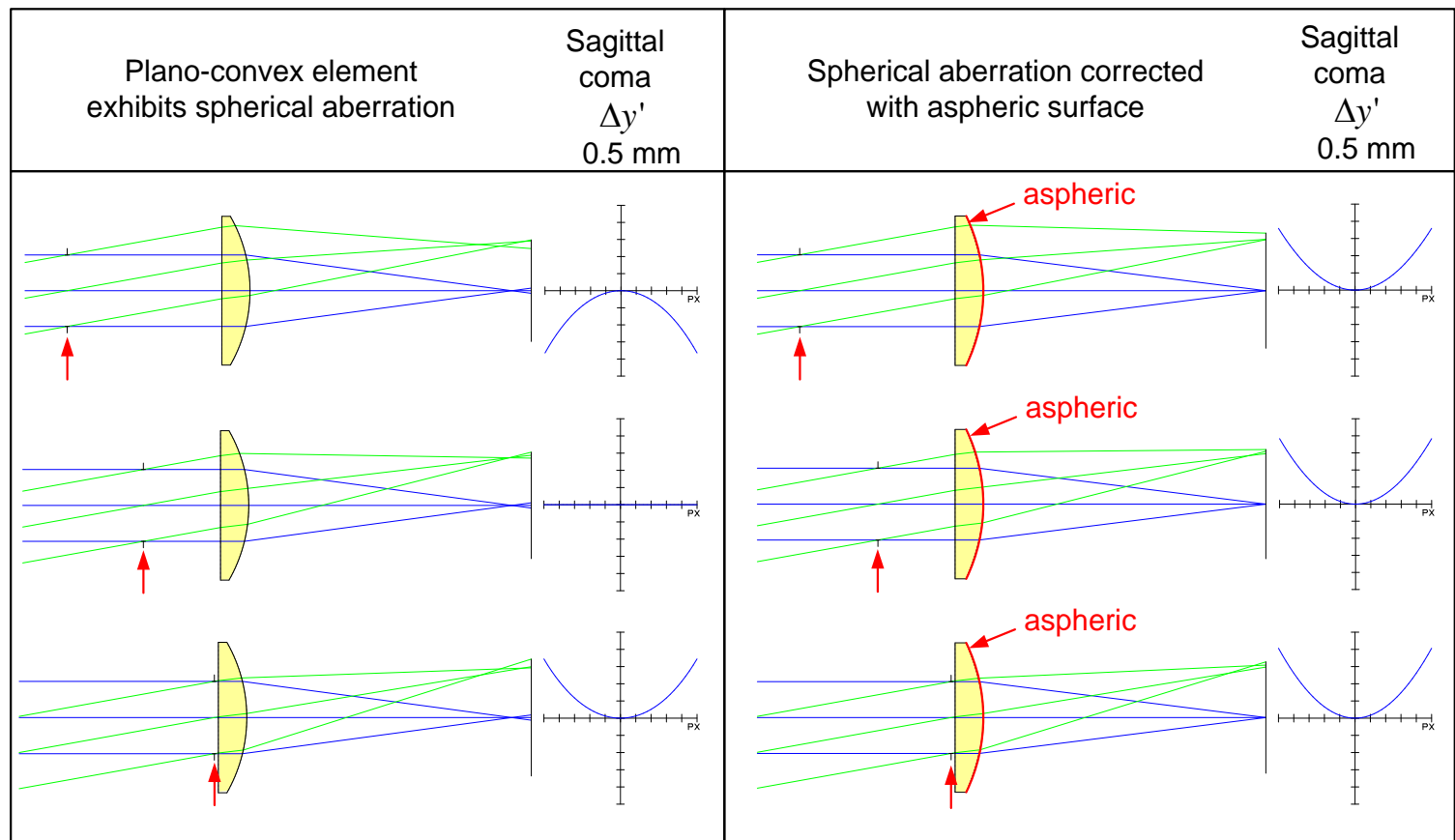
$$S^* = F^3 \left[A \cdot M^2 + B \cdot MX + C \cdot X^2 + D \right]$$





Coma Correction: Stop Position and Aspheres

- Combined effect, aspherical case prevent correction



Petzval Theorem for Field Curvature

- Petzval theorem for field curvature:

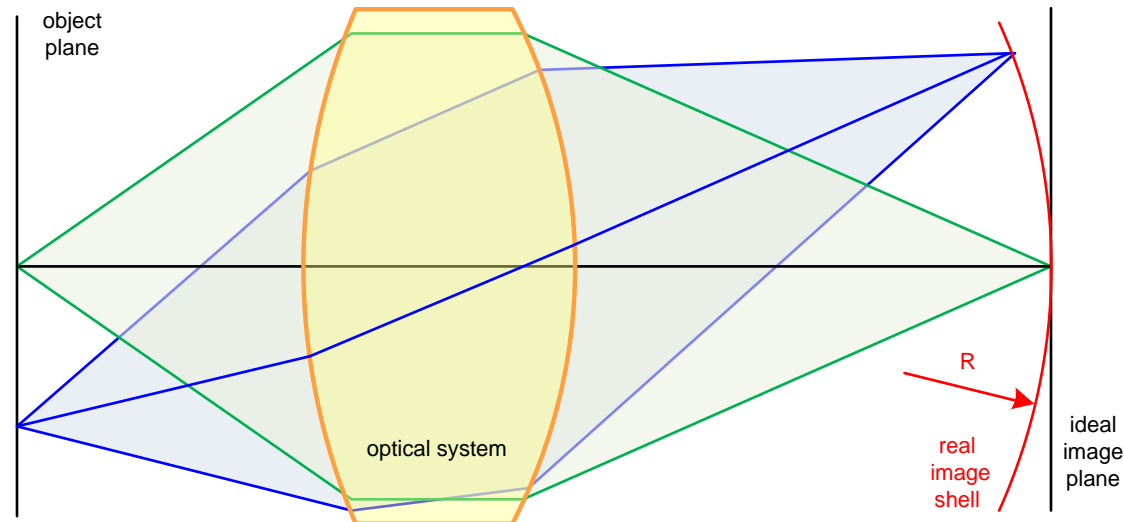
1. formulation for surfaces

$$\frac{1}{R_{ptz}} = -n_m' \sum_k \frac{n_k' - n_k}{n_k \cdot n_k' \cdot r_k}$$

2. formulation for thin lenses (in air)

$$\frac{1}{R_{ptz}} = -\sum_j \frac{1}{n_j \cdot f_j}$$

- Important: no dependence on bending
- Natural behavior: image curved towards system
- Problem: collecting systems with $f > 0$:
If only positive lenses:
 R_{ptz} always negative





Petzval Theorem for Field Curvature

- Goal: vanishing Petzval curvature

$$\frac{1}{R_{ptz}} = - \sum_j \frac{1}{n_j \cdot f_j}$$

and positive total refractive power

$$\frac{1}{f} = \sum_j \frac{h_j}{h_1} \cdot \frac{1}{f}$$

for multi-component systems

- Solution:

General principle for correction of curvature of image field:

1. Positive lenses with:

- high refractive index
- large marginal ray heights
- gives large contribution to power and low weighting in Petzval sum

2. Negative lenses with:

- low refractive index
- small marginal ray heights
- gives small negative contribution to power and high weighting in Petzval sum

