

## Computability and Complexity

### Lecture 13

More NP-complete Problems

given by Jiri Srba

## Summary of What We Know

### Definition (Polynomial Time Reducibility)

We write  $A \leq_P B$  iff there is a polynomial time computable function  $f$  such that for any input  $w$  we have  $w \in A$  iff  $f(w) \in B$ .

### Definition (NP-Completeness)

A language  $B$  is **NP-complete** iff  $B \in \text{NP}$  (**containment in NP**) and for every  $A \in \text{NP}$  we have  $A \leq_P B$  (**NP-hardness**).

Facts:  $\text{SAT}$  and  $\text{CNF-SAT}$  are NP-complete (last lecture).

### Theorem

If  $A$  is NP-complete,  $A \leq_P B$ , and  $B \in \text{NP}$ , then  $B$  is NP-complete.

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## NP-Completeness of 3SAT

### Boolean Formula in cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$  where every  $C_i$ ,  $1 \leq i \leq k$  is a disjunction of number of literals

### Boolean Formula in 3-cnf

$\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$  where every  $C_i$ ,  $1 \leq i \leq k$  is a disjunction of exactly 3 literals

$\text{CNF-SAT} \stackrel{\text{def}}{=} \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula in cnf} \}$

$3\text{SAT} \stackrel{\text{def}}{=} \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula in 3-cnf} \}$

### Theorem

$\text{CNF-SAT} \leq_P 3\text{SAT}$

### Corollary

3SAT in NP-complete.

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## NP-Completeness of CLIQUE

### Theorem

CLIQUE is NP-complete.

Proof: We already know (from previous lectures) that

- CLIQUE is in NP, and
- $3\text{SAT} \leq_P \text{CLIQUE}$ .

Because 3SAT is NP-complete, we conclude that CLIQUE is NP-complete too.  $\square$

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## Proof: $\text{CNF-SAT} \leq_P 3\text{SAT}$

- Assume a given formula  $\phi = C_1 \wedge C_2 \wedge \dots \wedge C_k$  in cnf.
- We construct in poly-time a formula  $\phi' = C'_1 \wedge C'_2 \wedge \dots \wedge C'_k$  in 3-cnf such that  $\phi$  is satisfiable if and only if  $\phi'$  is satisfiable.
- Every clause  $C_i =$

$(\ell_1 \vee \ell_2 \vee \dots \vee \ell_m)$

is transformed into conjunction of clauses  $C'_i =$

$(\ell_1 \vee \ell_2 \vee z_1) \wedge (\bar{z}_1 \vee \ell_3 \vee z_2) \wedge (\bar{z}_2 \vee \ell_4 \vee z_3) \wedge (\bar{z}_3 \vee \ell_5 \vee z_4) \wedge \dots \wedge (\bar{z}_{m-3} \vee \ell_{m-1} \vee \ell_m)$

where  $z_1, \dots, z_{m-3}$  are new (fresh) variables.

- Clearly,  $C_i$  is satisfiable iff  $C'_i$  is satisfiable, the formula  $\phi'$  is in 3-cnf (if fewer variables than 3 in a clause then repeat some literal), and the reduction works in polynomial time.  $\square$

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## NP-Completeness of VERTEX-COVER

### Vertex-Cover Problem:

Given an undirected graph  $G$  and a number  $k$ , is there a subset of nodes of size  $k$  s.t. every edge touches at least one of these nodes?

We call such a subset a **k-node vertex cover**.

### Definition of the Language VERTEX-COVER

$\text{VERTEX-COVER} \stackrel{\text{def}}{=} \{ \langle G, k \rangle \mid G \text{ is a graph with } k\text{-vertex cover} \}$

Clearly, VERTEX-COVER is in NP.

### Theorem

$3\text{SAT} \leq_P \text{VERTEX-COVER}$

### Corollary

VERTEX-COVER is NP-complete.

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## Proof: $3SAT \leq_P VERTEX-COVER$

- Let  $\phi$  be a 3-cnf formula with  $m$  variables and  $p$  clauses.
- We construct in poly-time an instance  $\langle G, k \rangle$  of  $VERTEX-COVER$  where  $k = m + 2p$  and  $G$  is given by:
  - For every variable  $x$  in  $\phi$  add two nodes labelled with  $x$  and  $\bar{x}$  and connect them by an edge (variable gadget).
  - For every clause  $(\ell_1 \vee \ell_2 \vee \ell_3)$  in  $\phi$  add three nodes labelled with  $\ell_1$ ,  $\ell_2$  and  $\ell_3$  and connect them by 3 edges so that they form a triangle (clause gadget).
  - Add an edge between any two identically labelled nodes, one from a variable gadget and one from a clause gadget.
- Note that the reduction works in polynomial time and that  $\phi$  is satisfiable iff  $G$  has a  $k$ -vertex cover.  $\square$

## NP-Completeness of $HAMPATH$

### Theorem

$3SAT \leq_P HAMPATH$

### Corollary

$HAMPATH$  is NP-complete.

