



# Concurrency in Java

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**Course Responsible**



# Tentative Plan

## Day 2: Concurrency

- Atomicity.
- Memory Consistency.
- Liveness.
- Thread Synchronization.
- High level concurrency.



# Thread synchronization

- Threads do not always operate on independent resources.
- With concurrency resource contention and sharing is a problem that needs to be tackled.
- Proper access to resources must be ensured.
- A need to understand the notions of atomicity and visibility to coordinate resource sharing.
- Mutual exclusion is a mechanism to prevent thread collisions and ensure thread-safety.



# Thread Interference

```
class Counter {  
    protected int c = 0;  
  
    public void increment() {  
        c++;  
    }  
    public void decrement() {  
        c--;  
    }  
    public int value() {  
        return c;  
    }  
}
```



# Thread interference

C = 0

Retrieve the value of c into ACC1

Add 1 to ACC1

Retrieve the value of c into ACC2

Subtract 1 from ACC2

Store the value of ACC2 to c

Store the value of ACC1 to c

Retrieve the value of c into ACC1

Add 1 to ACC1

Store the value of ACC1 to c

Retrieve the value of c into ACC2

Subtract 1 from ACC2

Store the value of ACC2 to c

ACC1 = 1    ACC2 = -1    C = 1



# Thread interference

- Interference happens when different actions in separate threads on shared data interleave.
- Interleavings happen on the instructions generated by VM.
- Simple single step programming operations can be broken into multi-steps by VM leading to interleavings.
- Interleavings can happen in strange ways.
  - A thread can overwrite the others changes.
  - They can potentially interleave to produce correct results.
  - Can be very difficult to reproduce and reason for correctness.



# Memory consistency

C = 0

```
public void increment() {
```

```
    c++;
```

```
}
```

C = 0

C = 1

C = 1

```
public void decrement() {
```

```
    c--;
```

```
}
```

C = -1

C = 0

C = 1

C = -1

C = 0

Understanding happens-before  
relationship is key to  
understanding visibility and  
avoid memory inconsistency



# Memory consistency

- Errors arise due to inconsistent view of data in different threads. More frequent in a multi-processor system.
- Causes are complex and almost impossible to predict.
- Happens before is a guarantee that memory writes are visible between statements.
- Implicit happens-before in
  - Same thread
  - Thread.start
  - Thread.join
- A write to a “volatile” variable happens before subsequent reads of the same variable.





## Volatile and memory consistency

```
class VolatileCounter {  
    protected volatile int c = 0;  
  
    public void increment() {  
        c++;  
    }  
    public void decrement() {  
        c--;  
    }  
    public int value() {  
        return c;  
    }  
}
```

Still does not solve the interleaving issue



## Using synchronized methods

- Adding “synchronized” to methods fixes both problems of interleavings (atomicity) and memory consistency.
- Two invocations of synchronized methods of same object cannot interleave.
- A synchronized method establishes “happens-before” relationship with subsequent invocation of synchronized methods on same object.



## Using synchronized methods

- Provides simple design pattern for data sharing between threads. Encapsulate data in a class and synchronize ALL methods.
- Synchronized methods are built on the concept of intrinsic lock or monitor locks.
- Synchronized methods are reentrant.
  - Reentrant synchronization – Allowing the same method to re-acquire its lock.



## Using synchronized methods

```
class SynchronizedCounter {  
    protected int c = 0;  
  
    public synchronized void increment() {  
        c++;  
    }  
    public synchronized void decrement() {  
        c--;  
    }  
    public int value() {  
        return c;  
    }  
}
```

Does not work!!



## Using synchronized methods

```
class SynchronizedCounter {  
    protected int c = 0;  
  
    public synchronized void increment() {  
        c++;  
    }  
    public synchronized void decrement() {  
        c--;  
    }  
    public synchronized int value() {  
        return c;  
    }  
}
```



## Using synchronized statements

- Synchronized statements allow to synchronize code blocks instead of methods.
- In synchronized statements one must explicitly specify the object on which an implicit lock needs to be taken.
- Provides finer granularity of synchronization, can lead to improvement of concurrency.
- Use with extreme care. You have to ensure you understand safety conditions of possible interleavings.



