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Adjustable driving force based particle swarm optimization algorithm

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Abstract:	<p>Particle swarm optimization algorithm (PSO) is a popular optimizer, in which each particle selects its learning exemplars relying on their fitness. Thus, the search process of each particle can be seen as driven by a fitness-based force. Intuitively, the driving force is conducive to the optimizing process. However, it may bring a premature convergence of a population. In this work, a novelty-based driving force is put forward to overcome deficiencies of the fitness-based driving force. In the new proposed adjustable driving force based PSO, named as ADFPSO, two types of exemplars respectively with high fitness and high novelty are saved in two archives. In each generation, a particle respectively chooses two exemplars from the two archives to update its velocity. In addition, three time-varying parameters are introduced to adjust the particle's learning weights for the two exemplars aiming to satisfy distinct requirements of different evolution stages.</p> <p>Comprehensive properties of ADFPSO are extensively testified by a set of experiments, in which nine PSO variants are adopted as peer algorithms and two CEC test suites are selected as optimization problems. Moreover, distinct characteristics of the proposed novelty-based driving force are also analyzed based on a few experiments.</p>

Dear Editors:

We would like to submit the enclosed manuscript entitled “Adjustable driving force based particle swarm optimization algorithm”, which we wish to be considered for publication in “Information Sciences”. No conflict of interest exists in the submission of this manuscript, and manuscript is approved by all authors for publication. I would like to declare on behalf of my co-authors that the work described was original research that has not been published previously, and not under consideration for publication elsewhere, in whole or in part. All the authors listed have approved the manuscript that is enclosed.

In this work, a novelty-based driving force is introduced to overcoming deficiencies of the fitness-based driving force in canonical PSO. In the new proposed adjustable driving force based PSO, named as ADFPSO, two types of exemplars respectively with high fitness and high novelty are saved in two archives. In each generation, a particle respectively chooses two exemplars from the two archives to update its velocity. In addition, three time-varying parameters are introduced to adjust a particle’s learning weights for the two driving forces aiming to satisfy distinct requirements of different evolution stages.

I hope this paper is suitable for “Information Sciences”.

We deeply appreciate your consideration of our manuscript, and we look forward to receiving comments from the reviewers. If you have any queries, please don’t hesitate to contact me at the address below.

Thank you and best regards.

Yours sincerely,

Xuewen Xia

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Reviewer #1: The authors have overcome all the questions. My suggestion is acceptance.

Response: Thanks for the reviewer's enthusiastic work for our study.

Reviewer #2: Authors have addressed all the issues according to my previous comments. The related work has been enriched and the indistinct description as well as deficient analysis has been further refined. More discussions have also been added. This paper has been revised thoroughly to reach the standard for publication. Consequently, I advise to accept this paper.

Response: Thanks for the reviewer's enthusiastic help for our study.

Reviewer #3: In the revised version, the authors have addressed the comments properly. I regard that the manuscript can be accept after some typos being corrected.

1. "performance" in the manuscript is an uncountable noun. However, the authors misused it in some sentences.

Response: Thanks for the reviewer's careful review. According to the comment, we correct this type of errors in our manuscript. Moreover, the manuscript is further polished by us. Note that modifications in the revised manuscript are highlighted with red color.

2. In Section Conclusion, the sentence "During the search process of the population, the former one ..., while the latter one ..." has two unclear referents, i.e., "the former one" and "the latter one".

Response: According to the suggestion, the sentence is rewritten. Moreover, the manuscript is further polished by us.

Highlights:

- ◆ In this paper, a novelty-based driving force is introduced to overcome deficiencies of the fitness-based driving force.
- ◆ During the evolution, two types of exemplars respectively with high fitness and high novelty are saved in two archives.
- ◆ In each generation, a particle respectively chooses two exemplars from the two archives to update its velocity.
- ◆ Three time-varying parameters are introduced to adjust a particle's learning weights for the two driving forces aiming to satisfy distinct requirements of different evolution stages.

Abstract:

Particle swarm optimization algorithm (PSO) is a popular optimizer, in which each particle selects its learning exemplars relying on their fitness. Thus, the search process of each particle can be seen as driven by a fitness-based force. Intuitively, the driving force is conducive to the optimizing process. However, it may bring a premature convergence of a population. In this work, a novelty-based driving force is put forward to overcome deficiencies of the fitness-based driving force. In the new proposed adjustable driving force based PSO, named as ADFPSO, two types of exemplars respectively with high fitness and high novelty are saved in two archives. In each generation, a particle respectively chooses two exemplars from the two archives to update its velocity. In addition, three time-varying parameters are introduced to adjust the particle's learning weights for the two exemplars aiming to satisfy distinct requirements of different evolution stages. Comprehensive properties of ADFPSO are extensively testified by a set of experiments, in which nine PSO variants are adopted as peer algorithms and two CEC test suites are selected as optimization problems. Moreover, distinct characteristics of the proposed novelty-based driving force are also analyzed based on a few experiments.



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Adjustable driving force based particle swarm optimization algorithm

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Abstract

Particle swarm optimization algorithm (PSO) is a popular optimizer, in which each particle selects its learning exemplars relying on their fitness. Thus, the search process of each particle can be seen as driven by a fitness-based force. Intuitively, the driving force is conducive to the optimizing process. However, it may bring a premature convergence of a population. In this work, a novelty-based driving force is put forward to **overcome** deficiencies of the fitness-based driving force. In the new proposed adjustable driving force based PSO, named as ADFPSO, two types of exemplars respectively with high fitness and high novelty are saved in two archives. In each generation, a particle respectively chooses two exemplars from the two archives to update its velocity. In addition, three time-varying parameters are introduced to adjust the particle's **learning weights for the two exemplars** aiming to satisfy distinct requirements of different evolution stages. Comprehensive properties of ADFPSO are extensively **testified** by a set of experiments, in which nine PSO variants are adopted as peer algorithms and two CEC test suites are selected as optimization problems. Moreover, distinct characteristics of the proposed novelty-based driving force are also analyzed based on a few experiments.

Keywords: Particle swarm optimization, Novelty driving force, Hybrid driving force, Adjustable parameters

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1. Introduction

During the last few years, a lot of optimization techniques play a very important role in various research fields. As a popular intelligence algorithm, particle swarm optimization (PSO) is proposed in 1995 [14], and favorable performance of it has been verified by many applications, including supply chain, DNA sequences collections, cryptography, feature selection, and so on [25, 27, 31, 35, 45].

In the canonical PSO, a particle adjusts its flight trajectory to search for a candidate solution under a “driving force” of two exemplars, i.e., the historical best positions of the particle and the population [42]. Generally, it is a commonly used method that a particle selects other particles with higher fitness as exemplars due to that the exemplars can drive the particle to fly towards more promising regions. Although the driving force exclusively based on fitness values can speed up the convergence of a population, it may cause the population very vulnerable to premature convergence when **optimizing** a complicated fitness landscape. In other words, the fitness-based driving force is harmful for the exploration though it is conducive to the exploitation. To overcome the weaknesses and enhance the exploration capability of the population, some study incorporate various disturbances in the search process, which can be deemed a randomness-based driving force, **intending to bring a high population diversity, and then improve the exploration capability** [2, 16].

Nowadays, unlike focusing on an objective-based optimization in the PSO community, some researches in the artificial life field pour much attention on systems without an explicit objective [3, 36]. A popular strategy **disregarding** an explicit objective is generating an artificial life system with a high novelty instead of a good **fitness value**. Because the study completely neglects the explicit target, it can overcome some shortcomings **inherent in** those fitness-based **searches**, such as deception and local optima. Moreover, some researchers draw a counterintuitive conclusion that neglecting (or partial neglecting) an explicit objective is beneficial for pursuing the objective [7, 17].

