

# Neural networks and quantum field theory

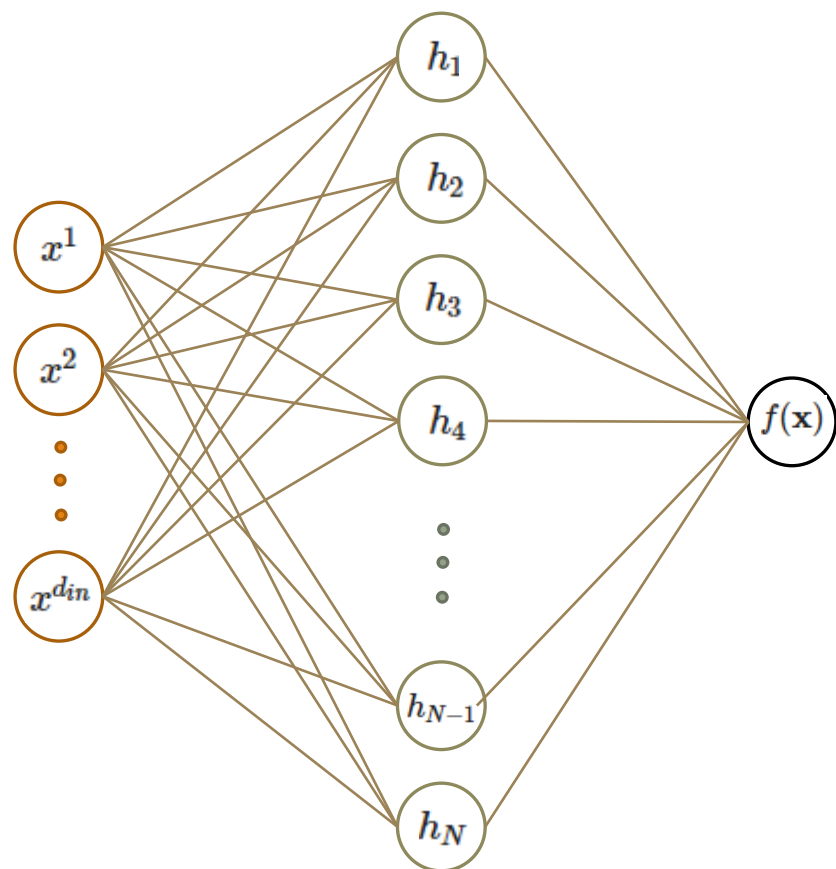
---

JAMES HALVERSON, ANINDITA MAITI, AND KEEGAN STONER

[HTTPS://DOI.ORG/10.1088/2632-2153/ABECA3](https://doi.org/10.1088/2632-2153/ABECA3)

# Outline

---



*fully-connected neural network with one hidden layer*

Neural network

infinite width

finite width

Gaussian Process

GP

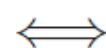
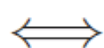
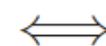
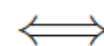
NGP

Non-Gaussian Process

Quantum field theory

free field theory

effective field theory



# Gaussian Process

---

Function over  $x$  :  $f(x)$

$f(x)$  has a distribution:  $p(f)$

$x$  is like time/space coordinates. Infinite choices of  $x$ , but for any finite subset of  $x$ , the finite function variables  $\{f_i\}_{i=1}^m$  has joint (zero mean) Gaussian distribution.

$$p(\mathbf{f}|\mathbf{X}) \sim \mathcal{N}$$

---

For neural networks,  $x$  is our input data,  $f$  is the network.

$$f_{\theta,N} : R^{d_{in}} \rightarrow R^{d_{out}}$$

parameter space distribution  $\rightarrow$  function space distribution

# Correspondence between GP and neural networks

Consider one hidden layer of  $N$  units, and output dimension is 1:

$$f(\mathbf{x}) = b_1 + \sum_{j=1}^N W_1^j \sigma(\mathbf{x}; \mathbf{W}_0^j, b_0^j)$$

