Selective Code Generation for Functional Guarantees

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Abstract

Large language models (LLMs) show human-level performance and their specialized descendants, code generation models, play core roles in solving complex tasks, including mathematical reasoning and software development. On the downside, the hallucination of LLMs mainly hinders their applicability to systems requiring higher safety standards, thus drawing the attention of the AI community. However, the hallucination of code generation models is rarely considered. One critical bottleneck in considering code hallucination is the intricate property of code to identify whether generated code has the intended functionality due to its un-natural form, different to natural languages. Handful of unit tests have been considered to address this issue, but scaling-up its size is extremely expensive. We address this core bottleneck by automatically generating unit tests using dynamic code analysis tools, which leverages the executable nature of code. Given generated unit tests from true code for measuring functional correctness of generated code, we propose to learn a selective code generator, which abstains from answering for unsure generation, to control the rate of code hallucination among non-abstaining answers in terms of a false discovery rate. This learning algorithm provides a controllability guarantee, providing trustworthiness of code generation. Finally, we propose to use generated unit tests in evaluation as well as in learning for precise code evaluation, calling this evaluation paradigm FuzzEval. We demonstrate the efficacy of our selective code generator over open and closed code generators, showing clear benefit of leveraging generated unit tests along with the controllability of code hallucination and reasonable selection efficiency via our selective code generator.

1 Introduction

Large language models (LLMs) are recently proven to be performant in various tasks, including question-answering, summarization, mathematical reasoning, and algorithmic problem-solving [1, 2, 3, 4]. Along with language generation, code generation is closely related but has its own benefit as a core task for addressing many applications, including mathematical solving via program of thought, security patch generation, and general program development [5, 6, 7].

However, *hallucination in code generation*, *i.e.*, a situation where generated code does not satisfy a desired functionality, is rarely considered, compared to language generation. In particular, there are diverse research efforts in combating hallucination in language models. For example, the language hallucination is heuristically measured by generating multiple answers and checking the consensus among them [8, 9]. As more sophisticated methods, hallucination is carefully controlled by certified methods, including conformal prediction [10] and selective prediction [11], providing certified ways to mitigate language hallucination [12, 13, 14]. Compared to this active trend, mitigating methods for code hallucination are rarely explored.

We claim that a critical bottleneck in mitigating code hallucination mainly stems from the intricacy of identifying functional equivalence between two code snippets due to the un-natural form of code.

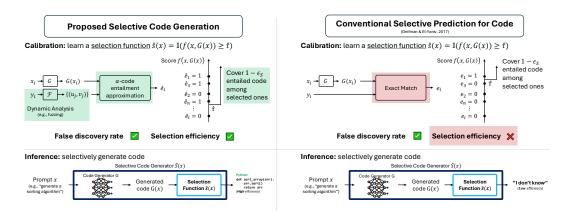


Figure 1: Overview of our proposed selective code generation. We leverage an abstaining option to selectively generate code to *control* the rate of hallucination in a FDR, called selective code generation. We propose a concept of α -code entailment for the correctness measure and learn a selection function for a selective generator by leveraging dynamic code analysis tools to automatically generate unit tests and use them as a calibration set for the selection function and also as a test set for evaluation.

In natural language, textual entailment [15] is the main building block in measuring the semantic correctness of an answer, *i.e.*, an answer is correct if a question-associated context entails the answer. Given this definition on the correctness between two sentences, humans can manually annotate entailment labels to learn entailment-predicting models [16]. However, this is challenging in code as (1) there is no concept of entailment in code and (2) even with the definition on it, it is not easy for humans to decide whether one code entails another due to its complex, un-natural structure for obtaining entailment labels. The latter one can be partially mitigated by manually designing unit tests [17, 18, 19] but it is extremely expensive compared to getting entailment labels in languages. [20]

To address these challenges, we propose to define the concept of entailment for code and automatically generate unit tests via code analysis tools that exploit the *executable property of code*. In particular, we extend textual entailment for *code entailment* via unit tests from true code. Moreover, we leverage fuzzing methods [21], one of practical code analysis tools, to automatically generate unit tests. To our understanding, we firstly introduce code entailment and leverage fuzzing to determine it.

Given the definition of code entailment and generated unit tests, we propose certified selective code generation. This includes a learning algorithm of a selective generator for code which provides a controllability guarantee on the rate of hallucination in a false discovery rate (FDR), *i.e.*, a learned selective generator provides a desired or minimum level of hallucination. The learning algorithm mainly leverages fuzzing for checking the correctness of code in a self-supervised manner for supervised learning in selective code generators. Finally, we leverage fuzzing for code evaluation as well as selective generator learning. We claim that automatically generated unit tests provide precise evaluation, where we call this evaluation paradigm *FuzzEval* to distinguish from *HumanEval* [17].

We demonstrate the efficacy of our selective code generator over open and closed code generators under diverse experiment setups, including four code generators, four datasets, four programming languages, and four baselines. It shows clear benefits of automatically generated unit tests in learning and evaluation and the controllability of our selective code generator with reasonable level of selection efficiency. We release code for our algorithm along with an evaluation dataset.

1.1 Related Work

We introduce tightly related work here. See additional related work in Appendix A.

Execution Based Code Correctness Evaluation. Popular code generation benchmarks, such as HumanEval [17], APPS [22], or MBPP [18] evaluate the functional correctness of a generated code snippet by executing unit tests. However, generating unit tests for such evaluation purposes is a costly task. Automated unit test generations has been explored in recent work. LLMs itself has been used to improve unit tests [23]. EvalPlus [24] and SemCoder [25] adopt a type-aware input mutation strategy based on LLM-generated seed, while Mercury [26] leverages LLMs to generate random test

case generators for evaluation split. Our work complements these work by generating unit tests via dynamic analysis tools, *e.g.*, *fuzzing tool*, that have been extensively studied and validated within the computer security community, to explore execution paths.

Previous works that leverage unit tests for evaluation commonly use the pass@k metric to assess functional correctness [24, 25] which may introduce limitations. EvalPlus [24] reports a drop in pass@k metric with larger test suites indicating that the metric is sensitive to the number and quality of unit tests. Furthermore, pass@k cannot consider partially correct or incorrect programs. Our work addresses this by introducing the FDR-CE metric with a statistical guarantee.

Selective Generation. Selective prediction abstains from answering if a model is not confident of the answer, from which it controls the risk at a desirable level. This method can be applicable to various tasks. Selective classification for deep learning [11] controls a false discovery rate of deep learning models. Selective text generation [14] applies selective prediction to a language generation task by introducing textual entailment to control hallucination. We extend selective generation for code by leveraging an executable property of code, while still having hallucination controllability.

2 Preliminary

We introduce preliminaries on textual entailment for measuring correctness between two answers, selective generation to control the rate of hallucination, and dynamic code analysis via fuzzing for learning and evaluating functional correctness of code snippets. See Appendix B for additional detail.

Selective Generation. Language models suffer from generating hallucinated facts. Recently, certified ways to control the rate of hallucination in language models are proposed [12, 13, 14]. Among them, selective generation, which extends traditional selective classification [11], provides a way to control the rate of hallucination defined in terms of a false discovery rate with entailment (FDR-E) that leverages textual entailment to measure the correctness of two answers. In particular, a selective generator $\hat{S}(\mathbf{x})$ given a question \mathbf{x} returns a generated answer $G(\mathbf{x})$ from a language model or abstains from answering by returning "I don't know" (IDK). The FDR-E of this selective generator with respect to a true answer \mathbf{y} is defined as $\mathcal{R}(\hat{S}) \coloneqq \mathbb{P}\{\hat{S}(\mathbf{x}) \notin E(\mathbf{y}) \mid \hat{S}(\mathbf{x}) \neq \text{IDK}\}$. Here, E is an entailment set that contains entailing answers, i.e., $E(\mathbf{y}) \coloneqq \{\bar{\mathbf{y}} \mid \bar{\mathbf{y}} \text{ entails } \mathbf{y}\}$ (where a reverse relation is also valid), so $\hat{S}(\mathbf{x}) \in E(\mathbf{y})$ means that $\hat{S}(\mathbf{x})$ entails \mathbf{y} . In semi-supervised selective generation [14], a learning algorithm leverages an estimated entailment set \hat{E} learned from the handful of entailment labels, where \hat{E} is used as a pseudo-labeling function for entailment labels. Based on the estimated entailment set, the following surrogate of the FDR-E is considered: $\hat{\mathcal{R}}(\hat{S}) := \mathbb{P}\{\hat{S}(\mathbf{x}) \notin \hat{E}(\mathbf{y}) \mid \hat{S}(\mathbf{x}) \neq \text{IDK}\}$. The algorithm leverages the relation between $\mathcal{R}(\hat{S})$ and $\hat{\mathcal{R}}(\hat{S})$ to bound $\mathcal{R}(\hat{S})$, as shown in the following lemma.

Lemma 1. [14]
$$\mathcal{R}(\hat{S})$$
 is decomposed in $\mathcal{R}(\hat{S}) := \mathbb{P}_{\mathcal{D}_{\hat{S}}} \{e = 0, \hat{e} = 1\} - \mathbb{P}_{\mathcal{D}_{\hat{S}}} \{e = 1, \hat{e} = 0\} + \hat{\mathcal{R}}(\hat{S})$, where $\mathbb{P}_{\hat{S}} \{\cdot\} := \mathbb{P} \{\cdot \mid \hat{S}(\mathbf{x}) \neq IDK\}$, $e := \mathbb{I}(\hat{S}(\mathbf{x}) \in E(\mathbf{y}))$, and $\hat{e} := \mathbb{I}(\hat{S}(\mathbf{x}) \in \hat{E}(\mathbf{y}))$.

