



2. 解: (a) 因为如果没有这些非线性的激活函数, 那么网络深度、宽度无论怎样增加整个网络都只是线性变换, 则这些变换的复杂度将失去意义, 所有模型都将只是简单的线性判别, 而无法获取输入内容的更深层次的特征

(b) XOR 真值表: 设  $\hat{z} = x \oplus y$

$\hat{z}$	$x$	$y$
0	0	1
0	0	1
1	1	0

结构:



$$\text{设 } \begin{bmatrix} x_1 \\ y_1 \end{bmatrix} = \begin{bmatrix} W_{11} & W_{12} \\ W_{21} & W_{22} \end{bmatrix}^T \begin{bmatrix} x \\ y \end{bmatrix} + \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}, \quad \begin{bmatrix} x'_1 \\ y'_1 \end{bmatrix} = \text{ReLU} \begin{bmatrix} x_1 \\ y_1 \end{bmatrix}$$

$$z = \begin{bmatrix} W'_{11} \\ W'_{21} \end{bmatrix}^T \begin{bmatrix} x'_1 \\ y'_1 \end{bmatrix} + b_3, \quad z' = \text{ReLU}(z)$$

利用 pytorch 获得参数, 损失函数 mse, 优化器用 SGD, 学习率设置为 0.2, 初始化权重采用:

`net.weight.data.normal_(0.1)`

`net.bias_fill_(0)`

$$y = h(w'x + b')$$

学习 1000 代, 由于 relu 的采用, 训练 loss 经常无法下降, 需要反复尝试。

最终得到参数: (设输入  $x_1, x_2$ , 构造  $y$ , 中间 relu 序为  $\begin{bmatrix} x'_1 \\ x'_2 \end{bmatrix}$ )

$$\begin{cases} \begin{bmatrix} x'_1 \\ x'_2 \end{bmatrix} = \text{Relu} \left( \begin{bmatrix} 1.5145 & 1.7886 \\ 0.9780 & 1.1392 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} + \begin{bmatrix} -1.6859 \\ 0.0513 \end{bmatrix} \right) \\ y = \text{Relu} \left\{ \begin{bmatrix} -2.3643 & 1.5052 \end{bmatrix} \begin{bmatrix} x'_1 \\ x'_2 \end{bmatrix} + \begin{bmatrix} -0.5473 \end{bmatrix} \right\} \end{cases}$$

补充: 反向传播函数, 设  $h = \text{relu}$ ,  $x = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$ ,  $x' = \begin{bmatrix} x'_1 \\ x'_2 \end{bmatrix}$ ,  $b = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}$ ,  $b' = b_3$

$$\begin{cases} \frac{\partial y}{\partial w} = \frac{\partial y}{\partial x'} \cdot \frac{\partial x'}{\partial w} = h'(wx+b) w^T \cdot h'(wx+b) \cdot x^T \\ \frac{\partial y}{\partial b} = \frac{\partial y}{\partial x'} \cdot \frac{\partial x}{\partial b} = h'(wx+b) w^T \cdot h'(wx+b) \\ \frac{\partial y}{\partial w'} = h'(wx'+b') \cdot x^T \\ \frac{\partial y}{\partial b'} = h'(wx'+b') \end{cases}$$

计算机尝试  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$ ,  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ 0 \end{bmatrix}$  后输出分别为 1, 1, 0, 0, 符合异或要求

部分函数代码与运行结果如下图所示:

```
import torch
import torch.nn as nn
import torch.nn.functional as F
import torch.optim as optim
import numpy as np
```

```
data = np.array([[1, 0, 1], [0, 1, 1],
                  [1, 1, 0], [0, 0, 0]], dtype='float32')
x = data[:, :2]
y = data[:, 2]
```

```
def weight_init_normal(m):
    classname = m.__class__.__name__
    if classname.find('Linear') != -1:
        m.weight.data.normal_(0, 1)
        m.bias.data.fill_(0)
```

```
test = net(x)
print(test)
for name, parameters in net.named_parameters():
    print(name, ':', parameters.size())
for parameters in net.parameters():
    print(parameters)
```

```
class XOR(nn.Module):
    def __init__(self):
        super(XOR, self).__init__()
        self.fc1 = nn.Linear(2, 2)
        self.fc2 = nn.Linear(2, 1)

    def forward(self, x):
        h1 = F.relu(self.fc1(x))
        h2 = F.relu(self.fc2(h1))
        return h2
```

