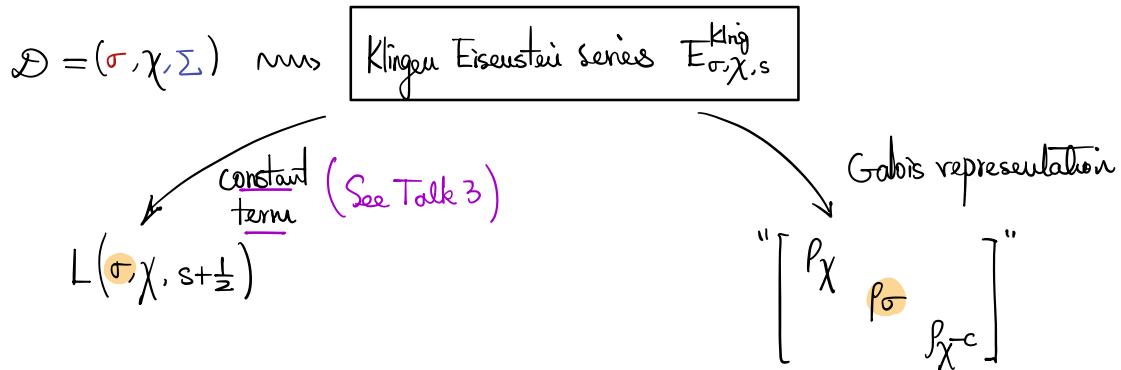


## Talk 2 Klingen Eisenstein series

In this talk, the task is to make sense of the following diagram:



- Setup:
- $K/F$  CM field.
  - $m \geq n \geq 0$  be two integers.
  - Consider the unitary group  $G := U(m, n)$ ,  $G^\heartsuit := U(m+1, n+1)$ .  
i.e. they are all unitary groups of pure signature. It is still confusing to me how to define everything for mixed signature case.
  - eg: The definition of Klingen parabolic subgroup of  $G^\heartsuit$ : mixed sgn?

### §1 Klingen Eisenstein series

Recall the Klingen parabolic subgroup  $P := P^{(1)} \subseteq U(n+1, n+1)$  defined in Talk 1:

$$P := \left\{ g = \begin{bmatrix} a & b & c & * \\ * & \bar{x}^* & * & * \\ d & e & f & * \\ h & l & k & * \end{bmatrix} \middle| \begin{array}{l} \begin{bmatrix} a & b & c \\ d & e & f \\ h & l & k \end{bmatrix} \in G^\heartsuit \\ x \in \text{Res}_F^K \mathbb{G}_m \end{array} \right\} \subseteq G^\heartsuit.$$

with Levi decomposition  $P = MN$ , where

$$M = \left\{ g = \begin{bmatrix} a & b & c & * \\ * & \bar{x}^* & * & * \\ d & e & f & * \\ h & l & k & * \end{bmatrix} \middle| \begin{array}{l} \begin{bmatrix} a & b & c \\ d & e & f \\ h & l & k \end{bmatrix} \in P \\ x \in \text{Res}_F^K \mathbb{G}_m \end{array} \right\} \xleftarrow[m]{} G^\heartsuit \times \text{Res}_F^K \mathbb{G}_m$$

(  $g_0 = \begin{bmatrix} a & b & c \\ d & e & f \\ h & l & k \end{bmatrix}$ ,  $x \in \text{Res}_F^K \mathbb{G}_m$  )

$m(g_0, x)$

Here comes the main object we want to study :

- Let  $\sigma$  be a cuspidal automorphic representation of  $G$ , which is holomorphic discrete series at archimedean places. ( $\approx$  comes from holomorphic cuspforms)

- Weight : for any  $\sigma \in \Sigma$ , the weight  $k_\sigma$  is a tuple

$$k_\sigma = (t_{1,\sigma}^+ \geq \dots \geq t_{m,\sigma}^+; t_{1,\sigma}^- \geq \dots \geq t_{n,\sigma}^-)$$

such that  $t_{1,\sigma}^+ \geq \dots \geq t_{m,\sigma}^+$ ;  $t_{1,\sigma}^- \geq \dots \geq t_{n,\sigma}^-$  are integers. Such weights classify the (L-packet of) discrete series representation of  $G(\mathbb{R}, \sigma)$ .

as such a discrete series rep is denoted by  $\pi_\sigma, k_\sigma$

- The holomorphic discrete series corresponds to weights s.t.  $t_{m,\sigma}^+ - t_1^- \geq d$ .

Example : Let  $f \in S_k(T_1(N))$  be a classical holomorphic modular form,

then in  $U(1,1)$ -perspective,  $\text{wt}(f) = (t_1^+ = 0; t_1^- = -k)$ . So

"holomorphic"  $\Leftrightarrow k \geq 2$ , quite reasonable.

- Scalar weight (In [Wan 2015 ANT] and so on) :  $k_\sigma = (0, \dots, 0; -k, \dots, -k)$  for a positive integer  $k \geq d$ . to be a holomorphic discrete series.

- Let  $\chi : A_k^\times \rightarrow \mathbb{C}^\times$  be a Hecke character over  $K$ , of infinite type  $(l_1, l_2) \in \mathbb{Z}^2$ , i.e. a continuous group homomorphism s.t.

$$\chi(x \cdot z_\infty) = \chi(x) \overline{z_\infty}^{-l_1} z_\infty^{-l_2} \quad \forall x \in K^\times, z_\infty \in K_\infty^\times$$

such that  $l_1 + l_2$  has the same parity with  $d$ .

There are equivalent definitions :

(a)  $\chi$  regard as an ideal class character,  $\chi(\alpha) = \alpha^{l_1} \bar{\alpha}^{l_2}$  for  $\alpha \equiv 1 \pmod{f_\chi}$ .

(b)  $\chi$  is an idèle character s.t.

$$\chi(z) = \left(\frac{z}{\bar{z}}\right)^K (z \bar{z})^{K'}, \quad K, K' \in \frac{1}{2}\mathbb{Z} \quad \left(\Rightarrow K = \frac{1}{2}(l_2 - l_1), \quad K' = -\frac{1}{2}(l_1 + l_2)\right)$$

The character  $\chi$  is central if  $K' = 0$ .

Definition ([WANTZ]. Defn 3.2) The triple  $(\sigma, \chi, \Sigma)$  is called an Eisenstein datum, where  $\Sigma$  is a finite set of primes of  $F$  containing all the infinite places, primes dividing  $p$  and places where  $\sigma$  or  $\chi$  is ramified. (In a word,  $\Sigma$  is the set of "bad" primes).

Now we are ready to define Klingen Eisenstein series.

Definition: Let  $s \in \mathbb{C}$  be a complex number.