- Possible lenses / lens groups for correcting field curvature
- Interesting candidates: thick meniscus shaped lenses

$$\frac{1}{R_{ptz}} = - \sum_k \frac{n_k' - n_k}{n_k \cdot n_k' \cdot r_k} = - \frac{1}{n \cdot f} + \left(\frac{n-1}{n} \right)^2 \cdot \frac{d}{r_1 r_2}$$

1. Hoeghs meniscus: identical radii
 - Petzval sum zero
 - remaining positive refractive power

$$F' = \frac{(n-1)^2 d}{n \cdot r^2}$$

2. Concentric meniscus,
 - Petzval sum negative
 - weak negative focal length
 - refractive power for thickness d:

$$r_2 = r_1 - d$$

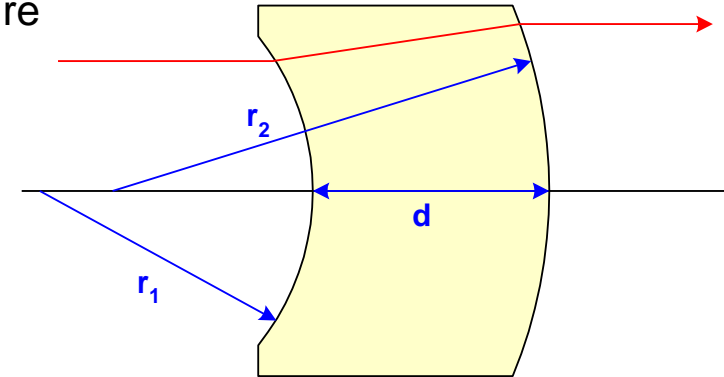
$$\frac{1}{R_{ptz}} = \frac{(n-1) \cdot d}{n r_1 \cdot (r_1 - d)}$$

$$F' = - \frac{(n-1)d}{n r_1 (r_1 - d)}$$

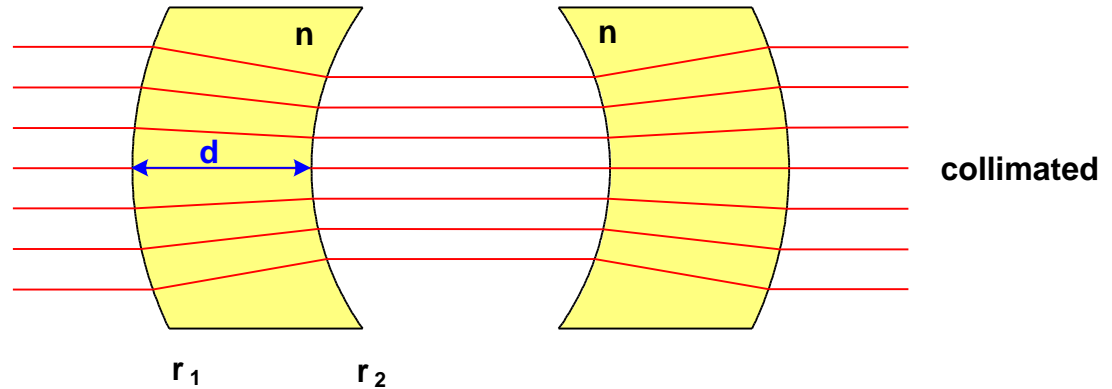
3. Thick meniscus without refractive power
Relation between radii

$$r_2 = r_1 - d \cdot \frac{n-1}{n}$$

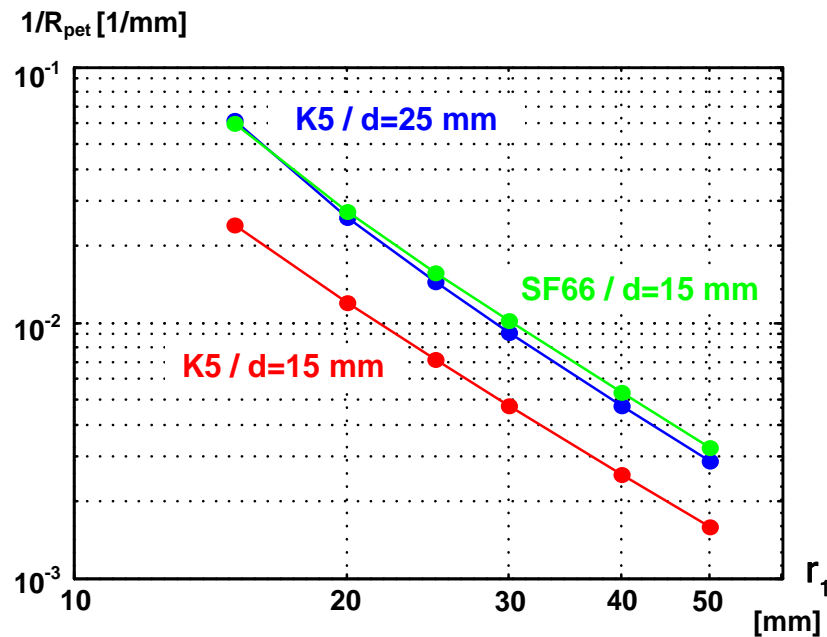
$$\frac{1}{R_{ptz}} = \frac{(n-1)^2 \cdot d}{n r_1 \cdot [n r_1 - d \cdot (n-1)]} > 0$$



