

**Midterm Exam**  
**FUNDAMENTALS OF MODERN OPTICS**

to be written on December 17, 8:15 am – 9:45 am

**Problem 1: Maxwell's Equations****4 + 2 + 3 = 9 points**

- Write down Maxwell's equations (MWE) in the time domain and in the frequency domain in a linear, homogeneous, isotropic, and dispersive medium without external charges but with currents.
- Write down the relation between  $\mathbf{D}$  and  $\mathbf{E}$  in the time and frequency domain in this medium. Name additional functions used in the relations!
- Derive the Helmholtz equation for the magnetic field  $\mathbf{H}(\mathbf{r}, \omega)$  from MWE in this medium. Assume that  $\mathbf{j}(\mathbf{r}, \omega) = \sigma(\omega) \mathbf{E}(\mathbf{r}, \omega)$ .

**Problem 2: Poynting vector****2 + 2 + 3 + 4 = 11 points**

- What is the general connection between the Poynting vector  $\mathbf{S}(\mathbf{r}, t)$  and the optical intensity  $I(\mathbf{r})$ ?

Now consider the electric field

$$\mathbf{E}(z, t) = \mathbf{E}_0 \exp[i(\alpha z - \omega_0 t)] + \mathbf{E}_1 \exp[i(\beta z - \omega_0 t)],$$

where  $\mathbf{E}_0 = A_0 \hat{\mathbf{e}}_x$ ,  $\mathbf{E}_1 = A_1 \hat{\mathbf{e}}_y$ .  $A_0$ ,  $A_1$ ,  $\alpha$ , and  $\beta$  are real valued.

- Calculate the magnetic field  $\mathbf{H}(z, t)$  for the electric field defined above.
- Calculate the time-averaged Poynting vector  $\langle \mathbf{S}(\mathbf{r}, t) \rangle$  and the intensity.
- Calculate the intensity for the case  $\mathbf{E}_1 = A_1 \hat{\mathbf{e}}_x$  (keep  $\mathbf{E}_0 = A_0 \hat{\mathbf{e}}_x$ ). Explain the physical reason why you get a different result than in c).

**Problem 3: Beam Propagation****3 + 1 + 4 + 2 = 10 points**Consider the propagation of a monochromatic, scalar field  $u(x, y, z)$  at wavelength  $\lambda$  along the  $z$ -direction starting from a given initial field distribution  $u(x, y, z = 0) = u_0(x, y)$ .

- Describe the steps necessary to calculate the field distribution  $u(x, y, z)$  for any  $z > 0$ . Name and define all functions and quantities that you use.
- State the condition under which the paraxial approximation can be applied. How does the computation of  $u(x, y, z)$  change in this case?

In the following we consider a slit with a width  $d$  and a linear phase profile  $\varphi = \xi x$  in  $x$ -direction. Assume that the field after the slit can be written as

$$u(x, z = 0) = u_0(x) = \begin{cases} \exp(i\xi x) & \text{for } |x| < d/2, \\ 0 & \text{else.} \end{cases}$$

- Calculate the Fourier transform  $U_0(\alpha)$  of the initial field. Sketch the resulting spectrum and explain what effect the linear phase mask has on the spectrum.
- Find the range of  $\xi$  such that the main spectral lobe (region from spectral maximum to the first zeros) is fully propagating assuming a wavelength of  $\lambda = 2d/3$ .

**Problem 4: Pulses****3 + 2 + 1 + 2 = 8 points**The evolution equation for the slowly varying envelope  $\tilde{v}(z, \tau)$  of a pulse with central frequency  $\omega_0$  in the co-moving frame is given by

$$i \frac{\partial \tilde{v}(z, \tau)}{\partial z} - \frac{D}{2} \frac{\partial^2 \tilde{v}(z, \tau)}{\partial \tau^2} = 0.$$

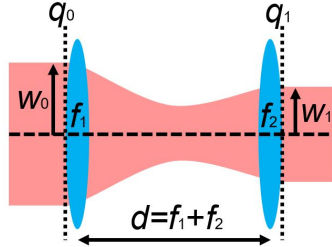
- Which approximations are applied to derive the equation above from Maxwell's equations? Name them and state under which conditions they are applicable.