(1) Regard  $\sigma \boxtimes \chi$  as an automorphic rep of the Klingen Levi subgroup

$$M \xleftarrow{\sim} U(m,n) \times \text{Res}_F^K \mathbb{G}_m$$

and inflate it to an automorp of  $P = MN$ . We define

$$I^{Kling}(\sigma, \chi, s) := \text{Ind}_{P(A)}^{G^\circ(A)} \left( \sigma \boxtimes \chi \cdot S_p^{\frac{1}{2}} \right)$$

$$= \left\{ \begin{array}{l} f^{Kling}: G^\circ(A) \rightarrow V_\sigma : f(m(g_0, x)ng) = \sigma(g_0)\chi(x)S_p^{\frac{1}{2}+s}(m(g_0, x))f(g), \\ \text{smooth} \\ \text{k-finite} \end{array} \quad \forall g_0 \in U(m,n)(A), \quad x \in A_K^\times, \quad g \in G^\circ(A) \right\}$$

Here  $S_p$  is the modulus character of  $P$ , actually  $S_p(m(g_0, x)) = |x\bar{x}|^{-(d+1)}$ .

Elements of  $I^{Kling}(\sigma, \chi, s)$  are called Klingen Eisenstein sections

(2) Now that  $V_\sigma \hookrightarrow I^{Kling}(U(m,n))$ , elements in  $V_\sigma$  are cuspforms, so that we have for  $g \in U(m,n)(A)$

$$\begin{aligned} \text{ev}_g: I^{Kling}(\sigma, \chi, s) &\rightarrow \text{Fun}(G^\circ(A), \mathbb{C}) \\ f^{Kling} &\mapsto f^{Kling}(-)(g). \end{aligned}$$

We finally define

$$E^{Kling}(f_{\sigma, \chi, s}^{Kling})(g) := \sum_{\gamma \in P(\mathbb{Q}) \backslash G^\circ(\mathbb{Q})} f_{\sigma, \chi, s}^{Kling}(\gamma g)(1) \quad \leftarrow P(\mathbb{Q})\text{-invariant}.$$

This is the Klingen Eisenstein series over  $G^\circ(A)$ , defined by the Klingen Eisenstein section  $f_{\sigma, \chi, s}^{Kling}$ .

Exercise : Let's seen an extreme case :  $U(m,n) = U(0,0)$ . Then the Eisenstein datum deduce to a single Hecke character  $\chi$  over  $K$ .

- The Klingenberg parabolic subgroup :  $P = \left\{ \begin{bmatrix} x^{-\frac{1}{2}} & * \\ 0 & x \end{bmatrix} \right\}$ ,  $M = \left\{ \begin{bmatrix} x^{-\frac{1}{2}} & 0 \\ 0 & x \end{bmatrix} \right\}$ .  
and the modulus character is  $|x\bar{x}|^{-1}$ .

(in the case of general unitary group  $GU(1,1)$ , we get the upper triangular Borel and the diagonal torus.)

- The Klingenberg Eisenstein sections and Eisenstein series are defined accordingly, coincide with the classical definition :

$$f^{Kling} : U(1,1)(A) \rightarrow \mathbb{C}, \quad f\left(\begin{bmatrix} x^{-\frac{1}{2}} & n \\ 0 & x \end{bmatrix} g\right) = \chi(x) |x\bar{x}|^{-\left(\frac{1}{2}+s\right)} f(g)$$

for any  $x \in A_K^\times$ ,  $n \in A$ , and the Eisenstein series is defined from  $f^{Kling}$ .

Remark : Note that our notation is consistent with [SU2006 ICM report], but not in [SU14, Wan15ANT], Wan20, CLW, etc] on the complex variable  $s$ :

- We are writing :  $\sigma(g_0)\chi(x) \zeta_{Sp}^{\frac{1}{2}+s}(m(g_0, x)) f(g)$ ,

- They are using :  $\zeta$  a character of  $P$  such that  $\zeta^{d+1} = \zeta_{Sp}$ , i.e.  
 $\zeta(m(g_0, x)) = |x\bar{x}|^{-1}$ , and the induced representation with factor  
 $\zeta^{\frac{d+1}{2}+\gamma} = \zeta_{Sp}^{\frac{1}{d+1}\left(\frac{d+1}{2}+\delta\right)} = \zeta_{Sp}^{\frac{1}{2}+\frac{\delta}{d+1}}$

So their  $\gamma = (d+1)s$ . this is really a subtlety but it is claimed that this saves notation.

The critical point  $s_0 := \frac{k'+1}{2(d+1)}$ .

The contribution of Langlands : the black box of Eisenstein series :

- ① If  $\operatorname{Re}(s)$  is sufficiently large,  $E_{\sigma, \chi, s}^{\text{Kling}}$  (as a function in  $\overset{(s, g)}{\underset{\mathbb{C} \times G^\circ(A)}{\uparrow}}$ )

converges absolutely and uniformly for  $s, g$  in compact sets.

$\rightsquigarrow E_{\sigma, \chi, s}^{\text{Kling}}$  is holomorphic in  $s$ , defines an automorphy on  $G^\vee$ .

[ When  $\pi$  is tempered and  $\chi$  is unitary,  $\operatorname{Re}(s) > \frac{1}{2}$  suffices ]

⑤ There is a meromorphic continuation of  $E_{\sigma, \chi, s}^{\text{Kling}}$  to all  $s \in \mathbb{C}$

⑥ What about the holomorphy of  $E_{\sigma, \chi, s}^{\text{Kling}}$  in the variable  $g \in G^\vee(\mathbb{A})$ ?

- Surely not always the case: weight 2 classical Eisenstein series.

Slopes of Skinner: The order of nonholom anns nonvanishing of central  $L$ .

$\rightsquigarrow$  To grant it, the strategy is to

(1) guarantee  $p := \sigma \otimes \chi$  is a holomorphic discrete series at each infinite places, as automorp over  $M(\mathbb{A})$

(2) Choose a canonical Klingen Eisenstein section  $f_{\sigma, \chi, s, \infty}^{\text{Kling}} \in I^{\text{Kling}}(P_\infty \cdot S_p^{1/2+is})$ .

Condition on (1):

Let  $\chi : A_k^\times \rightarrow \mathbb{C}^\times$  be a Hecke character over  $K$ , of infinite type  $(l_1, l_2) \in \mathbb{Z}^2$ .

We assume  $l_1 + l_2 \equiv d \pmod{2}$ .

• Fact: The weight of  $\rho$  is

$$(t_1^+, t_2^+, \dots, t_m^+, k + \frac{d}{2} + 1; k - (\frac{d}{2} + 1), t_1^-, \dots, t_n^-)$$

Therefore, for  $\rho$  to be a holomorphic discrete series, the condition is

$$t_m^+ \geq k + \frac{d}{2} + 1 \geq k - (\frac{d}{2} + 1) \geq t_1^- \quad — (*)$$

The central gap is  $d+2$ .