- Group of meniscus lenses

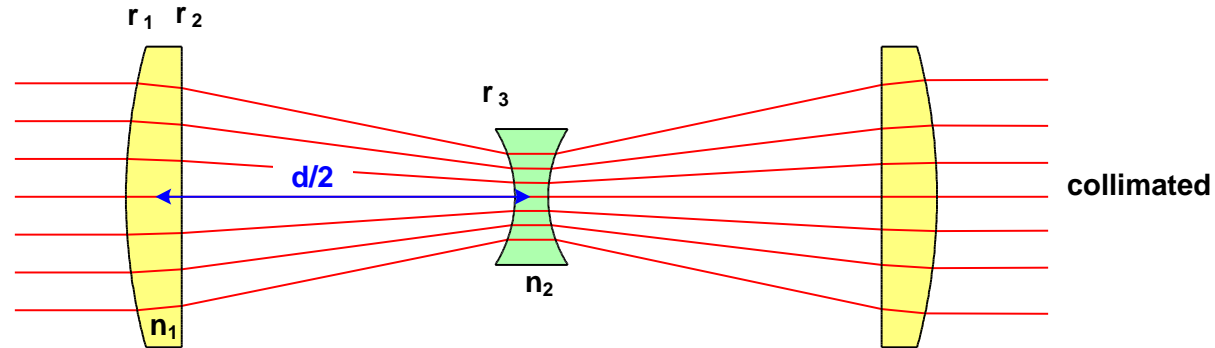


- Effect of distance and refractive indices

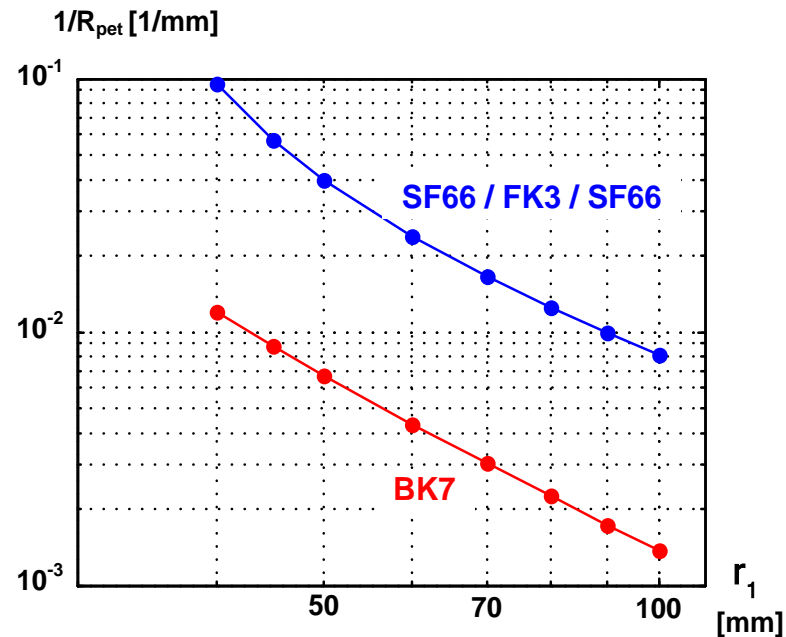


Correcting Petzval Curvature

- Triplet group with + - +



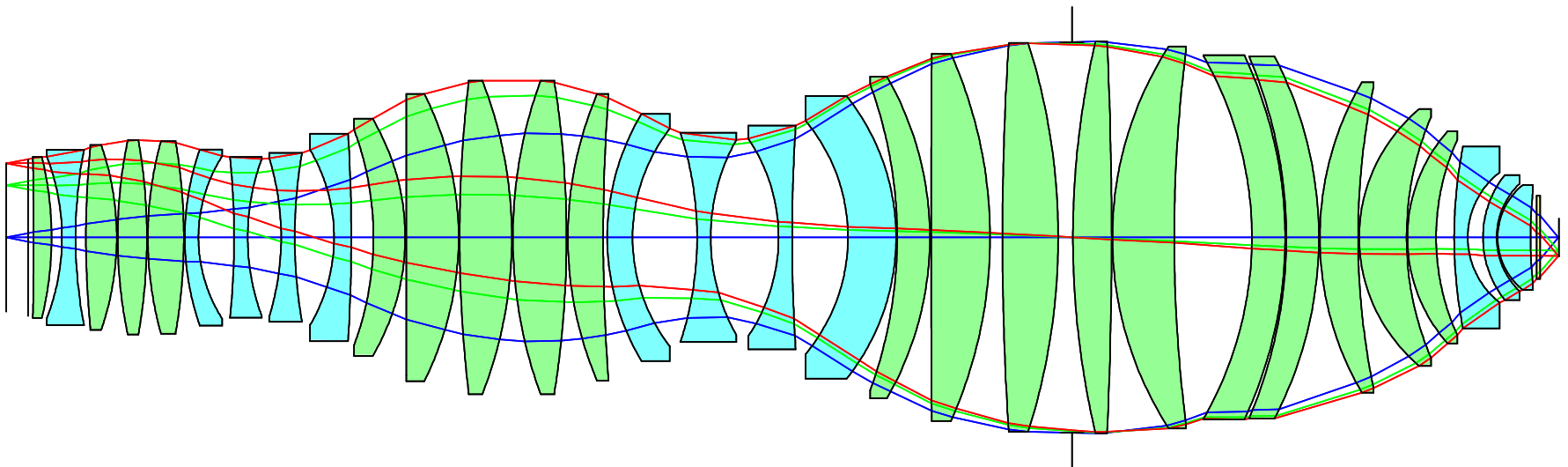
- Effect of distance and refractive indices



- Correction of Petzval field curvature in lithographic lens for flat wafer
- Positive lenses: Green h_j large
- Negative lenses : Blue h_j small
- Correction principle: certain number of bulges

$$\frac{1}{R} = - \sum_j \frac{F_j}{n_j}$$

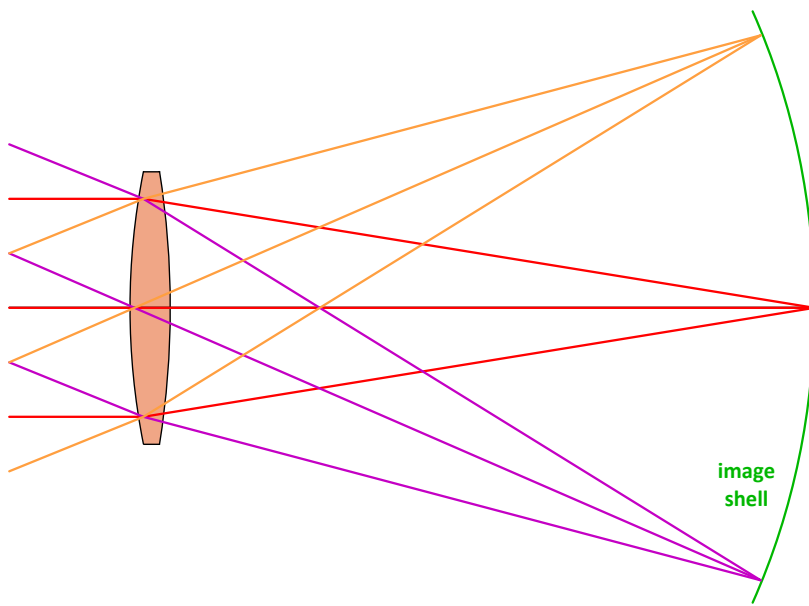
$$F = \sum_j \frac{h_j}{h_1} \cdot F_j$$



Effect of a field lens for flattening the image surface

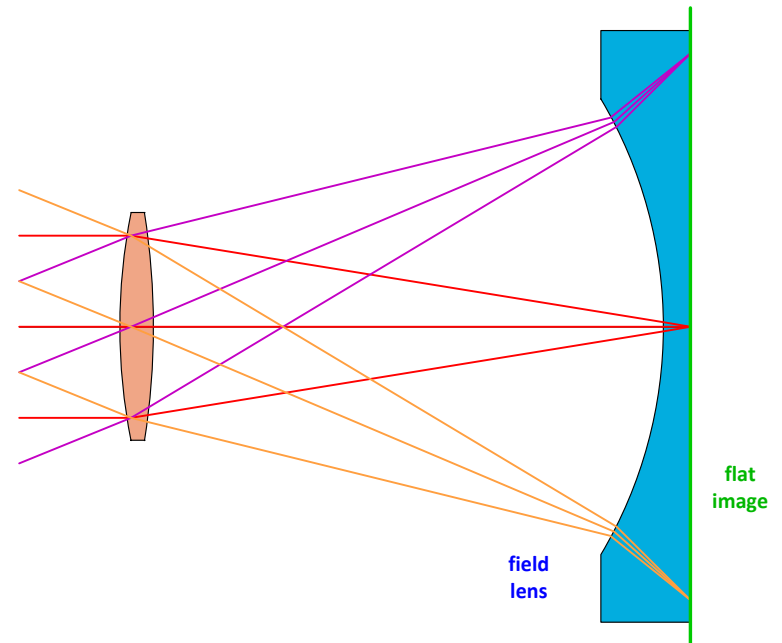
1. Without field lens

curved image surface



2. With field lens

image plane





Achromate : Basic Formulas

- Idea:

