

# Math 131AH – Honors Real Analysis I

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This is math 131AH – Honors Real Analysis I taught by Professor Greene, and our TA is Haiyu Huang. We meet weekly on MWF from 1:00pm – 2:00pm for lectures. There are two textbooks used for the class, *Principles of Mathematical Analysis* by Rudin and *Metric Spaces* by Copson. You can find other lecture notes at my blog site [ductuanvu.wordpress.com/notes/](https://ductuanvu.wordpress.com/notes/). Please let me know through my [email](#) if you spot any mathematical errors/typos.

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## §1 | Lec 1: Oct 2, 2020

Overview:

- Hmwrk: 30 %

- Midterm 1: 20 %
- Midterm 2: 20 %
- Final: 30 %

## §1.1 Introduction

functions  $\rightarrow 1, 2, 3, 4, 5, 6, 7 \dots$

functions defined on  $\mathbb{Q}$  with value in  $\mathbb{Q}$

$$f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$$

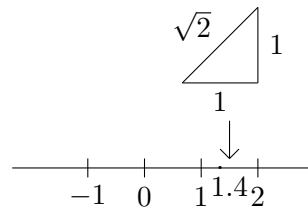
$a_i \in \mathbb{Q}$   $f(x) \in \mathbb{Q}$  if  $x \in \mathbb{Q}$ . Continuity makes sense.

$$x_0, x \text{ close to } x_0 \implies f(x) \text{ close to } f(x_0)$$

polynomials are continuous.

Something wrong:  $\sqrt{2}$  is missing. What are these numbers that are not  $\in \mathbb{Q}$ ? Choice:

1. Assume everything works and isolate what you need about "real numbers" (most of Rudin chap 1).
2. Construct the real numbers from rational numbers.



Classical argument:

$$x^2 \neq 2 \text{ if } x = \frac{p}{q} \in \mathbb{Q}$$

*Proof.* Suppose  $\left(\frac{p}{q}\right)^2 = 2$

Note: wolog (without loss of generality)

can take  $\frac{p}{q} > 0$   $p > 0$   $q > 0$

$$\begin{aligned} \left(\frac{p}{q}\right)^2 &= 2 \\ \frac{p^2}{q^2} &= 2 \\ p^2 &= 2q^2 \end{aligned}$$

Now also wolog, can assume  $p$  and  $q$  are not both even numbers. But  $p^2 = 2q^2$  means  $p$  has to be even ( $p^2$  odd if  $p$  is odd).

$$\begin{aligned} p &= 2n \\ p^2 &= 2q^2 \\ 4n^2 &= 2q^2 \end{aligned}$$

So  $q^2 = 2n^2$ ,  $q$  is even. But it contradicts the initial assumption,  $p$  and  $q$  not both even  $\square$

Related to: Why functions  $\mathbb{Q}$  to  $\mathbb{Q}$  not ideal for analysis?  
 – INFINITE DECIMAL

## §2 | Lec 2: Oct 5, 2020

### §2.1 Mathematical Induction and More on Real Numbers

$P(n) \rightarrow 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$ , where  $n$  is positive numbers.

Math induction: Proof by two steps:

1. Check  $P(1)$  is true ✓
2. Assume  $P(n)$  is true for all  $n \leq N$ . Check that

$$P(N+1) \text{ is true}$$

Assume  $1 + \dots + N = \frac{N(N+1)}{2}$ . Check

$$1 + \dots + N + (N+1) = \frac{(N+1)(N+1+1)}{2}$$

Induction on  $k$  :

$$1^k + 2^k + \dots + n^k$$

2<sup>nd</sup> illustration:

$$1 + r + r^2 + \dots + r^n = \frac{1 - r^{n+1}}{1 - r} \quad r \neq 1$$

$$r = 1 \implies 1 + r = \frac{1 - r^2}{1 - r}$$

$$\begin{aligned} 1 + r + r^2 + \dots + r^n + r^{n+1} &= \frac{1 - r^{n+1}}{1 - r} + r^{n+1} \\ &= \frac{1 - r^{n+1} + r^{n+1} - r^{n+2}}{1 - r} \\ &= \frac{1 - r^{n+2}}{1 - r} \end{aligned}$$

