

Lecture 8

Lexical Scope and
Function Closures and
Interpreters

Lexical (Static) Scope vs Dynamic Scope

- **Lexical scope** : use environment where function was defined
- **Dynamic scope** : use environment where function is called
- In the early days of PL, folks pondered: *Which rule is better?*
 - Experience has shown that lexical scope is *almost always* the right default

Passing a Function

```
(* 1      *) fun f g =  
(* 1 a *)    let val x = 3 in  
(* 1 b *)    g 2 end  
(* 2      *) val x = 4  
(* 3      *) fun h y = x + y  
(* 4      *) val z = f h
```

- “Trust the rule”: Line 3 binds **h** to a closure:
 - **Code**: take **y** and have body **x + y**
 - **Environment**: **x** \mapsto **4**, **f** \mapsto **<closure>**, ... (anything else in scope)
 - So this closure will always add **4** to its argument
- So Line 4 binds **z** to **6**
 - Note Line 1a can't affect anything! Can/should safely delete it.

Currying and Partial Application

- Recall function types: $t1 \rightarrow t2 \rightarrow \dots \rightarrow tN$
 - Functions of this type “take N arguments of types $t1, t2, \dots, tN$ ”
- More precisely though: every SML function takes only 1 argument !!
 - Technically “take a $t1$ and return a function that takes a $t2$ and return a function that takes ...”
- Means we can apply a function to “just some of its arguments”

Why Lexical Scope?

- **Lexical scope** : use environment where function was defined
- **Dynamic scope** : use environment where function is called
- In the early days of PL, folks pondered: *Which rule is better?*
 - Experience has shown that lexical scope is *almost always* the right default
- Let's consider 3 precise, technical reasons why
 - Not a matter of opinion!

Why Lexical Scope?

1. Functions meaning does not depend on variable names, only “shape”

```
fun f y =  
  let val x = y + 1 in  
    fn q => x + y + q end
```

Example: can change **f** to use **w** instead of **x**

- **Lexical scope** : cannot change behavior of function
- **Dynamic scope** : depends on the environment of the caller

```
fun f g =  
  let val x = 3 in  
    g 2 end
```

Example: can remove unused variables

- **Lexical scope** : variable unused, cannot change behavior
- **Dynamic scope** : some g may use x and depend on it being 3
 - WEIRD

Recomputation

These both work and are equivalent

```
fun all_shorter (xs,s) =  
  filter(fn x => String.size x < String.size s) xs  
  
fun all_shorter' xs s =  
  let val n = String.size s in  
    filter(fun x -> String.length x < n) xs
```

First version computes `String.size`s repeatedly (once per `x` in `xs`)

Second version computes `String.size s` once before filtering

- No new features! Just new use of closures

Why Lexical Scope?

Functions can be type checked and reasoned about where they are defined

- Example: **dynamic scope** tries to add string and has unbound variable **y**

```
val x = 1
fun f y =
  let val x = y + 1 in
    fn q => x + y + q end
val x = "hi"
val g = f 4
val z = g 6
```


Does Dynamic Scope Even Exist?

- Lexical scope is definitely the right default, seen in most languages
- Dynamic scope is occasionally convenient in some situations
 - Allows code to “just bind variables used in another function” to change behavior without passing parameters
 - Can be convenient, but also a nightmare
 - Some languages (including Racket!) have special features (dynamic variables in Scala)
- If you squint, exception handling is similar to dynamic scope
 - **raise** **e** jumps to “most recent” (dynamically registered) handler
 - Does not need to be syntactically inside the handler!

Interpreters

Addition

Syntax: $e1 + e2$

- Where $e1$ and $e2$ are expressions

Type Checking

- If $e1$ has type int and $e2$ has type int
- Then $e1 + e2$ has type int
- Else, report error and fail

Evaluation

- If $e1$ evaluates to value $v1$ and $e2$ evaluates to value $v2$
- Then $e1 + e2$ evaluates to the sum of $v1$ and $v2$

But what if $e1$ or $e2$ do not evaluate to $ints$?

Type checking ensures they will be $ints$!

Comparison (less than)

Syntax: $e1 < e2$

- Where $e1$ and $e2$ are expressions

Type Checking

- If $e1$ has type int and $e2$ has type int
- Then $e1 < e2$ has type $bool$
- Else, report error and fail

Evaluation

- If $e1$ evaluates to value $v1$ and $e2$ evaluates to value $v2$
- Then $e1 < e2$ evaluates to **true** if $v1$ is less than $v2$, and **false** otherwise

Conditionals (if-then-else)

Syntax: `if e1 then e2 else e3`

- Where **e1** and **e2** are expressions

Type Checking

- If **e1** has type **bool** and **e2** and **e3** have the same type **t**
- Then `if e1 then e2 else e3` has type **t**
- Else, report error and fail

Evaluation

- If **e1** evaluates to **true**, then return result of evaluating **e2**
- If **e1** evaluates to **false**, then return result of evaluating **e3**

Pairs (2-tuples) : Build

Syntax: $(e1, e2)$ where $e1$ and $e2$ are expressions

Type Checking

- If $e1$ has type $t1$ and $e2$ has type $t2$
- Then $(e1, e2)$ has type $t1 * t2$
- Else, report error and fail (happens only if $e1$ or $e2$ does not type-check)

Evaluation

- If $e1$ evaluates to value $v1$ and $e2$ evaluates to value $v2$
- Then $(e1, e2)$ evaluates to $(v1, v2)$

Pairs (2-tuples) : Use

Syntax: #1 e and #2 e

- Where e is an expression

Type Checking

- If e has type $t1 * t2$, then
- #1 e has type $t1$
- #2 e has type $t2$

Evaluation

- If e evaluates to a pair of values $(v1, v2)$, then
- #1 e evaluates to $v1$
- #2 e evaluates to $v2$

Function Bindings: Evaluation Rules

`fun f ((x1 : t1), ..., (xN : tN)) = e`

Evaluation rules:

- *Nothing to do! Function are values!*
 - Real story a bit more nuanced, but we will get to it
- Add `f` to the dynamic environment so later expressions can call
 - `f` is bound to the function being defined here
- And for recursion, then `f` also bound within `e`

Function Calls

$e_0 (e_1, \dots, e_N)$

Evaluation

1. Evaluate e_0 in current dynamic environment
 - Since e_0 type checked, the result will be a function
 - Let's call the result $\text{fun } f \ ((x_1:t_1), \dots, (x_N:t_N)) = e$
2. In current dynamic environment, evaluate e_1 to value v_1 , ... , e_N to value v_N
3. The $e_0 (e_1, \dots, e_N)$ call now evaluates to the result of evaluating e
In an extended dynamic environment with $x_1 \mapsto v_1$, ... , $x_N \mapsto v_N$