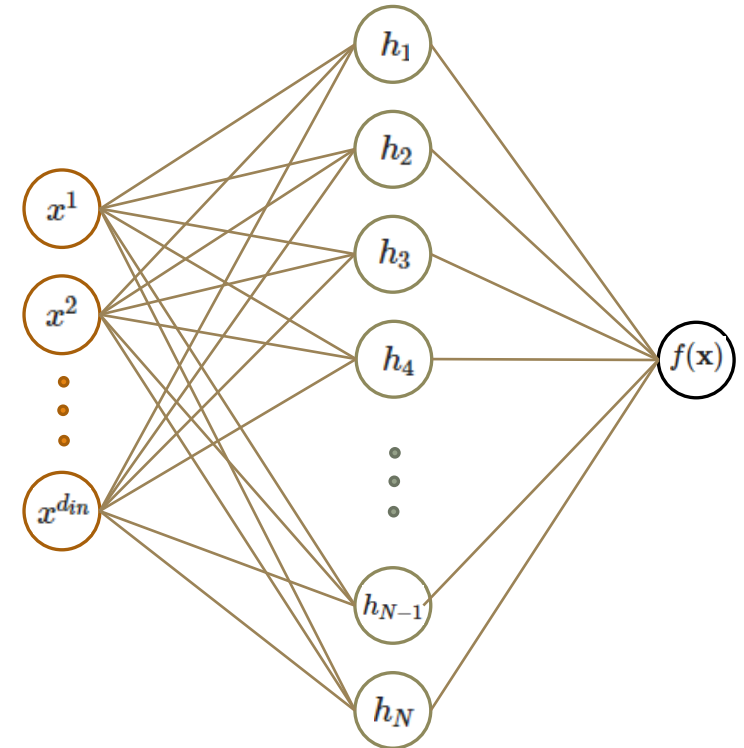
Sum over i.i.d. terms  
By CLT, for infinite  $N$   
 $f(\mathbf{x})$  is Gaussian

$\mathbf{W}_0^j$  is i.i.d.,  $b_0^j, b_1$  and  $W_1^j$  is zero mean and independent.

In this paper,  $b_0^j, b_1 \sim \mathcal{N}(0, \sigma_b^2)$ ,  $\mathbf{W}_0^j \sim \mathcal{N}(0, \sigma_W^2 / d_{in})$ ,  $W_1^j \sim \mathcal{N}(0, \sigma_W^2 / N)$

$$f_{\theta, N} : R^{d_{in}} \rightarrow R^{d_{out}}$$

parameter space distribution  $\rightarrow$  function space distribution



# Correspondence between neural networks and QFT

$$\{f(x_1), \dots, f(x_k)\} \sim \mathcal{N}(\mu, \Xi^{-1})$$

assumption:  $\mu = 0$

$$(\Xi^{-1})_{ij} = K_{ij}$$

- Correlation function (n-pt functions)

$$G^{(n)}(x_1, \dots, x_n) = \frac{\int df f_1 \dots f_n e^{-S}}{Z}$$

- partition function  $Z = \int df e^{-S}$
- discrete action  $S = \frac{1}{2} f_i \Xi_{ij} f_j$  (Einstein summation)

- continuous action  $S = \frac{1}{2} \int d^{d_{in}} x d^{d_{in}} x' f(x) \Xi(x, x') f(x')$

GP/asymptotic NN

Free QFT

Input $x$	External space or momentum space point
Kernel $K(x_1, x_2)$	Feynman propagator
Asymptotic NN $f(x)$	Free Field
Log-likelihood	Free action $S_{GP}$

- quantum field  $\phi(x)$
- path integral  $Z = \int D\phi e^{-S[\phi]}$
- action  $S[\phi] = \int d^d x \phi(x) (\square + m^2) \phi(x)$

Free scalar field theory

# Experimental Verification of NNGP

---

How to measure

- normalized deviation  $m_n$

$$m_n(x_1, \dots, x_n) = \Delta G^{(n)}(x_1, \dots, x_n) / G_{GP}^{(n)}(x_1, \dots, x_n)$$

$$\Delta G^{(n)}(x_1, \dots, x_n) = G^{(n)}(x_1, \dots, x_n) - G_{GP}^{(n)}(x_1, \dots, x_n)$$