In this study, based on discussions mentioned above, an adjustable driving

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9 force based PSO (ADFPSO) is proposed, in which a novelty-based driving force
10 as well as a fitness-based force is used to guide a population. To efficiently **utilize**
11 distinct advantages of the two driving forces, weights of them are adjusted in the
12 entire evolution process aiming to satisfy the different requirements of different
13 evolution stages. The main characteristics of this study can be summarized as
14 follows.
15

16 (1) The behavior novelty is introduced as a supplementary evaluation indicator
17 for the fitness-based evaluation indicator, which can overcome shortcomings
18 of the traditional indicator.

19 (2) In each generation, particles with higher fitness and higher novelty re-
20 spectively saved in two archives can be regarded as two types of candidate
21 exemplars. Based on the exemplars, a particle can extract much helpful and
22 distinct knowledge from them.

23 (3) During the evolution process, **a particle's learning weights of** the two
24 types of exemplars are adjusted, aiming to satisfy various requirements of dif-
25 ferent evolution stages.

26 The remainder of the paper is organized as follows. Related work of PSO is
27 introduced in Section 2, while motivation and details of ADFPSO are explained
28 in Section 3. Comparison experiments between ADFPSO and other state-of-art
29 PSO algorithms are presented in Section 4. In addition, to figure out properties
30 of the adjustable driving force, sensitivity analyses of them are provided in this
31 section based on results of a set of experiments. Finally, conclusions of the study
32 are briefly summarized in Section 6.

44 2. Related work

45 2.1. Canonical PSO

46 When applying PSO to optimize a problem, a population's flight trajectory
47 can be considered as a continuous optimization process, and the position of each
48 particle can be seen as a candidate solution. In the generation t , the particle i
49 is characterized by two vectors, i.e., $\mathbf{X}_i^t = [x_{i,1}^t, x_{i,2}^t, \dots, x_{i,D}^t]$ denotes its position
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 9 60 while $\mathbf{V}_i^t = [v_{i,1}^t, v_{i,2}^t, \dots, v_{i,D}^t]$ ($1 \leq i \leq N$) represents its flight velocity, where
 10 D represents the dimensionality of the problem to be optimized, and N is the
 11 number of particles in the population. When performing the optimization pro-
 12 cess, the particle i adjusts its trajectory according to its own personal historical
 13 best position $\mathbf{PB}_i^t = [pb_{i,1}^t, pb_{i,2}^t, \dots, pb_{i,D}^t]$ and the population's historical best
 14 position $\mathbf{GB}^t = [gb_1^t, gb_2^t, \dots, gb_D^t]$. Update rules of \mathbf{V}_i^t and \mathbf{X}_i^t are defined as
 15 Eq. (1) and Eq. (2), respectively.
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 21 $v_{i,j}^{t+1} = w \times v_{i,j}^t + c_1 \times r_{1,j} \times (pb_{i,j}^t - x_{i,j}^t) + c_2 \times r_{2,j} \times (gb_j^t - x_{i,j}^t)$ (1)
 22
 23

24 $x_{i,j}^{t+1} = x_{i,j}^t + v_{i,j}^{t+1}$ (2)
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27 where w represents an inertia weight; c_1 and c_2 are two acceleration coefficients;
 28 $r_{1,j}$ and $r_{2,j}$ are two random numbers uniformly distributed in the interval $[0,$
 29 $1]$; $x_{i,j}^t$ and $v_{i,j}^t$ represent the position and velocity in the j^{th} dimension of the
 30 particle i at generation t , respectively.
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 34 *2.2. Review of PSO*
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36 On the basis of research objects, the majority of PSO improvements can be
 37 generally classified into three categories, i.e., parameters adjustment, learning
 38 exemplars selection, and hybridization strategy.
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41 *75 2.2.1. Parameters adjustment*
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43 It can be observed from Eq.(1) that a particle's velocity is determined by
 44 three crucial parameters, i.e., w , c_1 , and c_2 . Thus, various researches from d-
 45 ifferent perspectives are proposed to study **parameters' adjustments**, such as
 46 linearly adjustments [29, 32] and nonlinearly adjustments [4, 21, 33]. A funda-
 47 mental motivation of this type of improvements is that a population in PSO
 48 should have favorable performance on the exploration and the exploitation in
 49 early and the later evolution stages, respectively. Some experiments have con-
 50 firmed the adjustments' effectiveness. The main advantage of the adjustments
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is easily to be implemented, however, current searching states of a population are ignored. Thus, these improvements cannot exhibit very reliable and comprehensive performance, especially in complicated problems. To enhance a population's adaptability, various adaptive mechanisms are proposed during the last decades [37], and the favorable performance of them also have been verified by extensive studies [9, 21, 46].

90 2.2.2. Learning exemplars selection

In the traditional PSO, a particle selects its learning exemplars relying on two popular topologies, i.e., GPSO and LPSO. Some experiments verify that the LPSO and GPSO topologies are conducive to keeping population diversity and speeding up convergence, respectively [15]. Although basic properties of selected exemplars based on the two topologies are understood to some extent, it is quite difficult, if not impossible, to select proper exemplars for particles due to that different problems have their own distinct characteristics. Moreover, even in a specific problem, fixing exemplars for a particle may be an inappropriate choice since the optimization process is dynamic and different fitness landscapes in the problem may have their own distinct properties. Thus, to fulfill variable requirements in different evolution stages, various dynamic selections of exemplars are presented in recent decades [13, 18, 23, 26, 28]. Through the dynamic selections, not only different particles can choose their own exemplars, but also a particle can adaptively adjust its exemplars in different evolution stages.

105 2.2.3. Hybridization strategy

In the last decades, lots of outstanding PSO variants have been proposed. Thus, it is really quite a natural idea that integrating some of them into a framework through a reasonable approach. A typical hybrid PSO algorithm, for example, is called as an ensemble PSO (EPSO) [24], in which 5 popular PSO variants are integrated into a simple framework through a self-adaptive scheme. Furthermore, considering different optimization algorithms or search strategies have distinct advantages, many researchers pour many attentions on integrat-

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9 ing two or more of them into a single PSO framework. For instance, some local
10 searching strategies [23, 40, 41] and genetic operators [6, 8, 11, 45] are popular
11
12 auxiliaries to increase the convergence speed and improve the population diver-
13 sity. In addition, many other outstanding swarm intelligence algorithms with
14 distinct search behaviors, including differential evolution (DE) algorithm, ant
15 colony (ACO), and salp swarm algorithm (SSA), are also selected as efficient
16 supplements for the canonical PSO [12, 30, 34, 37]. Experiments of these PSO
17 variants exhibit that many shortcomings of the basic algorithms (or strategies)
18 are partly conquered through organic integration methods [25, 30, 39].

23
24 **3. ADFPSO**

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26 *3.1. Motivation*

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28 When optimizing a problem with a specific objective, it is a widely accepted
29
30 strategy in PSO community that evaluating a particle is with respect to the
31 specific objective function. According to the measuring results, named as par-
32 ticles' fitness values, each particle i in the canonical PSO selects its exemplars,
33 i.e., \mathbf{PB}_i^t and \mathbf{GB}^t , to perform the corresponding search process. Intuitively,
34 it is a very rational choice for a particle to perform the search process under a
35 fitness-based driving force created by the elite exemplars. However, it is harmful
36 for the population diversity. As a result, the population may be apt to fall into
37 local optima when optimizing a complicated problem.

