

## ***Solution – HW 4: Hoare Triples, wp/wlp, Syntactic Substitution***

*CS 536: Science of Programming, Fall 2019*

### **Lectures 8 & 9: Hoare Triples**

1. If  $\sigma \models \{p\} S \{q\}$  but  $\sigma \models \neg p$ , then we don't know whether  $\perp \in$  or  $\notin M(S, \sigma)$  or  $M(S, \sigma) - \{\perp\} \models$  or  $\not\models q$ . If  $\sigma \models \{p\} S \{q\}$ , then  $\sigma \models p$  tells us either  $\perp \in M(S, \sigma)$  or  $(\perp \notin M(S, \sigma) \text{ and } M(S, \sigma) - \{\perp\} \models q)$ .
2.  $\models_{\text{tot}} \{p\} S \{q\}$  iff  $(\models \{p\} S \{q\} \text{ and } \models_{\text{tot}} \{p\} S \{\text{T}\})$
3.  $\sigma \not\models p$  must hold. If  $\sigma \models p$ , then  $\sigma \models_{\text{tot}} \{p\} S \{\text{T}\}$  tells us that  $M(S, \sigma)$  always terminates. So  $\perp \in M(S, \sigma)$ , we can't have  $\sigma \models p$ .
4. For  $\sigma \not\models \{p\} S \{q\}$ , we must have  $\sigma \models p$ ,  $\perp \notin M(S, \sigma)$ , and  $M(S, \sigma) - \{\perp\} \not\models q$ . If  $S$  is deterministic, then  $M(S, \sigma) - \{\perp\}$  contains just one state  $\tau$  that  $\not\models q$ . Since  $\tau \neq \perp$ , we have  $\tau \models \neg q$ . If  $S$  is nondeterministic, then  $M(S, \sigma) - \{\perp\} \not\models q$  must contain at least one state  $\tau$  such that  $\tau \models \neg q$ . It may or may not contain other states and those states may  $\models q$  or  $\models \neg q$ .
5. First, if  $\sigma \not\models p$  then  $\sigma \models$  and  $\models_{\text{tot}}$  all triples  $\{p\} S \{\text{anything}\}$ . So assume  $\sigma \models p$ . For  $\sigma \not\models \{p\} S \{q\}$ , we must have then that  $M(S, \sigma)$  does not  $\perp$  and  $\not\models q$  (and since  $S$  is deterministic,  $M(S, \sigma) \models \neg q$ ). So  $\sigma \models_{\text{tot}} \{p\} S \{\neg q\}$ .
6. First,  $\sigma \not\models_{\text{tot}} \{p\} S \{q\}$  implies  $\sigma \models p$  and  $\perp \in M(S, \sigma)$  or  $M(S, \sigma) - \{\perp\} \not\models q$ . For deterministic  $S$ ,  $M(S, \sigma) = \{\tau\}$  where  $\tau = \perp$  or  $\tau \neq \perp$  and  $\tau \models \neg q$ . If  $\tau = \perp$  then  $\sigma \models \{p\} S \{q\}$  and  $\{p\} S \{\neg q\}$ . If  $\tau \models \neg q$ , then  $\sigma \models_{\text{tot}} \{p\} S \{\neg q\}$ .
7. With  $IF_N \equiv \mathbf{if} B_1 \rightarrow S_1 \square B_2 \rightarrow S_2 \mathbf{fi}$ ,  $wp(IF_N, q)$  is not always  $\Leftrightarrow (B_1 \wedge wp(S_1, q)) \vee (B_2 \wedge wp(S_2, q))$ . If  $B_1$  and  $B_2$  are both true, we need both  $wp(S_1, q)$  and  $wp(S_2, q)$  to hold because we might execute either  $S_1$  or  $S_2$ . To get  $\Leftrightarrow$ , we have to add a third disjunct,  $(B_1 \wedge wp(S_1, q) \wedge B_2 \wedge wp(S_2, q))$ . This doesn't come up with deterministic  $\mathbf{if}$  statements because they have  $B_2 \leftrightarrow \neg B_1$ , so our third disjunct would always be false.
8. We can we always strengthen preconditions or weaken postconditions (up to a limit of precondition  $\Leftrightarrow \text{F}$  and postcondition  $\Leftrightarrow \text{T}$ ). Strengthening preconditions and weakening postconditions isn't always useful: in the limit, we get  $\{\text{F}\} S \{\text{T}\}$ , which is valid for both partial and total correctness but says nothing about how  $S$  runs. On the other hand, these can certainly be useful. Say we know  $\{p_1\} S_1 \{q_1\}$ . If we want to form a sequence with a triple  $\{p_0\} S_0 \{q_0\}$  to form  $\{p_0\} S_0; S_1 \{q_1\}$ , if we know  $q_0 \rightarrow p_1$ , then we know we can form the sequence by strengthening the precondition:  $\{p_1\} S_1 \{q_1\}$  implies  $\{q_0\} S_1 \{q_1\}$ . Similarly, if we