1. Two thin lenses close together with different materials
2. Total power

$$F = F_1 + F_2$$

3. Achromatic correction condition

$$\frac{F_1}{\nu_1} + \frac{F_2}{\nu_2} = 0$$

- Individual power values

$$F_1 = \frac{1}{1 - \frac{\nu_2}{\nu_1}} \cdot F$$

$$F_2 = \frac{1}{1 - \frac{\nu_1}{\nu_2}} \cdot F$$

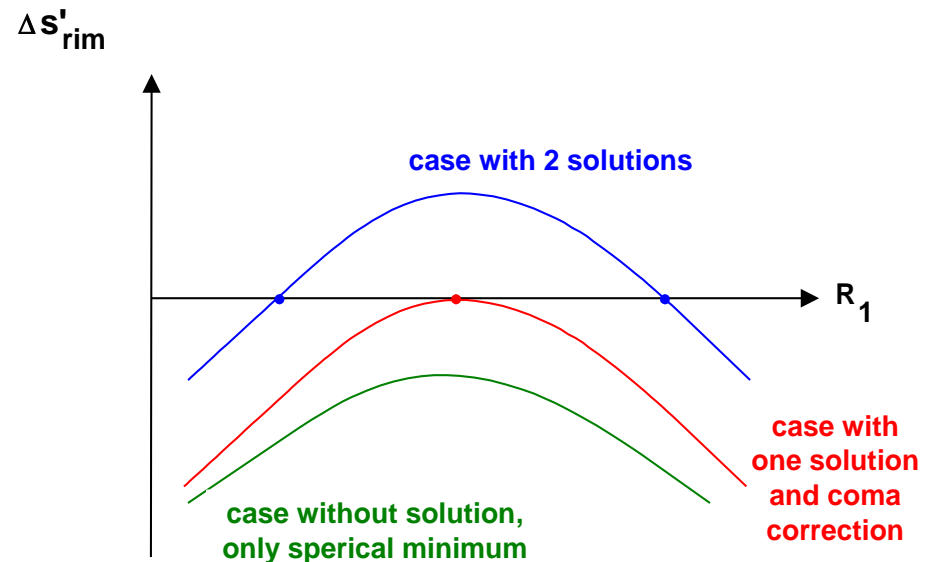
- Properties:

1. One positive and one negative lens necessary
2. Two different sequences of plus (crown) / minus (flint)
3. Large ν -difference relaxes the bendings
4. Achromatic correction independent from bending
5. Bending corrects spherical aberration at the margin
6. Aplanatic coma correction for special glass choices
7. Further optimization of materials reduces the spherical zonal aberration



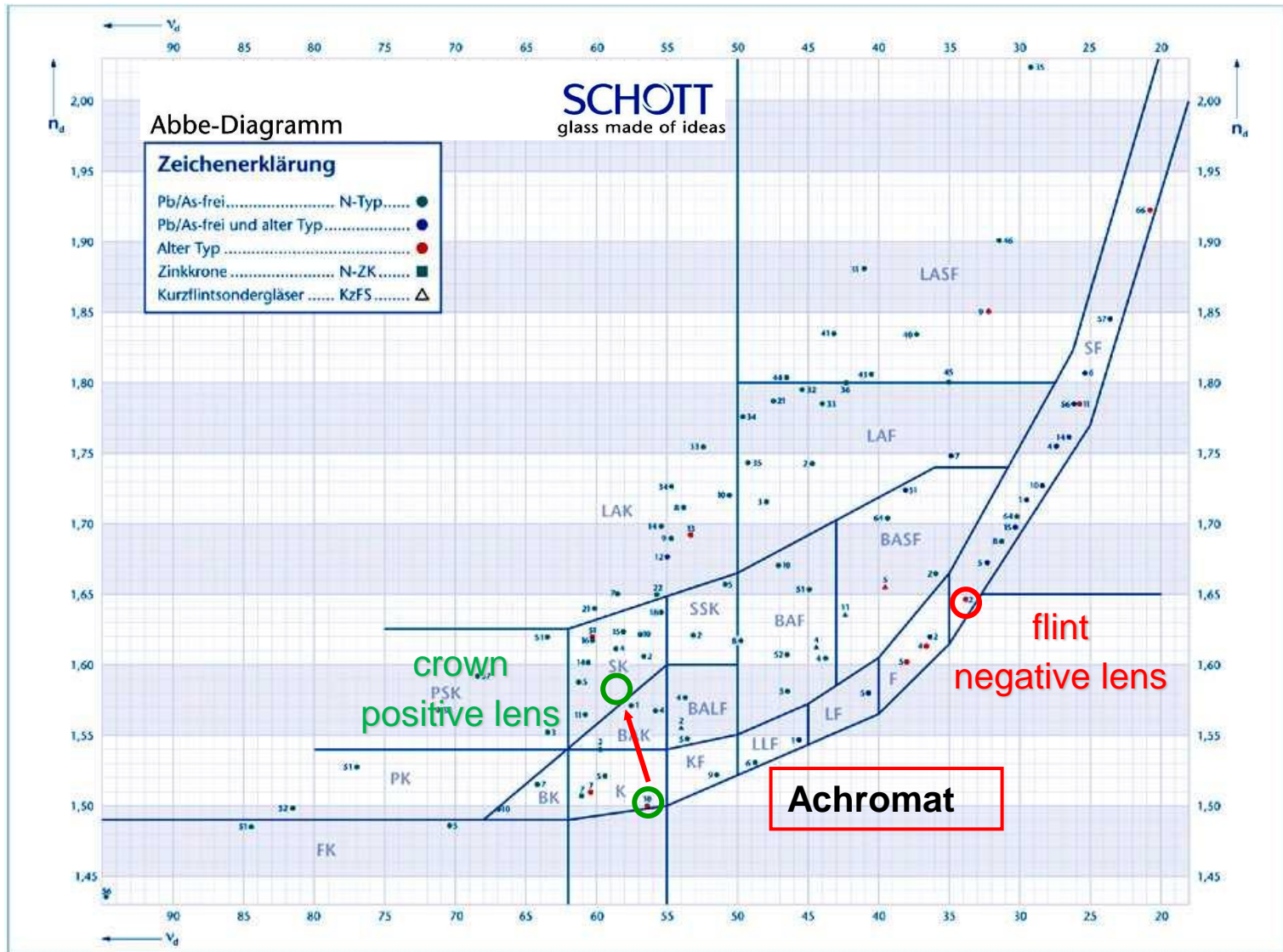
Achromate: Correction

- Cemented achromate:
6 degrees of freedom:
3 radii, 2 indices, ratio v_1/v_2
- Correction of spherical aberration:
diverging cemented surface with positive
spherical contribution for $n_{\text{neg}} > n_{\text{pos}}$
- Choice of glass: possible goals
 1. aplanatic coma correction
 2. minimization of spherochromatism
 3. minimization of secondary spectrum
- Bending has no impact on chromatical
correction:
is used to correct spherical aberration
at the edge
- Three solution regions for bending
 1. no spherical correction
 2. two equivalent solutions
 3. one aplanatic solution, very stable