$$(1 - r)(1 + r + \dots + r^n) = 1 - r^{n+1} \quad \text{Inspection}$$

$$1 + r + r^2 + \dots + r^n = \frac{1 - r^{n+1}}{1 - r}, \quad r \neq 1$$

$|r| < 1$  get infinite sum  $\frac{1}{1-r}$

#### Example 2.1

Prime factors, prime = positive integers ( $> 1$ ) with no factors except itself and 1,  
 $p = ab$ ,  $a > 1$ ,  $b > 1$

2 3 5 7 11 13 17 19 ...

Thin out as go along

**Theorem 2.2**

Every positive integer  $> 1$  is a product of primes.

*Proof.* Induction:  $P(n)$   $n = 2, 3, \dots$

$$P(2) = 2 \checkmark$$

Assume  $P(n) \dots n \leq N$  ( $N > 2$ ). Every integer greater than 1 but smaller than or equal to  $N$  as a product of primes. We try to prove:  $N + 1$  is a product of primes.

1.  $N + 1$  is prime: Done  $N + 1 = N + 1$

2.  $N + 1$  is not a prime

$$N + 1 = a \cdot b \quad a > 1 \quad b > 1$$

Induction assumption ( $a < N + 1$  since  $b > 1$ ),  $a$  is a product of primes  $a > 1 \implies b < N + 1$ ,  $b$  also a product of primes. So,  $N + 1 = ab$  is a product of primes.

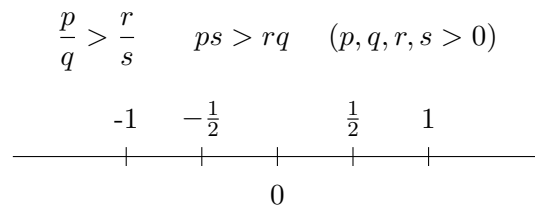
$N + 1 = ab$  is a product of prime. □

Why does induction work? If  $P(n)$  not always true,  $P(n)$  look at smallest  $n$  where  $P(n)$  is false.

$n = 1$  not there  $P(1)$  is supposed true (checked already).  $N_0$  smallest one where  $P(N_0)$  false  $N_0 > 1$ . Induction step says that  $P(n)$  is true for all  $n \leq \underbrace{N_0 - 1}_{>0} \implies P(N_0)$  true ( $\times$ ).

Let's go back to real numbers.

Last time: talked about  $\sqrt{2}$  is irrational but  $\sqrt{2}$  exists, so we need to enlarge our number system:  $\mathbb{Q}$  rational numbers.



$x, y$  rational  $x, y > 0$ ,  $x + y > 0$ ,  $xy > 0$

$x^2 = 2$  no answer in  $\mathbb{Q}$ . Enlarge number system,  $\mathbb{Q} \subset \mathbb{R}$ . What should  $\mathbb{R}$  be like?

1.  $\mathbb{R}$  ought to have arithmetic like  $\mathbb{Q}$

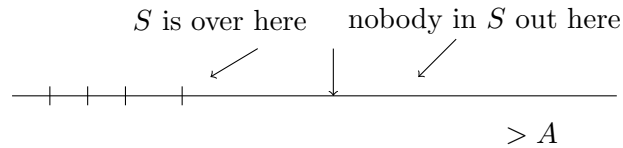
$$x + y \quad xy \quad \frac{x}{y} \quad 0 \quad 1$$

2.  $\mathbb{Q} \subset \mathbb{R}$ , arithmetic in  $\mathbb{R}$  restricted to  $\mathbb{Q}$ ,  $\frac{1}{2} + \frac{1}{3}$  in  $\mathbb{Q}$  ought to be  $\frac{5}{6}$  in  $\mathbb{R}$ .