训练 1000 代, 每 100 代输出一次 loss.

```
criterion = nn.MSELoss()
optimizer = optim.SGD(net.parameters(), lr=0.2, momentum=0.9)

for epoch in range(1000):
    optimizer.zero_grad_()
    out = net(x)
    loss = criterion(out, y)
    if epoch % 100 == 0:
        print(loss)
    loss.backward()
    optimizer.step()
```

```
tensor(0.5000, grad_fn=OSetLossBackward0)
tensor(2.0631e-05, grad_fn=OSetLossBackward0)
tensor(3.0697e-10, grad_fn=OSetLossBackward0)
tensor(1.4211e-13, grad_fn=OSetLossBackward0)
tensor(1.4211e-14, grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor(0., grad_fn=OSetLossBackward0)
tensor([1., 1., 0., 0.], grad_fn=ReluBackward0)
bound method Module.parameters of XOR(
  (fc1): Linear(in_features=2, out_features=2, bias=True)
  (fc2): Linear(in_features=2, out_features=1, bias=True)
```

4. 解:  $H_0: \begin{bmatrix} 0.2 & 0.2 \\ 0.3 & 0.3 \end{bmatrix} \triangleq \begin{bmatrix} H_1 & H_2 \\ H_3 & H_4 \end{bmatrix}$

输入:  $\begin{bmatrix} 1 & 1 & 2 \\ 1 & 1 & 2 \\ 1 & 1 & 2 \end{bmatrix} \triangleq x_{ij} (i=1,2,3)$

输出值:  $\begin{bmatrix} 1 & 1.5 \\ 1 & 1.5 \end{bmatrix}$  理想:  $\begin{bmatrix} 1 & 1 \\ 2 & 1 \end{bmatrix}$

$$\text{损失 } L = \frac{1}{2}(y-d)^2 = \frac{1}{2}(y_1-d_1)^2 + \frac{1}{2}(y_2-d_2)^2 + \frac{1}{2}(y_3-d_3)^2 + \frac{1}{2}(y_4-d_4)^2$$

$$\begin{bmatrix} H_1^* & H_2^* \\ H_3^* & H_4^* \end{bmatrix} = \begin{bmatrix} H_1 & H_2 \\ H_3 & H_4 \end{bmatrix} - \alpha \begin{bmatrix} \frac{\partial L}{\partial H_1} & \frac{\partial L}{\partial H_2} \\ \frac{\partial L}{\partial H_3} & \frac{\partial L}{\partial H_4} \end{bmatrix}$$

$$\begin{aligned} \frac{\partial L}{\partial H_1} &= \frac{\partial L}{\partial y_1} \frac{\partial y_1}{\partial H_1} + \frac{\partial L}{\partial y_2} \frac{\partial y_2}{\partial H_1} + \frac{\partial L}{\partial y_3} \frac{\partial y_3}{\partial H_1} + \frac{\partial L}{\partial y_4} \frac{\partial y_4}{\partial H_1} = (y_1-d_1)x_{11} + (y_2-d_2)x_{12} + (y_3-d_3)x_{21} + (y_4-d_4)x_{22} \\ &= 0.5 \times 1 + (-1) \times 1 + 0.5 \times 1 = 0 \end{aligned}$$

$$\begin{aligned} \frac{\partial L}{\partial H_2} &= \frac{\partial L}{\partial y_1} \frac{\partial y_1}{\partial H_2} + \frac{\partial L}{\partial y_2} \frac{\partial y_2}{\partial H_2} + \frac{\partial L}{\partial y_3} \frac{\partial y_3}{\partial H_2} + \frac{\partial L}{\partial y_4} \frac{\partial y_4}{\partial H_2} = (y_2-d_2)x_{13} + (y_3-d_3)x_{22} + (y_4-d_4)x_{32} \\ &= 0.5 \times 2 + (-1) \times 1 + 0.5 \times 2 = 1 \end{aligned}$$

$$\text{同理: } \frac{\partial L}{\partial H_3} = 0.5 \times 1 + (-1) \times 1 + 0.5 \times 1 = 0 \quad \frac{\partial L}{\partial H_4} = 0.5 \times 2 + (-1) \times 1 + 0.5 \times 2 = 1$$

$$\therefore \begin{bmatrix} H_1^* & H_2^* \\ H_3^* & H_4^* \end{bmatrix} = \begin{bmatrix} 0.2 & 0.2 \\ 0.3 & 0.3 \end{bmatrix} - 1 \times \begin{bmatrix} 0 & 1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 0.2 & -0.8 \\ 0.3 & -0.7 \end{bmatrix}$$