By controlling the upper bound of three decomposed terms in $\mathcal{R}(\hat{S})$ at a desired level, the algorithm learns a selective generator \hat{S} . We leverage this framework to learn \hat{E} via generated unit tests via dynamic code analysis tools.

3 Problem

We consider a learning problem in code generation. In particular, we learn a code generator to control code hallucination in the perspective of *functional correctness* where the generator abstains from answering if it is not certain on the correctness of the generated code. Let \mathcal{W} be a set of tokens, $\mathcal{W}^* := \bigcup_{i=0}^\infty \mathcal{W}^i, \mathcal{X} := \mathcal{W}^*$ be a set of input prompts for a code generator, $\mathcal{Y} := \mathcal{W}^*$ be a set of code snippets, \mathcal{D} be a distribution that depends on prompt and code pairs $\mathcal{X} \times \mathcal{Y}$ along with other random sources, and $G: \mathcal{X} \to \mathcal{Y}$ be a code generator.

To control the hallucination rate of a code generator G, we consider the following selective generator $\hat{S}: \mathcal{X} \to \mathcal{Y} \cup \{\text{IDK}\}\ [11,14]: \ \hat{S}(\mathbf{x}) \coloneqq \begin{cases} G(\mathbf{x}) & \text{if } \hat{s}(\mathbf{x}) = 1\\ \text{IDK} & \text{otherwise} \end{cases}$, where IDK is a short-hand for "I don't

know" and $\hat{s}: \mathcal{X} \to \{0,1\}$ is a selection function. Here, we consider a setup that the target code generator G is given and we learn a selection function \hat{s} for selective generation.

We mainly learn the selective generator under the independent and identically distributed (i.i.d.) assumption by controlling a false discovery rate for code. In particular, we consider the risk definition of a selective generator \hat{S} via a false-discovery rate (FDR) with a relation R, i.e.,

$$\mathcal{R}_{R}(\hat{S}) := \mathbb{P}\left\{ (\hat{S}(\mathbf{x}), \mathbf{y}) \notin R \mid \hat{S}(\mathbf{x}) \neq \mathtt{IDK} \right\},\tag{1}$$

where the probability is taken over $(\mathbf{x}, \mathbf{y}) \sim \mathcal{D}$. Here, we measure the ratio of failure, *i.e.*, the ratio that generated code $G(\mathbf{x})$ does not have a relation R with correct code \mathbf{y} , among not-abstaining cases.

Given the learning objective in the FDR, we find a learning algorithm \mathcal{A} for \hat{S} such that given a calibration set \mathbf{Z} with $|\mathbf{Z}|=n$, the learned selective generator $\hat{S}:=\mathcal{A}(\mathbf{Z})$ controls a desired risk level ε with probability at least $1-\delta$, i.e., $\mathbb{P}\left\{\mathcal{R}_R(\mathcal{A}(\mathbf{Z}))\leq\varepsilon\right\}\geq 1-\delta$, where the probability is taken over $\mathbf{Z}\sim\mathcal{D}^n$. Here, the main challenges include designing a correctness relation R for code generation and finding a learning algorithm with the above PAC-style controllability guarantee, while maximizing selection efficiency, i.e., $\mathbb{P}\{\hat{S}(\mathbf{x})\neq \mathtt{IDK}\}$.

4 Method: Selective Code Generation

We introduce a novel definition of code entailment in Section 4.1, followed by a risk definition in Section 4.2. Section 4.3 and 4.4 present the bounds for the code entailment. Our FDR-controlling algorithm is described in Section 4.5, followed by its controllability guarantee in Section 4.6. Lastly, we highlight the importance of evaluation via fuzzing in Section 4.7.

4.1 Code Entailment

Measuring the functional correctness of a generated code snippet is a challenging task. In particular, when checking the correctness of generated code, only limited numbers of manually chosen unit tests are used for evaluating functionality of the generated code [17]. We introduce the concept of *code entailment* that leverages dynamic code analysis tools to define the functional correctness. To compensate for the lack of unit tests in determining *code entailment*, unit tests and expected outputs are extracted from a dynamic code analysis tool.

Let \mathcal{U} and \mathcal{V} be a set of input and output states for all code snippets, respectively. A dynamic code analysis tool $\mathcal{F}: \mathcal{Y} \times \mathcal{U} \to \mathcal{U} \times \mathcal{V}$ returns a pair of input and output states for a given code snippet \mathbf{y} by executing a code snippet \mathbf{y} with a seed input $\mathbf{s} \in \mathcal{U}$, randomly drawn from a distribution over seed inputs $\mathcal{D}_{\mathcal{U}}$, *i.e.*, $(\mathbf{u}, \mathbf{v}) = \mathcal{F}(\mathbf{y}, \mathbf{s})$, where $\mathbf{s} \sim \mathcal{D}_{\mathcal{U}}$ and $\mathbf{y}(\mathbf{u}) = \mathbf{v}$. Here, we assume that \mathbf{y} is a deterministic code snippet for notational simplicity, but our results maintain with stochastic code by fixing the random seed of \mathcal{F} . As mentioned above, the analysis tool \mathcal{F} facilitates learning and evaluation with code by providing an automatic way to generate a huge number of unit tests. We then introduce the definition of α -code entailment by leveraging the dynamic code analysis tool \mathcal{F} .

Definition 1 (α -code entailment). A code snippet $\mathbf{y} \in \mathcal{Y}$ α -entails $\hat{\mathbf{y}} \in \mathcal{Y}$ in \mathcal{F} and $\mathcal{D}_{\mathcal{U}}$ if

$$\mathbb{P}_{\mathbf{v}}\left\{\hat{\mathbf{y}}(\mathbf{u}) = \mathbf{v}\right\} \ge 1 - \alpha,\tag{2}$$

where the probability is taken over $\mathbf{s} \sim \mathcal{D}_{\mathcal{U}}$, $(\mathbf{u}, \mathbf{v}) = \mathcal{F}(\mathbf{y}, \mathbf{s})$, and we call $\mathbb{P}_{\mathbf{y}} \{\hat{\mathbf{y}}(\mathbf{u}) = \mathbf{v}\}$ an expected functional correctness of $\hat{\mathbf{y}}$ with respect to \mathbf{y} , \mathcal{F} , and $\mathcal{D}_{\mathcal{U}}$.

Here, α controls a degree of correctness to cover diverse code generators in varying quality.

In code generation, the α -code entailment provides a foundation for measuring functional correctness. In particular, to measure the functional correctness between two code snippets \mathbf{y} and $\hat{\mathbf{y}}$, we need to check whether two code snippets \mathbf{y} and $\hat{\mathbf{y}}$ have the same output state for all input states. To check this bidirectional equivalence relation, we first consider the one-directional definition via code entailment by checking whether code $\hat{\mathbf{y}}$ satisfies all input and output pairs for code \mathbf{y} following Definition 1. By considering entailment from \mathbf{y} to $\hat{\mathbf{y}}$ and vice versa, we can eventually define the functional equivalence of code. In this paper, instead of analyzing the bidirectional equivalence, we only consider the one-directional relaxed notation of correctness via code entailment, which suffices for code generation, *e.g.*, we can say that $\hat{\mathbf{y}}$ is correct if it contains all functionalities of \mathbf{y} along with other functionalities. Note that an example of α -entailment is presented in Table 5.

4.2 False Discovery Rate via Code Entailment

We define a relation for the FDR risk in (1) by using α -code entailment. We first denote the set of α -entailment code snippets of \mathbf{y} by $E_{\alpha}(\mathbf{y})$, i.e., $E_{\alpha}(\mathbf{y}) \coloneqq \{\bar{\mathbf{y}} \mid \mathbb{P}_{\mathbf{y}} \{\bar{\mathbf{y}}(\mathbf{u}) = \mathbf{v}\} \ge 1 - \alpha\}$, which approximately corresponds to the set of all code snippets that has the most functionalities of \mathbf{y} . From the definition of the code-entailment, $\hat{\mathbf{y}} \in E_{\alpha}(\mathbf{y})$ implies that \mathbf{y} α -entails $\hat{\mathbf{y}}$.

Using the same definition of $E_{\alpha}(\mathbf{y})$, we further define the correctness relation between two code snippets as follows: $R_{\alpha} \coloneqq \{(\hat{\mathbf{y}}, \mathbf{y}) \mid \hat{\mathbf{y}} \in E_{\alpha}(\mathbf{y})\}$. Then, from (1), we define the FDR with code entailment relation R_{α} (FDR-CE). Equivalently, we use the following FDR-CE definition: $\mathcal{R}_{\alpha}(\hat{S}) \coloneqq \mathbb{P}\{\hat{S}(\mathbf{x}) \notin E_{\alpha}(\mathbf{y}) \mid \hat{S}(\mathbf{x}) \neq \text{IDK}\}$. Here, the probability is taken over $(\mathbf{x}, \mathbf{y}) \sim \mathcal{D}_{\mathcal{X} \times \mathcal{Y}}$, where $\mathcal{D}_{\mathcal{X} \times \mathcal{Y}}$ is a distribution over $\mathcal{X} \times \mathcal{Y}$.

