

Reading report of *Principles of Mathematical  
Analysis* -Part I

002 gxl

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# Chapter 1

## The Real and Complex Number Systems

### 1.1 Notes

The chapter 1 mainly talks about The real number system,  $\mathbf{R}$ .

#### 1.1.1 Why we need $\mathbf{R}$

The rational number system is inadequate for many purposes , both as a field (Dedekind principle) and as an ordered set (the least-upper-bound property). And we can prove that  $\mathbf{R}$  is perfectly matched.

#### 1.1.2 Basic property of $\mathbf{R}$

Theorems there seems to *OBVIOUS* to prove , but some of them are interesting.

**Propositon 1.** *The following statements are true in every ordered field.*

(a) *If  $x > 0$  then  $-x < 0$ , and vice versa.*

**Proof**(a)

*If  $x > 0$  then  $0 = -x + x > -x + 0$ , so that  $-x < 0$ . If  $x < 0$  then  $0 = -x + x < -x + 0$ , so that  $-x > 0$ . This proves (a).*

**Theorem 1.** *If  $x \in \mathbf{R}$ ,  $y \in \mathbf{R}$ , and  $x > 0$ , and there is a positive integer  $n$  such that*

$$nx > y$$

**Proof** (Tool: the least-upper-bound property)

Let  $A = \{nx | n \in \mathbf{N}^*\}$ . If Theorem were false, then  $y$  would be an upper bound of  $A$ . Put  $\alpha = \sup A$ . Since  $x > 0$ , then  $\alpha - x$  is not an upper bound of  $A$ . Hence  $\alpha - x < mx$  for some  $mx \in A$ . But then  $\alpha < (m+1)x \in A$ , which is impossible.

### 1.1.3 The fields that contains $\mathbf{R}$ as a subfield

## 1.2 Exercises

**Proof 1.** If  $r + x$  were rational,  $x = (r + x) - r$  is also rational, which is contradictory. The similar argument holds for  $rx$ .

**Proof 2.** If there existed a rational number  $x = \frac{m}{n}$ ,  $\gcd(m, n) = 1$ , and  $x^2 = 12$ . Then we can get  $m^2 = 12n^2 = 3 \times 4n^2$ . Hence  $m$  is divided by 3, and  $m^2$  is divided by 9. Thus  $n$  is also divided by 3, which is contradictory.

**Proof 3.** For (a), if  $x \neq 0$  and  $xy = xz$ , then

$$\begin{aligned} y &= 1 \times y = \frac{x}{x} \times y = \frac{xy}{x} \\ &= \frac{xz}{x} = \frac{x}{x} \times z = z \end{aligned}$$

The similar argument holds for (b)(c)(d).

**Proof 4.** Assuming one element  $x \in E$ , it follows from the definition that  $\alpha \leq x \leq \beta$

**Proof 5.** For each  $x \in A$ ,  $\sup A \geq x$ , thus for each  $-x \in B$ ,  $-\sup A \leq x$ , which proves  $-\sup A = \inf B$ .

**Proof 6.** (a) Let  $\beta = (b^m)^{\frac{1}{n}}$ , then

$$\begin{aligned} \beta^{nq} &= b^{mq} = b^{np} \\ \text{Thus } \beta^q &= b^p, \beta = (b^p)^{\frac{1}{q}} \end{aligned}$$

(b) Assuming  $r = \frac{m}{n}$  and  $s = \frac{p}{q}$ , then

$$b^{r+s} = b^{\frac{mq+np}{nq}} = (b^{mq})^{\frac{1}{nq}} (b^{np})^{\frac{1}{nq}} = b^r b^s$$

(c) For each  $x \leq r$ ,  $b^x \leq b^r$

Hence for each  $b^x \in B(r)$ ,  $b^r \geq b^x$ , which proves  $b^r = \sup B(r)$

(d)  $\forall r, s \in \mathbf{Q}$ , and  $r \leq x, s \leq y$

$$b^r b^s = b^{r+s} \leq \sup B(x+y) = b^{x+y}$$

(e)

**Proof 7.** (a)  $b^n - 1 = (b-1) \sum_{i=1}^n b^i \geq n(b-1)$ .

(b) Just let  $b$  in (a) become  $b^{\frac{1}{n}}$ .

(c) Use (b)

(e) To see this, apply part(c) with  $t = \frac{b^w}{y}$ .

(f) Obviously  $b^x \leq y$ . If  $b^x < y$ , according to (d),  $b^{x+\frac{1}{n}} < y$  for sufficiently large  $n$ . Then  $x + \frac{1}{n} \in A$ , which is contradictory. Hence  $b^x = y$ .

