Xudong Shen This version: August 8, 2024

## RESEARCH INTEREST

Al's catastrophic risks, social risks, & Al alignment, including language models, agents, & generative models, with a focus on increasingly capable AGI and superhuman AI.

#### CONTACT

- https://xudongolivershen.github.io
- https://www.linkedin.com/in/oliverxudongshen
- ⋈ xudong.shen@u.nus.edu
- **a** (+65) 88296866 or (+86) 18658143615

#### **EDUCATION**

Ph.D. in Computer Science, *National University of Singapore*, Singapore 2019-2024

B.A. in Naval. Arch. & Ocean Eng., *Zhejiang University*, China 2015-2019

#### SKILLS

### Ethical & Safe AI:

Familiar with (i) the technical methods for ensuring the social alignment of AI (LLMs, text-to-image diffusion models,...) and (ii) AI governance.

### ML and AI:

Experienced with (i) vision, NLP, generative, and multimodal models; (ii) image, sensor, sequential, language, and FinTech data.

### Statistical Modeling:

Big data analysis and structural modelling using the R and Python stack. Experienced with survival modelling, financial default analysis, and social network data analysis.

## EXPERIENCE

### Doctoral Researcher, N-CRiPT Centre, NUS, Singapore

2019-2024

- (a) Conduct research in ML and FinTech: (i) guarantee fairness in downstream tasks via pre-trained fair representations, (ii) structural modeling of million online peer-to-peer lending transaction data to estimate default risk, profitability, and discrimination.
- (b) Communicated scientific insights to both academic and industry audience in 10 papers (4 first-authored) and 5+ talks.
- (c) Assisted teaching the course Computational Methods for Business Analytics twice, totally 150+ hours to 200+ undergraduate students. Prepared weekly recap materials, videos, and Q&A sessions.
- (d) Actively contributed to open-community projects in NLP, including BIG-Bench, natural language instructions, NL-Augmenter, and LLM's Inverse Scaling.
- (e) Co-organized (i) the Responsible, Regutable AI working group at NUS and (ii) the Algorithms, Law, and Policy working group at EAAMO bridges (formerly MD4SG).

## Research Intern, Sea Al Lab, Sea Limited, Singapore

Nov. 2022-Sep. 2023

(a) Worked on finetuning text-to-image diffusion models for fairness and led collaboration with 5 researchers from 2 institutes (NUS & Sea AI Lab). Published in ICLR 2024 with oral presentation.

## Undergrad Researcher, Second Institute of Oceanography, China

Jul. 2017-Jul. 2019

(a) Worked on the full stack of satellite remote sensing image segmentation using Python & Matlab, incl. data preprocessing, labeling, neural net training and validating.

### Undergrad Researcher, Waseda University, Japan

Sep. 2018-Feb. 2019

(a) Analyzed the effectiveness of Japan's policy toward the elimination of Persistent Organic Pollutants (POPs), and proposed policy recommendations to China.

## Undergrad Researcher, York University, Canada

May-Aug. 2018

(a) Worked on fluid dynamics simulation of a hydrodynamically focused printing process for printed electronics, using COMSOL and FLUENT, in collaboration with 5 other researchers.

### Publications

### Publications on fairness:

- Xudong Shen, Chao Du, Tianyu Pang, Min Lin, Yongkang Wong, Mohan Kankanhalli, "Finetuning Text-to-Image Diffusion Models for Fairness", In ICLR (2024), (Oral, top 1.2%).
- Xudong Shen, Tianhui Tan, Tuan Q. Phan, Jussi Keppo, "Gender Animus Can Still Exist Under Favorable Disparate Impact: a Cautionary Tale from Online P2P Lending". In ACM Conference on Fairness, Accountability, and Transparency (FAccT) (2023).
- 3. Xudong Shen, Yongkang Wong, Mohan Kankanhalli, "Fair Representation: Guaranteeing Approximate Multiple Group Fairness for Unknown Tasks". In *IEEE Trans. PAMI* (2023).

## Publications on AI safety and benchmarking:

- 4. Ian McKenzie, ..., Xudong Shen, ... (26 authors), "Inverse Scaling: When Bigger Isn't Better", In TMLR (2023).
- Kaustubh D Dhole, ..., <u>Xudong Shen</u>, ... (125 authors), "NL-Augmenter: A Framework for Task-Sensitive Natural Language Augmentation". In *Northern European Journal of Language Technology (NEJLT)* (2023).
- 6. Aarohi Srivastava, ..., Xudong Shen, ... (450 authors), "Beyond the Imitation Game: Quantifying and Extrapolating the Capabilities of Language Models". In *JMLR* (2023).
- 7. Yizhong Wang, ..., **Xudong Shen**, ... (40 authors), "Benchmarking Generalization via In-Context Instructions on 1,600+ Language Tasks". In *EMNLP* (2022).

## Publications on AI governance:

- 8. Ayse Gizem Yasar, Andrew Chong<sup>†</sup>, Evan Dong<sup>†</sup>, Thomas Krendl Gilbert<sup>†</sup>, Sarah Hladikova<sup>†</sup>, Roland Maio<sup>†</sup>, Carlos Mougan<sup>†</sup>, **Xudong Shen**<sup>†</sup>, Shubham Singh<sup>†</sup>, Ana-Andreea Stoica<sup>†</sup>, Savannah Thais<sup>†</sup>, "Integration of Generative AI in the Digital Markets Act: Contestability and Fairness from a Cross-Disciplinary Perspective", (2024), LSE working papers series. († = equal contribution)
- 9. Xudong Shen, Hannah Brown, Jiashu Tao, Martin Strobel, Yao Tong, Akshay Narayan, Harold Soh, Finale Doshi-Velez, "Directions of Technical Innovation for Regulatable AI Systems", In Communications of the ACM, (forthcoming).

### Other publications on AI:

Ziwei Xu, Xudong Shen, Yongkang Wong, Mohan Kankanhalli, "Unsupervised Motion Representation Learning with Capsule Autoencoders". In NeurIPS (2021).

Talks
Presenta-
TIONS

ICLR conference 2024, Vienna, Austria2024SEA AI Lab, Singapore2023, 2024N-CRIPT Seminar, National University of Singapore, Singapore2022, 2023, 2024FAccT conference 2023, Chicago, USA2023International Conference on Smart Finance 2022, Online2022Workshop on Enormous Language Models, ICLR conference 2021, Online2021

# SERVICE

Organizer: MD4SG Algorithms, Law, and Policy working group AY 2023/2024.

Area Chair: NeurIPS 2023 Regulatable ML Workshop.

Reviewer: EMNLP 2022, ICLR 2023, WACV 2023, ACL 2023.

### FELLOWSHIPS

DAAD Alnet Fellow, *DAAD*, Germany

Master Kong Dream Scholarship Program, *Waseda University*, Japan

Globalink Research Internship, *York University*, Canada

Erasmus+ Student Mobility, *Università di Trento*, Italy

Sep. 2018-Feb. 2019

May.-Aug. 2018

Feb.-Jun. 2017