38
39 In contrast to the fitness-based driving force applied in PSO, a few studies of
40 artificial life often ignore predetermined explicit objectives. A common strategy,
41
42 for instance, is creating an open-ended system through pursuing behavioral
43 novelties rather than seeking high fitness values [7]. A few studies on neural
44 networks [10] and robotics systems [7, 17] verify promising properties of the
45 novelty-based driving force on various applications. To simplicity, the fitness-
46 based driving force and the novelty-based driving force are abbreviated as F_{fit}
47
48 and F_{nov} in this study, respectively.

Based on these researches, F_{nov} is applied to make up deficiencies of F_{fit} in the PSO framework. During the search process, weights of the two distinct forces can be adjusted, and then particles in different evolution stages can be propelled by different driving forces. Hence, distinct requirements in different evolution stages can be satisfied to some extent.

3.2. Two driving forces in ADFPSO

From Eq. (1) we can observe that the particle i is driven by forces generated by \mathbf{GB}^t and \mathbf{PB}_i^t , and learning weights of the two forces are determined by c_1 and c_2 , respectively. Since both \mathbf{GB}^t and \mathbf{PB}_i^t have high fitness values, both the driving forces can be classified into a same category, i.e., F_{fit} . In this study, two types of driving force, i.e., F_{fit} and F_{nov} , are proposed to guide a population's search process intending to overcome unfavorable properties of a single driving force.

In ADFPSO, those personal historical best solutions \mathbf{PB}_i ($1 \leq i \leq N$) with high fitness and high novelty values are saved in two archives (denoted by $\mathcal{A}_{\mathcal{F}}$ and $\mathcal{A}_{\mathcal{N}}$), respectively. When the particle i updating its velocity vector \mathbf{V}_i , two exemplars regarded as \mathbf{EF}_{i1} and \mathbf{EN}_{i2} ($1 \leq i1, i2 \leq M$) are randomly selected from $\mathcal{A}_{\mathcal{F}}$ and $\mathcal{A}_{\mathcal{N}}$, respectively, where M denotes the maximum size of the two archives. As a result, the particle can be regarded as driven by a hybrid driving force generated by the two different types of exemplars. Thus, the update of velocity in ADFPSO can be defined as Eq. (3).

$$v_{i,j}^{t+1} = w \times v_{i,j}^t + c_1 \times r_{1,j} \times (ef_{i1,j} - x_{i,j}^t) + c_2 \times r_{2,j} \times (en_{i2,j} - x_{i,j}^t) \quad (3)$$

where $ef_{i1,j}$ and $en_{i2,j}$ denote the j th dimension of \mathbf{EF}_{i1} and \mathbf{EN}_{i2} , respectively;

two subscripts $i1$ and $i2$ are two random integers in the interval $[1, M]$.

3.3. Adjustable of driving forces

From the motivation of ADFPSO discussed in Section 3.1 we can see that F_{nov} is favorable for the exploration, while F_{fit} is beneficial for the exploitation. Since a population in PSO should pour different attentions on the exploration

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10 or the exploitation in different evolution stages, weights of the two driving forces
11 must be adjusted accordingly.

12 From Eq. (3) we can observe that there are three parameters **can determine**
13 **the two driving forces' influences**. The one is the maximum size M of \mathcal{A}_F and
14 \mathcal{A}_N . When M is very small, only those \mathbf{PB}_i with very higher fitness can be
15 saved in \mathcal{A}_F , and other \mathbf{PB}_i with relatively lower fitness are abandoned. For
16 instance, when $M=1$, only one \mathbf{PB}_i with the best fitness is saved in \mathcal{A}_F . In
17 this case, the best exemplar in \mathcal{A}_F can generate the greatest F_{fit} for other
18 particles. Meanwhile, we also notice that \mathcal{A}_N with a smaller M only saves
19 those \mathbf{PB}_i with a very greater novelty, which can generate a powerful F_{nov} .
20 However, while the population has been converged, differences among of those
21 \mathbf{PB}_i in \mathcal{A}_N , measured by the novelty values, are very small. Thus, a smaller M
22 cannot bring a greater F_{nov} in the later evolution stage. In summary, a smaller
23 M can bring a greater F_{fit} , especially in the later evolution stage, and then
24 strengthen the population's exploitation.
25

26 On the contrary, when M is greater, many \mathbf{PB}_i with relatively higher fitness
27 but not the highest fitness also have a chance to be saved in \mathcal{A}_F . Thus, these
28 exemplars, compared with the best exemplar, in the archive can bring relative
29 lower F_{fit} for other particles. Similarly, we can observe that a greater M enables
30 many \mathbf{PB}_i with greater novelty values have a chance to create a larger F_{nov} for
31 particles. Thus, it can be observed that a larger M can offer a greater F_{nov} ,
32 and then enable the particle to pour much more attention on the exploration.

33 From the discussions aforementioned, the value of M should gradually de-
34 creases in the entire evolution process. In ADFPSO, the value of M can be
35 described as Eq. (4).
36

$$M = \lceil p \times N \rceil \quad (4)$$

37 where N is the population size, $p = 0.7 \times \frac{1}{1+e^{0.001 \times \frac{FES - MaxFES/2}{D}}} + 0.1$, where
38 FES is consumed function evaluations while $MaxFES$ is a predefined maximum
39 function evaluations. Note that the value of p is an empirical value obtained
40 through a set of experiments. The change process of p during an entire search
41 process is demonstrated in Figure 1(a).

In Eq. (3), we can treat “ $ef_{i1,j} - x_{i,j}^t$ ” and “ $en_{i1,j} - x_{i,j}^t$ ” as F_{fit} and F_{nov} , respectively. Thus, c_1 and c_2 can be seen as a particle’s two learning weights for the two driving forces, respectively. It is widely accepted strategy that enhancing the exploration and the exploitation capabilities during the early and the final evolution stages, respectively. Thus, a larger c_1 and a smaller c_2 should be set during the early evolution period aiming to enhance the global search ability of the population. On the contrary, a smaller c_1 and a greater c_2 should be applied during the final evolution period intending to speed up the convergence. Thus, in ADFPSO, definitions of c_1 and c_2 are respectively described by Eq.(5) and Eq.(6), while the change processes of them are demonstrated in Figure 1(b). Note that the value of c_1 and c_2 , similar as that of p , are determined by trial-and-error.

$$c_1 = 1.2 \times \frac{1}{1 + e^{0.001 \times \frac{FEs - MaxFEs/2}{N}}} + 0.2 \quad (5)$$

$$c_2 = 1.4 - c_1 \quad (6)$$

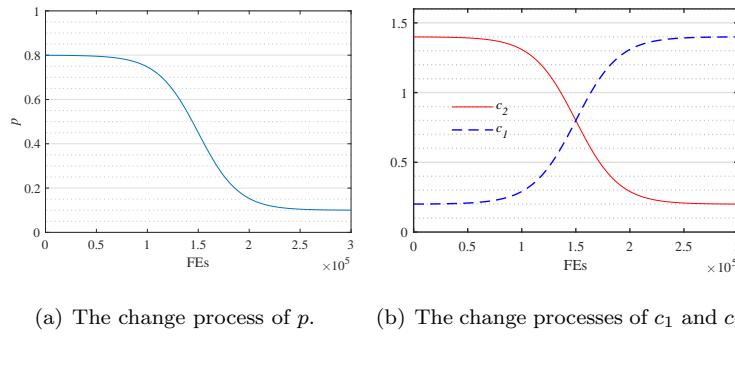


Figure 1: The change processes of p , c_1 , and c_2 .