4.3 Code Entailment Estimation

In natural languages, an entailment relation between two sentences can be easily obtained by human annotators. However, identifying functionalities between two code snippets by humans (i.e., deciding whether $\hat{S}(\mathbf{x}) \notin E_{\alpha}(\mathbf{y})$ or not) are dramatically challenging due to the un-natural form of programming languages. We overcome this challenge by using a dynamic analysis tool that fully exploits an executable property of code.

Inspired by [14], we estimate E_{α} and use it as a pseudo-labeling function as the exact E_{α} is difficult to obtain. In particular, given a true code snippet y and a generated code snippet \bar{y} , recall the expected functional correctness $\mathbb{P}_{\mathbf{y}}\{\bar{\mathbf{y}}(\mathbf{u}) = \mathbf{v}\}$. Considering that the input-output pairs (\mathbf{u}, \mathbf{v}) are independently drawn from the seed distribution $\mathcal{D}_{\mathcal{U}}$ by \mathcal{F} , we estimate the lower bound of the expected functional correctness by using the standard binomial tail bound. Specifically, let the lower binomial tail bound \hat{L} of $\mathbb{P}_{\mathbf{y}}\{\bar{\mathbf{y}}(\mathbf{u}) = \mathbf{v}\}\$ be $\hat{L}(\mathbf{y}, \bar{\mathbf{y}}, n_{\mathbf{y}}, \varepsilon_E) \coloneqq \hat{L}_{\text{Binom}}(\hat{k}; n_{\mathbf{y}}, \varepsilon_E)$, where $n_{\mathbf{y}}$ is the number of samples, $\mathbf{S}_{\mathbf{y}} \sim \mathcal{D}_{\mathcal{U}}^{n_{\mathbf{y}}}$, and $\hat{k} := \sum_{(\mathbf{u}, \mathbf{v}) \in \{(\mathbf{u}, \mathbf{v}) | \mathbf{s} \in \mathbf{S}_{\mathbf{y}}, \mathcal{F}(\mathbf{y}, \mathbf{s}) = (\mathbf{u}, \mathbf{v})\}} \mathbb{1}(\bar{\mathbf{y}}(\mathbf{u}) = \mathbf{v})$. Here, \hat{L}_{Binom} is the lower standard binomial tail bound, where $F(k;n,\theta)$ be a cumulative distribution function of a binomial distribution with n trials and success probability θ , and $\hat{L}_{Binom}(k; n, \delta) :=$ $\sup \{\theta \in [0,1] \mid 1 - F(k;n,\theta) \le \delta\} \cup \{0\}$. Then, due to its definition, the lower bound holds with high probability [27] as follows: $\mathbb{P}\{\hat{L}(\mathbf{y}, \bar{\mathbf{y}}, n_{\mathbf{y}}, \varepsilon_E) \leq \mathbb{P}_{\mathbf{y}}\{\bar{\mathbf{y}}(\mathbf{u}) = \mathbf{v}\}\}\} \geq 1 - \varepsilon_E$, where the probability is taken over $\mathbf{S_y} \sim \mathcal{D}_{\mathcal{U}}^{n_y}$. Importantly, we carefully choose reasonably small unit test size n_y for a given \mathbf{y} via Algorithm 1. In particular, sample size for the binomial tail bound is usually given, but we can generate as many samples as we wish by executing a dynamic code analysis tool F. Here, the number of samples should depend on the difficulty in evaluating the correctness of generated code \hat{y} , i.e., as the generated code is ambiguous to check the α -code entailment, we need more samples to be certain. To this end, we increase the unit test size n_v until the lower bound L of an expected functional correctness is larger than $1-\alpha$ (Line 3) to achieve the expected correctness as well. If the sample size exceeds maximum size n_{max} , the algorithm returns n_{max} (Line 7).

From this lower bound \hat{L} , we define an *estimated entailment set* as follows:

$$\hat{E}_{\alpha,\varepsilon_E}(\mathbf{y}) := \left\{ \bar{\mathbf{y}} \mid \hat{L}(\mathbf{y}, \bar{\mathbf{y}}, n_{\mathbf{y}}, \varepsilon_E) \ge 1 - \alpha \right\}.$$
(3)

Intuitively, code $\bar{\mathbf{y}} \in \hat{E}_{\alpha,\varepsilon_s}(\mathbf{y})$ likely satisfies $\bar{\mathbf{y}} \in E_{\alpha}(\mathbf{y})$, meaning \mathbf{y} α -entails $\hat{\mathbf{y}}$ with high probability. Thus, the FDR-CE based on the estimated entailment set is defined as $\mathcal{R}_{\alpha,\varepsilon_E}(\hat{S}) \coloneqq \mathbb{P}\{\hat{S}(\mathbf{x}) \notin \hat{E}_{\alpha,\varepsilon_E}(\mathbf{y}) | \hat{S}(\mathbf{x}) \neq \text{IDK}\}$. Here, the probability is taken over $(\mathbf{x},\mathbf{y},n_{\mathbf{y}}) \sim \mathcal{D}_{\mathcal{X}\times\mathcal{Y}\times\mathbb{N}}$ and $\mathbf{S}_{\mathbf{y}} \sim \mathcal{D}_{\mathcal{U}}^{n_{\mathbf{y}}}$, where we simply denote the distribution associated to $(\mathbf{x},\mathbf{y},n_{\mathbf{y}},\mathbf{S}_{\mathbf{y}})$ by \mathcal{D} . It is a good alternative for the original FDR-CE $\mathcal{R}_{\alpha}(\hat{S})$. In the following, we connect \mathcal{R}_{α} and $\mathcal{R}_{\alpha,\varepsilon_E}$ in learning.

4.4 False Discovery Rate Bound

We consider the upper bound of the FDR-CE $\mathcal{R}_{\alpha}(\hat{S})$, which leverages the estimated entailment set. Specifically, from Lemma 1, we have $\mathcal{R}_{\alpha}(\hat{S}) \leq \mathbb{P}_{\mathcal{D}_{\hat{S}}}\{e=0,\hat{e}=1\} + \mathcal{R}_{\alpha,\varepsilon_{E}}(\hat{S})$. Here, $e \coloneqq G(\mathbf{x}) \in E_{\alpha}(\mathbf{y}), \ \hat{e} \coloneqq G(\mathbf{x}) \in \hat{E}_{\alpha,\varepsilon_{E}}(\mathbf{x}), \ \text{and} \ \mathbb{P}_{\mathcal{D}_{\hat{S}}}\{\cdot\} = \mathbb{P}\{\cdot \mid \hat{S}(\mathbf{x}) \neq \text{IDK}\}, \ \text{where the probability is taken over } (\mathbf{x},\mathbf{y},n_{\mathbf{y}},\mathbf{S}_{\mathbf{y}}) \sim \mathcal{D}.$ This intuitively suggests that the FDR-CE over the exact entailment set can be approximated by the FDR-CE over the estimated entailment set along

with its false entailment rate (FER). Moreover, the FER is related to the correctness of the binomial tail bound, controlled by ε_E . This implies the following key lemma. See Appendix H for a proof.

Lemma 2. For any
$$\alpha, \varepsilon_E \in (0,1)$$
, and \hat{S} , we have $\mathcal{R}_{\alpha}(\hat{S}) \leq \varepsilon_E + \mathcal{R}_{\alpha,\varepsilon_E}(\hat{S})$.

Next, we use this bound to provide an algorithm for \hat{S} , controlling this upper bound at a desired level.

4.5 FDR-CE Control Algorithm

We propose a selective generator learning algorithm for code that controls the FDR-CE. In particular, we consider a scalar-parameterization of the selection function \hat{s} , *i.e.*, $\hat{s}(\mathbf{x}) \coloneqq \mathbbm{1}(f(\mathbf{x}, G(\mathbf{x})) \ge \tau)$, where $\tau \in \mathbb{R}$. While the scoring function can, in general, be any function that quantifies the confidence on generated code, we employ the standard length-normalized log-probability for generated tokens as the default choice, *i.e.*, $f(\mathbf{x}, G(\mathbf{x})) = \sum_i \ln p_i / |G(\mathbf{x})|$, where p_i is the probability by G to generate the i-th token. Then, our algorithm searches \hat{S} that closely controls the upper bound in Lemma 2 within ε_S by solving the following optimization problem:

$$\min_{\tau \in \mathbb{R}} \tau \quad \text{subj. to} \quad \varepsilon_E + \hat{U}_{\text{Binom}}(\hat{k}; |\hat{\mathbf{Z}}|, \delta_S / \lceil \log_2 |\mathbf{Z}| \rceil) \le \varepsilon_S, \tag{4}$$

where
$$\mathbf{Z} \sim \mathcal{D}^n$$
, $\hat{\mathbf{Z}} \coloneqq \{(\mathbf{x}, \mathbf{y}, \underline{\ }) \in \mathbf{Z} \mid f(\mathbf{x}, G(\mathbf{x})) \geq \tau\}$, $\hat{k} \coloneqq \sum_{(\mathbf{x}, \mathbf{y}, \underline{\ }) \in \hat{\mathbf{Z}}} \mathbb{1}(G(\mathbf{x}) \notin \hat{E}_{\alpha, \varepsilon_E}(\mathbf{y}))$, and \hat{U}_{Binom} is the upper binomial tail bound, similarly defined as the lower bound \hat{L}_{Binom} . Here, the algorithm also returns $\hat{U} \coloneqq \varepsilon_E + \hat{U}_{\text{Binom}}(\cdot)$, the upper bound of the FDR-CE for the optimal $\hat{\tau}$. We denote the algorithm solving (4) by \mathcal{A}_{SCG} and see Algorithm 2 for its implementation details. Appendix N.1 includes guidelines on user-specified parameter selection.