If we put  $K$  in the central,  $(*)$  is equivalent to

$$t_1^- + (\frac{d}{2} + 1) \leq K \leq t_m^+ - (\frac{d}{2} + 1) \quad — (*)'$$

Condition on (2): We shall focus on the archimedean stuff in a separate talk.

Example of  $U(1,1)$  case : As before,  $f \in S_k(\Gamma_1(N))$ , corresponding to  $(t_1^+ = 0; t_1^- = -k)$

Then  $(*)'$  becomes :  $-k + 2 \leq k \leq -2$ , the central gap is  $k \geq 4$

$$\rightsquigarrow \text{weight}(\theta_\chi) = 2|k| + 1 \in [5, 2k-3]. \quad \underline{\text{weird}}.$$

Example of  $U(2,0)$  case : The scalar weight becomes  $(t_1^+ = t_2^+ = 0)$ . Then  $(*)'$  becomes  $k \leq -2$ . The central gap condition is empty.

$$\rightsquigarrow \text{weight}(\theta_\chi) = 2|k| + 1 \geq 5. \quad \underline{\text{weird}}.$$

Example in [Wan2015AN] :

- $\sigma$  is in the scalar case  $(t_1^+ = \dots = t_m^+ = 0; t_1^- = \dots = t_n^- = -k)$ , with  $k \geq d$  an even integer. (holomorphic condition)
- $\chi$  is Hecke character of weight  $(-\frac{k}{2}, \sigma)$  in OUR notation

⚠ In [Wan2015AN], it goes like " $\chi$  has infinite type  $(-\frac{k}{2}, \frac{k}{2})$ ". But in loc.cit, the infinite type is not defined. In our setup, I guess it should be  $(\frac{k}{2}, -\frac{k}{2})$  (I put extra "-" to be consistent with

[BDP13] and [Lei-Loeffler-Zerbes]). Then compute

$$k = \frac{1}{2}(-\frac{k}{2} - \frac{k}{2}) = -\frac{k}{2}$$

$$k' = -\frac{1}{2}(\frac{k}{2} - \frac{k}{2}) = 0$$

So  $\chi$  is a Hecke character of weight  $(-\frac{k}{2}, \sigma)$ . It is a central Hecke character.

$$\rightsquigarrow \text{Then } (*) \text{ gives } \underbrace{-k + \left(\frac{d}{2} + 1\right)}_{\ominus} \leq -\frac{k}{2} \leq \underbrace{0 - \left(\frac{d}{2} + 1\right)}_{\oplus}$$

$\Rightarrow k \geq d + 2$ , and the central gap condition is the same.

Remark : On comparing [Skinner-Urban] and [Wan 2020 ANT] :

- For simplicity, we assume  $K/F = K/\mathbb{Q}$  imaginary quadratic field. Let :
  - $f \in S_k(\Gamma_0(N), \epsilon_f)$  a normalized newform
  - $\chi : A_K^\times \rightarrow \mathbb{C}^\times$  a Hecke character of  $K$  of infinite type  $(l_1, l_2)$ , i.e. a continuous group homomorphism s.t.

$$\chi(\alpha \cdot z_\infty) = \chi(x) \overline{z_\infty}^{-l_1} \overline{z_\infty}^{-l_2}, \quad \forall \alpha \in K^\times, z_\infty \in K^\times$$

Then we can attach a theta function  $\theta_\chi \in S_{k+l_1}(\Gamma_1(N'))$ , with  $k = |l_1 - l_2|$ .

~ The object we want to study : the Rankin-Selberg product  $\pi_f \times \pi_\chi$ , where  $\pi_f$  and  $\pi_\chi$  are unitary automorphic reps of  $GL_2(A_\mathbb{Q})$  associated to  $f$  and  $\chi$  accordingly :

$$L(f, \chi, s) = L(\pi_f \times \pi_\chi, s - \frac{k-1+l_1+l_2}{2})$$

We say  $\chi$  is critical for  $f$  if " $s=1$ " is a critical value in the sense of Deligne:

- We complete  $L(f, \chi, s)$  by

$$L_{\text{comp}}(f, \chi, s) = T_C(s-l_0) \Gamma_C(s - \min(k-1, l) - l_0), \quad l_0 = \min(l_1, l_2)$$

and define  $\Lambda(f, \chi, s) := L_{\text{comp}}(f, \chi, s) L(f, \chi, s)$ . Then

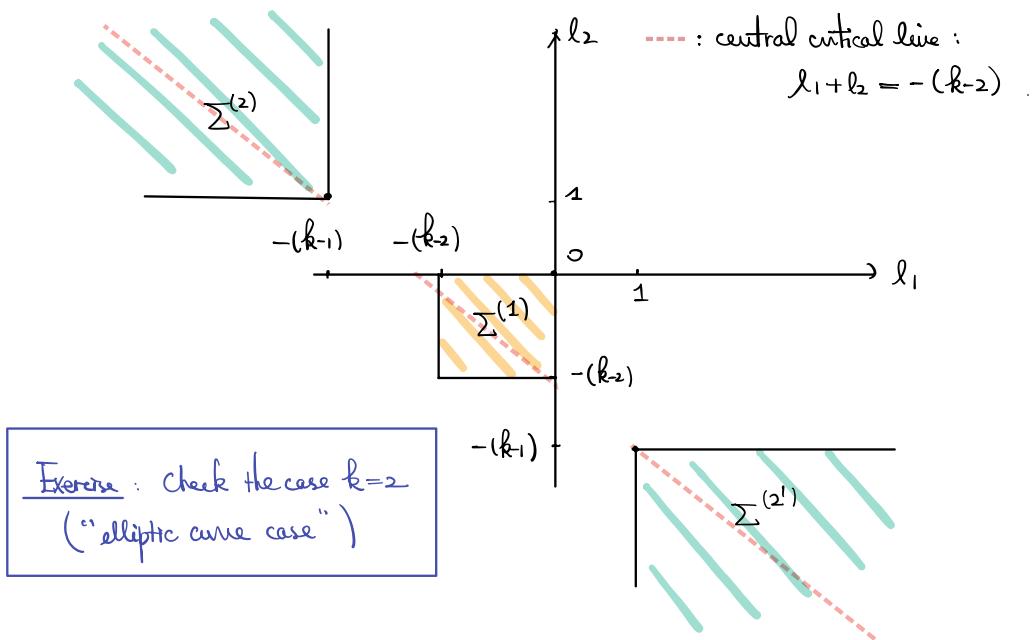
$$\Lambda(f, \chi, s) = \epsilon(f, \chi, s) \Lambda(f, \bar{\chi}, k+l_1+l_2-s)$$

↑ certain "involution" of  $f$ .