3. Order should positive in  $\mathbb{Q} \implies$  in  $\mathbb{R}$ .  $\mathbb{R}$  should have an order of its own too,  $x > y$  positive then  $x + y$  pos and  $xy$  pos.

4. want to fill in the holes in  $\mathbb{Q}$ . Want to have **Least Upper Bound Property**

$S \subset \mathbb{R}$  : An upper bound for  $S$  is a number  $A$  with property  $A \geq x$  if  $x \in S$



$1, 2, 3, 4, \dots$  have no upper bound.

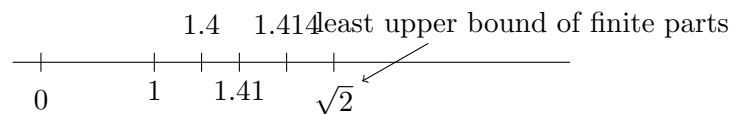
$S$  is bounded above means that some upper bound  $A$  exists.

## §2.2 Least Upper Bound Property

If  $S$  is bounded above ( $S \neq \emptyset$ ) then it has a “least upper bound” where a number  $A_0$  is called the least upper bound of  $S$  if  $A_0$  is an upper bound for  $S$  & if  $A$  is an upper bound for  $S$  then  $A_0 \leq A$ .



Motivation: Think about  $\sqrt{2}$



Denote:  $\text{l.u.b (or supremum) (sequence)} = \sqrt{2}$

Means can define an infinite decimals: least upper bound of successive truncations

$$0.99999 \dots \rightarrow 1.0$$

## §3 | Lec 3: Oct 7, 2020

### §3.1 Cauchy Sequence

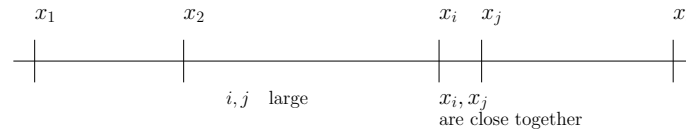
$\{x_n\}$   $x_1, x_2, x_3, \dots$  values  $x_j \in \mathbb{Q}$   $x_j \in \mathbb{R}$   
 $S$   $x_1, x_i \dots x_j \in S$

**Definition 3.1** — A sequence with values in a set  $S$  is a function from positive integers  $\{1, 2, 3, \dots\}$  into  $S$ .

**Definition 3.2** — A Cauchy sequence is ( $\mathbb{Q}$  valued or  $\mathbb{R}$  valued)  $\{x_i\}$  is sequence s.t. for every  $\epsilon > 0$  there is a positive integer  $N_\epsilon$  s.t.

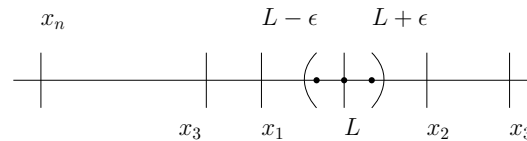
$$|x_i - x_j| < \epsilon \quad \text{if } i, j > N_\epsilon$$

$\epsilon$  rational or real (same idea).

**Lemma 3.3**

If  $\{x_j\}$  has a finite limit then it's a Cauchy sequence.

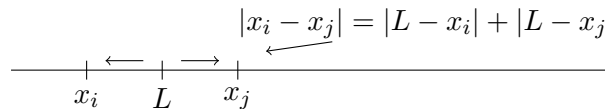
$\{x_i\}$  has  $L$  as a limit  $\lim x_j = L$  means for every  $\epsilon > 0$  then there is an  $N_\epsilon$  such that  $j \geq N_\epsilon$ ,  $|x_j - L| < \epsilon$



Everybody in  $(L - \epsilon, L + \epsilon)$  except a finite number

*Proof.* Given  $\epsilon > 0$ , want to find  $N$  so that  $i, j \geq N \implies |x_i - x_j| < \epsilon$   
 $|x_i - L|$  small,  $|x_j - L|$  small and  $\lim x_j = L$ .