At a high level, the algorithm essentially finds a selective code generator (parametrized by τ) that minimizes τ , to maximize the selection efficiency of the selective generator, under the constraint of controlling the FDR-CE by a desired level ε_S . If the minimization is not feasible, the algorithm returns a selective generator that controls the minimum FDR-CE, indicated by \hat{U} .

4.5.1 Dynamic Code Analysis via Fuzzing

We consider any dynamic analysis tool $\mathcal{F}: \mathcal{Y} \times \mathcal{U} \to \mathcal{U} \times \mathcal{V}$ to generate a unit test (\mathbf{u}, \mathbf{v}) for a given seed s by executing code y. We adopt a fuzzing method [21] that recently emerged due to its simplicity and efficacy in finding bugs. This method is usually used for exploiting a certain execution to trigger bugs but we rather use it for exploring wider execution paths.

In detail, we randomly sample a binary stream from distribution $\mathcal{D}_{\mathcal{U}}$ and use it as a seed $\mathbf{s} \sim \mathcal{D}_{\mathcal{U}}$ to arbitrarily assign an input state for code, e.g., randomly initializing input parameters for a function call. The initial state typically fails to lead to an interesting execution path; therefore, fuzzing method mutates the input seed to explore wider execution paths, e.g., Atheris [28] mutates an input to increase code coverage. During this repeated execution of code, multiple input and output state pairs are generated, and we randomly select one of them as our final pair (\mathbf{u}, \mathbf{v}) for each seed \mathbf{s} .

4.6 Controllability Guarantee

The proposed algorithm \mathcal{A}_{SCG} controls a FDR-CE of a learned selective code generator. This result is a direct consequence of selective prediction [11, 14]. See a proof in Appendix I.

Theorem 1. For any
$$\varepsilon_S \in (0,1)$$
, $\delta_S \in (0,1)$, $\alpha \in (0,1)$, f , \mathcal{F} , $\mathcal{D}_{\mathcal{U}}$, and \mathcal{D} , we have $\mathbb{P}\{\mathcal{R}_{\alpha}(\hat{S}) \leq \hat{U}\} \geq 1 - \delta_S$, where the probability is taken over $\mathbf{Z} \sim \mathcal{D}^n$ and $(\hat{S}, \hat{U}) := \mathcal{A}_{SCG}(\mathbf{Z})$.

This implies that Algorithm 4 finds a selective code generator that satisfies a desired FDR-CE level $\varepsilon_S(\geq \hat{U})$ or minimum level $\hat{U}(>\varepsilon_S)$, without having human-feedback on code entailment labels.

4.7 FuzzEval: Evaluation via Fuzzing

We claim to use automatically generated unit tests in evaluation as well. Traditionally, identifying the functional equivalence desired code and generated code in code generation have relied on manually obtained unit tests, *e.g.*, HumanEval [17]. But, as desired code gets complex so the number of its execution path exponentially increases, manually getting an enough number of unit tests is almost impossible. To address this bottleneck, we propose to use fuzzing, one method for dynamic code analysis, to automatically generate unit tests of given code, calling this evaluation paradigm *FuzzEval*.

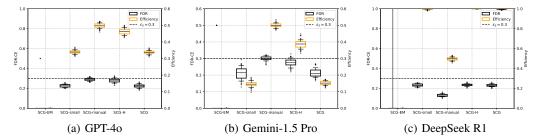


Figure 2: The box plots of the FDR-CE and selection efficiency for various LLMs ($\delta_S=0.1$, $\varepsilon_S=0.3$, $\varepsilon_E=0.05$, and $\alpha=0.35$ for all models). See Appendix 4(a) for additional results with CodeLlama 13B Instruct.

Table 1: Comparison results of SCG against baseline methods on different datasets with GPT-40 ($\alpha=0.35, \delta_S=0.1, \varepsilon_E=0.05$ for all datasets). The FDR-CE satisfying the desired guarantees and the highest efficiency among methods that comply with the FDR-CE guarantees are marked in **bold.**

Methods	w/o Selective Generation	w/ Selective Generation					
Withous	$\tau = -\infty$	SCG-EM	SCG-MANUAL	SCG-SMALL	SCG-H	SCG	
APPS ($\varepsilon_S = 0.3$))						
1 - PASS@1(↓)	0.436 ± 0.012	0.840 ± 0.352	0.293 ± 0.014	0.226 ± 0.015	0.282 ± 0.020	0.227 ± 0.017	
$FDR-CE(\downarrow)$	0.431 ± 0.011	0.020 ± 0.099	0.291 ± 0.014	$0.226 {\scriptstyle \pm 0.015}$	0.280 ± 0.020	0.224 ± 0.018	
Efficiency(↑)	1.000 ± 0.000	0.000 ± 0.000	$0.497{\scriptstyle\pm0.014}$	$\boldsymbol{0.339 \pm 0.012}$	0.463 ± 0.019	$0.337 {\scriptstyle\pm0.011}$	
MBPP ($\varepsilon_S = 0.4$	1)						
1 - PASS@1	0.299 ± 0.022	0.800 ± 0.400	0.258 ± 0.040	-	0.301 ± 0.028	0.304 ± 0.032	
FDR-CE	0.294 ± 0.027	0.000 ± 0.000	0.254 ± 0.041	-	$0.296 {\scriptstyle \pm 0.029}$	0.300 ± 0.032	
EFFICIENCY	1.000 ± 0.000	0.001 ± 0.003	0.499 ± 0.038	-	$0.997{\scriptstyle\pm0.004}$	$0.996{\scriptstyle\pm0.006}$	
HUMANEVAL (ε,	S = 0.3						
1 - PASS@1	0.185 ± 0.058	0.800 ± 0.400	0.142 ± 0.080	-	0.156 ± 0.165	0.069 ± 0.173	
FDR-CE	0.207 ± 0.064	0.000 ± 0.000	$0.156{\scriptstyle\pm0.086}$	-	0.145 ± 0.123	0.049 ± 0.111	
EFFICIENCY	1.000 ± 0.000	0.008 ± 0.017	0.492 ± 0.080	-	0.578 ± 0.401	0.164 ± 0.118	
MERCURY (ε_S =	= 0.3)						
1 - PASS@1	0.174 ± 0.018	0.820 ± 0.384	0.138 ± 0.024	-	0.169 ± 0.017	0.170 ± 0.020	
FDR-CE	0.170 ± 0.019	0.000 ± 0.000	$0.133{\scriptstyle\pm0.022}$	-	$0.164{\scriptstyle\pm0.019}$	0.165 ± 0.020	
EFFICIENCY	1.000 ± 0.000	0.001 ± 0.002	0.504 ± 0.033	-	0.998 ± 0.003	0.998 ± 0.002	

5 Experiments

We demonstrate the efficacy of our selective code generation on open and closed LLMs in an algorithmic solving task. See Appendix M for additional experiments.

5.1 Setup

Dataset. We considered datasets with coding problems, where each problem has a correct canonical solution. We chose APPS [22], Mercury [26], HumanEval [17], and MBPP[18] for our task. Each dataset consists of Python programming questions, canonical code solutions, and few unit tests. Each Python programming question is provided to an LLM as a prompt to generate code, and then the generated code is measured for its functionality by running the unit tests.

Here, we replace the built in unit tests to automatically generated unit tests via fuzzing for learning and evaluation. In particular, each question consists of constraints to inputs. We manually post-processed python programming questions and their solutions of each datasets such that the solution code can be easily callable by a fuzzing tool while satisfying the input constraints of questions. Among the post-processed solution code, we conducted dynamic analysis and extracted at least 600 input-output pairs as our unit tests for calibration and its evaluation (*i.e.*, *FuzzEval*). Additional details on the datasets can be found on Appendix G.

LLMs. We used three closed LLMs, *i.e.*, GPT-4o [29], Gemini 1.5 Pro [30], GPT-4.1 [31] and two open LLM, *i.e.*, CodeLlama 13B-instruct [32] and Deepseek-R1 [33] as code generators. Here, we use the following default use-specified parameters unless specified: $\varepsilon_S=0.3, \delta_S=0.1, \alpha=0.35, \varepsilon_E=0.05,$ and $n_{\rm max}=150.$

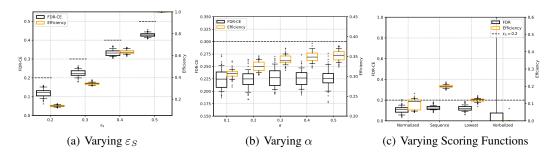


Figure 3: The FDR-CE results for GPT-40 with varying parameters and scoring functions. We use $\varepsilon_S=0.3, \delta_S=0.1, \alpha=0.35$, and $\varepsilon_E=0.05$ for Figure 3(a) and 3(b) and $\varepsilon_S=0.2, \delta_S=0.1, \alpha=0.15$, and $\varepsilon_E=0.05$ for Figure 3(c)

Table 2: Comparison results of SCG against baseline methods on different programming languages with GPT-4.1 ($\alpha=0.35, \delta_S=0.1, \varepsilon_E=0.05, \varepsilon_S=0.3$ for all programming languages). We selected a subset of problems (5359 instances) from the APPS dataset that conform to the standard input/output format for executing unit tests. The FDR-CE satisfying the desired guarantees and the highest efficiency among methods that comply with the FDR-CE guarantees are marked in **bold.**