3.4. Definition of novelty

In ADFPSO, the “novelty” is a new proposed concept. Hence, how to measure a particle’s novelty is the first issue that needs to be addressed. In general,

the term “novelty” denotes a particle’s uniqueness. In this study, for simplicity, the novelty of the particle i is evaluated based on the average Euclidean distance between the particle’s position \mathbf{X}_i and its the K -nearest neighbors’ position. Thus, the novelty value of the particle i is defined as Eq. (7).

$$\mathcal{N}_i = \frac{1}{K} \sum_{j=1}^K dist(\mathbf{X}_i, \mu_j) \quad (7)$$

where μ_j is the j th-nearest neighbor’s position of the particle i , and $dist(\mathbf{X}_i, \mu_j)$ means an Euclidean distance between \mathbf{X}_i and μ_j .

From Eq. (7) we can see that the parameter K is a crucial factor for measuring a particle’s novelty. In fact, when calculating the novelty value, a particle with different K values may offer different novelties even in a same population distribution. In this work, $K=2$ is achieved through trial-and-error.

Although the novelty of a particle is characterized by the average distance between the particle’ position and its neighbors’ position, it is not to say that the measurement is the most favorable or suitable for every problem. In fact, each application may have its own distinct characteristics. Hence, it is more realistic that selecting a proper method to define the index “novelty” for a specific problem.

3.5. Pseudocode of ADFPSO

According to the introduction above mentioned, the pseudo-code of ADFPSO can be detailed as **Algorithm 1**.

4. Experimental verification and comparisons

4.1. Setup of Experiments

To figure out properties of ADFPSO and examine the performance of it, a set of experiments is conducted in this section. Furthermore, characteristics of proposed strategies applied in ADFPSO are also verified by a few experiments.

Algorithm 1. ADFPSO

Input: $FEs=0$, $t=1$, $MaxFEs$, N , $K=2$, $\mathcal{A}_F=\mathcal{A}_N=\emptyset$, M , c_1 , and c_2 ;

01: Randomly initialize position vectors \mathbf{X}_i^t and velocity vectors \mathbf{V}_i^t ($1 \leq i \leq N$);

02: **While** $FEs < MaxFEs$

03: Evaluate \mathbf{X}_i^t ($1 \leq i \leq N$); $FEs=FEs+N$;

04: Update \mathbf{PB}_i^t and \mathbf{GB}^t ;

05: Sort \mathbf{PB}_i^t according to the fitness values;

06: Saved those M \mathbf{PB}_i^t with higher fitness into \mathcal{A}_F ;

07: Sort \mathbf{PB}_i^t according to the novelty values measured by Eq.(7);

08: Saved those M \mathbf{PB}_i^t with higher novelty into \mathcal{A}_N ;

09: Randomly choose \mathbf{EF}_{i1} and \mathbf{EN}_{i2} from \mathcal{A}_F and \mathcal{A}_N , respectively;

10: Update \mathbf{V}_i^t and \mathbf{X}_i^t ($1 \leq i \leq N$) based on Eqs. (3) and (2), respectively;

11: Update M , c_1 , and c_2 according to Eqs. (4), (3), and (2), respectively;

12: $t = t + 1$;

13: **End While**

Output: \mathbf{GB}^t .

4.1.1. Peer algorithms and benchmark functions

In this study, 9 other PSO algorithms are selected as competitors, and parameter settings of them are summarized in Table 1, which are the same as that in corresponding literatures.

Table 1: Parameter settings of all the peer algorithms

Algorithm	Year	Parameters Settings
SLPSO [18]	2012	$w = 0.9 \sim 0.5, \eta=1.496, \gamma=0.01$
PSODDS [13]	2013	$\chi=0.7298, c_1=c_2=2.05$
SL-PSO [5]	2015	$M=100, \alpha=0.5, \beta=0.01$
CCPSO-ISM [19]	2015	$P=0.05, G=5, c=2.0, w=0.6$
SRPSO [38]	2015	$w_I=1.05, w_F=0.5, c_1=c_2=1.49445$
EPSO [24]	2017	ensemble wPSO, CLPSO, FDR-PSO, HPSO-TVAC, and LIPS
XPSO [43]	2020	$\mu_1=\mu_2=\mu_3=1.35, \sigma=0.1, Stag_{GB}=10, Stag_{max}=5, \eta=0.2, p=0.5$
TAPSO [44]	2020	$w=0.7298, p_c=0.5, p_m=0.02, M=N/4$
AWPSO [22]	2021	$w = 0.9 \sim 0.4, a=0.000035, b=0.5, c=0, d=1.5$
ADFPSO	-	$w=0.9 \sim 0.4, p, c_1, \text{and } c_2 \text{ are adjustable}, K=2$

To take a fair competition, two popular benchmark suites, i.e., CEC2013 [20] and CEC2017 [1] test suites, are chosen in this work. Note that the dimensionality of each function is $D=30$. To achieve statistical results, each peer algorithm is carried out 51 independent runs on each test function. The maximum number of function evaluations ($MaxFEs$) in each run is set to 300 000.

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9 4.2. Comparison on CEC2013 test suite

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11 In CEC2013 test suite, 28 functions can be divided into three types relying
12 on their properties, i.e., unimodal functions (f_1-f_5), basic multimodal functions
13 (f_6-f_{20}), and composition functions ($f_{21}-f_{28}$). Experimental results of the 3 dif-
14 ferent types of functions, depicted by mean value (*Mean*) of the 51 independent
15 runs, are respectively included in Table 2 - Table 4, in which the best *Mean*
16 results are highlighted with rectangles. Analyses of the results are presented as
17 follows according to the functions' properties. In addition, *t*-test results between
18 ADFPSO and other peer algorithms on each test functions are also presented in
19 these tables, in which symbols “(+)”, “(-)”, and “(=)” denote that ADFPSO is
20 significantly better than, significantly worse than, and almost the same as the
21 corresponding competitors, respectively.

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23 4.2.1. Unimodal functions (f_1-f_5)

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25 Experiments results on f_1-f_5 demonstrated in Table 2 show that ADFPSO
26 yields the most favorable performance on the 5 simple unimodal functions mea-
27 sured by the number of achieved best results, followed by SL_PSO, EPSO, and
28 TAPSO. Moreover, the experimental results indicate that ADFPSO is the only
29 one that can obtain the global optimal solution of f_1 in each run. In other
30 words, ADFPSO has more stable and reliable performance on f_1 .

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32 Table 2: Solution accuracy and *t*-test results on unimodal functions (f_1-f_5) in
33 CEC2013 test suite.

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	SLPSO	PSODDS	SLPSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO	ADFPSO
f_1 Mean	5.66e-13(+)	5.89e+01(+)	1.03e-13(+)	2.27e-13(+)	1.07e+02(+)	2.54e-13(+)	1.69e-13(+)	3.79e-13(+)	3.12e+03(+)	0.00e + 00
f_2 Mean	2.61e+06(+)	9.49e+05(-)	6.27e+05(-)	1.00e+07(+)	3.13e+06(+)	1.65e+05(-)	1.25e + 05(-)	4.59e+06(+)	1.63e+07(+)	1.60e+06
f_3 Mean	2.86e+09(+)	6.50e+09(+)	2.18e+07(+)	8.21e+08(+)	4.28e+09(+)	5.31e+07(+)	2.55e+08(+)	1.87e+06(+)	3.58e+10(+)	2.32e + 05
f_4 Mean	5.05e+04(+)	5.47e+03(+)	5.78e+03(+)	3.28e+04(+)	2.16e+02(-)	1.69e + 02(-)	6.52e+03(+)	1.80e+02(-)	3.12e+03(=)	3.11e+03
f_5 Mean	1.08e-11(=)	6.78e+01(+)	1.25e - 13(=)	2.54e-13(=)	1.24e+02(+)	2.76e-13(=)	1.74e-13(=)	4.24e-13(=)	1.88e+03(+)	4.79e-13
(#)	+	4	4	3	4	4	2	3	3	4
(#)	-	0	1	1	0	1	2	1	1	0
(#)	Best	0	0	1	0	0	1	1	0	2

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9 4.2.2. Basic multimodal functions (f_6 - f_{20})

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11 The experimental results presented in Table 3 indicate that ADFPSO ex-
12 hibits more outstanding performance than other peer algorithms because it of-
13 fers the highest accuracy on 7 out of the 15 simple multimodal functions, while
240
14 SLPSO offers the best performance on 3 functions. Although CCPSO-ISM can-
15 not exhibit excellent performance on the unimodal functions, it attains favorable
16 performance on the 15 multimodal functions. In addition, TAPSO also displays
17 outstanding performance on this type of functions.
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22 Table 3: Solution accuracy and t -test results on basic multimodal functions
23 (f_6 - f_{20}) in CEC2013 test suite.