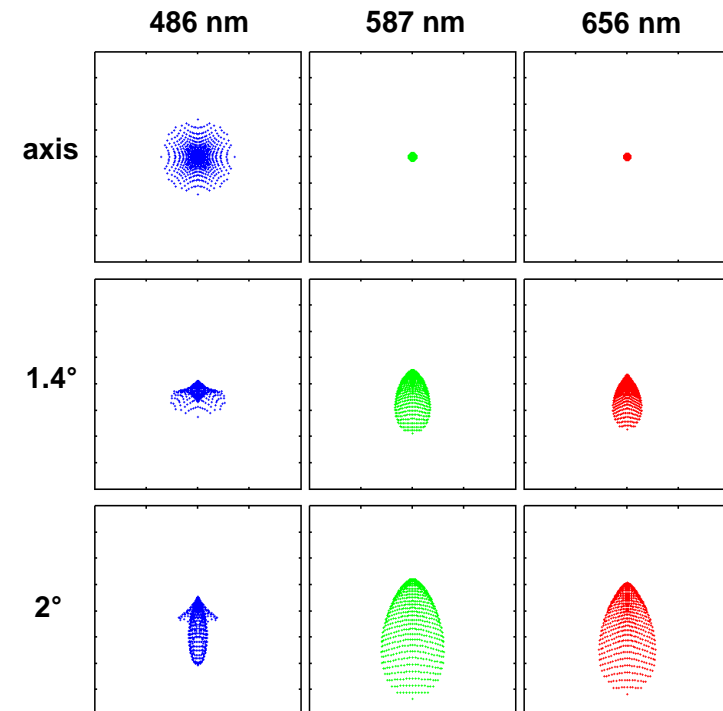
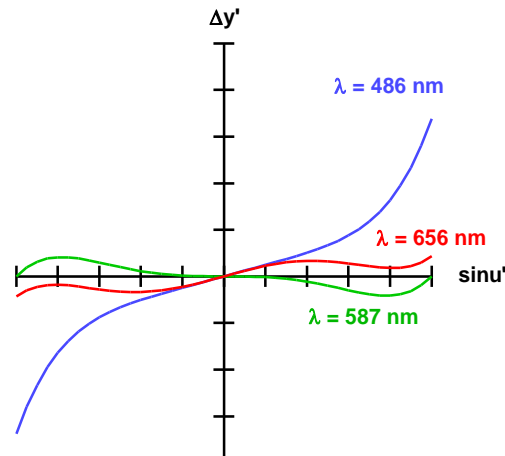
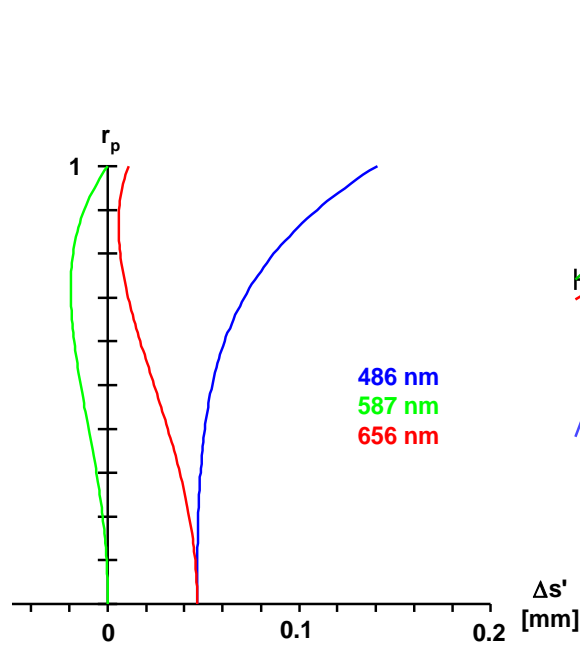
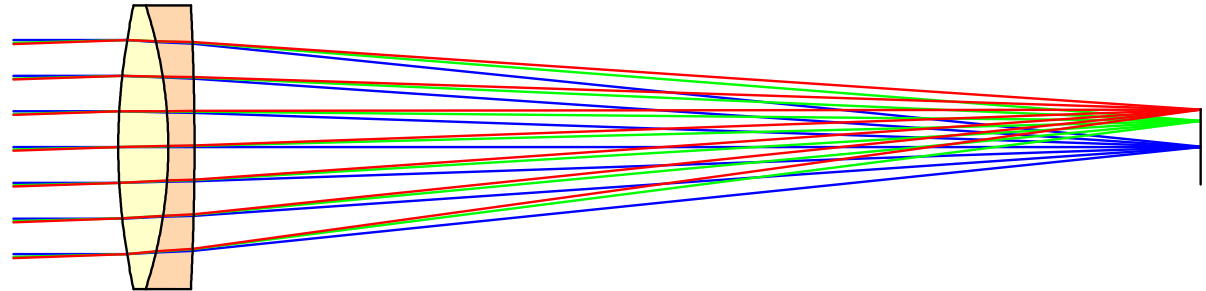


Achromatic solutions in the Glass Diagram



- Achromate

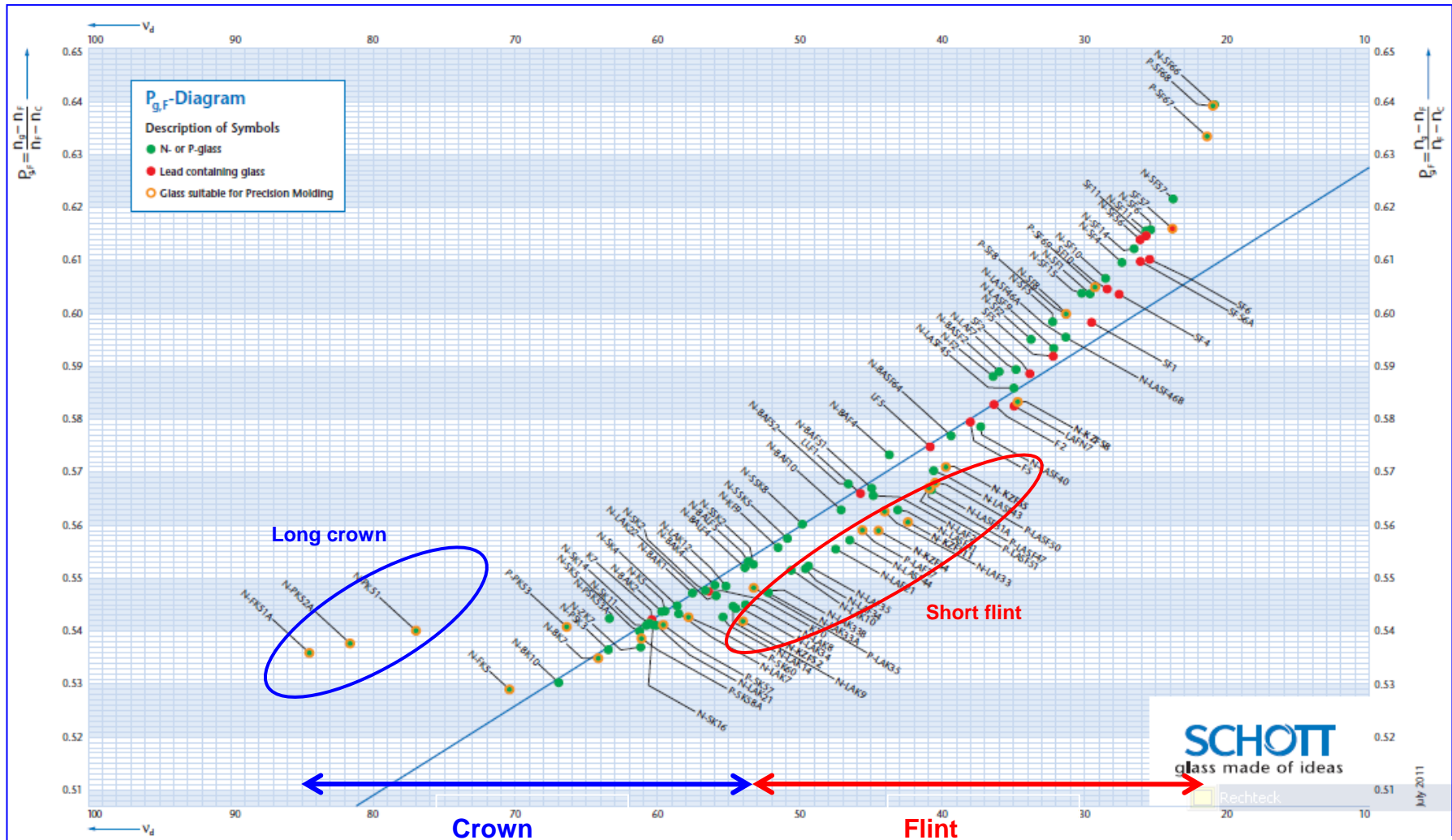
- Longitudinal aberration
- Transverse aberration
- Spot diagram