Methods	w/o Selective Generation	w/ Selective Generation					
Wethous	$\tau = -\infty$	SCG-EM	SCG-MANUAL	SCG-SMALL	SCG-H	SCG	
CPP ($\varepsilon_S = 0.3$)							
1 - PASS@1(↓)	0.386 ± 0.012	0.700 ± 0.458	0.228 ± 0.018	0.226 ± 0.019	0.278 ± 0.021	0.232 ± 0.019	
FDR-CE(↓)	0.382 ± 0.013	0.000 ± 0.000	0.225 ± 0.018	0.225 ± 0.017	0.270 ± 0.021	0.228 ± 0.019	
Efficiency(↑)	1.000 ± 0.000	0.000 ± 0.001	0.499 ± 0.018	0.498 ± 0.017	$0.680{\scriptstyle\pm0.020}$	$\textbf{0.513} {\pm} \scriptstyle{0.019}$	
JAVA ($\varepsilon_S = 0.3$)							
1 - PASS@1	0.383 ± 0.013	0.840 ± 0.367	0.240 ± 0.017	0.228 ± 0.019	0.286 ± 0.020	0.227 ± 0.020	
FDR-CE	0.379 ± 0.013	0.000 ± 0.000	0.237 ± 0.017	0.223 ± 0.019	0.282 ± 0.019	0.222 ± 0.022	
EFFICIENCY	1.000 ± 0.000	0.000 ± 0.000	$0.502 {\scriptstyle\pm0.019}$	0.483 ± 0.019	$0.672 {\scriptstyle\pm0.021}$	$0.485{\scriptstyle\pm0.018}$	
JAVASCRIPT (ε_S =	= 0.3)						
1 - PASS@1	0.374 ± 0.014	0.800 ± 0.400	0.221 ± 0.016	0.227 ± 0.025	0.278 ± 0.020	0.227 ± 0.022	
FDR-CE	0.368 ± 0.014	0.000 ± 0.000	0.215 ± 0.016	$0.223 {\scriptstyle \pm 0.025}$	0.274 ± 0.018	0.222 ± 0.023	
EFFICIENCY	1.000 ± 0.000	0.000 ± 0.000	$0.500 {\pm} 0.018$	0.518 ± 0.020	$0.673 \scriptstyle{\pm 0.015}$	$0.517{\scriptstyle\pm0.020}$	
Perl ($\varepsilon_S = 0.3$)							
1 - PASS@1	0.457 ± 0.015	0.800 ± 0.400	0.305 ± 0.017	0.205 ± 0.034	0.274 ± 0.029	0.218 ± 0.037	
FDR-CE	0.453 ± 0.015	0.000 ± 0.000	0.302 ± 0.016	0.207 ± 0.033	0.270 ± 0.027	0.207 ± 0.035	
EFFICIENCY	1.000 ± 0.000	0.000 ± 0.001	0.499 ± 0.019	0.182 ± 0.031	0.397 ± 0.028	0.186 ± 0.029	

Method. We consider four baseline methods **SCG-EM**, **SCG-manual**, **SCG-small**, and **SCG-H** to compare with our method **SCG**.

- SCG-EM [11]: This baseline is a conventional selective predictor method that compares the generated code and solution code, without measuring its functional correctness.
- SCG-manual: This baseline is a simple selective generator that selects the upper k% of scores for a threshold τ to highlight the importance of controlling the FDR-CE.
- SCG-small: This is our method but only using unit tests, provided by the APPS dataset to show the efficacy of generated unit tests via fuzzing. We sampled 21 test cases for each problem to apply our algorithm. See Appendix E for additional details.
- SCG-H: This baseline is a heuristic of our method omitting false entailment rate (FER) as in Lemma 2. It searches for a selective generator as in (4) with ε_E = 0.

Scoring Function. To analyze the effect of calibration on our method **SCG**, we consider four different scoring functions. The detailed explanations on the scoring functions are provided in Appendix F.

Evaluation. We evaluate our method along with baselines based on the empirical counterpart of the FDR-CE and selection efficiency from a test set $\mathbf{Z}_{\rm t} \sim \mathcal{D}^{n_{\rm test}}$ in (8) and (9), respectively. Interestingly, FDR-CE and 1-PASS@1[17] over on selected samples by **SCG** may be asymptotically equivalent when $\alpha \to 0$ and $n_{\mathbf{y}} \to \infty$ for PASS@1 (See Appendix K for discussion).

5.2 Results

We demonstrate the efficacy of our method **SCG** on different models, datasets, and programming languages. In addition, we highlight the benefits of fuzzing, and analyze the effect of calibration.

5.2.1 Controllability and Selection Efficiency

Figure 2 shows that **SCG** controls the FDR-CE. To this end, we conducted random experiments. We ran the experiment 50 times by randomly splitting the calibration set and test set at 8:2 ratio each time. The whisker on each box plot denotes the range between δ_S and $1 - \delta_S$ percentile of the distributions.

SCG-manual may perform better than our method depending on the choice of k. However, manually selecting an appropriate k for different situations is a challenging task. **SCG-small** bounds the FDR-CE successfully. However, this method demonstrates lower efficiency compared to our method. The result stems from a lack of unit tests to correctly infer *expected functional correctness*, illustrating the advantage of fuzzing in learning. **SCG-H** shows that it is not able to bound a desired FDR-CE, as it ignores an estimation error for inferring expected functional correctness.

Our method shows how it successfully bounds desired FDR-CEs on diverse models (Figure 2), diverse parameters (Figure 3, Figure 5), diverse datasets (Table 1), and diverse programming languages (Table 2). In Figure 2, 3, and 5, this is shown by upper whisker bar lying below the desired FDR-CE in dotted line, while Table 1, 2, the results are indicated by bold text. Table 3 shows qualitative results of our method that accepts correct code and rejects uncertain code. Note that poorly performing model, e.g., CodeLlama in Figure 4(a), may not find a selective generator with a desired FDR-CE ε_S . This is an expected result due to an un-calibrated scoring function [14], as discussed in Section 5.2.3.

5.2.2 Benefit of Fuzzing in Learning and Evaluation

We empirically show the benefit of fuzzing in learning. As shown in Figure 5(b), the FDR-CE bound (in the top of whisker) gets tighter to a desired FDR-CE level without violating it as smaller ε_E requires a larger amount of unit tests, thus providing a precise estimation on expected functional correctness. This shows that generated unit tests by fuzzing helps to provide a tighter FDR-CE guarantee, meaning higher selection efficiency.

Additionally, we demonstrate that automatic unit test generation is beneficial in precise evaluation. As shown in Figure 5(c), the FDR-CE decreases as the number of unit tests for evaluation increases. Recalling that α -entailment is determined by comparing the lower bound of expected functional correctness with $1-\alpha$ as in Definition 1, the lower bound gets tighter as we use more unit tests to evaluate code. The tighter lower bound results in more accurate comparison and evaluation, thus reducing the FDR-CE. This shows that fuzzing has a benefit of reducing the evaluation error.

5.2.3 Effect of Scoring Function and Calibration

We empirically demonstrate the effect of calibration on our method **SCG**. As shown in Figure 3(c), the choice of scoring function affects whether FDR-CE can be successfully bounded to the desired ε_S . In particular, f_{verb} in Figure 3(c) fails to properly bound the FDR-CE. Furthermore, CodeLlama in Figure 4(a), fails to find a selective generator with a desired ε_S , due to an un-calibrated scoring function [14]. Thus, the model finds a minimum FDR-CE by returning \hat{U} in this case. These results underscore the importance of selecting appropriate scoring functions for the efficacy of our method.

6 Conclusion

This paper considers the code hallucination problem. In particular, we introduce the novel concept of code entailment based on automatically generated unit tests via fuzzing, one of dynamic code analysis tools. Given this, we propose a learning algorithm for selective code generators to theoretically control the hallucination in the FDR of selective generators. We further propose leveraging fuzzing to automatically generate unit tests for learning evaluation purposes, enabling the large-scale collection of unit tests. Lastly, we demonstrate the controllability of the proposed selective generator and its selection efficiency over open and closed code generators under different experiment setups.

Limitations. The proposed method controls the rate of hallucination, but its selection efficiency heavily depends on the quality of code generation models, requiring improvement for code generators. Moreover, the i.i.d. assumption in learning a selective code generator limits its applicability in distribution-shifting environments.

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A Extended Related Work

Code Generation. Code generation is the task of generating a program that meets given functional specifications. Recent advancement in LLMs have led to high performance in code generation tasks [3, 29, 34, 35]. The main challenge with pre-trained language models for code generation is ensuring functional correctness. Incorrectly generated code, known as code hallucination, refers to a situation where a pre-trained model generates functionally incorrect code [36, 37].

Several methods have been proposed to address this challenge. One approach is fine-tuning the model [38, 39] to increase accuracy. However, this is an expensive task due to high training costs. Another approach involves applying non-fine-tuning methods to increase accuracy. [40] leverages signal from executing unit tests with a generated program to increase accuracy, while [41] proposes decomposing a code generation task to a smaller sub-task. However, these methods do not provide any theoretical guarantee on code hallucination.

B Extended Preliminary

Textual Entailment. In natural languages, textual entailment is a concept of evaluating semantic relation between two sentences by checking an entailment relation [15]. In particular, denoting two sentences by a premise and a hypothesis, we say that a premise entails a hypothesis if the hypothesis is true given the premise. Otherwise, we say the premise contradicts the hypothesis.