## Experimental Measurement

$G^{(n)}(x_1, \dots, x_n) = \mathcal{E}[f_\alpha(x_1) \dots f_\alpha(x_n)]$  is measured in the experiment  $100(\text{experiments}) * 10^5(\text{nets})$  times  
weights and biases is drawn from Gaussian dist. with mean equals zero and std equals 1

$G_{GP}^{(n)}(x_1, \dots, x_n)$  is computed using Wick contraction

$$G_{GP}^{(n)}(x_1, \dots, x_n) = \sum_{p \in \text{Wick}(x_1, \dots, x_n)} K(a_1, b_1) \dots K(a_{n/2}, b_{n/2})$$

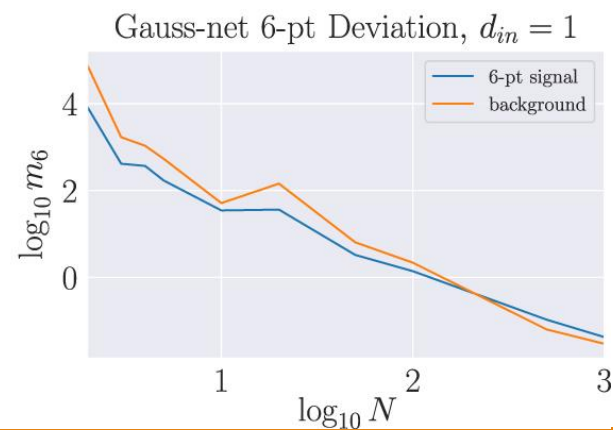
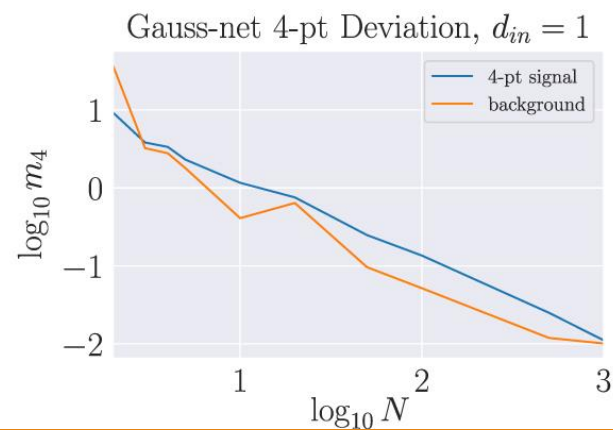
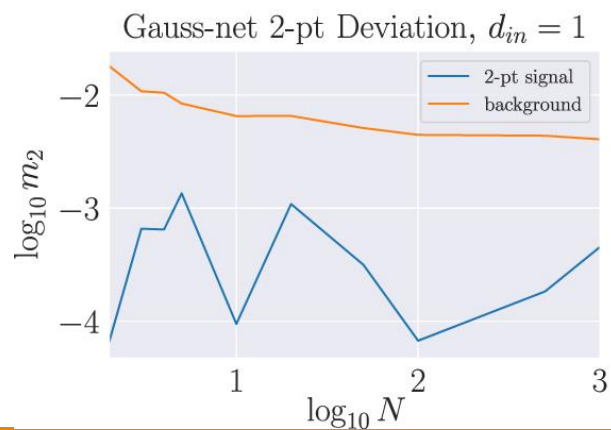
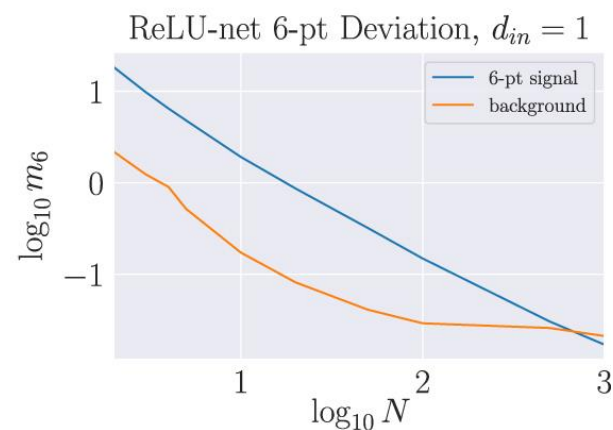
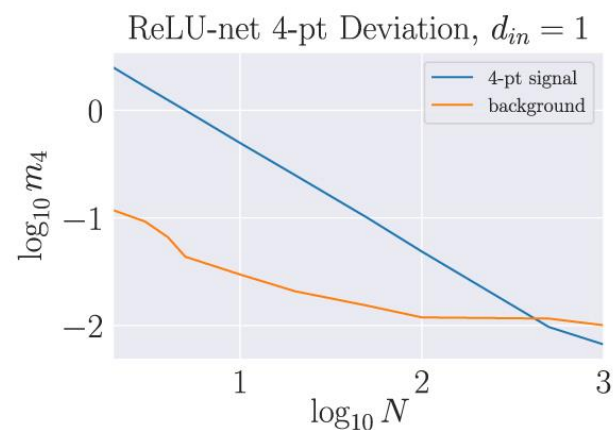
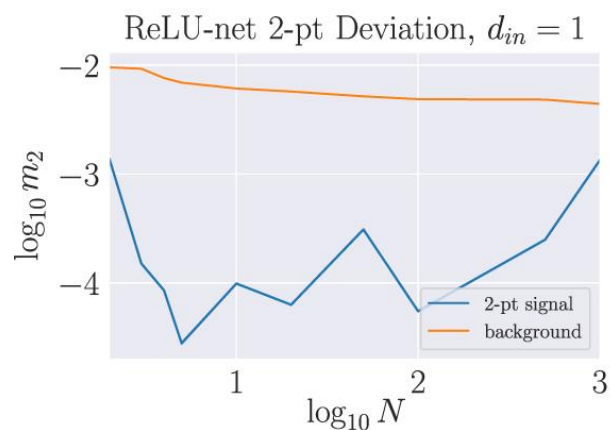
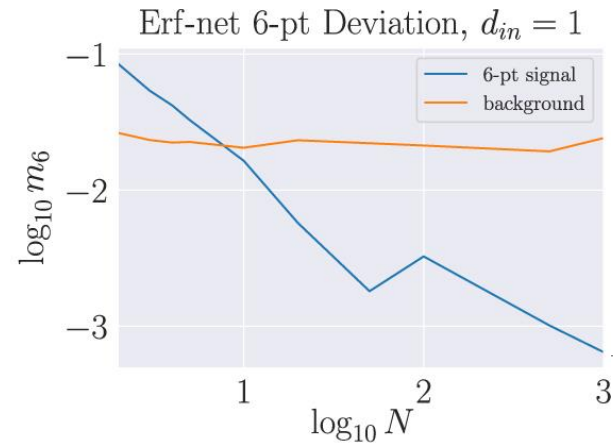
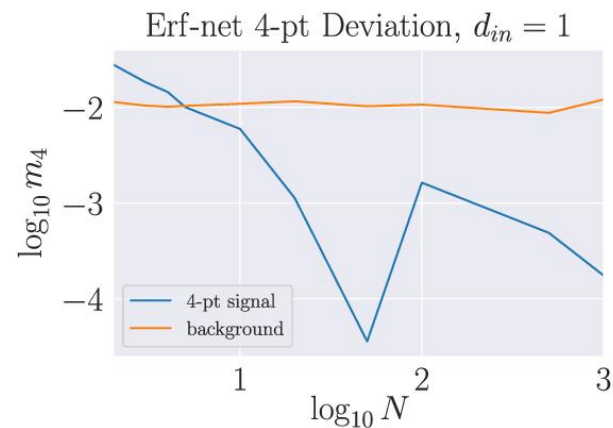
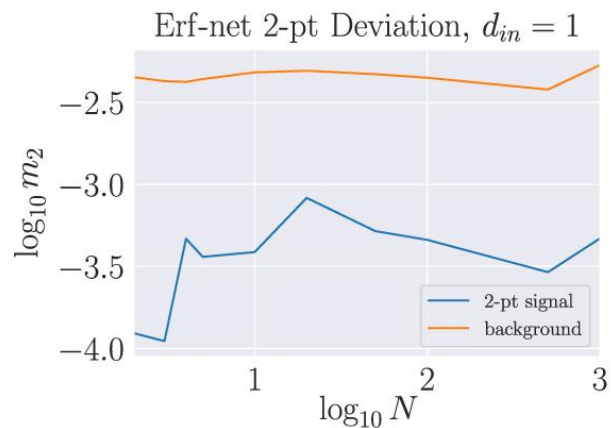
## Theoretical Computation