- Then " $s=n$ " is a critical value in the sense of Deligne if neither  $L_{\text{comp}}(\text{LHS})$  nor  $L_{\text{comp}}(\text{RHS})$  has a pole at  $s=n$ .

~ Fixing  $f$  and let  $\chi$  vary, it is an exercise to determine the region of critical characters :

$$\Sigma_{\text{crit}} = \sum^{(1)} \sqcup \sum^{(2)} \sqcup \sum^{(2')} , \text{ as drawn below :}$$

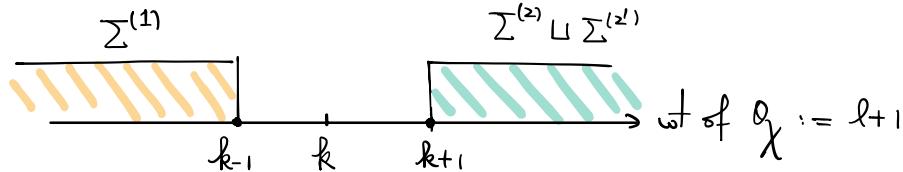


$\Sigma^{(1)} := \{(l_1, l_2) : -(k-2) \leq l_1, l_2 \leq 0\} \rightsquigarrow l \leq k-2, \text{ i.e. } \text{wt}(\chi) \leq k-1.$

$\Sigma^{(2)} := \{(l_1, l_2) : l_1 \leq -(k-1), l_2 \geq 1\} \rightsquigarrow l \geq k, \text{ i.e. } \text{wt}(\chi) \geq k+1.$

$\Sigma^{(2')} := \{(l_1, l_2) : l_1 \geq 1, l_2 \leq -(k-1)\}$

i.e.



Then :

- [Skinner-Urbau] dealt with  $\Sigma^{(1)}$  :  $\text{wt}(f) > \text{wt}(\chi)$ .
- [Wan 2020 AN] dealt with  $\Sigma^{(2)} \cup \Sigma^{(2')}$  :  $\text{wt}(f) < \text{wt}(\chi)$

It turns out that the two types of regions shall be dealt with independently :

- $E^{\text{Ring}} / U(2,2)$  has constant term interpolating in  $\Sigma^{(1)}$
- $E^{\text{Ring}} / U(3,1)$  \_\_\_\_\_ in  $\Sigma^{(2)} \cup \Sigma^{(2')}$

Reference :

- Bertolini-Diamond-Prasanna : « Generalized Heegner cycles & p-adic Rankin L-series » 2013 Duke. §4.
- Lei-Loeffler-Zerbes : « Euler systems for modular forms over imaginary quadratic fields » 2015 Composito, §6, note Remark 6.1.1 in loc.cit.

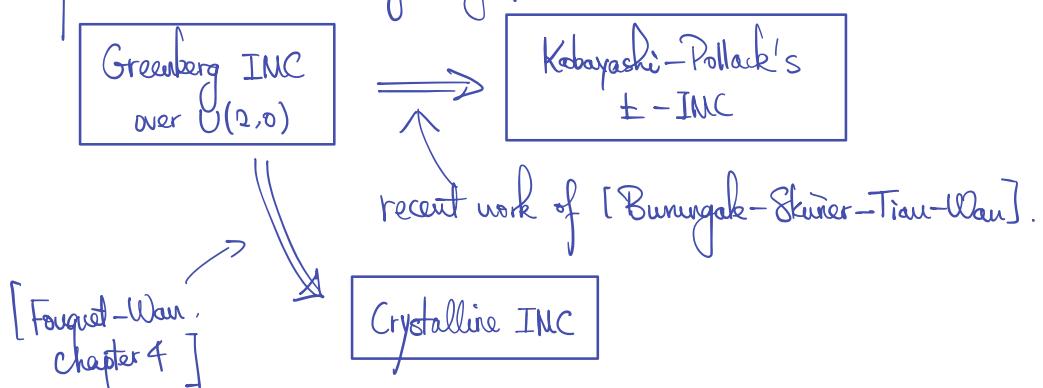
Question: why the Rankin-Selberg product  $\pi_f \times \pi_g$ ?

- Iwasawa theory with  $p$ -adic L-function is well-behaved under certain  $p$ -ordinary condition (more precisely the Panchishkin condition à la Greenberg)
  - In the elliptic curve / modular curve case :  $p$ -ordinary condition
  - In the Rankin-Selberg case :  $\pi_f \times \pi_g$ , say  $\text{wt}(g) > \text{wt}(f)$ . Then it is requiring  $g$  being  $p$ -ordinary, with no condition put on  $f$ .
- Here the CM form  $Q_\chi$  is automatically  $p$ -ordinary (this depends on that  $p$  splits in  $K$ )
  - So when  $\text{wt}(Q_\chi) > \text{wt}(f)$ , we can use Iwasawa main conjecture to touch nonordinary modular forms  $f$ .

Remark :

- In [Wan 2020] though, it is required that  $f$  is ordinary, (applied to rank one BSD and  $p$ -converse of GZK).
- but in [CLW 2022], this condition is partially removed.

- Of course, it is a long story from



## § 2 Constant Term of Klingen Eisenstein series

Defn: Given a smooth function  $\varphi: G(F) \backslash G(A) \rightarrow \mathbb{C}$ . We define the constant term along a parabolic  $P \subseteq G$  is the following function

$$\varphi_p: g \in G(A) \mapsto \int_{N(F) \backslash N(A)} \varphi(n g) dn \in \mathbb{C}$$

§ 2.1  $GL_2$ -case: Let's do the simplest  $GL_2$ -case as a start. ( $F = \mathbb{Q}$ )

Recall the Eisenstein series for  $GL_2$  is

$$E_{f,s}(g) := \sum_{\gamma \in B(\mathbb{Q}) \backslash GL_2(\mathbb{Q})} f_s(\gamma g).$$

Then we compute its constant term at the parabolic subgroup  $B$ .

Fact: Bruhat decomposition:

$$GL_2(\mathbb{Q}) = B(\mathbb{Q}) \sqcup B(\mathbb{Q}) w N(\mathbb{Q}), \quad w := \begin{bmatrix} & 1 \\ -1 & \end{bmatrix}.$$

$$\Rightarrow B(\mathbb{Q}) \backslash GL_2(\mathbb{Q}) = \{ \begin{bmatrix} & 1 \\ -1 & \end{bmatrix} N(\mathbb{Q}) \}.$$

Step 1

$$\begin{aligned} E_{B,f,s}(g) &= \int_{N(\mathbb{Q}) \backslash N(A)} \sum_{\substack{\gamma \in \\ B(\mathbb{Q})}} \int_{GL_2(\mathbb{Q})} f_s(\gamma n g) dn \\ &= \int_{N(\mathbb{Q}) \backslash N(A)} f_s(n g) dn + \int_{N(\mathbb{Q}) \backslash N(A)} \sum_{m \in N(\mathbb{Q})} f_s \left( \begin{bmatrix} & 1 \\ -1 & \end{bmatrix} m n g \right) dn \\ &= f_s(g) + \int_{N(A)} f_s \left( \begin{bmatrix} & 1 \\ -1 & \end{bmatrix} n g \right) dn \end{aligned}$$

Recall:  $f_s \in I_s(\gamma, \varphi)$  :  $f_s(n g) = f_s(g)$ .