This textual entailment has been used to measure semantic correctness between a question and an answer in learning language models [13, 14]. If a generated answer entails a true one, we can consider the generated answer as true in textual entailment. In evaluating correctness of generated answers in natural language processing, introducing textual entailment is crucial as a simple, traditional exact match, *i.e.*, the generated answer and the true one is exactly the same, does not measure the semantic relation between two answers. However, in code generation, there is no notion of entailment to check the semantic correctness between two code snippets. We overcome this hurdle by introducing *code entailment* for measuring functional correctness, leveraging executable properties of code.

Dynamic Code Analysis via Fuzzing. Computer programs suffer from undefined behaviors, called *bugs*, *e.g.*, crash by buffer overflow. To find the bugs, security researchers have been extensively developed static and dynamic code analysis tools, *e.g.*, CodeQL [42], AFL [43], and Atheris [28].

The dynamic analysis tools exploit the executable property of code to find bugs, while the static analysis tools inspect code without execution. We mainly focus on more informative dynamic analysis tools. In particular, fuzzing, a representative class of methods for dynamic code analysis, generates the input of a given program, called seed, executes the program with the input, and checks whether undefined behaviors can be observable. Given the observation, fuzzing methods randomly mutate the input of programs to explore wider execution paths or exploit execution paths toward targeted code. In this paper, we re-purpose fuzzing methods for identifying functionality of code, instead of finding bugs in code by generating the input and output pairs of code.

C Qualitative Result of our Methods

Table 3: Qualitative results of our selective code generator with GPT-4o ($\varepsilon_S=0.3,\,\delta_S=0.1,\,\varepsilon_E=0.05,\,$ and $\alpha=0.15$). The accepted generated code is α -entailment with the score of $-0.05,\,$ meaning high certainty, where the code is also semantically correct. The rejected code is not α -entailment with the score of $-53.87,\,$ meaning low certainty probably due to the complexity of the question. In particular, it returns a wrong answer, 2, for an input, $[1,1,0,1,0,0,0,1,0,0,1,1,0,1],\,$ where an expected answer is 14, due to the inequality in "count > max_count" that does not follow the tie instruction. Note that the contents are manually truncated for presentation purposes.

Question	Canonical Solution	Generated Code	Selection (ours)
You are given an array to sort but you're expected to start sorting from a specific position of the array (in ascending order) and optionally you're given the number of items to sort.	<pre>def sect_sort(lst, start, length=0): end = start + length \ if length else \ len(lst) return lst[:start] + \ sorted(lst[start:end]) + \ lst[end:]</pre>	<pre>def sect_sort(array, start, length=0): if length == 0: end = len(array) else: end = start + length array[start:end] = \ sorted(array[start:end]) return array</pre>	Accept
Given an array containing only zeros and ones, find the index of the zero that, if converted to one, will make the longest sequence of ones Return the last index if there are multiple results.	<pre>def replace_zero(arr): m,im,i,lst = 0, -1, -1, (''.join (map(str,arr)) split('0')) for a,b in zip(lst,lst[1:]): i += len(a) + 1 candidate = len(a)+len(b)+1 if m <= candidate: im, m = i, candidate return im</pre>	<pre>def replace_zero(arr): max_count = 0 max_index = -1 count = 0 last_zero_idx = -1 consecutive_count_before_zero = 0 for i in range(len(arr)): if arr[i] == 1: count += 1 else: count = i - last_zero_idx last_zero_idx = i if count > max_count: max_count = count max_index = last_zero_idx return max_index</pre>	Reject

D Algorithm

Algorithm 1 Unit tests size computation.

```
1: procedure COMPUNITTESTSIZE(\alpha, \varepsilon_E, \mathbf{y}, \bar{\mathbf{y}}, n_{\text{max}})
2: n_{\mathbf{y}} \leftarrow 0
3: while \hat{L}(\mathbf{y}, \bar{\mathbf{y}}, n_{\mathbf{y}}, \varepsilon_E) < 1 - \alpha do
4: \mathbf{s} \sim \mathcal{D}_{\mathcal{U}}
5: (\mathbf{u}, \mathbf{v}) \leftarrow \mathcal{F}(\mathbf{y}, \mathbf{s})
6: n_{\mathbf{y}} \leftarrow n_{\mathbf{y}} + 1
7: if n_{\mathbf{y}} \geq n_{\text{max}} then
8: break
9: end if
10: end while
11: return n_{\mathbf{y}}
```

Algorithm 2 Selective Code Generator Learning

```
1: procedure LEARNSCG(f, \mathbf{Z}, G, \alpha, \varepsilon_E, \delta_S)
  2: \mathbf{Z}' \leftarrow \operatorname{SORT}_f(\mathbf{Z})
                                                                                                                                                          \triangleright Increasing order of f(\mathbf{x}_i, G(\mathbf{x}_i))
  3: (\underline{i}, \overline{i}) \leftarrow (1, |\mathbf{Z}|)
4: for i = 1 to \lceil \log_2 |\mathbf{Z}| \rceil do
            i_{\text{mid}} \leftarrow \lceil \frac{\underline{i} + \overline{i}}{2} \rceil
           \begin{aligned} & \tau_S^{(i)} \leftarrow f(\mathbf{x}_{i_{\text{mid}}}, G(\mathbf{x}_{i_{\text{mid}}})) \\ & \hat{\mathbf{Z}}^{(i)} \leftarrow \left\{ (\mathbf{x}, \_) \in \mathbf{Z}' \mid f(\mathbf{x}, G(\mathbf{x})) \geq \tau_S^{(i)} \right\} \end{aligned}
                                                                                                                                                                                      \triangleright Choose a candidate \tau

hd Build a selected calibration set by 	au_S^{(i)}
             \hat{k}^{(i)} \leftarrow \sum_{(\mathbf{x}, \mathbf{y}, \_) \in \hat{\mathbf{Z}}^{(i)}} \mathbb{1} \left( G(\mathbf{x}) \notin \hat{E}_{\alpha, \varepsilon_E}(\mathbf{y}) \right)
                                                                                                                                                                                  \hat{U}^{(i)} \leftarrow \hat{U}_{\text{Binom}}\left(\hat{k}^{(i)}, |\hat{\mathbf{Z}}^{(i)}|, \delta_S/\lceil \log_2 |\mathbf{Z}| \rceil\right)
                                                                                                                                                                                           ⊳ Bound the FDR-CE.
              if \varepsilon_E + \hat{U}^{(i)} \leq \varepsilon_S then
10:

ightharpoonup Keep 	au_S^{(i)} that controls a desired FDR-CE.
                    \bar{i} \leftarrow i_{\mathsf{mid}}
11:
12:
               else
                    \underline{i} \leftarrow i_{\text{mid}}
13:
                end if
14:
15: end for
16: return \tau_S^{(i)}, \varepsilon_E + \hat{U}^{(i)}
```

E SCG-small Detail

This is our method but only using unit tests, provided by the APPS dataset to show the efficacy of generated unit tests via fuzzing. In particular, the APPS dataset provides an average of 21 unit tests per problem. However, the number of unit tests varies across the APPS dataset, and some problems lack unit tests. As it is difficult to directly apply our method to the original dataset, we sampled 21 test cases for each problem from generated unit tests via fuzzing to apply our algorithm. To support the validity of this baseline method, we provide additional experiments on Appendix M.3 regarding the quality of unit tests. Lastly, it is worth noting that this baseline is only applicable to APPS dataset, due to insufficient number of unit tests in other datasets to determine code entailment and obtain meaningful results.

F Scoring Functions

Here, we denote p_i as the probability by a code generator G to generate the i-th token.

- Length-normalized log-probability f_{norm} : This method collects the log-probability each token used to generate a code then normalize by the number of tokens, *i.e.*, $f_{\text{norm}}(\mathbf{x}, G(\mathbf{x})) = \frac{\sum_i \ln p_i}{|G(\mathbf{x})|}$. We use f_{norm} as the default scoring function unless specified.
- Lowest log-probability f_{\min} : This method collects the log-probability of each generated tokens then selects the lowest value, i.e., $f_{\min}(\mathbf{x}, G(\mathbf{x})) = \min_i \ln p_i$.
- Sequence log-probability f_{seq} : This method collects the log-probability of each token to calculate the probability of generated code, *i.e.*, $f_{\text{seq}}(\mathbf{x}, G(\mathbf{x})) = \sum_i \ln p_i$.
- Verbalized probability [44], [45] f_{verb} : The model used for code generation is prompted to produce a confidence value, with the prompt $\mathbf{p_{conf}}(G(x))$, i.e., $f_{\text{verb}}(x, G(x)) = G(\mathbf{p_{conf}}(G(x)))$.

G Datasets and Models

We use four datasets, APPS[22], MBPP[18], HumanEval[17], and Mercury[26], for calibration and evaluation. We use five large language models (LLMs), *GPT-40*, *GPT-4.1*, *Gemini-1.5 Pro*, *CodeLlama 13B Instruct*, and *DeepSeek-R1* for code generation.

To determine code entailment of the generated code, we specifically selected datasets that provide a solution to the problem. For each problem, unit tests were generated using a dynamic analysis tool. The following Table 4 shows the result of the dynamic code analysis for each datasets.

To enhance the efficiency of execution path exploration, we manually post-processed each solution on the datasets for compatibility with the dynamic analysis tool. Although manual post-processing is not a mandatory step, it results in additional computational time and overhead for dynamic code analysis. During this step, we also excluded problems either lacking solution or containing errors.