(g) Assume that  $x > y$ ,

$$b^x = b^y b^{x-y} > b^y$$

.

**Proof 8.** Assuming  $\mathbf{C}$  were a ordered field, if  $i > 0$ , then  $i^2 = -1 > 0$ , which is contradictory.

**Proof 9.** (i) In this definition, it is obvious that

if  $x \in \mathbf{C}$  and  $y \in \mathbf{C}$ , then one and only one of the statements

$$x < y, \quad x = y, \quad y < x$$

is true.

(ii) If  $x, y, z \in \mathbf{C}$  and assuming  $x < y$ ,  $y < z$ , then

$$1. \text{Re}(x) < \text{Re}(y) < \text{Re}(z) \Rightarrow x < z$$

$$2. \text{Re}(x) = \text{Re}(y) < \text{Re}(z) \quad \text{or} \quad \text{Re}(x) < \text{Re}(y) = \text{Re}(z) \Rightarrow x < z$$

$$3. \text{Re}(x) = \text{Re}(y) = \text{Re}(z) \quad \text{and} \quad \text{Im}(x) < \text{Im}(y) < \text{Im}(z) \Rightarrow x < z$$

which proves  $x < z$ .

**Proof 10.**

$$\begin{aligned} z^2 &= a^2 - b^2 + 2abi \\ &= u + \sqrt{|w|^2 - u^2}i \\ &= u + |v|i \end{aligned}$$

$$\begin{aligned} \bar{z}^2 &= a^2 - b^2 - 2abi \\ &= u - \sqrt{|w|^2 - u^2}i \\ &= u - |v|i \end{aligned}$$

**Proof 11.** Let  $w_\theta = \cos\theta + i\sin\theta$ , and  $0 \leq \theta \leq 2\pi$ . It is clear that  $|w_\theta| = 1$  and that  $\forall \theta_1, \theta_2$ , if  $\theta_1 \neq \theta_2$ , then  $w_{\theta_1} \neq w_{\theta_2}$ .

1. If  $|z| = 0$ , then  $r = 0$ .  $w$  is obviously not unique. 2. If  $|z| \neq 0$ , let  $\tan\theta = \frac{\text{Im}(z)}{\text{Re}(z)}$  and  $z = |z|w_\theta$ . They are both unique.

**Proof 12.** We give a proof by induction. The inequality holds obviously for  $n = 2$ . Assume that the inequality holds for  $n = m - 1$ , for  $n = m$ ,

$$|z_1 + \cdots + z_{n-1} + z_n| \leq |z_1 + \cdots + z_{n-1}| + |z_n| \leq |z_1| + \cdots + |z_{n-1}| + |z_n|$$

**Proof 13.** Let  $|x| > |y|$ , then the proposition equals to

$$|x| \leq |x - y| + |y|$$

According to Proof 12, it is clear to prove.

**Proof 14.**

$$\begin{aligned} |1+z|^2 + |1-z|^2 &= (1+z)(1+\bar{z}) + (1-z)(1-\bar{z}) \\ &= 2+z+\bar{z}+2-z-\bar{z} \\ &= 4 \end{aligned}$$

**Proof 15.** *The equality holds when  $(a_1, a_2, \dots, a_n)$  and  $(b_1, b_2, \dots, b_n)$  are proportional .*

**Proof 16.** *Let  $x(0,0,\dots,0), y(d,0,\dots,0)$ .*

*(a) every  $z$  like  $(\frac{d}{2}, z_2, \dots, z_k)$ ,  $z_2^2 + \dots + z_k^2 = r^2 - \frac{r^2}{4}$ .*

*(b) The only  $z$  is  $(\frac{d}{2}, 0, \dots, 0)$ .*

*(c)  $\forall z \in \mathbf{R}^k$ ,  $|z-x| + |z-y| \geq |x-y| > 2r$ .*

**Proof 17.**

$$\begin{aligned} |x+y|^2 + |x-y|^2 &= \sum_{i=1}^n [(x_i+y_i)^2 + (x_i-y_i)^2] \\ &= \sum_{i=1}^n (x_i^2 + y_i^2) \\ &= 2|x|^2 + 2|y|^2 \end{aligned}$$

*Geometrical interpretation : In a parallelogram , the square sum of a pair of adjacent sides equals to half the square sum of two diagonals.*

**Proof 18.** *This is certainly false when  $k=1$ .*

*If  $k=2$  ,  $x \cdot y = 0 \Rightarrow x_1x_2 + y_1y_2 = 0$ .*

*Let  $y_1 = 1$  , and  $y_2 = x_1x_2$ . Obviously  $y \neq 0$ .*

*The similar argument holds if  $k > 2$ .*