# Feynman Diagram

$$\begin{aligned} G_{\text{GP}}^{(2)}(x_1, x_2) &= K(x_1, x_2) \\ &= \text{---} \overline{\text{---}} \text{---} \end{aligned}$$

$$G_{\text{GP}}^{(4)}(x_1, x_2, x_3, x_4) = K(x_1, x_2)K(x_3, x_4) + K(x_1, x_3)K(x_2, x_4) + K(x_1, x_4)K(x_2, x_3)$$

$$= \begin{array}{c} x_1 \\ \bullet \\ \text{---} \\ \bullet \\ x_2 \end{array} \begin{array}{c} x_3 \\ \bullet \\ \text{---} \\ \bullet \\ x_4 \end{array} + \begin{array}{c} x_1 \quad x_3 \\ \bullet \quad \bullet \\ \text{---} \quad \text{---} \\ \bullet \quad \bullet \\ x_2 \quad x_4 \end{array} + \begin{array}{c} x_1 \quad x_3 \\ \bullet \quad \bullet \\ \diagdown \quad \diagup \\ \bullet \quad \bullet \\ x_2 \quad x_4 \end{array}$$



background is average std across 100 experiments of  $m_n$



# NGP contributions

---

$$\Delta G^{(n)} \propto N^{-1}$$

$$G^{(2k)}(x_1, \dots, x_{2k})|_{\text{connected}} \propto \frac{1}{N^{k-1}}$$

$$\Delta G^{(2)} \propto 0$$

$$\Delta G^{(4)} \propto N^{-1}$$

$$\Delta G^{(6)} \propto N^{-1} + N^{-2} \propto N^{-1}$$

$$G^{(4)}(x_1, \dots, x_4)|_{\text{connected}} = \Delta G^{(4)} \propto N^{-1}$$

$$G^{(6)}(x_1, \dots, x_6)|_{\text{connected}} = \Delta G^{(6)} - \sum G^{(4)}(x_1, \dots, x_4)|_{\text{connected}} G^{(2)}(x_5, x_6) \propto N^{-2}$$

# From GP to NGP

---

NGP NN	EFT
Input $x$	External space or momentum space point
Kernel $K(x_1, x_2)$	Free or exact propagator
NN output $f(x)$	Interacting Field
Non-Gaussianities	Interactions
Non-Gaussian coefficients	Coupling strengths
Log-likelihood	EFT action $\mathcal{S}$

# Perturbation Theory

---

$$G^{(n)}(x_1, \dots, x_n) = \frac{\int df f_1 \dots f_n e^{-S}}{Z_0}$$

$$Z_0 = \int df e^{-S}$$

$$S = S_{GP} + \Delta S$$

for  $\mathcal{O}_k := g_k f(x)^k$  in  $\Delta S$  as  $\int d^{d_{in}}x \mathcal{O}_k$

$$G^{(n)}(x_1, \dots, x_n) = \frac{\int df f(x_1) \dots f(x_n) \left[ 1 - \int d^{d_{in}}x g_k f(x)^k + O(g_k^2) \right] e^{-S_{GP}} / Z_{GP,0}}{\int df \left[ 1 - \int d^{d_{in}}x g_k f(x)^k + O(g_k^2) \right] e^{-S_{GP}} / Z_{GP,0}}$$

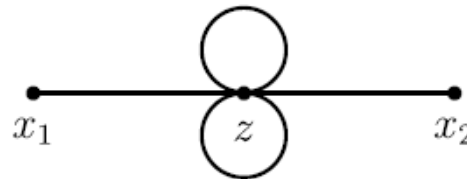
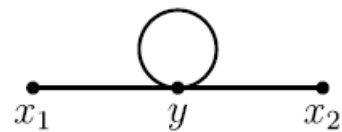
$$\Delta S = \int d^{d_{in}}x \left[ \lambda f(x)^4 + \kappa f(x)^6 \right]$$