Relative Partial Dispersion

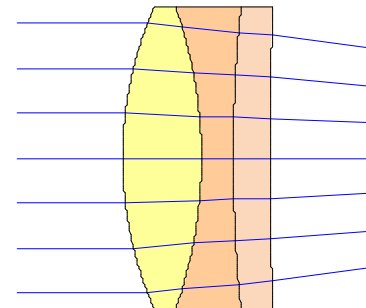
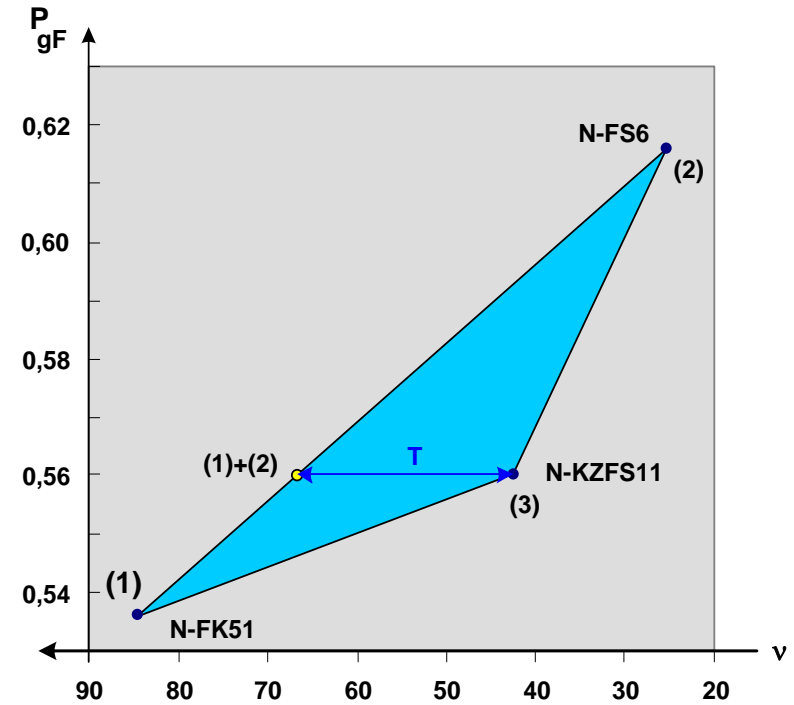
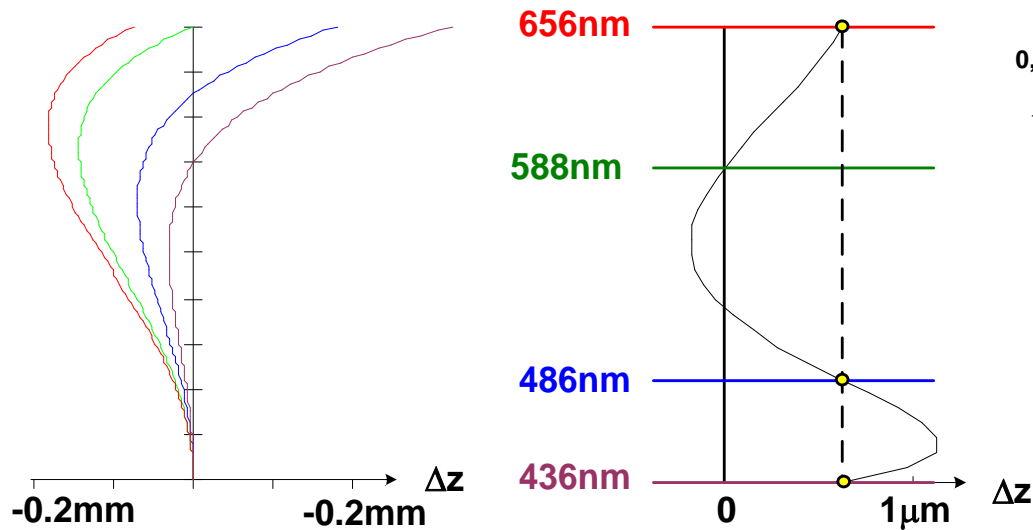
- Long crown and short flint as special realizations of large P





Axial Colour : Apochromate

- Choice of at least one special glass
- Correction of secondary spectrum:
anomalous partial dispersion
- At least one glass should deviate
significantly from the normal glass line



- Focal power condition
- Achromatic condition
- Secondary spectrum
- Curvatures of lenses

$$c = \frac{1}{r_1} - \frac{1}{r_2}$$

$$F = F_1 + F_2 + F_3$$

$$\frac{F_1}{\nu_1} + \frac{F_2}{\nu_2} + \frac{F_3}{\nu_3} = 0$$

$$\frac{P_1 \cdot F_1}{\nu_1} + \frac{P_2 \cdot F_2}{\nu_2} + \frac{P_3 \cdot F_3}{\nu_3} = 0$$

$$c_a = \frac{1}{f \cdot E \cdot (\nu_a - \nu_c)} \cdot \frac{P_b - P_c}{n_{a,\lambda 1} - n_{a,\lambda 3}}$$

$$c_b = \frac{1}{f \cdot E \cdot (\nu_a - \nu_c)} \cdot \frac{P_c - P_a}{n_{b,\lambda 1} - n_{b,\lambda 3}}$$

$$c_c = \frac{1}{f \cdot E \cdot (\nu_a - \nu_c)} \cdot \frac{P_a - P_b}{n_{c,\lambda 1} - n_{c,\lambda 3}}$$