	SLPSO	PSODDS	SL_PSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO	ADFPSO
f_6 Mean	2.32e+01(-)	5.39e+01(=)	1.92e+01(-)	2.00e+01(-)	7.02e+01(+)	1.09e+01(-)	4.97e + 01(-)	5.81e+01(+)	2.49e+02(+)	4.41e+01
f_7 Mean	1.04e+02(+)	1.02e+02(+)	5.37e+00(+)	1.03e+02(+)	4.26e+01(+)	3.31e+01(+)	7.94e+01(+)	3.95e+00(+)	1.06e+02(+)	3.38e - 01
f_8 Mean	2.10e+01(=)	2.09e+01(=)	2.09e+01(=)	2.09e+01(=)	2.10e+01(=)	2.09e+01(=)	2.09e + 01(=)	2.09e+01(=)	2.09e+01(=)	2.09e+01
f_9 Mean	3.09e+01(+)	2.59e+01(+)	9.84e+00(+)	3.02e+01(+)	1.78e+01(+)	2.23e+01(+)	2.13e+00(+)	9.90e+00(+)	2.09e+01(-)	5.32e + 01
f_{10} Mean	3.49e-01(+)	7.38e+01(+)	2.49e-01(+)	1.97e+00(+)	8.98e+01(+)	1.21e-01(+)	7.79e-02(+)	9.83e-02(+)	6.42e+02(+)	4.15e - 02
f_{11} Mean	1.38e-13(-)	8.07e+01(+)	1.46e+01(+)	8.15e - 14(-)	3.78e+01(+)	1.13e-13(-)	1.25e-13(-)	1.69e+01(+)	1.05e+02(+)	9.98e+00
f_{12} Mean	1.17e+02(+)	1.49e+02(+)	1.59e+02(+)	2.59e+02(+)	5.39e+01(+)	5.99e+01(+)	7.59e+01(+)	3.87e+01(=)	1.18e+02(+)	3.06e + 01
f_{13} Mean	1.65e+02(+)	2.49e+02(+)	1.58e+02(+)	2.78e+02(+)	1.18e+02(+)	1.07e+02(+)	1.65e+02(+)	1.07e+02(+)	1.87e+02(+)	4.31e + 01
f_{14} Mean	6.86e - 02(-)	1.99e+03(=)	1.02e+03(+)	6.90e+02(-)	1.37e+03(-)	3.28e+01(-)	3.49e-01(-)	1.50e+03(-)	1.65e+03(-)	2.06e+03
f_{15} Mean	4.29e+03(+)	4.05e+03(+)	4.76e+03(+)	3.85e+03(+)	3.03e+03(=)	3.69e+03(+)	3.77e+03(+)	5.39e+03(+)	4.09e+03(+)	3.00e + 03
f_{16} Mean	1.00e+00(-)	9.30e-01(-)	2.38e+00(=)	8.60e - 01(-)	2.51e+00(=)	1.89e+00(-)	1.18e+00(-)	2.41e+00(=)	2.01e+00(-)	2.42e+00
f_{17} Mean	3.04e + 01(-)	1.07e+02(-)	1.67e+02(+)	3.59e+01(-)	6.23e+01(-)	3.65e+01(-)	3.09e+01(-)	7.70e+01(-)	9.33e+01(-)	1.51e+02
f_{18} Mean	1.42e+02(-)	1.60e+02(-)	1.91e+02(+)	1.74e+02(-)	1.98e+02(+)	8.29e + 01(-)	9.86e+01(-)	1.94e+02(+)	1.72e+02(-)	1.84e+02
f_{19} Mean	9.82e - 01(-)	9.81e+00(+)	3.40e+00(-)	1.28e+00(-)	3.90e+00(=)	1.84e+00(-)	1.44e+00(-)	2.49e+00(-)	6.71e+03(=)	4.79e+00
f_{20} Mean	1.17e+01(+)	1.39e+01(+)	1.33e+01(+)	1.47e+01(+)	1.38e+01(+)	1.11e+01(+)	1.24e+01(+)	1.19e+01(+)	1.49e+01(+)	1.07e + 01
(#) +	7	9	11	7	9	7	7	9	8	/
(#) -	7	3	2	7	2	7	7	3	5	/
(#) Best	3	0	0	2	0	1	2	0	0	7

44 4.2.3. Composition functions (f_{21} - f_{28})

45 Generally, composition functions are very complex optimization problems.
46 In this part, an experiment is conducted to explore characteristics of all the
47 peer algorithms on this type of functions. The comparison results presented in
48 Table 4 manifest that ADFPSO offers the most outstanding performance on 4
49 out of the 8 composition functions, measured by values of *Mean*, followed by
50 CCPSO-ISM and XPSO. Although SLPSO yields the second best performance
51 on the 15 simple multimodal functions (f_6 - f_{20}), it cannot achieve the most
52 outstanding performance on this type of functions.
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favorable performance on any composition functions. In contrast, CCPSO-ISM exhibits the second best performance though it yields unsatisfied result on f_1 - f_5 . The reliable performance of ADFPSO and CCPSO-ISM on multimodal problems and composition problems verifies that the adjustable driving force and exchange helpful information with multiple particles is beneficial for optimizing multimodal functions.

Table 4: Solution accuracy and t -test results on composition functions (f_{21} - f_{28}) in CEC2013 test suite.

	SLPSO	PSODDS	SLPSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO	ADFPSO
f_{21} Mean	3.09e+02(=)	3.29e+02(=)	2.82e+02(-)	1.75e + 02(-)	3.47e+02(=)	2.84e+02(-)	3.13e+02(=)	3.09e+02(=)	4.95e+02(+)	3.33e+02
f_{22} Mean	1.16e+02(-)	2.39e+03(+)	5.81e+02(-)	6.51e+02(-)	1.66e+03(+)	1.50e+02(-)	1.10e+02(-)	1.03e + 02(-)	2.06e+03(+)	7.92e+02
f_{23} Mean	4.85e+03(+)	5.01e+03(+)	4.66e+03(+)	4.94e+03(+)	3.29e+03(+)	3.99e+03(+)	4.57e+03(+)	4.73e+03(+)	4.50e+03(+)	1.97e + 03
f_{24} Mean	2.74e+02(+)	2.77e+02(+)	2.40e+02(+)	2.87e+02(+)	2.60e+02(+)	2.47e+02(+)	2.63e+02(+)	2.44e+02(+)	2.76e+02(+)	2.00e + 02
f_{25} Mean	2.90e+02(+)	2.98e+02(+)	2.54e+02(+)	3.15e+02(+)	2.88e+02(+)	2.86e+02(+)	2.85e+02(+)	2.80e+02(+)	2.95e+02(+)	2.23e + 02
f_{26} Mean	2.32e+02(=)	2.45e+02(=)	2.73e+02(+)	2.01e + 02(-)	2.74e+02(+)	2.12e+02(=)	3.07e+02(+)	2.93e+02(+)	3.36e+02(+)	2.24e+02
f_{27} Mean	1.03e+03(+)	9.69e+02(+)	4.98e+02(+)	6.54e+02(+)	7.95e+02(+)	7.38e+02(+)	8.49e+02(+)	4.80e+02(+)	9.35e+02(+)	3.07e + 02
f_{28} Mean	4.28e+02(+)	1.19e+03(+)	3.19e+02(+)	2.44e + 02(=)	6.45e+02(+)	2.84e+02(=)	2.71e+02(=)	1.82e+03(=)	1.89e+03(+)	2.73e+02
(#) +	5	6	6	4	7	4	5	5	8	/
(#) -	1	0	2	3	0	2	1	1	0	/
(#) Best	0	0	0	3	0	0	0	1	0	4