Table 4: The result of dynamic analysis for each dataset. We excluded problems that had errors in the original problem or with solutions that were difficult to analyze dynamically.

Dataset	Original Dataset	Solution-problem Error	Dynamic Analysis Error (e.g., Atheris timeout)
APPS	10,000	1,274	307
MBPP	974	-	35
HumanEval	164	-	1
Mercury	1,889	-	279

H Proof of Lemma 2

Recall that $e := \mathbb{1}(G(\mathbf{x}) \in E_{\alpha}(\mathbf{y})), \hat{e} := \mathbb{1}(G(\mathbf{x}) \in \hat{E}_{\alpha,\varepsilon_E}(\mathbf{x}))$, where e denotes whether \mathbf{y} α -entails $G(\mathbf{x})$ and and \hat{e} denotes the predicted result of α -entailment.

Additionally, we have the following due to Lemma 1:

$$\begin{split} \mathcal{R}_{\alpha}(\hat{S}) &= \mathbb{P}\{e=0, \hat{e}=1 \mid \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\} - \mathbb{P}\{e=1, \hat{e}=0 \mid \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\} + \mathcal{R}_{\alpha, \varepsilon_E}(\hat{S}) \\ &\leq \mathbb{P}\{e=0, \hat{e}=1 \mid \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\} + \mathcal{R}_{\alpha, \varepsilon_E}(\hat{S}), \end{split}$$

where the probability is taken over x, y, n_v , and S_v .

We, then, bound the first term $\mathbb{P}\{e=0, \hat{e}=1 \mid \hat{S}(\mathbf{x}) \neq \text{IDK}\}$. In particular, suppose that \mathbf{x}, \mathbf{y} , and $n_{\mathbf{y}}$ which satisfies e=0 and $\hat{S}(\mathbf{x}) \neq \text{IDK}$ are given. Here, $n_{\mathbf{y}}$ is determined using Algorithm 1 and recall that $S_{\mathbf{y}} \sim D_{\mathbf{u}}^{n_{\mathbf{y}}}$ denoting $n_{\mathbf{y}}$ input-output pairs from dynamic code analysis tool \mathcal{F} .

Then, we have the following:

$$\begin{split} \mathbb{P}_{\mathbf{S}_{\mathbf{y}}} \left\{ \hat{e} &= 1 \; \middle| \; e = 0, \hat{S}(\mathbf{x}) \neq \mathtt{IDK} \right\} \\ &= \mathbb{P}_{\mathbf{S}_{\mathbf{y}}} \left\{ G(\mathbf{x}) \in \hat{E}_{\alpha, \varepsilon_E}(\mathbf{y}) \; \middle| \; G(\mathbf{x}) \notin E_{\alpha}(\mathbf{y}) \right\} \\ &= \mathbb{P}_{\mathbf{S}_{\mathbf{y}}} \left\{ \hat{L}(\mathbf{y}, G(\mathbf{x}), n_{\mathbf{y}}, \varepsilon_E) \geq 1 - \alpha \; \middle| \; \mathbb{P}_{\mathbf{y}} \{ G(\mathbf{x})(\mathbf{u}) = \mathbf{v} \} < 1 - \alpha \right\} \\ &\leq \mathbb{P}_{\mathbf{S}_{\mathbf{y}}} \left\{ \hat{L}(\mathbf{y}, G(\mathbf{x}), n_{\mathbf{y}}, \varepsilon_E) > \mathbb{P}_{\mathbf{y}} \{ G(\mathbf{x})(\mathbf{u}) = \mathbf{v} \} \; \middle| \; \mathbb{P}_{\mathbf{y}} \{ G(\mathbf{x})(\mathbf{u}) = \mathbf{v} \} < 1 - \alpha \right\} \\ &\leq \varepsilon_E, \end{split}$$

where the last inequality holds due to the confidence level of the binomial tail bound \hat{L} .

From this, letting F be $e = 0 \land \hat{S}(\mathbf{x}) \neq \mathtt{IDK}^1$, we have the following:

$$\begin{split} \mathbb{P}\left\{e = 0, \hat{e} = 1 \;\middle|\; \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right\} &= \mathbb{P}\left\{\hat{e} = 1 \;\middle|\; e = 0, \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right\} \mathbb{P}\left\{e = 0 \;\middle|\; \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right\} \\ &\leq \mathbb{P}\left\{\hat{e} = 1 \;\middle|\; e = 0, \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right\} \\ &= \int \mathbb{1}\left\{\hat{e} = 1\right\} p\left(\mathbf{x}, \mathbf{y}, n_{\mathbf{y}}, \mathbf{S}_{\mathbf{y}} \;\middle|\; F\right) \;\mathrm{d}\mathbf{x}, \mathbf{y}, n_{\mathbf{y}}, \mathbf{S}_{\mathbf{y}} \\ &= \int \mathbb{1}\left\{\hat{e} = 1\right\} p\left(\mathbf{S}_{\mathbf{y}} \;\middle|\; \mathbf{x}, \mathbf{y}, n_{\mathbf{y}}, F\right) p\left(\mathbf{x}, \mathbf{y}, n_{\mathbf{y}} \;\middle|\; F\right) \;\mathrm{d}\mathbf{x}, \mathbf{y}, n_{\mathbf{y}}, \mathbf{S}_{\mathbf{y}} \\ &= \int \mathbb{P}_{\mathbf{S}_{\mathbf{y}}}\left\{\hat{e} = 1 \;\middle|\; e = 0, \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right\} p\left(\mathbf{x}, \mathbf{y}, n_{\mathbf{y}} \;\middle|\; F\right) \;\mathrm{d}\mathbf{x}, \mathbf{y}, n_{\mathbf{y}} \\ &\leq \int \varepsilon_{E} \cdot p\left(\mathbf{x}, \mathbf{y}, n_{\mathbf{y}} \;\middle|\; F\right) \;\mathrm{d}\mathbf{x}, \mathbf{y}, n_{\mathbf{y}} \\ &= \varepsilon_{E}, \end{split}$$

as claimed.

I A Proof of Theorem 1

We use the same proof techniques used in [11] and [14]. Here, we add the proof for completeness.

First, from Lemma 2 and the binomial tail bound, we have the following point bound for any \hat{S} , $\varepsilon_E \in (0,1)$, and $\delta_S \in (0,1)$:

$$\mathcal{R}_{\alpha}(\hat{S}) \le \varepsilon_E + \hat{U}_{\text{Binom}}(\hat{k}; |\hat{\mathbf{Z}}|, \delta_S / \lceil \log_2 |\mathbf{Z}| \rceil)$$
 (5)

with probability at least $1 - \delta_S / \lceil \log_2 |\mathbf{Z}| \rceil$, where the probability is taken over $\mathbf{Z} \sim \mathcal{D}^n$ with a fixed n. Here, \hat{k} , \hat{Z} , and \mathbf{Z} are as defined in Section 4.5, and \hat{U}_{Binom} is the standard binomial tail upper bound.

Let \mathcal{H} be a data-dependent set of selective generators, parameterized by τ , with a fixed size m, i.e., $|\mathcal{H}| = m$ (where $m = \lceil \log_2 n \rceil$ in our case) and $\mathcal{H}_{\varepsilon_S} \coloneqq \{\hat{S} \in \mathcal{H} \mid \mathcal{R}_{\alpha}(\hat{S}) > \varepsilon_E + \hat{U}_{\text{Binom}}(\hat{k}; |\hat{\mathbf{Z}}|, \delta_S/m)\}$, which is also data-dependent. Recall that $\hat{\tau}$ and \hat{U} are the output of our Algorithm 2. Then, we have the following:

$$\mathbb{P}_{\mathbf{Z}}\left\{\mathcal{R}_{\alpha}(\hat{S}) > \hat{U}\right\} \\
\leq \mathbb{P}_{\mathbf{Z}}\left\{\exists \hat{S} \in \mathcal{H}_{\varepsilon_{S}}, \mathcal{R}_{\alpha}(\hat{S}) > \varepsilon_{E} + \hat{U}_{\text{Binom}}(\hat{k}; |\hat{\mathbf{Z}}|, \delta_{S}/m)\right\} \\
\leq \sum_{j=1}^{m} \mathbb{P}_{\mathbf{Z}}\left\{\mathcal{R}_{\alpha}(\hat{S}_{j}) > \varepsilon_{E} + \hat{U}_{\text{Binom}}(\hat{k}; |\hat{\mathbf{Z}}_{j}|, \delta_{S}/m)\right\} \\
= \sum_{j=1}^{m} \sum_{i_{j}=0}^{n} \mathbb{P}_{\mathbf{Z}}\left\{\mathcal{R}_{\alpha}(\hat{S}_{j}) > \varepsilon_{E} + \hat{U}_{\text{Binom}}(\hat{k}_{j}; |\hat{\mathbf{Z}}_{j}|, \delta_{S}/m), |\hat{\mathbf{Z}}_{j}| = i_{j}\right\} \\
= \sum_{j=1}^{m} \sum_{i_{j}=0}^{n} \mathbb{P}_{\mathbf{Z}}\left\{\mathcal{R}_{\alpha}(\hat{S}_{j}) > \varepsilon_{E} + \hat{U}_{\text{Binom}}(\hat{k}_{j}; |\hat{\mathbf{Z}}_{j}|, \delta_{S}/m) \mid |\hat{\mathbf{Z}}_{j}| = i_{j}\right\} \mathbb{P}_{\mathbf{Z}}\left\{|\hat{\mathbf{Z}}_{j}| = i_{j}\right\} \\
\leq \sum_{j=1}^{m} \sum_{i_{j}=0}^{n} \frac{\delta_{S}}{m} \mathbb{P}_{\mathbf{Z}}\left\{|\hat{\mathbf{Z}}_{j}| = i_{j}\right\} \\
= \delta_{S}, \tag{7}$$

where (6) holds due to a union bound and (7) satisfies due to the point bound in (5). This completes the proof.