$$|x_i - x_j| \leq |x_i - L| + |x_j - L|$$



$i, j \geq N_{\frac{\epsilon}{2}}$ :

$$|x_i - x_j| \leq \underbrace{|x_i - L|}_{< \frac{\epsilon}{2}} + \underbrace{|x_j - L|}_{< \frac{\epsilon}{2}}$$

Because  $\lim x_n = L$ , there is an  $N_{\frac{\epsilon}{2}}$  s.t.  $|L - x_n| < \frac{\epsilon}{2}$  if  $n \geq N_{\frac{\epsilon}{2}}$

Get  $|x_i - x_j| < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon$  if  $i, j \geq N$ . Cauchy sequence: there exists number  $N$  s.t.

$$|x_i - x_j| < \epsilon \quad \text{if } i, j \geq N \quad \square$$

Cauchy sequence  $\implies$  the existence of limit? Yes, for  $\mathbb{R}$  valued sequences but NO for  $\mathbb{Q}$  valued things.

$\underbrace{\{x_n\}}_{\text{rational numbers}}$  can be Cauchy seq without there being a rational number  $L$  such that  $\lim x_j = L$

But allow real  $L$  then  $\exists L$  s.t.  $\lim x_j = L$  if  $\{x_j\}$  is Cauchy sequence (no rational limit – since  $\sqrt{2}$  is irrational). Because  $\mathbb{Q}$  has holes in it! (intuitive idea).

**Example 3.4**

1, 1.4, 1.41, 1.414, 1.4142... (decimal approx of  $\sqrt{2}$ ) – Cauchy sequence. No – since  $\sqrt{2}$  is irrational.

### §3.2 Cauchy Completeness of $\mathbb{R}$

If  $\{x_j\}, x_j \in \mathbb{R}$  is Cauchy sequence, then  $\exists L \in \mathbb{R}$  s.t.  $\lim x_j = L$ .

“ $\mathbb{Q}$  is not Cauchy complete” but  $\mathbb{R}$  is. Why does this work?

Need: Least upper bound property. Assume L.U.B Property proof.

*Proof.* (Cauchy completeness from L.U.B Property)

Hypothesis:  $\{x_i\}$  Cauchy seq

1. Prove that  $\{x_i\}$  bounded  $\iff \exists M > 0$  s.t.  $|x_i| \leq M$  all  $i$ .

Clear if take  $\epsilon = 1$  in def. of Cauchy seq  $\exists N$  s.t.  $|x_i - x_j| < 1$  if  $i, j \geq N \implies |x_N - x_j| < 1$  if  $j \geq N \implies |x_j| \leq |x_N| + 1 \quad j \geq N$

So,  $M = \max(|x_N| + 1, |x_1|, \dots, |x_{N-1}|)$  then  $|x_j| \leq M$  all  $j$  !

Next stage is to show that a bounded sequence always has a subsequence(tricky!) with a limit. Then if a Cauchy seq has a subseq with limit  $L$ , then  $L$  is limit of whole seq. (Bolzano – Weierstrass Theorem)

□

## §4 | Lec 4: Oct 9, 2020

### §4.1 Bolzano – Weierstrass Theorem

– implied by Least Upper Bound Property

#### Theorem 4.1

If  $\{x_n\}$  sequence  $(x_1, x_2, x_3 \dots)$  that is bounded (means:  $\exists M > 0 \ni |x_n| \leq M \forall n$ , then  $\exists L$  and a subsequence  $\{x_{n_i}\}$  s.t.  $\lim x_{n_i} = L$ .

Slogan: Every bounded sequence has a convergent subsequence.