4.2.4. Statistical results on CEC2013 test suite

In this part, two popular statistical tests are applied to examine performance of all the peer algorithms. Concretely, a two-tailed t -test is used to find out whether there is significant difference between ADFPSO's performance and other competitors' performance on each test function; and a Friedman-test is adopted to examine overall performance of all the peer algorithms. Note that, the significance level of the two statistical tests is set as $\alpha=0.05$ in this study.

1) *t-test results.* The t -test results on the 3 different types of functions between ADFPSO and other 9 competitors are demonstrated in Table 2, Table 3, and Table 4, respectively. Moreover, based on the results presented in the 3 tables, the overall results of the t -test on the test suite are shown in Table 5, in which symbols “Sum(+)", “Sum(=)", “Sum(-)" denote the number of “(+)", “(=)", and “(-)" in the tables, respectively. The comprehensive performance (CP) (the lower the better) is equal to “Sum(+)" minus “Sum(-)".

From the results presented in Table 2 - Table 4 we can see that ADFPSO
 dominates all the other 9 peer algorithms on the majority of the 5 unimodal
 functions except EPSO. Although EPSO cannot yield a favorable property on
 this kind of functions in terms of the values of *Mean*, it exhibits a same per-
 formance as ADFPSO because it is significantly better than ADFPSO on 2
 test functions. On the 15 basic multimodal functions, both EPSO and SLP-
 SO display a comparable result with ADFPSO since the numbers of functions
 that they dominating ADFPSO and they dominated by ADFPSO are the same.
 Thus, we can observe that organic integrating various PSO variants in EPSO
 can offer stable and reliable performance. On the complicated functions, i.e., f_{21}
 - f_{28} , ADFPSO achieves more outstanding performance than all the competi-
 tors. The overall results of *t*-test demonstrated in Table 5 show that ADFPSO
 is significantly better than all the peer algorithms on more than half of the
 functions, except EPSO, which is significantly worse than ADFPSO on 13 out
 of the 28 functions. The favorable performance of ADFPSO on CEC2013 test
 suite, especial on the multimodal functions and the composition functions, tes-
 tifies that the new introduced hybrid driving force plays positive performance
 on enhancing the exploration ability. In addition, the outstanding property of
 EPSO manifests that organically integrating different excellent PSOs can bring
 very reliable and comprehensive performance on different types of functions.

Table 5: Overall results of *t*-test on CEC2013 test suite

ADFPSO v.s.	SLPSO	PSODDS	SL-PSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO
Sum(+)	16	19	20	15	20	13	15	17	20
Sum(=)	4	5	3	3	5	4	4	6	3
Sum(-)	8	4	5	10	3	11	9	5	5
<i>CP</i>	8	15	15	5	17	2	6	12	15

2) *Friedman-test results.* In the section, a Friedman-test is conducted to
 further illustrate comprehensive performance of the 10 algorithms. The results
 are presented in Table 6, in which the algorithms are sorted in ascending order
 of values of rankings (the lower the better). In addition, Friedman-tests on the
 3 different types of functions are also separately carried out, and the results are

also presented in Table 6.

Table 6: Friedman-test on CEC2013 test suite

Rank	Overall		Unimodal Functions		Basic Multimodal Functions		Composition Functions	
	Algorithm	Ranking	Algorithm	Ranking	Algorithm	Ranking	Algorithm	Ranking
1	EPSO	3.52	SLPSO	3.20	EPSO	3.50	ADFPSO	3.38
2	ADFPSO	3.95	EPSO	3.20	TAPSO	3.73	XPSO	3.75
3	TAPSO	4.29	ADFPSO	3.60	ADFPSO	4.37	EPSO	3.75
4	XPSO	4.77	TAPSO	3.80	SLPSO	5.07	SLPSO	3.94
5	SLPSO	4.86	XPSO	4.60	XPSO	5.37	CCPSO-ISM	5.25
6	CCPSO-ISM	5.57	CCPSO-ISM	6.20	CCPSO-ISM	5.53	TAPSO	5.63
7	SLPSO	5.79	PSODDS	7.00	SLPSO	5.90	SLPSO	6.25
8	SRPSO	6.61	SLPSO	7.20	SRPSO	6.57	SRPSO	6.31
9	PSODDS	7.45	SRPSO	7.20	PSODDS	7.13	PSODDS	8.31
10	AWPSO	8.21	AWPSO	9.00	AWPSO	7.83	AWPSO	8.44

From Table 6 we see that EPSO yields the most promising result measured by the overall performance, followed by ADFPSO, TAPSO, and XPSO. Although ADFPSO has fared marginally worsen than EPSO, the implementation of ADFPSO is significantly simpler than EPSO, because there are 5 PSO variants involved in EPSO while only 3 time-varying parameters are applied in ADFPSO. Moreover, although ADFPSO does not offer the most favorable results on the unimodal and basic multimodal functions, it attains the most outstanding property on the complicated composition functions. Although ADFPSO and AWPSO share a common strategy, i.e. adjusting of c_1 and c_2 , ADFPSO overwhelming dominates AWPSO on all the three types of functions. Thus, we can draw a preliminary conclusion that the adjustable hybrid driving force can bring many positive properties for ADFPSO, including enhancing speeding up convergence and keeping population diversity. In addition, the proposed adjustable driving force can demonstrate very competitive and outstanding properties on those complicated composition functions.

4.3. Comparison on CEC2017 test suite

In CEC2017 test suite, 30 functions can be divided into 4 distinct classes, i.e., unimodal functions (F_1-F_3), simple multimodal functions (F_4-F_{10}), hybrid functions ($F_{11}-F_{20}$), and composition functions ($F_{21}-F_{30}$) based on their properties. The comparison results, demonstrated in Table 7 - Table 9, and corresponding analyses are presented as follows according to the functions' properties.

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9 4.3.1. Unimodal functions (F_1 - F_3)

10 From Table 7 we notice that EPSO achieves the best result on 2 out of
 11 the 3 unimodal functions measured by *Mean* values, while SRPSO achieves
 12 the best result on one function. Although ADFPSO displays **very favorable**
 13 **performance** on unimodal functions in CEC2013 test suite, it does not exhibit
 14 reliable performance on F_1 - F_3 in CEC2017 test suite.

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17 Table 7: Solution accuracy and *t*-test results on unimodal functions in CEC2017
 18 test suite.