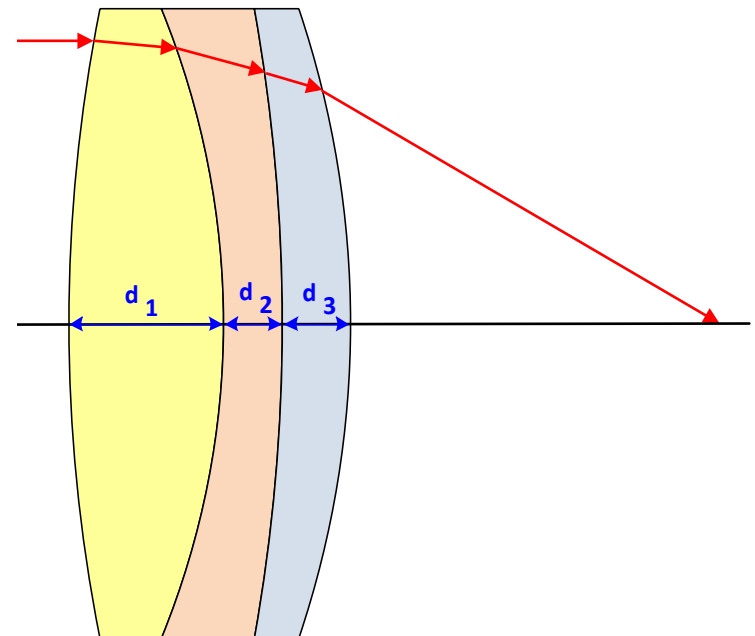
- Parameter E

$$E = \frac{1}{\nu_a - \nu_c} \cdot [\nu_a \cdot (P_b - P_c) + \nu_b \cdot (P_c - P_a) + \nu_c \cdot (P_a - P_b)]$$

- The 3 materials are not allowed to be on the normal line
- The triangle of the 3 points should be large: small c_j give relaxed design

- Cemented surface with perfect refractive index match
- No impact on monochromatic aberrations
- Only influence on chromatical aberrations
- Especially 3-fold cemented components are advantages
- Can serve as a starting setup for chromatical correction with fulfilled monochromatic correction
- Special glass combinations with nearly perfect parameters

Nr	Glas	n_d	Δn_d	v_d	Δv_d
1	SK16	1.62031	0.00001	60.28	22.32
	F9	1.62030		37.96	
2	SK5	1.58905	0.00003	61.23	20.26
	LF2	1.58908		40.97	
3	SSK2	1.62218	0.00004	53.13	17.06
	F13	1.62222		36.07	
4	SK7	1.60720	0.00002	59.47	10.23
	BaF5	1.60718		49.24	



Principles of Glass Selection in Optimization

- Design Rules for glass selection

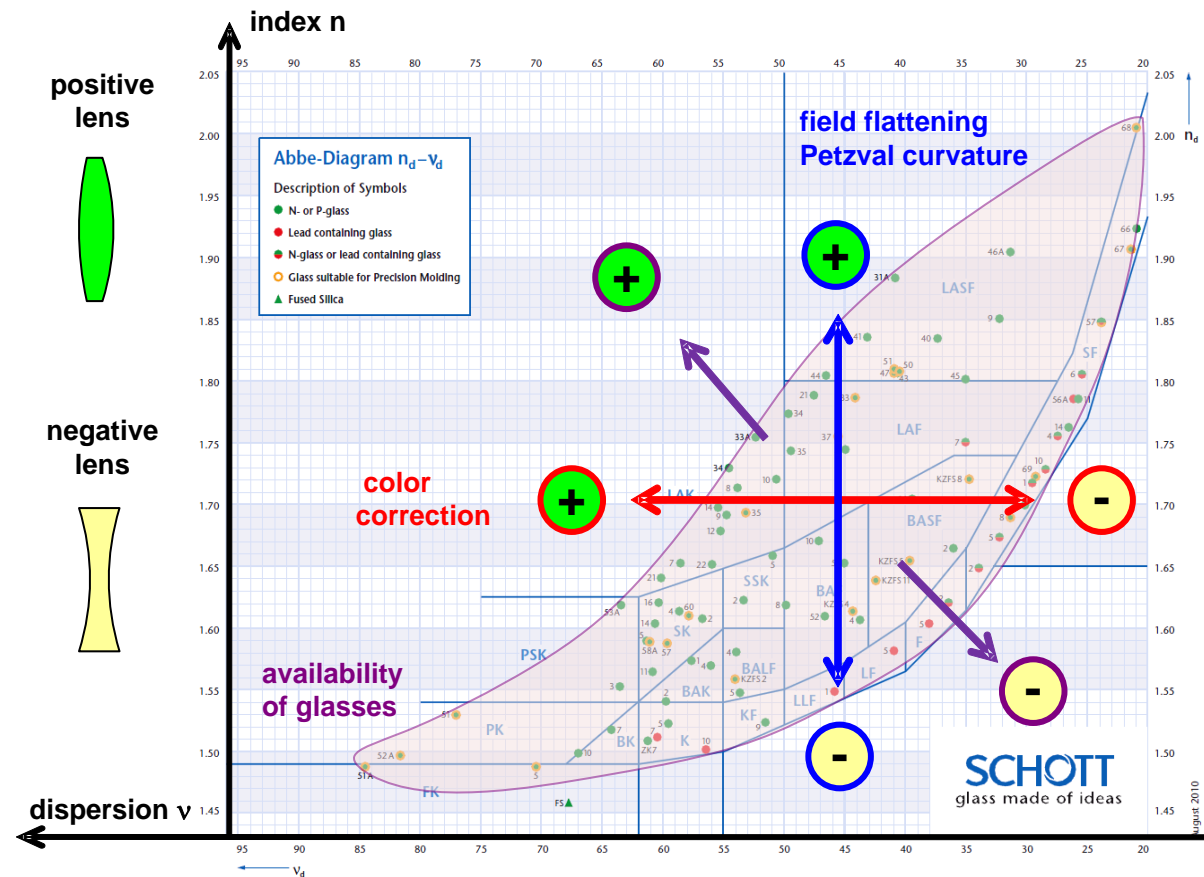
- Different design goals:

- Color correction:

large dispersion
difference desired

- Field flattening:

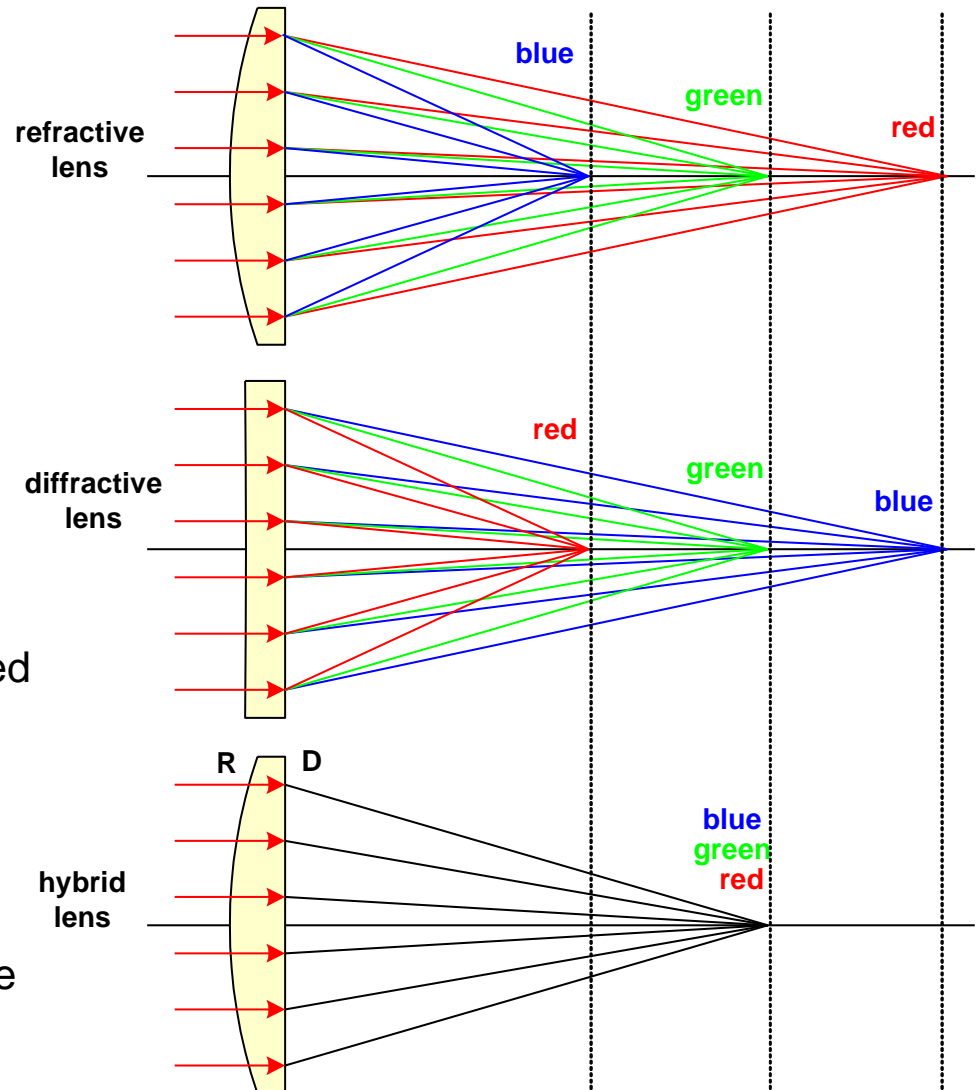
large index difference
desired



Achromatic Hybrid Lens

- Lens with diffractive structured surface: hybrid lens
- Refractive lens: dispersion with Abbe number $\nu = 25 \dots 90$
- Diffractive lens: equivalent Abbe number

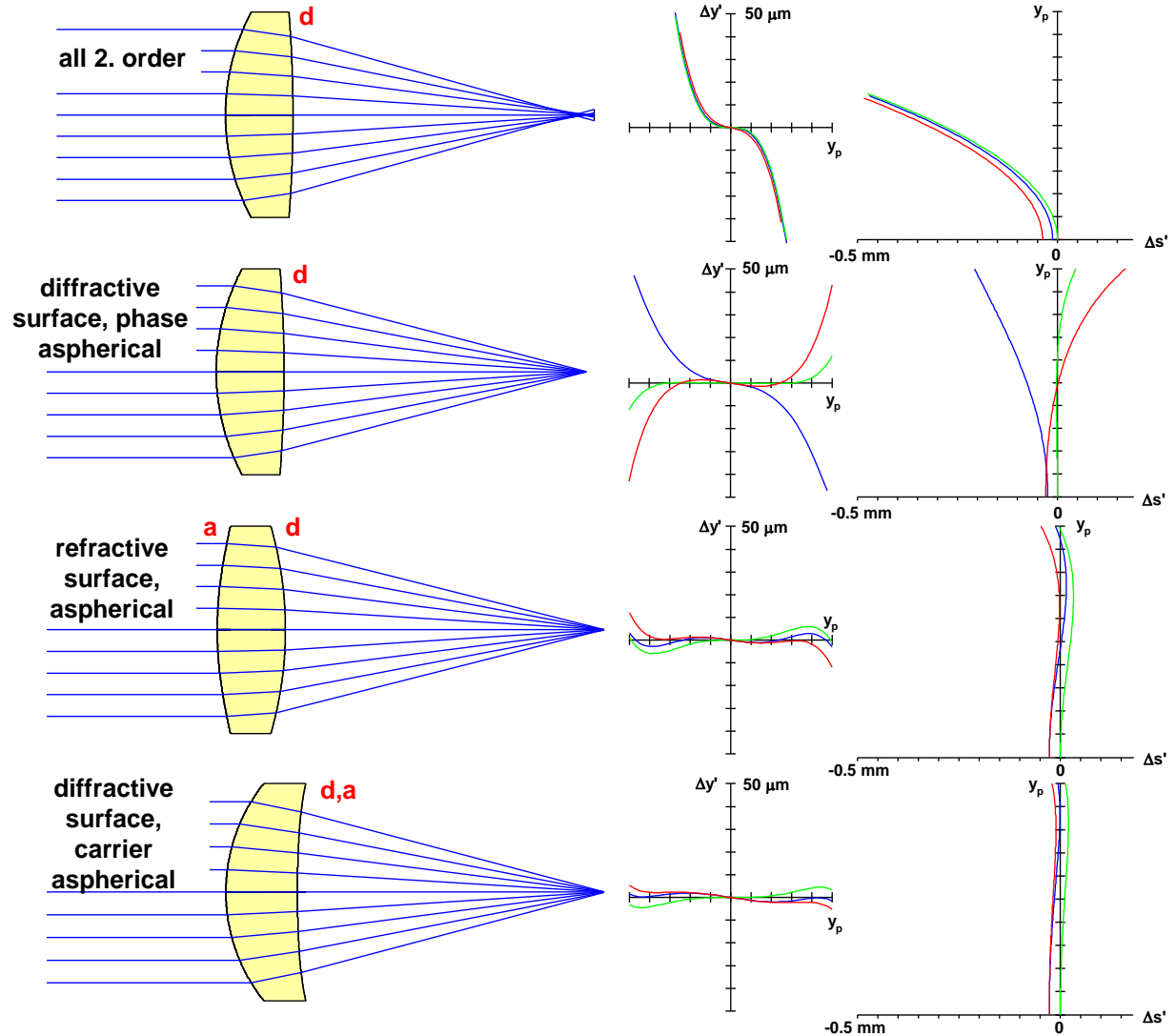
$$\nu_d = \frac{\lambda_d}{\lambda_F - \lambda_C} = -3.453$$
- Combination of refractive and diffractive surfaces: achromatic correction for compensated dispersion
- Usually remains a residual high secondary spectrum
- Broadband color correction is possible but complicated





Diffractive Optics: Singlet Solutions

- Combination of DOE and aspherical carrier



Exercise: Symmetric system



In a symmetric system, all odd aberrations are completely corrected. This is demonstrated in this exercise.

a) Establish an incoming collimated beam with wavelength 500 nm and 10 mm diameter with the field angles 0° , 7° and 10° . It is focussed by two lenses with material SF6, thickness 5 mm and distance 10 mm. The image is located in a distance of 100 mm, the stop lies 5 mm before the first lens vertex. Optimize the system by changing only the radii of curvature. Inspect the quality by calculating the spots, the Seidel aberration contributions, the distortion and the Zernike coefficients for the outer field point.

b) Now double the system perfectly symmetric. Exchange the field definition from angle to the equivalent finite object height. What is the correction now ? Change the position of the stop only by a slider option. What kind of changes are seen ? Prepare a universal plot to see the change in coma as a function of the stop location between 0 and 10 mm.

c) Now re-optimize the system preserving the symmetry. Is the system now diffraction limited ?