#### Example 4.2

$$1, 2, 1, 2, 1, 2, \dots$$

The subsequence of the above sequence has either 1 or 2 as the limit.

$$1, 1, 2, 1, 2, 3, 1, 2, 3, 4, 1, 2, 3, 4, 5, \dots$$

Unbounded sequence – subsequence (limit 1, limit 2, limit 3...)

**No claim of uniqueness of anything.**

Proof – Summer 2008 Analysis Lec 4

*Proof.* So either  $[-M, 0]$  or  $[0, M]$  (maybe both) contains  $x_n$  for infinitely many  $n$  values. If each contained  $x_n$  for only finitely many  $n$  values  $X$ .

$-M \qquad \qquad \qquad 0 \qquad \qquad \qquad M$   
 $| \text{-----} | \text{-----} |$   
 Every  $x_n$  is in  $[-M, M]$  —  $\{x_n\}$  is bounded


$$\begin{aligned} [-M, M] &= [-M, 0] \cup [0, M] \\ I_1 &= [-M, 0] \quad \text{or} \quad [0, M] \end{aligned}$$

where chosen interval has  $x_n$  for infinitely many  $n$  values.

Do this again!

$$I_1 = [a_1, b_1] \quad |b_1 - a_1| = M$$

$I_1 \longleftarrow \text{length}$



left half of  $I_1$ , right half of  $I$ . Let  $I_2 =$  one of halves that contains  $x_n$  for infinitely many  $n$  values.

$$I_2 = [a_2, b_2] \quad a_2 < b_2, \quad b_2 - a_2 = \frac{M}{2}$$

Continue

$$I_3 = [a_3, b_3] \quad a_3 < b_3, \quad b_3 - a_3 = \frac{M}{4}$$

- 
- 
-

$$I_k = [a_k, b_k] \quad b_k - a_k = \frac{M}{2^{k-1}}$$

Each  $I_k$  contains  $x_n$  for infinitely many  $n$  values.

Nested Intervals

$$\begin{array}{ccccccc}
 a_1 & & I_1 & & & & b_1 = b_2 \\
 | & & | & | & | & | & | \\
 \hline
 & & & & & & \\
 & \nearrow & & & \nwarrow & & \\
 a_3 & & I_3 & & I_2 & & b_3 \\
 I_{k+1} \subset I_k \subset \dots \subset I_1 \subset [-M, M] \\
 a_{k+1} \geq a_k \dots & & & & & & b_{k+1} \leq b_k \dots
 \end{array}$$

Claim  $\bigcap_{k=1}^{\infty} I_k \neq \emptyset$

Reason:  $\sup a_k \in \bigcap_{k=1}^{\infty} I_k$  where  $\sup = \sup$  of left hand endpoint (=greatest lower bound of bs). l.u.b of a's  $\leq b_k$ ,  $b_k$  bigger than or  $\geq$  all a's.

$$\begin{aligned} \alpha &= \text{lub } a\text{'s} \\ \alpha &\geq a_k \quad \forall k \\ \alpha &\leq b_k \quad \forall k \\ \alpha &\in [a_k, b_k] \end{aligned}$$

Goal:  $\alpha \in \bigcap_{k=1}^{\infty}$ . Find a subsequence of  $\{x_n\}$  converges to  $\alpha$ .

Choose  $x_k = x_n$  that belongs to  $I_k$ . Can also arrange successively:

$$n_1 < n_2 < n_3 < n_4$$

$x_{n_1} \in I_1$   $x_{n_2} \in I_2$  can make  $n_2 > n_1$  because infinitely possible  $x'_n$ s in  $I_2$  n value.