So if we insist that the  $\text{vol}(N(\mathbb{Q}) \backslash N(A)) = 1$ , then indeed the integral is  $f_s(g)$ .

Step 2

Break into local product:

$$\cdot \quad f \in I(\chi, \psi) = \underbrace{\otimes_v I(\chi_v, \psi_v)}_{(\chi_v)}$$

To make sense of  $(\chi_v)$ : we need to specify what we mean by " $\otimes'$ "

- For places  $v$  st.  $\chi_v$  and  $\psi_v$  are unramified (this is for a.e.  $v$ ),

$$I(\chi_v, \psi_v)^{GL_2(\mathbb{Z}_v)} = \mathbb{C} \cdot f_v^{\text{sph}} \cdot f_v^{\text{sph}}(GL_2(\mathbb{Z}_v)) = 1.$$

(Since Iwasawa decomposition  $GL_2(\mathbb{Q}_v) = B(\mathbb{Q}_v) GL_2(\mathbb{Z}_v)$ , this defines an  $f_v^{\text{sph}} \in I(\chi_v, \psi_v)$  definitely.)

So we define the restricted tensor product wrt these  $f_v^{\text{sph}}$ .

- For  $f \in I(\chi, \psi)$ , as we require it to be smooth (here to make sense of it, we mean  $GL_2(\mathbb{Z})$ -smooth), it is trivial on  $\prod_{l \notin S} GL_2(\mathbb{Z}_l) =: GL_2(\mathbb{Z}^S)$ .

$$\rightsquigarrow f = f^S \cdot f_S, \quad S = \text{"bad primes"} \geq \text{ramified, } \infty \text{-places}$$

Then:

$$E_{B,f,S}(g) = \underbrace{f_S(g)}_{\text{since we haven't specified the bad sections } f_S, \text{ we cannot say further words on this part}} + \int_{N(\mathbb{Q}_S)} f_S \left( \begin{bmatrix} -1 & 1 \\ 0 & 1 \end{bmatrix} n g \right) dn \cdot \prod_{l \notin S} \int_{N(\mathbb{Q}_l)} f_l^{\text{sph}} \left( \begin{bmatrix} -1 & 1 \\ 0 & 1 \end{bmatrix} n g \right) dn$$

But we can compute this part.

Step 3

Compute the good intertwining term.

$$M(f_S)(g) := \int_{N(\mathbb{Q}_S)} f_{l,S}^{\text{sph}} \left( \begin{bmatrix} -1 & 1 \\ 0 & 1 \end{bmatrix} n g \right) dn.$$

Fact :  $GL_2(\mathbb{Q}_\ell) = B(\mathbb{Q}_\ell) T(\mathbb{Q}_\ell) GL_2(\mathbb{Z}_\ell)$  . Iwasawa decomposition

$$g = \begin{bmatrix} 1 & b \\ 0 & 1 \end{bmatrix} \begin{bmatrix} a & c \\ 0 & d \end{bmatrix} k$$

Then :  $M(f_s)(g) = \int_{\mathbb{Q}_\ell} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & b \\ 0 & 1 \end{bmatrix} \begin{bmatrix} a & c \\ 0 & d \end{bmatrix} k \right) dm$

change of variable  $\rightarrow = \int_{\mathbb{Q}_\ell} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & b \\ 0 & 1 \end{bmatrix} \begin{bmatrix} a & c \\ 0 & d \end{bmatrix} \right) dm$

$$= \int_{\mathbb{Q}_\ell} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \begin{bmatrix} a & c \\ 0 & d \end{bmatrix} \begin{bmatrix} 1 & \frac{d}{am} \\ 0 & 1 \end{bmatrix} \right) dm$$

change of variable  $\rightarrow = \int_{\mathbb{Q}_\ell} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} d & 0 \\ a & 1 \end{bmatrix} \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right) \left| \frac{a}{d} \right| dm$

$$= \underbrace{\int_{\mathbb{Q}_\ell} \chi_\ell(d) \psi_\ell(a) \left| \frac{d}{a} \right|^{s+\frac{1}{2}} \left| \frac{a}{d} \right| f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \right) dm}_{\psi_\ell(a) \chi_\ell(d) \left| \frac{a}{d} \right|^{-s+\frac{1}{2}}}$$

$$\Rightarrow M(f_s) \in I_{-s}(\psi_\ell, \chi_\ell)^{GL_2(\mathbb{Z}_\ell)} \xrightarrow[\text{unramifiedness}]{} \mathbb{C} c_\ell(s) f_{\ell,-s}^{\text{sph}}$$

Putting  $g=1$  on both sides :

$$c_\ell(s) = M(f_{\ell,s}^{\text{sph}})(1) = \int_{\mathbb{Q}_\ell} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \right) dm.$$

**Step 4** Computing the coefficient  $c_\ell(s)$  :  $\mathbb{Q}_\ell = \mathbb{Z}_\ell \coprod \bigcup_{r=1}^{\infty} \ell^{-r} \mathbb{Z}_\ell^\times$

$$c_\ell(s) = \underbrace{\int_{\mathbb{Z}_\ell} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & m \\ -1 & 1 \end{bmatrix} \right) dm}_{1} + \sum_{r=1}^{\infty} \int_{\mathbb{Z}_\ell^\times} f_{\ell,s}^{\text{sph}} \left( \begin{bmatrix} 1 & \ell^{-r} u \\ -1 & 1 \end{bmatrix} \right) \ell^r du$$

$$\left[ \begin{array}{cc} \ell^r u & 1 \\ 0 & 1 \end{array} \right] \left[ \begin{array}{cc} 0 & +1 \\ -1 & \ell^r u \end{array} \right] \left[ \begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right]$$

now  $f_{\ell,s}^{\text{sph}}(\dots) \ell^r = \frac{\chi_\ell(\ell^r)}{\psi_\ell} |\ell^{2r}|^{s+\frac{1}{2}} \ell^r = \frac{\chi_\ell(\ell^r)}{\psi_\ell} \ell^{-2rs}$   $\in GL_2(\mathbb{Z}_\ell)$

inside the integral

So :

$$c_\ell(s) = 1 + \text{vol}(\mathbb{Z}_\ell^\times) \sum_{r=1}^{\infty} \frac{\chi_\ell(\ell^r)}{\psi_\ell(\ell)} \ell^{-2rs} = 1 + \frac{\frac{\chi_\ell(\ell) \ell^{-2s}}{\psi_\ell(\ell)} (1 - \frac{1}{\ell})}{1 - \frac{\chi_\ell(\ell) \ell^{-2s}}{\psi_\ell(\ell)}} = \frac{L_\ell(\chi_\ell, 2s)}{L_\ell(\chi_\ell, 2s+1)}$$

Therefore :

$$E_B(f_s(g)) = f_s(g) + \frac{L^s(\chi_\ell, 2s)}{L^s(\chi_\ell, 2s+1)} M(f_{s,s}) \tilde{f}_{-s}$$

↓ original section      ↓ intertwining operator at bad places

Remark :

1°  $E(f_s, g)$  and hence  $E_B(f_s, g)$  is merom. in  $s$ .