- b) Define the co-moving frame  $\tau$  and the dispersion  $D$  in the above equation and give their value in terms of the time  $t$  and the wavenumber  $k(\omega)$ .
- c) What are the equivalents of  $\partial/\partial\tau$  and  $D$  in the analogous beam diffraction equation?
- d) By analogy to diffraction argue how  $|\tilde{v}(z, \tau)|$  looks for very large  $z$  when the initial excitation is a temporally localized pulse  $\tilde{v}_0(\tau)$ .

### Problem 5: Gaussian Beams

1 + 3 + 2 + 2 = 8 points

A collimated Gaussian beam of width  $w_0$  propagates first through a thin lens with a focal distance  $f_1$  and then through a thin lens with a focal distance  $f_2$ . The two lenses are separated by a distance  $d = f_1 + f_2$  (see figure).



- a) Write the general expression of the  $q$ -parameter, which allows to describe the Gaussian beam propagation. Relate  $1/q(z)$  to physical parameters of the Gaussian beam.
- b) Calculate the ABCD matrix of the whole system. The general form of the ABCD matrix of a thin lens is:

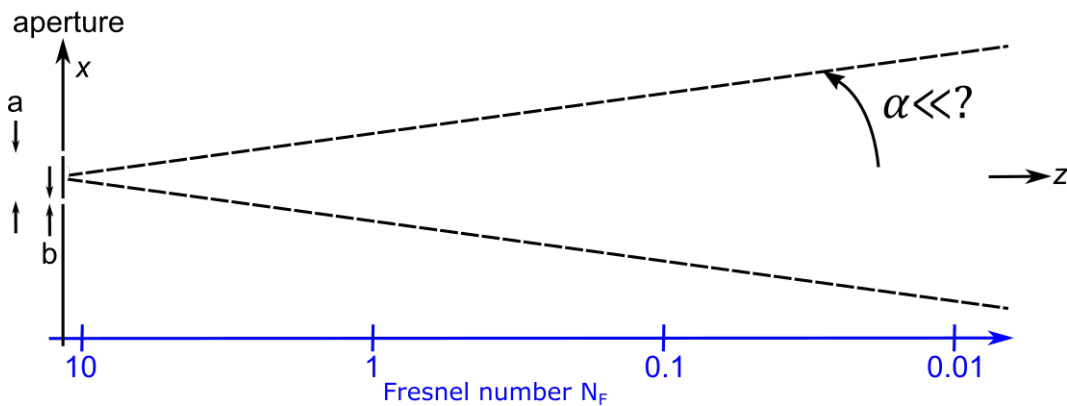
$$\begin{bmatrix} A & B \\ C & D \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ -1/f & 1 \end{bmatrix}.$$

- c) Calculate  $q_1$  (see figure). Use that the Gaussian beam is still collimated after the system to simplify your calculation.
- d) Calculate the beam width  $w_1$  after the system.

### Problem 6: Fraunhofer diffraction

2 + 2 + 2 = 6 points

- a) Write down the conditions where 1) the Fresnel approximation, 2) the paraxial Fraunhofer approximation, and 3) the non-paraxial Fraunhofer approximation are valid. Mark the regions where the different diffraction approximations are valid in the following figure.



- b) We consider two one-dimensional slits located on the  $x$ -axis where the slits have a width of  $b$  and are separated by a distance of  $a$ . Calculate the resulting far-field intensity when the field directly after the aperture is

$$u(x, z=0) = \begin{cases} 1 & \text{for } |x| < b/2 \\ 1 & \text{for } a - b/2 < x < a + b/2 \\ 0 & \text{otherwise} \end{cases}.$$

Hint: You may leave out the prefactors.

- c) When  $a \gg b$ , which of these dimensions defines the applicability of the Fresnel approximation and the Fraunhofer approximation, respectively? For both approximations, state the condition(s) in relation with the wavelength  $\lambda$  and the observation distance  $z_B$ .