# Feynman Rule

$$G^{(n)}(x_1, \dots, x_n) = \frac{\int df f(x_1) \dots f(x_n) \left[ 1 - \int d^{d_{in}} x [\lambda f(x)^4 + \kappa f(x)^6] \right] e^{-S_{GP}/Z_{GP,0}}}{\int df \left[ 1 - \int d^{d_{in}} x [\lambda f(x)^4 + \kappa f(x)^6] \right] e^{-S_{GP}/Z_{GP,0}}} \quad \Delta S = \int d^{d_{in}} x [\lambda f(x)^4 + \kappa f(x)^6]$$



Throw away



Because no correction to 2-pt function

# Coupling constants

---

$$\Delta S = \int d^{d_{in}}x [\lambda f(x)^4 + \kappa f(x)^6]$$

$\lambda, \kappa$  is constants by symmetry at GP and Technical Naturalness.

- Technical naturalness: a coupling  $g$  appearing in  $\Delta S$  may be small relative to  $\Lambda$  if a symmetry is restored when  $g$  is set to zero.

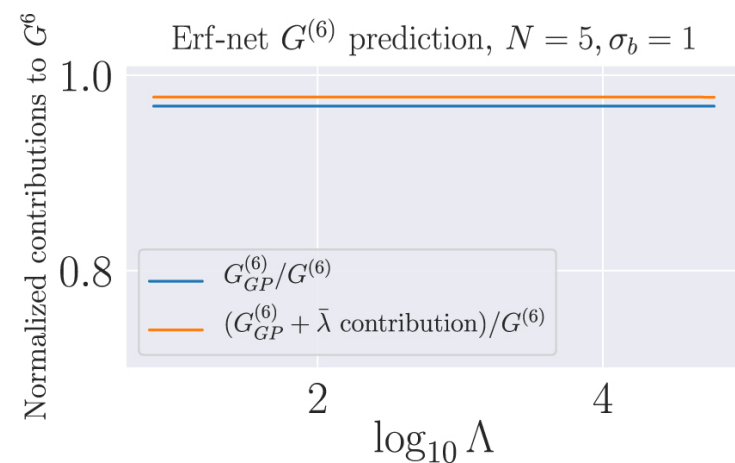
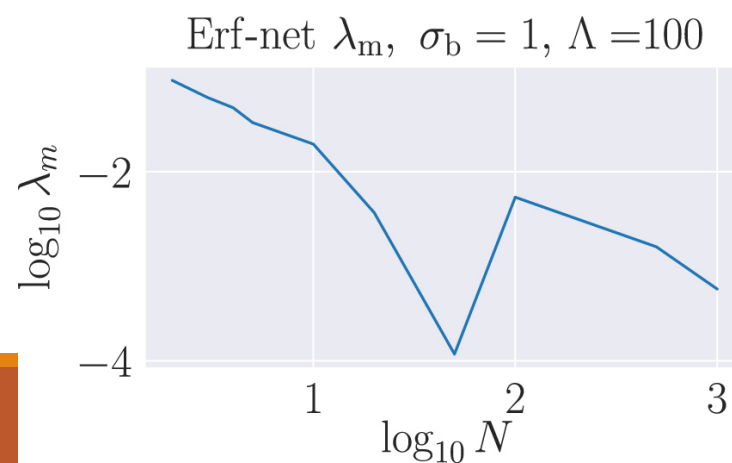
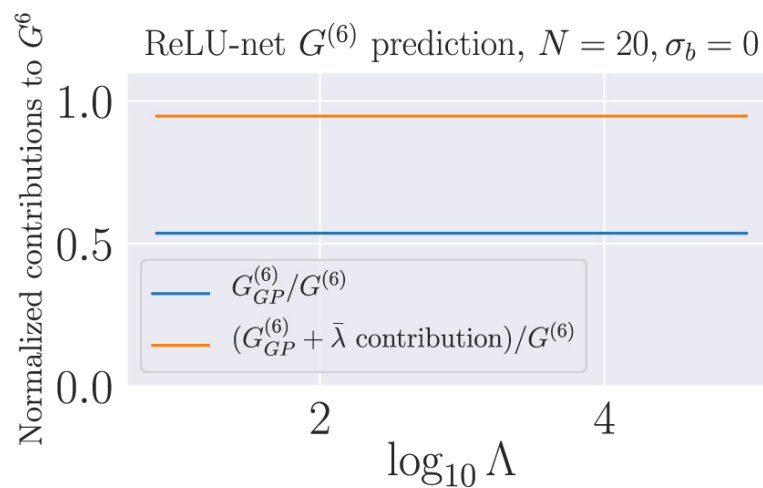
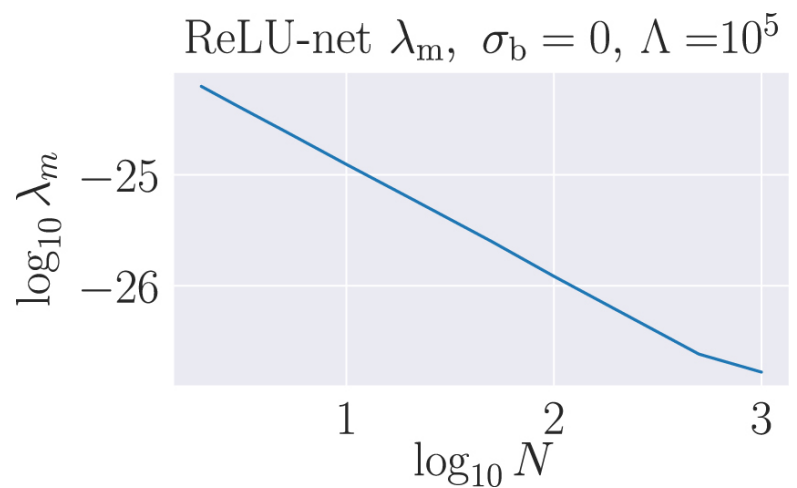
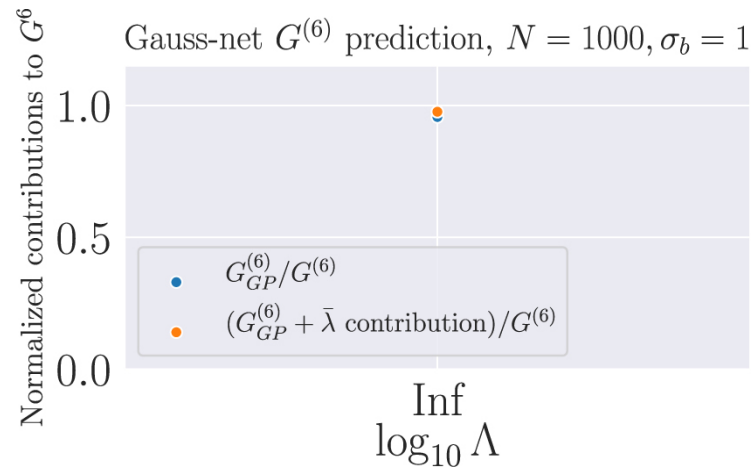
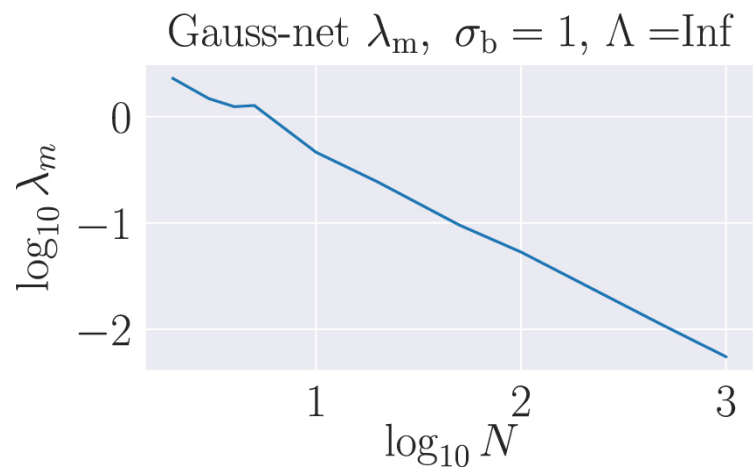
**Conjecture:** couplings in NGPs associated to neural network architectures are constants (or nearly constants) if the kernel  $K(x, y)$  associated with their GP limit is translationally invariant.