	SLPSO	PSODDS	SL_PSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO	ADFPSO
F_1 <i>Mean</i>	7.13e+03(+)	4.69e+06(=)	4.19e+03(+)	5.17e+03(+)	8.74e+07(+)	9.95e+01(-)	2.85e+03(=)	4.69e+03(+)	2.02e+09(+)	1.86e+03
F_2 <i>Mean</i>	4.58e+12(-)	6.09e+20(=)	1.37e+11(-)	9.24e+11(-)	5.00e+29(=)	2.09e+10(-)	3.61e+12(-)	2.06e+12(-)	1.05e+34(=)	3.60e+14
F_3 <i>Mean</i>	3.76e+04(+)	2.79e+02(+)	8.30e+03(+)	4.91e+04(+)	3.33e-04(-)	3.82e+00(-)	9.03e+01(=)	4.81e-03(-)	2.33e+03(+)	4.82e+01
(#) +	2	1	2	2	1	0	0	1	2	/
(#) -	1	0	1	1	1	3	1	2	0	/
(#) Best	0	0	0	0	1	2	0	0	0	0

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23 4.3.2. Simple multimodal functions (F_4 - F_{10})

24 From Table 8 we can see that ADFPSO displays the most outstanding re-
 25 sults on the 7 simple multimodal functions. Concretely, it attains the best result
 26 on 3 out of the 7 functions, followed by TAPSO, EPSO, SRPSO, and SL_PSO.
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 28 Although EPSO yields the most promising characteristics on the 3 simple func-
 29 tions, it only achieves the best result on one simple multimodal function.

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31 Table 8: Solution accuracy and *t*-test results on simple multimodal functions in
 32 CEC2017 test suite.

	SLPSO	PSODDS	SL_PSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO	ADFPSO
F_4 <i>Mean</i>	6.96e+01(-)	9.10e+01(=)	7.94e+01(-)	7.56e+01(-)	1.15e+02(+)	5.40e+01(-)	2.78e+01(-)	1.15e+02(+)	2.10e+02(+)	9.09e+01
F_5 <i>Mean</i>	6.58e+01(+)	1.08e+02(+)	2.32e+01(+)	1.33e+02(+)	5.03e+01(+)	4.55e+01(+)	5.71e+01(+)	4.95e+01(+)	7.99e+01(+)	1.53e+01
F_6 <i>Mean</i>	3.34e-05(=)	1.16e+01(+)	3.21e-06(=)	1.20e-01(+)	4.71e-01(+)	5.13e-13(=)	1.30e-02(+)	5.20e-02(+)	2.06e+00(+)	1.75e-06
F_7 <i>Mean</i>	1.13e+02(+)	1.33e+02(+)	1.88e+02(+)	1.04e+02(+)	7.55e+01(=)	8.76e+01(=)	8.58e+01(=)	8.58e+01(=)	1.08e+02(+)	8.42e+01
F_8 <i>Mean</i>	6.20e+01(+)	9.09e+01(+)	2.03e+01(+)	1.35e+02(+)	5.30e+01(+)	4.85e+01(+)	6.23e+01(+)	4.37e+01(+)	7.07e+01(+)	1.40e+01
F_9 <i>Mean</i>	2.01e+02(+)	1.32e+03(+)	1.03e-01(+)	5.82e+03(+)	4.37e+00(+)	6.20e+01(+)	1.24e+02(+)	7.47e+00(+)	2.37e+02(+)	0.00e+00
F_{10} <i>Mean</i>	2.81e+03(+)	3.22e+03(+)	1.02e+03(-)	2.79e+03(+)	2.39e+03(+)	1.94e+03(+)	2.53e+03(+)	2.65e+03(+)	3.03e+03(=)	1.57e+03
(#) +	5	6	4	6	6	4	5	6	6	/
(#) -	1	0	2	1	0	1	1	0	0	/
(#) Best	0	0	1	0	1	1	1	0	0	3

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9 4.3.3. Hybrid functions and Composition functions (F_{11} - F_{30})

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11 The comparison results on the hybrid and composition functions, which can
12 be regarded as two types of complicated functions, are demonstrated in Ta-
13 ble 9. On these complicated functions, ADFPSO yields the most outstanding
14 performance because it attains the best result on 7 out of the 20 complicated
15 functions. Although EPSO cannot yield favorable characteristics on simple mul-
16 timodal functions in the test suite, it displays very promising properties on the
17 complicated multimodal problems. Concretely, EPSO offers the most outstand-
18 ing results on 5 out of the 20 complicated functions. Furthermore, SL_PSO and
19 TAPSO also exhibit reliable performance on these functions.
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25 Table 9: Solution accuracy and t -test results on hybrid and composition func-
26 tions in CEC2017 test suite.
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	SLPSO	PSODDS	SL_PSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO	ADFPSO
F_{11} Mean	7.90e+01(+)	1.34e+02(=)	3.71e+01(=)	1.51e+02(+)	9.69e+01(+)	2.72e + 01(-)	8.28e+01(+)	9.56e+01(+)	1.86e+02(+)	3.55e+01
F_{12} Mean	7.81e+05(+)	1.57e+06(+)	5.08e+04(-)	6.75e+05(+)	5.80e+05(=)	3.16e+05(=)	2.52e + 05(-)	2.52e+05(=)	4.89e+07(+)	2.71e+05
F_{13} Mean	1.62e+04(=)	1.11e+05(=)	1.31e+04(=)	2.01e+03(-)	1.59e+06(=)	5.05e + 02(-)	1.52e+04(=)	1.19e+04(-)	9.12e+06(+)	2.90e+04
F_{14} Mean	2.15e+04(+)	9.52e+03(+)	1.72e+04(+)	2.96e+04(+)	9.97e+03(+)	1.61e+04(+)	2.17e+03(+)	4.92e+03(+)	2.94e+04(+)	1.58e + 03
F_{15} Mean	8.97e+03(=)	7.38e+03(=)	2.60e+03(-)	2.56e+02(-)	6.78e+03(=)	2.28e + 02(-)	5.29e+03(-)	6.35e+03(=)	2.67e+04(=)	2.22e+04
F_{16} Mean	6.26e+02(+)	1.08e+03(+)	2.16e + 02(-)	6.59e+02(+)	5.36e+02(+)	5.84e+02(+)	8.69e+02(+)	5.83e+02(+)	8.02e+02(+)	3.61e+02
F_{17} Mean	1.77e+02(+)	5.26e+02(+)	6.74e + 01(-)	2.39e+02(+)	2.43e+02(+)	1.74e+02(+)	2.98e+02(+)	1.59e+02(+)	2.83e+02(+)	1.08e+02
F_{18} Mean	3.33e+05(+)	9.69e+04(=)	1.30e+05(+)	1.40e+05(+)	1.75e+05(+)	1.44e+05(+)	3.15e + 04(-)	1.53e+05(+)	2.93e+05(+)	7.74e+04
F_{19} Mean	1.29e+04(+)	1.59e+04(=)	3.81e+03(=)	7.92e + 01(-)	3.60e+04(=)	2.36e+02(-)	7.86e+03(+)	5.79e+03(=)	4.08e+05(+)	5.77e+03
F_{20} Mean	1.96e+02(=)	5.69e+02(+)	1.33e + 02(-)	3.42e+02(+)	2.03e+02(+)	2.21e+02(+)	3.35e+02(+)	1.84e+02(=)	2.50e+02(+)	1.84e+02
F_{21} Mean	2.59e+02(+)	3.15e+02(+)	2.20e + 02(=)	2.67e+02(+)	2.53e+02(+)	2.45e+02(+)	2.61e+02(+)	2.43e+02(+)	2.79e+02(+)	2.22e+02
F_{22} Mean	1.36e+03(+)	1.44e+03(+)	2.16e+02(=)	9.89e+02(+)	4.26e+02(+)	1.00e+02(=)	8.22e+02(+)	3.45e+02(+)	1.86e+03(+)	1.00e + 02
F_{23} Mean	4.24e+02(+)	5.17e+02(+)	3.69e+02(+)	4.53e+02(+)	4.57e+02(+)	3.98e+02(+)	4.34e+02(+)	3.92e+02(+)	5.44e+02(+)	3.63e + 02
F_{24} Mean	5.07e+02(+)	5.78e+02(+)	4.48e+02(+)	5.49e+02(+)	5.65e+02(+)	5.00e+02(+)	5.21e+02(+)	4.76e+02(+)	6.26e+02(+)	4.31e + 02
F_{25} Mean	3.91e+02(=)	4.01e+02(+)	3.88e+02(=)	3.90e+02(+)	3.94e+02(+)	3.86e + 02(-)	3.92e+02(+)	3.98e+02(+)	4.34e+02(+)	3.87e+02
F_{26} Mean	1.85e+03(+)	2.20e+03(+)	1.19e+03(+)	5.98e+02(+)	1.34e+03(+)	5.34e+02(=)	1.43e+03(+)	1.05e+03(+)	2.19e+03(+)	4.15e + 02
F_{27} Mean	5.33e+02(+)	5.58e+02(+)	5.19e+02(+)	5.49e+02(+)	5.13e+02(+)	5.19e+02(+)	5.34e+02(+)	5.74e+02(+)	5.07e + 02	
F_{28} Mean	3.67e+02(-)	4.47e+02(+)	3.76e+02(-)	4.51e+02(+)	4.34e+02(+)	3.45e+02(-)	3.28e + 02(-)	3.77e+02(-)	5.99e+02(+)	4.17e+02
F_{29} Mean	6.15e+02(+)	9.58e+02(+)	5.18e+02(+)	7.35e+02(+)	6.31e+02(+)	5.08e+02(+)	6.97e+02(+)	5.93e+02(+)	7.70e+02(+)	4.69e + 02
F_{30} Mean	1.21e+04(+)	6.27e+04(=)	4.66e+03(-)	1.18e+04(+)	7.16e+03(=)	4.10e + 03(-)	4.73e+03(-)	8.88e+03(=)	5.73e+05(+)	7.73e+03
(#)	15	15	7	17	15	10	14	13	19	/
(#)	-	1	0	7	3	0	5	2	0	/
(#) Best	0	0	4	1	0	5	3	0	0	7