2° Local intertwining operator  $M(-)$  is mero. in  $s$

3° We choose  $f_s$  to be "holomorphic section"

$\Rightarrow \frac{L^s(\chi_\ell, 2s)}{L^s(\chi_\ell, 2s+1)}$  is merom. in  $s$ .

i.e. we can "propagate" the meromorphy of  $L^s(\chi_\ell, 2s)$  to the left by 1 step by step.

This is the original idea of Langlands (& Langlands-Shahidi method)

Steps The choice of bad sections

We point out that in practice on the choice of archimedean places, we choose  $f_{s,\infty} \in DS_k$ , i.e. discrete series of weight  $k$ , for  $s = \frac{k-1}{2}$

$\implies M(f_{s,\infty}) = 0$ .

Blackbox

Therefore, as long as we don't obtain any pole from  $\frac{L^s(\chi_\ell, k-1)}{L^s(\chi_\ell, k)}$ , we obtain  $E_B(f_{s,k}) = f_{s,k}$ .

Example : If  $\chi = \psi$ ,  $k=2$ , then the L-quotient has a pole at  
 $s_k = \frac{k-1}{2}$ . In this case,

- $E(f_{s_k})$  is the weight 2 Eisenstein series, which is not holom.
- The representation  $I_s(\chi, \cdot)$  is reducible:

$$0 \rightarrow St \otimes \chi \rightarrow I_s(\chi, \chi) \rightarrow \chi \rightarrow 1.$$

Skinner said : on the Galois side, any deformation is locally Steinberg, so it has monodromy at a prime  $\ell$ , so not necessarily lie in the Block-Kato f-Selmer group (but somewhere else with different condition.)

## § 2.2 The case of Klingen Eisenstein series

Fact: For a standard F-parabolic of  $G$  (i.e.  $R \supseteq B$ ). If  $R \neq P$ ,  
then  $E_{\sigma, \chi, s, R}^{\text{Kling}} = 0$ .

For the constant term along the Klingen parabolic  $P$ :

• Bruhat decomposition:

$$G = P \sqcup PwN, \quad w = \begin{bmatrix} 1_n & & & \\ & \vdots & & \\ & & 1_m & \\ & -1 & & \\ n & 1 & m & 1 \end{bmatrix} \quad \text{longest Weyl elts.}$$

~ Inmitate the previous calculation in  $\mathrm{GL}_2$ -case:

$$\begin{aligned} E_P(g) &= f_{\sigma, \chi, s}^{\text{Kling}}(g) + \prod_{v: \text{place of } F} M(f_{\sigma, \chi, s}^{\text{Kling}})(g) \\ &\qquad \qquad \qquad \in I(\sigma, \chi^{-c}, -s) \\ &= f_{\sigma, \chi, s}^{\text{Kling}}(g) + \prod_{v \in S} M(f_{\sigma, \chi, s}^{\text{Kling}})(g) \cdot \prod_{v \notin S} c_v(s) f_{\sigma, \chi^{-c}, -s}^{\text{Kling, sph}} \end{aligned}$$

Theorem (Gindikin-Karpelevich formula)

$$c_v(s) = \frac{L_v(\pi_v, \chi_v^{-1}, (d+1)s)}{L_v(\pi_v, \chi_v^{-1}, (d+1)s+1)} \frac{L_v(\chi_v^!, 2(d+1)s)}{L_v(\chi_v^!, 2(d+1)s+1)}$$

here  $\chi_v^! = \chi_v^{-1}|_{F_v} \cdot \eta_{k_v/F_v}$ , and the Euler factor

$$L_v(\pi_v, \chi_v^{-1}, s) := L_v(\underbrace{\mathrm{BC}(\pi_v)}_{\substack{\uparrow \\ \text{automorphic rep of } \mathrm{GL}_d}} \otimes \chi_v^{-1}, s)$$

Godement-Jacquet L-function.

P.S. Surely there will be references to this proof but I haven't found a precise published reference.

Then one checks : ( I'm still confused at the choice of  $s_0 := \frac{k-d-1}{2}$  )

- ① In our holomorphic range, the GK term  $c_\ell(s)$  has no pole.
- ② Under explicit choice at the archimedean place :  $M_{\text{ad}}(f_{\sigma, \chi, s}^{\text{Kling}, \infty}) = 0$

$$\text{So : } E_p(g) = f_{\sigma, \chi, s}^{\text{Kling}}(g).$$

QUESTION : Thank you for your patience ! But still : where is "L-function" as promised ?

- Though the GK-term with L-factor disappears in the final result, it can give us some insight : "integrals"

L-factors at good primes show up from intertwining operator.

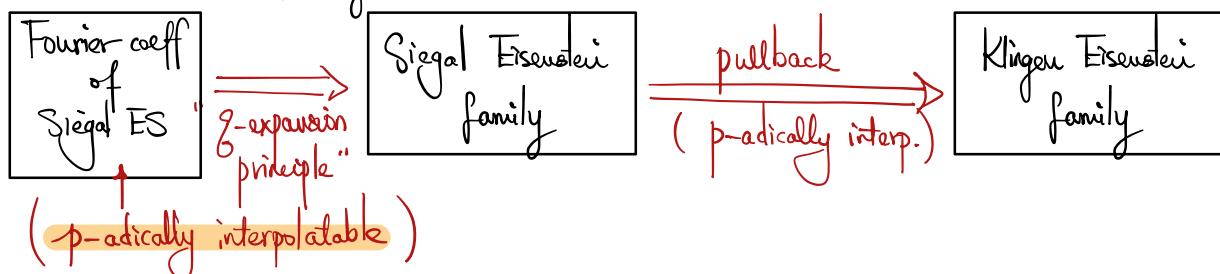
$\Rightarrow$  In fact, the Klingen Eisenstein series we used is constructed via pulling back from Siegel Eisenstein series over larger unitary groups.