Continue to get subsequence,  $\{x_{n_k}\}$  subsequence. Claim:

$$\lim_{k \rightarrow \infty} x_{n_k} = \infty$$

Reason:

$$\text{dis}(x_{n_k}, \alpha) \leq \text{length of } I_k \quad \alpha \in I_k, \quad x_{n_k} \in I_k$$

which is equivalent to

$$|x_{n_k} - \alpha| \leq \frac{M}{2^{k-1}} \quad \text{given } \epsilon > 0$$

When  $k$  is large,

$$\frac{M}{2^{k-1}} < \epsilon$$

So  $|x_{n_k} - \alpha| < \epsilon$

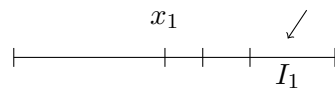
□

This argument (or a variant) shows something else:

If  $\{x_n\}$  sequence in  $[0, 1]$  then there's an  $\alpha \in [0, 1]$  with it never happening that

$$x_n = \alpha$$

“The real numbers in  $[0, 1]$  are uncountable.” (come from the least upper bound property)



$I_1$  one of  $[0, \frac{1}{3}]$   $[\frac{1}{3}, \frac{2}{3}]$   $[\frac{2}{3}, 1]$  such that  $x_1 \notin I_1$ ,

$$[0, \frac{1}{3}] \cap [\frac{1}{3}, \frac{2}{3}] \cap [\frac{2}{3}, 1] = \emptyset$$

$x_1 \notin I_2$   $I_2 \subset I_1$ , &  $x_1 \notin I_1$ . Continue. Get

$$I_1 \supset I_2 \supset I_3 \supset \dots$$

length  $I_k = \frac{1}{3^k}$  and  $I_k$  is such that  $x_1, x_2, x_3 \dots x_k$  are none of the  $x_n$  in  $I_k$ . Same as before

$$\exists \alpha \in \bigcap_{k=1}^{\infty} I_k$$

$\alpha = \sup$  of set of left hand endpoints of  $I_k$ . Claim  $\alpha$  cannot be an  $x_N$  value. Clear:  $x_N \notin I_N$  but  $\alpha \in I_n$   $\alpha \in \bigcap_{n=1}^{\infty} I_n$ . But contrast:

There is a list of rational numbers in  $[0, 1]$

	$\frac{p}{q}$	$p < q$				
	2	3	4	5	6	...
1	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$			
2	-	$\frac{2}{3}$	$\frac{2}{4}$			
3	-	-	$\frac{3}{4}$			
$\vdots$	-	-	$\frac{\sqrt{2}}{2} \in [0, 1] \rightarrow$	irrational - no exist		
			$[0, 1]$			
				<div> <div>not</div> <div>countable</div> </div>		
Q is countable						

## §5 | Dis 1: Oct 1, 2020

Notation:

$$\mathbb{N} = \{1, 2, 3, \dots\}$$

$$\mathbb{Z} = \{0, \pm 1, \pm 2, \dots\}$$

$$\mathbb{Q} = \left\{ \frac{p}{q} \mid p, q \in \mathbb{Z}, q \neq 0 \right\}$$

$$\mathbb{R} = \text{real numbers}$$

$$\mathbb{C} = \{a + bi, \ a, b \in \mathbb{R}\}$$

Set theory:

- $A \subset B$  (or  $A \subseteq B$ ) means  $x \in A \implies x \in B$
- $x \in A \cap B$  means  $x \in A$  and  $x \in B$
- $x \in A \cup B$  means  $x \in A$  or  $x \in B$
- $x \in A \setminus B \iff x \in A$  and  $x \notin B$
- $A = B \iff A \subset B$  and  $B \subset A$

### §5.1 Induction

Given a sequence of mathematical statement  $P(n)$  indexed by  $\mathbb{N}$ . If  $P(1)$  is true and  $P(k) \implies P(k+1)$  is true  $\forall k \in \mathbb{N}$ , then  $P(n)$  is true  $\forall n \in \mathbb{N}$ .

#### Example 5.1

Prove  $\sum_{k=1}^n (2k-1) = n^2$  (\*) using induction.