¹Note that the probability of the event F is positive unless G is "always-correct" (i.e., \mathbf{y} α -entails $G(\mathbf{x})$ for all \mathbf{x} and \mathbf{y}).

J Empirical Evaluation Metrics

We evaluate our method along with baselines based on the empirical FDR-CE, *i.e.*, FDR-CE, and empirical selection efficiency, *i.e.*, SelEff, where $\mathbf{Z}_{t} \sim \mathcal{D}^{n_{test}}$ is a test set, as follows:

$$\widehat{\text{FDR-CE}} \coloneqq \frac{\sum_{(\mathbf{x}, \mathbf{y}, \underline{\cdot}) \in \mathbf{Z}_{\mathsf{t}}} \mathbb{1}\left(\hat{S}(\mathbf{x}) \notin \hat{E}_{\alpha, \varepsilon_{E}^{t}}(\mathbf{y}) \land \hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right)}{\sum_{(\mathbf{x}, \underline{\cdot}) \in \mathbf{Z}_{\mathsf{t}}} \mathbb{1}\left(\hat{S}(\mathbf{x}) \neq \mathtt{IDK}\right)} \quad \text{and}$$
(8)

$$\widehat{\text{SelEff}} := \frac{1}{|\mathbf{Z}_t|} \sum_{(\mathbf{x}, \underline{\ }) \in \mathbf{Z}_t} \mathbb{1}(\hat{S}(\mathbf{x}) \neq \text{IDK}). \tag{9}$$

We chose $\varepsilon_E^t = 0.01 \ll \varepsilon_E$ for estimating a true α -entailment set E_{α} .

K Relationship between Pass@1 and FDR-CE

Given an instance (\mathbf{x}, \mathbf{y}) in the dataset, suppose there are $n_{\mathbf{y}}$ given unit tests provided. Here, $n_{\mathbf{y}}$ is result of Algorithm 1. When evaluating a dataset with PASS@1, a generated code snippet is considered correct if it passes all $n_{\mathbf{y}}$ unit tests.

As $\alpha \to 0$, if \mathbf{y} α -entails the generated code $G(\mathbf{x})$, then $n_{\mathbf{y}}$ must tend toward ∞ . Thus, \mathbf{y} exhibits expected functional correctness approaching 1. Therefore, with high probability, any finite set of $n_{\mathbf{y}}$ unit tests will be successfully executed without a failed test.

Conversely, if a generated code snippet successfully executes n_y unit tests as $n_y \to \infty$, then with high probability, y will α -entail the generated code $G(\mathbf{x})$.

Therefore, 1-PASS@1 and FDR-CE may be asymptotically equivalent as $\alpha \to 0$ and $n_y \to \infty$.

The empirical result is presented at Table 1 and 2

L alpha-Entailment Code Example

Table 5: α -entailment example with $\alpha = 0.3$. The solution code accurately simulates the exact problem scenario, while the generated code simplifies the logic and therefore misses corner cases by missing the α fraction of unit tests generated from the solution code.

```
Question
n people are standing in a line to play table tennis. At first, the first two players in the line play a game. Then the loser goes to the end of the line, and the winne plays with the next person from the line, and so on.
They play until someone wins k games in a row. This player becomes the winner. For each of the
participants, you know the power to play table tennis, and for all players these values are different. In a game the player with greater power always wins.
Determine who will be the winner.
(a) Canonical Solution
tmp = list(map(int, input().split()))
n, k = tmp[0], tmp[1]
a = list(map(int, input().split()))
cur = a[0]
w = 0
OK = False
for i in range(1, 10000):
    op = a[i]
    if cur > op:
             a.append(op)
             w += 1
             cur = op
             a.append(cur)
       w = 1
if w >= k:
OK = True
             break
       print(cur)
else:
       print(max(a))
(b) Generated Solution
def find_winner(n, k, powers):
       current_player_index = 0
current_wins = 0
       max_power = powers[0]
             print(max(powers))
             return
       for i in range(1, n):
             if powers[current_player_index] > powers[i]:
    current_wins += 1
              else:
                    current_player_index = i
current_wins = 1
             if current_wins == k:
                    print(powers[current_player_index])
                    return
       print(max_power)
# Read input
n, k = map(int, input().split())
powers = list(map(int, input().split()))
```

find_winner(n, k, powers)

M Additional Experiments

M.1 Varying Model

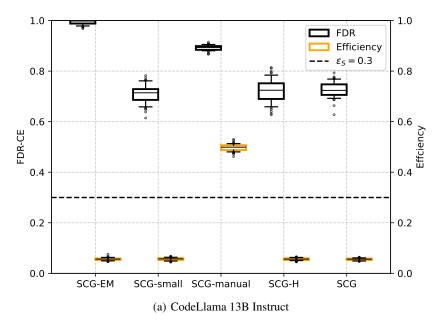


Figure 4: The box plots of the FDR-CE and selection efficiency for CodeLlama 13B Instruct. We set $\delta_S=0.1,\,\varepsilon_S=0.3,\,\varepsilon_E=0.05$, and $\alpha=0.35.$ SCG fails to find a selective generator with a desired FDR-CE due to uncalibrated scoring function.

M.2 Varying Parameter

We present FDR-CE and efficiency experiments with varying δ_S , varying ε_E , and varying number of unit tests in Figure 5.

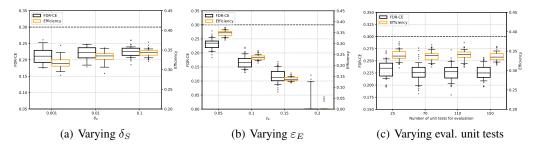


Figure 5: The FDR-CE results for GPT-40 with varying parameters ($\varepsilon_S=0.3, \delta_S=0.1, \alpha=0.35$, and $\varepsilon_E=0.05$). Each figure shows FDR-CE bound is satisfied for each settings. This is shown by upper whisker bar lying below the desired FDR-CE in the dotted line. Figure 5(b) shows benefit of fuzzing in learning and Figure 5(c) show benefit of fuzzing in evaluation.

M.3 Unit Test

We add an evaluation study on FuzzEval, showing benefits of added unit tests and flexibility of α -code entailment. We measure the performance of generators with conventional pass@1 metric with various α . Table M.3 demonstrates that more through evaluation is achievable with test cases generated by a fuzzing tool. Furthermore, pass@1 result with relaxed α -setting implies the necessity of incorporating α in FuzzEval.

Table 6: Pass@1 of each generator with original dataset and generated unit tests. We measured the performance of each code generators with pass@1 on original datasets along with added unit tests in FuzzEval. We also measured α to show flexibility. Note that we excluded problems that encountered error during the generation process.

Code Generator Model	without additional unit tests	with additional unit tests			
	pass@1	pass@1	pass@1 ($\alpha = 0.1$)	pass@1 ($\alpha = 0.3$)	pass@1 ($\alpha = 0.5$)
GPT-40	0.515	0.483	0.526	0.577	0.589
Gemini-1.5 Pro	0.526	0.480	0.523	0.566	0.578
CodeLlama-13B-Instruct	0.051	0.048	0.059	0.073	0.075

M.4 LLM-generated unit test

Unit tests generated via other techniques also could be used in **SCG**. To support our claim, we conducted experiments utilizing unit test sets generated with the assistance of LLM. We applied **SCG** on HumanEval+ [24] and MBPP+[24], which are publicly available datasets augmented with LLM-based method. Table 7 demonstrates the result of our method.

Table 7: We used GPT-40 as the model. The parameters are set as $\alpha = 0.2$, $\delta_S = 0.1$, $\varepsilon_S = 0.25$, $\varepsilon_E = 0.05$. The FDR-CE satisfies the desired bound (ε_S) which demonstrates that our method is applicable on different datasets with test generated with the assistance of LLMs.

Dataset	pass@1	FDR-CE	Efficiency
MBPP+	0.720	0.121 ± 0.112	0.423 ± 0.446
HumanEval+	0.848	0.056 ± 0.044	0.979 ± 0.029

M.5 Experiment Setup

We used 4 NVIDIA A100 80GB with 128 CPUs for code generation. We used the same environment for fuzzing and calibration.

N Discussion

N.1 Guidelines for Parameter Selection

Our algorithm has user-specified parameters, ε_S , δ_S , α , ε_E , and n_{max} . The ε_S and δ_S are the main parameters that encode user's desired on the performance on the selective generator, where the smaller values are better.

The α encodes a degree of the correctness of a generator, where $\alpha=0$ is the ideal value. But, assuming that there is no perfect generator that exactly returns correct code, this never achieves. We recommend to choose some small value on this on evaluating current state-of-the-art generators.

The ε_E and n_{\max} are associated to the number of generated unit tests via dynamic code analysis tools, where the ideal value of ε_E is zero and n_{\max} is infinity. The larger number of unit tests is definitely preferred but it sacrifices the running time of dynamic code analysis tools and evaluation.