Then :

$$P_{\text{by } \varphi}(f_{\chi, s}^{\text{Sieg}, S, \text{sph}}) =: f_{\sigma, \chi, s}^{\text{Kling}, S}(g) = \underbrace{c_{LR}^S(s)}_{\text{to Talk 3}} \underbrace{f_{\sigma, \chi, s}^{\text{Kling}, S, \text{sph}}}_{\text{the L-value term}}, \quad \sigma := \langle \varphi \rangle.$$

We will give a detailed explanation of this part in Talk 3.

Another reason of pulling back :



### §3 Galois reps of Klingen Eisenstein series

The original reference should be [SU14, §9.5]. Assume  $\sigma$  has trivial central char.

Goal: Let  $\Pi$  be the automorphic representation of  $\underline{G}^\circ$  (written as  $G$  from now on) generated by the Klingen Eisenstein series  $E_{\sigma, \chi, s_0}^{\text{Kling}}$ . Then we shall show that there exists a Galois rep  $R$  associated to  $\Pi$ : This means the standard partial L-functions are the same.

#### ① Black box on the unramified reps

Let  $\Pi = \bigotimes_v' \Pi_v$ , and  $\Pi_v$  is unramified for  $v \notin S$ .

- From now on we pick  $v \notin S$ . We require  $v$  splits in  $K$  to simplify our computation.  
 $\rightsquigarrow G(F_v) \cong \text{GL}_d(F_v)$  depending on a choice of  $w|v$  in  $K$ , and  
 $B(F_v) \cong$  upper triangular matrices.  
 $T(F_v) \cong$  diagonal torus

We will write them as  $G, B, T$  accordingly to simplify the notations.

- Langlands parametrization:  $\Pi_v \hookrightarrow \text{Ind}_B^G \psi_v$  for some unramified character  $\psi_v : T \rightarrow \mathbb{C}^\times$ . Then we can associate a tuple of Satake parameters:  

$$\left\{ \psi_i := \psi_v \left( \begin{bmatrix} 1 & & & \\ & \ddots & & \\ & & \frac{w_v}{w_v} & \\ & & & 1 \end{bmatrix} \right) \right\}_{i=1}^{d+2}$$

i-th entry

In this case, the standard local L-factor is determined by these Satake parameters:

$$L_v(BC(\Pi_v), s, \text{std}) = \prod_{i=1}^{d+2} \left( 1 - \zeta_v^{-s} \psi_i \right)^{-1} \left( 1 - \zeta_v^{-s} \psi_i^{-1} \right)^{-1} \quad (*)$$

② Understand the relation between  $\pi$  and " $\sigma \boxtimes \chi$ "

- For  $v \in S$ ,  $\sigma$  is unramified at  $v$

$$\xrightarrow{\text{Langlands}} \sigma \hookrightarrow \text{Ind}_{B_H}^H(\psi_{H,v})$$

$$\xrightarrow{\quad} \pi \hookrightarrow \text{Ind}_P^G\left(\text{Ind}_{B_H}^H(\psi_{H,v} \otimes \chi_v \delta_P^{s_0})\right) = \text{Ind}_B^G(\psi_{H,v} \otimes \chi_v \delta_P^{s_0})$$

Here let's recall (note: here we use " $v$  splits in  $K$ " to simplify)

$$\bullet P = \left\{ \begin{bmatrix} t^{-1} & & \\ & h & \\ & & t \end{bmatrix} : \begin{array}{l} t \in K_v^\times \\ h \in H \end{array} \right\} \Rightarrow B \cap P \simeq B_H \times K_v^\times$$

$$T \cap M \simeq T_H \times K_v^\times$$

- Here by spltness,  $K_v^\times = F_v^\times \times F_v^\times$  and  $\chi_v = (\chi_1, \chi_2)$  with  $\chi_i$  being characters of  $F_v^\times$ . Moreover,

$$K_v^\times = F_v^\times \times F_v^\times \hookrightarrow P$$

$$(a, b) \mapsto \text{diag}[b^{-1}, \mathbb{1}_d, a]$$

Therefore:

$$\psi_v \left( \begin{bmatrix} t = b^{-1} & & \\ & \mathbb{1}_d & \\ & & t' = a \end{bmatrix} \right) = \chi_1(t') \chi_2^{-1}(t) \underbrace{\psi_{H,v}(t_H)}_{\substack{|t'| \\ |t|}} |t'|^{(d+1)s_0}$$

here we used the explicit description of the modulus character  $\delta_P$ .

$$\text{i.e. } \psi_v = [\chi_2^{-1}| - |^{(d+1)s_0}, \psi_{H,v}, \chi_1 | - |^{(d+1)s_0}]$$

Therefore:

$$L_v(\text{BC}(\pi_v), s, \text{std}) \quad - \text{(***)}$$

$$= L_v(\text{BC}(\sigma_v), s, \text{std}) \left( 1 - \chi_2^{-1}(\bar{\omega}_v) g_v^{(d+1)s_0 - s} \right)^{-1} \left( 1 - \chi_1(\bar{\omega}_v) g_v^{-(d+1)s_0 - s} \right)^{-1}$$

$$\left( 1 - \chi_2(\bar{\omega}_v) g_v^{-(d+1)s_0 - s} \right)^{-1} \left( 1 - \chi_1(\bar{\omega}_v)^{-1} g_v^{(d+1)s_0 - s} \right)^{-1}$$

$$= L_v(\text{BC}(\sigma_v), s, \text{std}) L_v(\chi_v^c, s + (d+1)s_0) L_v(\chi_v^{-1}, s - (d+1)s_0)$$

Remark :

- 1° Here  $\chi_v^c$  is simply swapping  $\chi_1$  and  $\chi_2$ , so actually there is little difference between  $\chi_v$  and  $\chi_v^c$ . We choose to write  $\chi_v^c$  to be consistent with the inert computation.
- 2° This is not really a precise proof. To determine the Satake parameters, one should compute the Satake isomorphism explicitly. This is exactly the method of [SV14, §9.5].

### ③ Relate to Galois reps

From (\*\*), we see it suffices to attach Galrep to each factors.

1°  $GL_1$ -factors : We have class field theory:  $\chi \rightsquigarrow \rho_\chi^{-1}$

Moreover, suppose  $\chi$  has infinite type  $(l_1, l_2)$  following our convention, being a character over  $K$ . Then

$$\boxed{\rho_\chi \rho_\chi^c = \epsilon_{\text{cyc}}^{-2K'}}$$

I'm still confused at the conventions

2°  $BC(\sigma_v)$ -factor : For cuspidal automorphic reps  $\sigma$  over  $H$ , we have the black box to associate it to Galreps  $R_\sigma$ :

Theorem (Skinner 2012 ANT, Theorem B, etc.)  $\exists$  a continuous semisimple representation  $R_\sigma : \text{Gal}_K \rightarrow GL_d(\overline{\mathbb{Q}_p})$  s.t.

$$(1) R_\sigma^c \simeq R_\sigma^\vee \otimes \epsilon_{\text{cyc}}^{1-d}$$

(2)  $R_\sigma$  is unramified at all finite places away from  $S_\pi \cup \Sigma_p$  and the local L-factors there match:

$$L_w(R_\sigma, s) = L_v(BC(\sigma)_w^\vee \otimes | - |^{\frac{1-d}{2}}, s) \quad — (*)$$

(3) For  $w \mid p$ ,  $R_\sigma|_{G_{Kw}}$  is potentially semisimple.  
When  $p \notin S_\pi^{\text{bad}}$ , it is crystalline.