## Using synchronized statements

```
class NewSynchronizedCounter {  
    protected int c = 0;  
  
    public void increment() {  
        synchronized(this) {  
            c++;  
        }  
    }  
  
    public void decrement() {  
        synchronized(this) {  
            c--;  
        }  
    }  
  
    public int value() {  
        synchronized(this) {  
            return c;  
        }  
    }  
}
```



# Using Locks

- Synchronized code is based on simple re-entrant locks.
- `java.util.concurrent.locks` package provides more sophisticated locking patterns.
- A lock can be held by only one thread.
- A thread can check to see if a lock request can be granted and then choose to not acquire a lock instead of blocking.
  - `tryLock()` method. A timed version possible as well.
- Good programming requires that you use `try finally` where you unlock in the `finally` clause.





## Using Locks

```
class LockedCounter {  
  
    protected int c = 0;  
    protected Lock myLock = new ReentrantLock();  
  
    public void increment() {  
        myLock.lock();  
        c++;  
        myLock.unlock();  
    }  
  
    public void decrement() {  
        myLock.lock();  
        c--;  
        myLock.unlock();  
    }  
  
    public int value() {  
        return c;  
    }  
}
```

Does not work!!



## Using Locks

```
class LockedCounter {  
  
    protected int c = 0;  
    protected Lock myLock = new ReentrantLock();  
  
    public void increment() {  
        myLock.lock();  
        c++;  
        myLock.unlock();  
    }  
  
    public void decrement() {  
        myLock.lock();  
        c--;  
        myLock.unlock();  
    }  
  
    public int value() {  
        myLock.lock();  
        return c;  
        myLock.unlock();  
    }  
}
```

Does not work!!



# Using Locks

```
class LockedCounter {  
  
    protected int c = 0;  
    protected Lock myLock = new ReentrantLock();  
  
    public void increment() {  
        myLock.lock();  
        c++;  
        myLock.unlock();  
    }  
  
    public void decrement() {  
        myLock.lock();  
        c--;  
        myLock.unlock();  
    }  
  
    public int value() {  
        int temp;  
        myLock.lock();  
        temp = c;  
        myLock.unlock();  
        return temp;  
    }  
}
```



# Atomic classes

- `java.util.concurrent.atomic` contains classes that provide lock-free thread safe operations on single variables.
- Provide a conditional update operation of type
  - `boolean compareAndSet(expectedValue, updateValue);`
- Atomic classes have been built to be used as building blocks to construct non blocking data structure classes.
- Use extreme caution if you are making assumptions of atomicity.



## Using Atomic Classes

```
import java.util.concurrent.atomic.AtomicInteger;

class AtomicCounter {
    private AtomicInteger c;

    public void increment() {
        c.incrementAndGet();
    }

    public void decrement() {
        c.decrementAndGet();
    }

    public int value() {
        return c.get();
    }
}
```



# Liveness

- A concurrent application's ability to run in a timely manner.
- **Challenges to liveness**
  - **Deadlocks**
    - Threads are blocked forever, waiting for each other.
  - **Starvation**
    - Threads are unable to gain “regular” access to shared resource and are unable to make progress.
  - **Livelock**
    - Threads are busy responding to each other creating cyclic patterns without making progress.