Base case  $n = 1 : 1 = 1^2$  ✓

Induction step: assume as induction hypothesis that (\*) holds

$$\begin{aligned} \sum_{k=1}^{n+1} (2k-1) &= \sum_{k=1}^n (2k-1) + 2(n+1) - 1 \\ &= n^2 + 2n + 1 \\ &= (n+1)^2 \end{aligned}$$

Or we can prove it the following way

$$\begin{aligned} S &= 1 + 3 + 5 + \dots + (2n-1) \\ S &= (2n-1) + (2n-3) + \dots + 3 + 1 \\ 2S &= 2n \cdot n \\ S &= n^2 \end{aligned}$$

**Example 5.2**

$a_{n+1} = \sqrt{2 + a_n}$ ,  $a_1 = 1$ . Prove  $a_n > 0$  and  $a_n$  increasing.  
 $a_1 > 0$  assume  $a_n > 0$ ,  $a_{n+1} = \sqrt{2 + a_n} > 0$

$$a_2 = \sqrt{3} \approx 1.732 > 1 = a_1$$

Assume  $a_n \leq a_{n+1}$ , want to show  $a_{n+1} \leq a_{n+2} \iff \sqrt{a_n + 2} \leq \sqrt{a_{n+1} + 2} \iff a_n \leq a_{n+1}$

**Example 5.3**

$(1+x)^n \geq 1+nx$  : Bernoulli Inequality

$$x \geq -1, \quad n \geq 0$$

base case  $1 \geq 1$

Assume  $(1+x)^n \geq 1+nx$

$$\begin{aligned} (1+x)^{n+1} &= (1+x)^n(1+x) \geq (1+nx)(1+x) = 1 + (n+1)x + nx^2 \\ &= 1 + (n+1)x \end{aligned}$$

Strong Induction:

If  $P(1)$  true and  $P(1), P(2), \dots, P(k) \implies P(k+1)$  true  $\forall k \in \mathbb{N}$  then  $P(n)$  holds for all  $n \in \mathbb{N}$

**Remark 5.4.** Induction  $\iff$  strong induction

**Example 5.5**

Every integer greater than 1 is a product of primes.

Assume  $2, 3, \dots, n$  is a product of primes.  $n+1$  is either a prime or a composite, in which case  $n+1 = ab$ ,  $1 < a, b < n+1$ .

By strong induction hypothesis, both  $a$  and  $b$  are product of primes, hence so is  $n+1 = ab$ .

**Exercise 5.1.** Every integer greater than 1 has a prime divisor.

Proof of infinitude of primes by Euclid:

*Proof.* Assume on the contrary there are finitely many primes  $\{p_1, p_2, \dots, p_k\}$ . Define  $N = p_1 \dots p_k + 1 > 1$  and (by above exercise) let  $p$  be a prime divisor of  $N$  but  $p \neq p_j$  for any  $1 \leq j \leq k$  otherwise if  $p = p_j$  then  $p|p_2 \dots p_k$  also  $p|N \implies p|N - p_1 \dots p_k \implies p|1$ , a contradiction. (no primes divide 1)  $\square$

## §6.1 Number System

- $(\mathbb{N}, +, \cdot, <)$  :  $+$  :  $\mathbb{N} \times \mathbb{N} = \mathbb{N}^2 \rightarrow \mathbb{N}$  satisfies commutativity and associativity. Note that 0 is the identity with respect to addition, but  $\mathbb{N}$  has no additive inverse.
- $(\mathbb{Z}, +, \cdot, <)$  :  $(\mathbb{Z}, +)$  is a commutative group (associativity, identity, inverse).  $(\mathbb{Z}, \cdot)$  satisfies commutativity, associativity with 1 as mult identity but 2 has no mult inverse.
- $(\mathbb{Q}, +, \cdot, <)$  :  $(\mathbb{Q}, +)$  and  $(\mathbb{Q}, \cdot)$  are commutative group(i).  $+$  and  $\cdot$  are compatible with distributive law:  $a(b + c) = ab + ac$  (ii). Both (i) and (ii) mean  $(\mathbb{Q}, +, \cdot)$  is a FIELD.  $(\mathbb{Q}, <)$  is an ordered set with  $<$  satisfying trichotomy and transitivity.  $+$ ,  $\cdot$  are compatible :  $y < z \implies x + y < x + z \forall x, x > 0, y > 0 \implies xy > 0$ . With the above compatibility,  $(\mathbb{Q}, +, \cdot, <)$  is an **ordered field**. Even though  $\mathbb{Q}$  is additivity and multiplicatively complete,  $\mathbb{Q}$  is not satisfying in that