Imitating (2), we can see that there is a Galois  $R_\pi : G_K \rightarrow \text{GL}_{d+2}(\bar{\mathbb{Q}}_p)$   
s.t.

$$L^s(R_\pi, s) = L^s(\text{BC}(\pi^\vee), s - \frac{1-(d+1)}{2}) = L^s(\text{BC}(\pi^\vee), s + \frac{d+1}{2})$$

Indeed, by (2\*\*), the latter value is:

$$\begin{aligned} & L_v(\text{BC}(\pi_v^\vee), s + \frac{d+1}{2}) \\ &= \underbrace{L_v(\text{BC}(\sigma_v^\vee), s + \frac{d+1}{2})}_{\downarrow} \underbrace{L_v(\chi_v^{-c}, s - (d+1)s_0 + \frac{d+1}{2})}_{\downarrow} \underbrace{L_v(\chi_v, s + (d+1)s_0 + \frac{d+1}{2})}_{\downarrow} \\ &\quad \left. \begin{array}{c} L_v(\text{BC}(\sigma_v), s + \frac{d+1}{2}) \\ \parallel \\ L_v(\chi_v^c, s + (d+1)s_0) \end{array} \right. \quad \left. \begin{array}{c} L_v(\chi_v^{-1}, s - (d+1)s_0) \\ \downarrow \\ L_v(\text{BC}(\pi_v^\vee), s - \frac{1-d}{2} + 1) \end{array} \right. \quad \text{compare the effect of taking dual} \\ &= L_v(\rho_\sigma(1) \oplus \rho_\chi^{-c}\left(\frac{d+1}{2} - (d+1)s_0\right) \oplus \rho_\chi\left(\frac{d+1}{2} + (d+1)s_0\right)) \end{aligned}$$

i.e.  $R_\pi = \rho_\sigma(1) \oplus \rho_\chi^{-c}\left(\frac{d+1}{2} - (d+1)s_0\right) \oplus \rho_\chi\left(\frac{d+1}{2} + (d+1)s_0\right)$

If we only care about the critical value  $s_0 = \frac{k' + \frac{1}{2}}{d+1}$ , then

$$R_\pi = \rho_\sigma(1) \oplus \rho_\chi^{-c}\left(\frac{d}{2} - k'\right) \oplus \rho_\chi\left(\frac{d}{2} + k' + 1\right)$$

Here the "half-integers" on the Tate twist are actually integers bc  $k' \equiv d \pmod{2}$

$$R_\pi = \begin{bmatrix} \rho_\sigma(1) & & \\ & \rho_\chi^{-c}\left(\frac{d}{2} - k'\right) & \\ & & \rho_\chi\left(\frac{d}{2} + k' + 1\right) \end{bmatrix}$$

④ A slight adjustment : Following [SU06] :

$$\begin{aligned} R_{\pi} \otimes \rho_{\chi}^c(k! - \frac{d}{2}) &= \underbrace{\rho_{\sigma(1)} \otimes \rho_{\chi}^c(k! - \frac{d}{2})}_{!!} \oplus \mathbb{1} \oplus \rho_{\chi} \rho_{\chi}^c(2k+1) \\ &= \underbrace{\rho_{\sigma(1)} \otimes \rho_{\chi}^c(k! - \frac{d}{2})}_{!!} \oplus \mathbb{1} \oplus \varepsilon_{\text{cyc}}. \end{aligned}$$

Then one checks:  $V^c = V_{(1)}$

I'm still a little bit confused here.  
though our definition of  $V$  matches  
the one on Skinner's 2009 lecture note.

Therefore it makes sense to study the Bloch-Kato conjecture for the conjugate self-dual Galois rep  $V$ .

Further question : Given a conjugate self-dual Galois rep  $V$  of a CM field  $K$ , does it necessarily come from an Eisenstein datum  $(\sigma, \chi, s_0)$ ?

If so, the machinery of Eisenstein congruence of unitary groups may work for such  $V$ .

## §4 Previous work

		$G \hookrightarrow G$	"p-priminity"
Najur-Wiles, Wiles	$\chi$ : Hecke character $/\mathbb{Q}$ , totally real fields	$GL_1 \hookrightarrow GL_2$ $E$	explicit $\mathfrak{q}$ -expansion of the Eisenstein series $E$
Hsieh 2014 [JAMS]	$\chi$ : Hecke character/ $K$	$U(1,0) \times \mathbb{G}_m \hookrightarrow U(2,1)$ $\chi \times \mathbf{1} \quad E^{\text{Kling}}$	Fourier-Jacobi coefficient of $E^{\text{Kling}}$
Skinner-Urban 2014 } Wan 2015 [ $\Sigma$ ] to totally real number fields	$\pi_f \times \chi$ , $\text{wt}(f) > \text{wt}(\chi)$ $\pi_f$ : automorp attached to $f \in S_2^{\text{old}}(\Gamma_1(N))$ $\chi$ : Hecke character/ $K$	$U(1,1) \times \mathbb{G}_m \hookrightarrow U(2,2)$ $\pi_f \times \chi \quad E^{\text{Kling}}$ $U(m,n) \times \mathbb{G}_m \hookrightarrow U(m+1, n+1)$ $E^{\text{Kling}}$	Fourier coefficient of $E^{\text{Kling}}$ $U(2,2)$ is quasi-split, hence this is double.
Wan 2020 [ANT] Castella-Liu-Wan	$\pi_f \times \chi$ , $\text{wt}(f) < \text{wt}(\chi)$ $f \in S_k(\Gamma_1(N))$	$U(2,0) \times \mathbb{G}_m \hookrightarrow U(3,1)$ $\pi_f \times \chi \quad E^{\text{Kling}}$	Fourier-Jacobi coefficient of $E^{\text{Kling}}$
Urban 2006 (preprint)	$\text{Ad}(E)$ adjoint rep of $E$ $E$ : elliptic curve/ $\mathbb{Q}$	$Sp_2 \times \mathbb{G}_m \hookrightarrow Sp_4$ $E^{\text{Kling}}$	Fourier coefficient of $E^{\text{Kling}}$
		$Sp(2n) \times \mathbb{G}_m \hookrightarrow Sp(2n+2)$	