have a triple  $\{p_2\} S_2 \{q_2\}$  and want to form  $\{p_1\} S_1; S_2 \{q_2\}$ , it's sufficient to know  $q_1 \rightarrow p_2$ , which lets us weaken the postcondition of  $S_1$  to get  $\{p_1\} S_1 \{p_2\}$ .

9. The implication  $wp(S, p \vee q) \rightarrow wp(S, p) \vee wp(S, q)$  holds for deterministic  $S$  but not necessarily for nondeterministic  $S$ . The standard example is a coin-flip program that nondeterministically returns  $coin = heads$  or  $coin = tails$ . Then  $wp(S, coin = heads \vee coin = tails) \Leftrightarrow T$ , since the coin always comes up as one of heads or tails. But  $wp(S, coin = heads)$  and  $wp(S, coin = tails)$  are both  $\Leftrightarrow F$  because there's no way to guarantee that the next coin-flip will return heads, and no way to guarantee that the next coin-flip will return tails. In this case,  $wp(S, p \vee q) \nrightarrow wp(S, p) \vee wp(S, q)$  because  $T \nrightarrow F$ .

### Lectures 10 & 11: wp and wlp

10. (Relationships between  $\{p_0\} S \{q\}$ ,  $\{p_1\} S \{q\}$ ,  $\{\neg p_0\} S \{\neg q\}$ ,  $\{\neg p_1\} S \{\neg q\}$ ).
- We are given  $p_0 \rightarrow w \rightarrow p_1$  where  $w \Leftrightarrow wp(S, q)$ .
- 10a.  $\models_{\text{tot}} \{p_0\} S \{q\}$  always holds because  $p_0$  is stronger than the weakest precondition  $w$ .
- 10b. If  $w$  is strictly stronger than  $p_1$  (i.e.,  $w \rightarrow p_1$  but  $p_1 \nrightarrow w$ ), then  $\not\models_{\text{tot}} \{p_1\} S \{q\}$  because  $w$  is the *weakest* precondition and  $p_1$  is weaker than that.
- 10c. We can show  $\models \{\neg p_0\} S \{\neg q\}$  iff  $\models w \Leftrightarrow p_0$ . Because  $w$  is the  $wp$ , we know  $\models \{\neg w\} S \{\neg q\}$ , so certainly  $\models \{\neg p_0 \wedge \neg w\} S \{\neg q\}$ . Also, we know  $\models_{\text{tot}} \{w\} S \{q\}$  so we know  $\models_{\text{tot}} \{\neg p_0 \wedge w\} S \{q\}$  and therefore  $\not\models \{\neg p_0 \wedge w\} S \{\neg q\}$ . So it follows that  $\models \{\neg p_0\} S \{\neg q\}$  iff  $\sigma \models \neg p_0 \rightarrow \neg w$  iff  $\sigma \models w \rightarrow p_0$  iff  $\sigma \models w \Leftrightarrow p_0$  (since we're given  $p_0 \rightarrow w$ ).
- 10d. Since  $w$  is the weakest precondition, we know  $\models \{\neg w\} S \{\neg q\}$ . Since  $w \rightarrow p_1$ , we know  $\neg p_1 \rightarrow \neg w$ , so it follows that  $\models \{\neg p_1\} S \{\neg q\}$ .
11. (Calculate  $wp$  or  $wlp$ )
- 11a. (Calculate  $wlp(x := x + y; y := x * z + y, x - y - z < f(x, y, z))$ )
- Let  $S_1 \equiv x := x + y$  and  $S_2 \equiv y := x * z + y$  and  $q \equiv x - y - z < f(x, y, z)$ ,  
 We know  $wlp(S_1; S_2, q) \equiv wlp(S_1, wlp(S_2, q))$ .  
 Calculate  $wlp(S_2, q) \equiv wlp(y := x * z + y, x - y - z < f(x, y, z))$   
 $\equiv x - (x * z + y) - z < f(x, x * z + y, z)$   
 So  $wlp(S_1, wlp(S_2, q)) \equiv wlp(x := x + y, x - (x * z + y) - z < f(x, x * z + y, z))$   
 $\equiv (x - (x * z + y) - z < f(x, x * z + y, z)) [x + y / x]$   
 $\equiv x + y - ((x + y) * z + y) - z < f(x + y, (x + y) * z + y, z)$
- 11b. (Calculate  $wlp(\text{if } x \geq y \text{ then } x := x - y \text{ fi}; y := f(f(x/2, y), x - y), x < y)$ )
- Let  $S \equiv \text{if } x \geq y \text{ then } x := x - y \text{ else skip fi}$  and  $e \equiv f(f(x/2, y), x - y)$ .  
 Our goal is  $wlp(S; y := e, x < y)$   
 First calculate  $p_1 \equiv wlp(y := e, x < y) \equiv x < e \equiv x < f(f(x/2, y), x - y)$ .