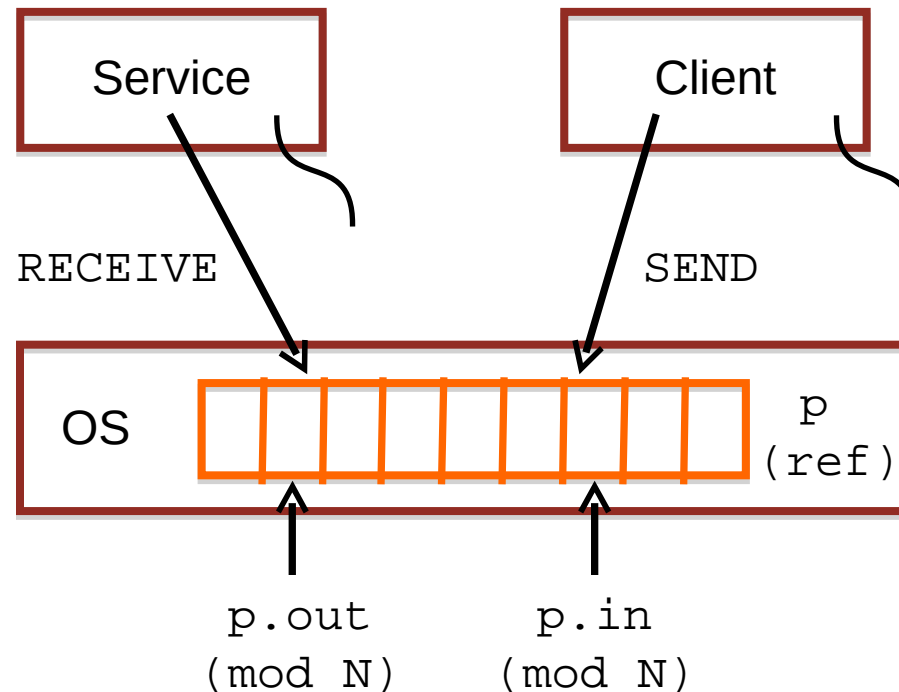


# Deadlocks

- Possible scenarios where **deadlocks can occur**
  - Synchronized methods or statements invoking other synchronized methods/statements.
  - Nested lock acquisitions.
  - Threads joining to each other.
- Using self-contained synchronized method provides a simple and elegant way to avoid deadlocks.
- It is often very difficult/restrictive to guarantee deadlock-free code.



# Thread Synchronization: Bounded Buffer Problem



`ALLOCATE_BOUNDED_BUFFER(int) → buffer`

`SEND(buffer, message)`

`RECEIVE(buffer) → message`

- `DEALLOCATE_BOUNDED_BUFFER(buffer)`





# Thread Synchronization: Bounded Buffer Problem

```
SEND(buffer ref p, message m):
```

```
    while p.in - p.out = N do nothing
```

```
    p.message[p.in mod N]  $\leftarrow$  m
```

```
    p.in  $\leftarrow$  p.in + 1
```

```
RECEIVE(buffer ref p):
```

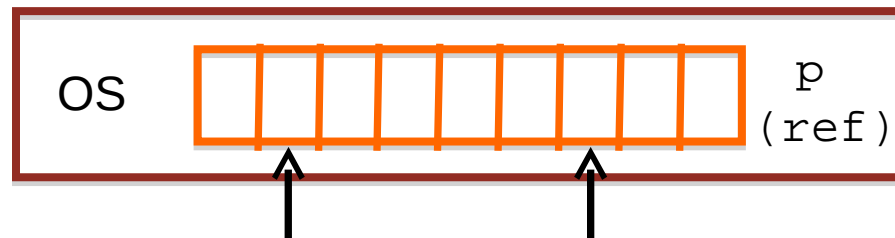
```
    while p.in = p.out do nothing
```

```
    m  $\leftarrow$  p.message[p.out mod N]
```

```
    p.out  $\leftarrow$  p.out + 1
```

```
    return m
```

Does this work  
with multiple  
sender threads?  
Why?



## Busy waiting

- Guarded blocks are the most common co-ordination pattern.
- Share a common variable between threads.
- Keep polling the variable to evaluate if a condition is true.
- Wasteful of machine cycles since it continuously executes while waiting.



BoundedBuffer using busy/wait (let's look at the code)



## Wait and Notify

- When a thread enters a `wait()`, the thread is suspended and its locks are released (different to `sleep`, `yield`).
- The `wait()` does not return until another thread issues a notification in the form of `notify()` or `notifyAll()` or the timer runs out (for the timed version).
- The only place you can call `wait( )`, `notify( )` or `notifyAll( )` is within a synchronized method or block.
  - Will compile, but generate Runtime exception.



## Wait and notify

- Wait() can get spurious wake-ups. Always invoke wait() inside a loop where you test for condition.
- Wait() is used when a thread needs to wait for certain conditions to change outside the control of the current thread.
- If you cannot change the world, go to sleep and hope to be woken up when it actually changes!!



BoundedBuffer using wait/notify (let's look at the code)



## Condition objects

- Conditions provide a way for threads to wait for “conditions” and to notify “conditions”.
- Condition interface in `java.util.concurrent.locks` package.
- Can control fine granule waiting and notifying.
- `wait` → `await()`, `notify` → `signal()`, `notifyAll()` → `signalAll()`
- Uses lock objects.
- Optimized syntactic sugared version of `wait/notify`.



BoundedBuffer using condition objects (let's look at the code)





And we just finished implementing  
`java.util.concurrent.BlockingQueue :-)`



## Reader Writer interface

```
public interface ReaderWriter {  
  
    public void acquireExclusive();  
  
    public void releaseExclusive();  
  
    public void acquireShared();  
  
    public void releaseShared();  
  
}
```



Reader Writer implementation. Lets look at the code



## Lots of higher level concurrency aids in `java.util.concurrent`

- `ThreadLocal`.
- `BlockingQueue`.
- `ReadWriteLocks`.
- `ConcurrentMap`.
- `Semaphores`.
- `Mutexes`.
- `Cyclic barriers`.
- `Countdown Latch`.
- If you are looking to build concurrent code, look at available components in `java.util.concurrent` before building.