1.  $\mathbb{Q}$  is not algebraically closed,  $x^2 - 2$  is a polynomial with no root in  $\mathbb{Q}$ .
2.  $\mathbb{Q}$  is not complete in a metric space: there exists subsets of  $\mathbb{Q}$  bounded above but with no least upper bound (supremum), e.g.  $A := \{p \in \mathbb{Q} : p < 0 \text{ or } p^2 < 2\}$  and  $B = \mathbb{Q} \setminus A$ .  $A$  contains no largest number and  $B$  contains no smallest.

$$\forall p \in A \exists q \in A \quad q > p$$

Let  $p \in A$ . Define  $q := p - \frac{p^2 - 2}{p + 2} > p$

$$q^2 - 2 = \left( \frac{2p + 2}{p + 2} \right)^2 - 2 = \frac{2(p^2 - 2)}{(p + 2)^2} < 0 \implies q^2 < 2$$

If  $A$  has an upper bound  $\alpha$ ,  $\alpha \notin A$  : then  $\alpha \in B$ . It follows that  $B$  is the set of all upper bounds for  $A$ . Since  $B$  contains no smallest number,  $A$  has no least upper bound in  $\mathbb{Q}$ .

**Definition 6.1** —  $S$  has the least-upper-bound property if  $\forall E \subset S$  nonempty, bounded above  $\sup E \in S$ .

**Remark 6.2.**  $\mathbb{Q}$  does not satisfy the least-upper-bound property.

$(\mathbb{R}, +, \cdot, <)$  there exists an ordered field with the l.u.b property that contains an isomorphic copy of  $\mathbb{Q}$ .

## §6.2 Equivalence Relation

An equivalence relation given  $\sim$  on  $A \times A$  satisfies

- $x \sim x$  reflexivity
- $x \sim y \iff y \sim x$  symmetry
- $x \sim y \cdot y \sim z \implies x \sim z$  transitivity

**Example 6.3**

$\mathbb{Q}$  Define  $\sim$  on  $\{(a, b) : a, b \in \mathbb{Z}, b \neq 0\}$  by  $(a, b) \sim (c, d)$  if  $ad = bc$

$$A = \mathbb{Z}^2 \setminus \{(a, 0) : a \in \mathbb{Z}\}$$

$\mathbb{Q} =$  the set of all equivalence classes of  $A$  write  $\sim$   
 $= A / \sim = \{[x] : x \in A\}$

In this construction,  $\mathbb{Z} \rightarrow \mathbb{Q}, \quad n \rightarrow [(n, 1)]$

$+$  and  $\cdot : \mathbb{Q} \times \mathbb{Q} \rightarrow \mathbb{Q}$  : note that  $+$  and  $\cdot$  need to be well-defined on  $\mathbb{Q}^2$ . (need to show  $\frac{a}{b} + \frac{c}{d} = \frac{a'}{b'} + \frac{c'}{d'}$  if  $\frac{a}{b} \sim \frac{a'}{b'}$  and  $\frac{c}{d} \sim \frac{c'}{d'}$ ).

**Example 6.4**

$$S' = [0, 1] / 0_m$$

**Definition 6.5 (Convergent Sequences)** —  $\{a_n\}_{n \geq 1} \subseteq \mathbb{R}$  is said to be convergent to  $l$  if  $\forall \epsilon > 0 \quad \exists N(\epsilon) > 0$  s.t.  $\forall n \geq N, \quad |a_n - l| < \epsilon$