Now calculate  $p_2 \equiv wlp(S; y := e, x < y)$

$$\begin{aligned}
 &\equiv wlp(S, wlp(y := e, x < y)) \\
 &\equiv wlp(S, p_1) \\
 &\equiv (x \geq y \rightarrow wlp(x := x - y, p_1)) \wedge (x < y \rightarrow wlp(\mathbf{skip}, p_1)) \\
 &\equiv (x \geq y \rightarrow p_1[x - y/x]) \wedge (x < y \rightarrow p_1) \\
 &\equiv (x \geq y \rightarrow (x < f(f(x/2, y), x - y))[x - y/x]) \wedge (x < y \rightarrow p_1) \\
 &\equiv (x \geq y \rightarrow (x - y < f(f((x - y)/2, y)))) \wedge (x < y \rightarrow x < f(f(x/2, y), x - y))
 \end{aligned}$$

11c. (Calculate  $wp(\mathbf{if } x \geq y \mathbf{ then } x := x - y \mathbf{ fi}; y := f(f(x/2, y), x * y), x < y)$ )

As in part (b), let  $S \equiv \mathbf{if } x \geq y \mathbf{ then } x := x - y \mathbf{ else skip fi}$  and  $e \equiv f(f(x/2, y), x - y)$ . Also as in part (b) again let  $p_1 \equiv wlp(y := e, x < y) \equiv x < f(f(x/2, y), x - y)$ .

$$\begin{aligned}
 \text{Now let } q_1 &\equiv D(y := e) \equiv D(e) \equiv D(f(f(x/2, y), x - y)) \\
 &\equiv f(x/2, y) > x - y \wedge D(f(x/2, y)) \text{ (recall that } f(u, v) \equiv u > v) \\
 &\equiv f(x/2, y) > x - y \wedge x/2 > y
 \end{aligned}$$

Then let  $w_1 \equiv wp(y := e, x < y) \equiv wlp(y := e, x < y) \wedge D(y := e) \equiv p_1 \wedge q_1$

$$\begin{aligned}
 \text{And let } w_2 &\equiv wp(S; y := e, x < y) \equiv wp(S, wp(y := e, x < y)) \equiv wp(S, p_1 \wedge q_1) \\
 &\equiv wlp(S, p_1 \wedge q_1) \wedge D(S).
 \end{aligned}$$

$D(S)$  is easy to calculate: Since  $S \equiv \mathbf{if } x \geq y \mathbf{ then } x := x - y \mathbf{ else skip fi}$ , nothing in  $S$  can cause an error, so  $D(S) \equiv \mathbf{T}$ .

For  $wlp(S, p_1 \wedge q_1)$ , there's a bit of a trick.  $wlp(S, p_1 \wedge q_1) \equiv wlp(S, p_1) \wedge wlp(S, q_1)$  by the conjunction rule, and in part (b), we calculated  $p_2 \equiv wlp(S, p_1)$ .

$$\begin{aligned}
 \text{We can calculate } q_2 &\equiv wlp(S, q_1) \equiv wlp(\mathbf{if } x \geq y \mathbf{ then } x := x - y \mathbf{ else skip fi}, q_1) \\
 &\equiv (x \geq y \rightarrow wlp(x := x - y, q_1)) \wedge (x < y \rightarrow wlp(\mathbf{skip}, q_1)) \\
 &\equiv (x \geq y \rightarrow q_1[x - y/x]) \wedge (x < y \rightarrow q_1) \\
 &\equiv (x \geq y \rightarrow (f(x/2, y) > x - y \wedge x/2 > y)[x - y/x]) \wedge (x < y \rightarrow f(x/2, y) > x - y \wedge x/2 > y) \\
 &\equiv (x \geq y \rightarrow f((x - y)/2, y) > (x - y) - y \wedge (x - y)/2 > y) \\
 &\quad \wedge (x < y \rightarrow f(x/2, y) > x - y \wedge x/2 > y)
 \end{aligned}$$