# Experimental measurements of coupling constants

---

$$G^{(4)}(x_1, x_2, x_3, x_4) = 3 \text{ (two parallel dashed lines)} - 24 \lambda \text{ (X diagram with } y \text{)} - 360 \kappa \text{ (X diagram with } z \text{ and loop)} \xrightarrow{\text{Small}}$$

$$G^{(6)}(x_1, x_2, x_3, x_4, x_5, x_6) = 15 \text{ (three parallel dashed lines)} - 360 \lambda \text{ (X diagram with } y \text{)} - \kappa \left[ 720 \text{ (X diagram with } z \text{)} + 5400 \text{ (X diagram with } z \text{ and loop)} \right]$$



# Experimental prediction of correlation function

**Table 5.** Optimized values of  $\lambda_0$ ,  $\lambda_2$ ,  $\lambda_{\text{NL}}$  and resulting MAPE and MSE loss upon application to test-sets.

	$(\lambda_0, \lambda_2, \lambda_{\text{NL}})$	Test (MAPE, MSE)
Gauss $M_0$	(0.0, 0.0, 0.0)	(100, 0.019)
Gauss $M_1$	(0.0046, 0.0, 0.0)	(0.0145, $6.8 \times 10^{-10}$ )
Gauss $M_2$	(0.0043, 0.0011, 0.0)	(0.0144, $6.7 \times 10^{-10}$ )
Gauss $M_3$	(0.00062, 0.00016, 0.0015)	(0.0156, $7.5 \times 10^{-10}$ )
ReLU $M_0$	(0.0, 0.0, 0.0)	(100, 0.003)
ReLU $M_1$	( $6.2 \times 10^{-11}$ , 0.0, 0.0)	(0.0035, $7.6 \times 10^{-12}$ )
ReLU $M_2$	( $1.2 \times 10^{-18}$ , $8.7 \times 10^{-15}$ , 0.0)	(0.0013, $1.5 \times 10^{-12}$ )
ReLU $M_3$	( $1.2 \times 10^{-18}$ , $8.7 \times 10^{-15}$ , $6.8 \times 10^{-17}$ )	(0.0012, $1.2 \times 10^{-12}$ )
Erf $M_0$	(0.0, 0.0, 0.0)	(100, 0.006)
Erf $M_1$	(0.039, 0.0, 0.0)	(0.030, $8.3 \times 10^{-10}$ )
Erf $M_2$	(0.040, -0.00043, 0.0)	(0.0042, $1.9 \times 10^{-11}$ )
Erf $M_3$	(0.0019, -0.0054, 0.0063)	(0.037, $1.1 \times 10^{-9}$ )



# Renormalization, RG flow

- goal of RG: solve divergences arising from integrals over the space of inputs

using cutoff:  $\Delta S_\Lambda = \int_{-\Lambda}^{\Lambda} d^{d_{in}}x \sum_{l \leq k} g_{\mathcal{O}_l}(\Lambda) \mathcal{O}_l$

RGEs:  $\frac{dG^{(n)}(x_1, \dots, x_n)}{d \log \Lambda} = 0 \quad \beta(g_{\mathcal{O}_l}) := \frac{d(g_{\mathcal{O}_l}(\Lambda))}{d \log \Lambda}$

## Perturbation Theory

$$G^{(n)}(x_1, \dots, x_n) = \frac{\int df f_1 \dots f_n e^{-S}}{Z_0}$$

$$Z_0 = \int df e^{-S}$$

$$S = S_{GP} + \Delta S$$

for  $\mathcal{O}_k := g_k f(x)^k$  in  $\Delta S$  as  $\int d^{d_{in}}x \mathcal{O}_k$

$$G^{(n)}(x_1, \dots, x_n) = \frac{\int df f(x_1) \dots f(x_n) [1 - \int d^{d_{in}}x g_k f(x)^k + O(g_k^2)] e^{-S_{GP}/Z_{GP,0}}}{\int df [1 - \int d^{d_{in}}x g_k f(x)^k + O(g_k^2)] e^{-S_{GP}/Z_{GP,0}}}$$

$$\Delta S = \int d^{d_{in}}x [\lambda f(x)^4 + \kappa f(x)^6]$$