Proof ( $3SAT \leq_P HAMPATH$ ): For a given 3-cnf formula

$$\phi = \underbrace{(a_1 \vee b_1 \vee c_1)}_{C_1} \wedge \underbrace{(a_2 \vee b_2 \vee c_2)}_{C_2} \wedge \dots \wedge \underbrace{(a_k \vee b_k \vee c_k)}_{C_k}$$

over the variables  $x_1, x_2, \dots, x_m$  construct in poly-time a digraph  $G$  and nodes  $s$  and  $t$  such that

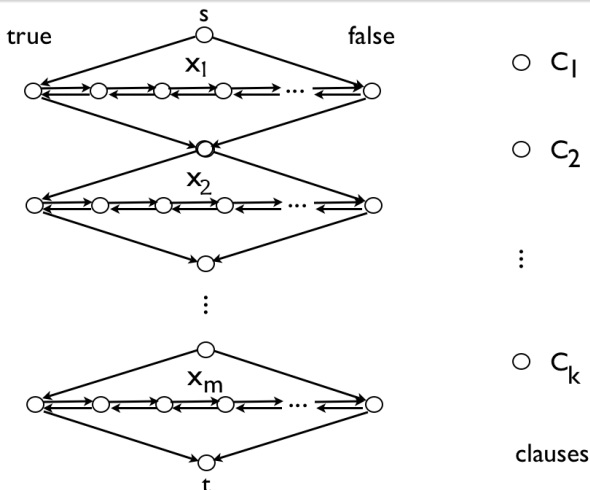
$\phi$  is satisfiable if and only if  $G$  has a Hamiltonian path from  $s$  to  $t$ .

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## Proof: $3SAT \leq_P HAMPATH$



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## NP-Completeness of $UHAMPATH$

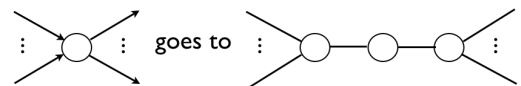
### Definition

$UHAMPATH \stackrel{\text{def}}{=} \{ \langle G, s, t \rangle \mid G \text{ is undirected graph with a Hamiltonian path from } s \text{ to } t \}$

### Theorem

$UHAMPATH$  is NP-complete.

Proof: By poly-time reduction from  $HAMPATH$ . In the reduction from a directed graph to an undirected one, we replace every node with an undirected path of length 2:



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## NP-Completeness of $SUBSET-SUM$

$SUBSET-SUM \stackrel{\text{def}}{=} \{ \langle S, t \rangle \mid S = \{x_1, \dots, x_k\} \subseteq \mathbb{N} \text{ is a multiset, } t \in \mathbb{N}, \text{ and there is a multiset } X \subseteq S \text{ s.t. } \sum X = t \}$

### Theorem

$SUBSET-SUM$  is NP-complete.

Proof: By poly-time reduction from  $3SAT$ . For a given 3-cnf formula

$$\phi = \underbrace{(a_1 \vee b_1 \vee c_1)}_{C_1} \wedge \underbrace{(a_2 \vee b_2 \vee c_2)}_{C_2} \wedge \dots \wedge \underbrace{(a_k \vee b_k \vee c_k)}_{C_k}$$

over the variables  $x_1, x_2, \dots, x_m$  construct in poly-time a set of numbers  $S$  and a number  $t$  such that

$\phi$  is satisfiable iff from  $S$  we can select numbers that add up to  $t$ .

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## Proof: $3SAT \leq_P SUBSET-SUM$

							$C_1$	$C_2$	$\dots$	$C_k$
$x_1$	1	0	0	0	$\dots$	0	1	0	$\dots$	0
$\bar{x}_1$	1	0	0	0	$\dots$	0	0	0	$\dots$	1
$x_2$		1	0	0	$\dots$	0	0	0	$\dots$	1
$\bar{x}_2$		1	0	0	$\dots$	0	1	0	$\dots$	0
$x_3$			1	0	$\dots$	0	0	0	$\dots$	0
$\bar{x}_3$			1	0	$\dots$	0	1	0	$\dots$	0
$\vdots$										
$x_m$					$\dots$	1	0	0	$\dots$	0
$\bar{x}_m$					$\dots$	1	0	1	$\dots$	0
							1	0	$\dots$	0
							1	0	$\dots$	0
								1	$\dots$	0
									$\dots$	1
										1
$t$	1	1	1	1	$\dots$	1	3	3	$\dots$	3

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## Summary

Cook-Levin Theorem: *SAT* is NP-complete.

- Because poly-time reducibility ( $\leq_P$ ) is transitive, all languages below are NP-hard.
- All languages below belong to NP, so they are NP-complete.