So altogether,  $wp(S; y := e, x < y) \equiv p_2 \wedge q_2$

$$\begin{aligned}
 &\equiv (x \geq y \rightarrow (x - y < f(f((x - y)/2, y)))) \\
 &\quad \wedge (x < y \rightarrow x < f(f(x/2, y), x - y)) \\
 &\quad \wedge (x \geq y \rightarrow f((x - y)/2, y) > (x - y) - y \wedge (x - y)/2 > y) \\
 &\quad \wedge (x < y \rightarrow f(x/2, y) > x - y \wedge x/2 > y)
 \end{aligned}$$

**Lecture 12: Syntactic Substitution**

12. (Substitute into  $p \equiv (z < 2 * x \vee x \leq y) \wedge (\exists x. x \div y > y \div z) \wedge (\exists y. g(z^2 + z) < x * y)$ )

12a. (Calculate  $p[z/x]$ ) Note the  $\exists x$  hides the uses of  $x$  in its body from the substitution.

$$\begin{aligned} p[z/x] &\equiv ((z < 2 * x \vee x \leq y) \wedge (\exists x. x \div y > y \div z) \wedge (\exists y. g(z^2 + z) < x * y))[z/x] \\ &\equiv (z < 2 * x \vee x \leq y)[z/x] \wedge (\exists x. x \div y > y \div z)[z/x] \wedge (\exists y. g(z^2 + z) < x * y)[z/x] \\ &\equiv (z < 2 * z \vee z \leq y) \wedge (\exists x. x \div y > y \div z) \wedge (\exists y. g(z^2 + z) < z * y) \end{aligned}$$

12b. (Calculate  $p[(z+a)/z]$ ) Since neither  $x$  nor  $y$  appear in the substituting value  $z+a$ , we can substitute  $z+a$  for  $z$  in the body of the  $\exists x$  and  $\exists y$  (no renaming is needed).

$$\begin{aligned} p[(z+a)/z] &\equiv ((z < 2 * x \vee x \leq y) \wedge (\exists x. x \div y > y \div z) \wedge (\exists y. g(z^2 + z) < x * y))[(z+a)/z] \\ &\equiv (z < 2 * x \vee x \leq y)[(z+a)/z] \wedge (\exists x. x \div y > y \div z)[(z+a)/z] \\ &\quad \wedge (\exists y. g(z^2 + z) < x * y)[(z+a)/z] \\ &\equiv (z+a < 2 * x \vee x \leq y) \wedge (\exists x. x \div y > y \div z+a) \wedge (\exists y. g((z+a)^2 + z) < x * y) \end{aligned}$$

12c. (Calculate  $p[x+y/z]$ ) Both  $x$  and  $y$  appear in the substituting value  $x+y$ , so we must rename the quantified variables. For  $\exists x$ , we need a variable other than  $a$ ,  $x$ ,  $y$ , or  $z$ ; we use  $v$  below. for  $\exists y$ , we need a variable other than  $a$ ,  $g$ ,  $x$ ,  $y$ , or  $z$ ; we use  $w$  (note we could reuse  $v$  but that might confuse people).

$$\begin{aligned} p[x+y/z] &\equiv ((z < 2 * x \vee x \leq y) \wedge (\exists x. x \div y > y \div z) \wedge (\exists y. g(z^2 + z) < x * y))[x+y/z] \\ &\equiv (z < 2 * x \vee x \leq y)[x+y/z] \\ &\quad \wedge (\exists x. x \div y > y \div z)[x+y/z] \\ &\quad \wedge (\exists y. g(z^2 + z) < x * y)[x+y/z] \\ &\equiv (x+y < 2 * x \vee x \leq y) \\ &\quad \wedge (\exists v. (x \div y > y \div z)[v/x])[x+y/z] \\ &\quad \wedge (\exists w. (g(z^2 + z) < x * y)[w/y])[x+y/z] \\ &\equiv (x+y < 2 * x \vee x \leq y) \\ &\quad \wedge (\exists v. v \div y > y \div z)[x+y/z] \\ &\quad \wedge (\exists w. g(z^2 + z) < x * w)[x+y/z] \\ &\equiv (x+y < 2 * x \vee x \leq y) \\ &\quad \wedge (\exists v. v \div y > y \div (x+y)) \\ &\quad \wedge (\exists w. g((x+y)^2 + (x+y)) < x * w) \end{aligned}$$