49 4.3.4. Statistical results on CEC2017 test suite
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52 1) t -test results. The results of t -test on CEC test suite are detailed in Table
53 10. From the results we can see that ADFPSO significantly dominates the 6
54 competitors on at least 20 out of the 30 test functions, except SL_PSO, EPSO,
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and TAPSO. Furthermore, EPSO also verifies very promising performance on the test suite. Concretely, it outperforms ADFPSO on 11 functions, while it is dominated by ADFPSO on 14 functions. Although AWPSO and ADFPSO share a same mechanism, i.e., adjustment of c_1 and c_2 , AWPSO cannot yield significant better performance than ADFPSO on any function. The distinct performance of the two algorithms manifests that the adjusted acceleration coefficients together with the hybrid driving force can exhibit more distinct advantages on different functions.

Table 10: Overall results of t -test on CEC2017 test suite

ADFPSO v.s.	SLPSO	PSODDS	SL_PSO	CCPSO-ISM	SRPSO	EPSO	TAPSO	XPSO	AWPSO
Sum(+)	23	22	13	25	21	14	17	20	27
Sum(=)	4	8	7	0	8	5	6	6	3
Sum(-)	3	0	10	5	1	11	7	4	0
CP	18	22	3	20	20	3	10	16	27

2) *Friedman-test results.* Similar as the Friedman-test applied in CEC2013

test suite, the test in this part is also performed to verify comprehensive performance of the 10 peer PSO variants on CEC2017 test suite. From the statistic test results listed in Table 11 we notice that EPSO offers the best performance on the unimodal functions, followed by XPSO and ADFPSO. In contrast, ADFPSO yields the most promising property on both the basic multimodal funcitons and the hybrid and composition functions, on which EPSO and SL_PSO also display promising performance. The Friedman-test results on the test suite further validate the competitive performance of ADFPSO on different test functions, especially on the hybrid and composition functions.

5. Effectiveness of involved strategies

From the experiments detailed in the last section we know that the favorable performance of ADFPSO benefits from the 2 newly introduced strategies, i.e., novelty-based driving force and 3 adjustable parameters. In this section, thus, a few experiments are performed to measure the strategies' performance. Because of limitations of space, only 9 functions in CEC2013 test suite are tested in this

Table 11: Friedman-test on CEC2017 test suite

Rank	Overall		Unimodal Functions		Basic Multimodal Functions		Hybrid and Composition Functions	
	Algorithm	Ranking	Algorithm	Ranking	Algorithm	Ranking	Algorithm	Ranking
1	ADFPSO	2.83	EPSO	1.67	ADFPSO	2.14	ADFPSO	2.85
2	EPSO	2.95	XPSO	3.67	EPSO	3.29	SL_PSO	2.93
3	SL_PSO	3.25	ADFPSO	4.33	SL_PSO	3.57	EPSO	3.03
4	XPSO	4.45	TAPSO	4.33	TAPSO	4.86	XPSO	4.43
5	TAPSO	5.02	SL_PSO	4.67	XPSO	4.86	TAPSO	5.18
6	SRPSO	6.10	CCPSO-ISM	6.33	SRPSO	5.00	CCPSO-ISM	6.05
7	SLPSO	6.35	SRPSO	6.33	SLPSO	6.07	SLPSO	6.30
8	CCPSO-ISM	6.48	SLPSO	7.33	CCPSO-ISM	7.79	SRPSO	6.45
9	PSODDS	8.43	PSODDS	7.33	AWPSO	8.43	PSODDS	8.40
10	AWPSO	9.13	AWPSO	9.00	PSODDS	9.00	AWPSO	9.40

work, i.e., 3 unimodal functions (f_1-f_3), 3 basic multimodal functions (f_6-f_8), and 3 composition functions ($f_{21}-f_{23}$).

To give an overall examination of the introduced strategies, population diversity and fitness are selected as two metrics. The definition of population diversity can be described as Eq. (8).

$$div = \frac{1}{N \cdot |L|} \cdot \sum_{i=1}^N \sqrt{\sum_{j=1}^D (x_{ij} - \bar{x}_j)^2} \quad (8)$$

where N denotes the population size, D is the dimensionality of the problem, $|L|$ means the length of the longest diagonal of the solution space, x_{ij} is the j^{th} value of the i^{th} particle's position vector \mathbf{X}_i and $\bar{x}_j = \frac{1}{N} \cdot \sum_{i=1}^N x_{ij}$ denotes the average value of the j^{th} dimension of all x_{ij} .

5.1. Overall characteristics of ADFPSO

In this part, the overall characteristics of ADFPSO is analyzed by the 3 types of functions, the results of which are illustrated by Figure 2, Figure 3, and Figure 4, respectively. Note that, GPSO and LPSO in the figures denote the canonical PSO with global and local topological versions, respectively.

The results demonstrated in Figure 2 show that LPSO offers the lowest convergence speed in the evolution process, while GPSO is slightly better than LPSO, in terms of the convergence speed. On the contrary, ADFPSO offers the same performance as GPSO in the initial evolution stage measured by the population diversity. During the later evolution stage, ADFPSO exhibits the highest convergence speed, and yields the best solutions on all the 3 unimodal

functions. In addition, we can find a common phenomenon that curves of the population diversity of the 3 unimodal functions are steeply declined in the middle of evolution process. Thus, an elementary conclusion can be drawn that ADFPSO has a positive effect on enhancing the population diversity and improving the convergence speed at the initial and the later evolution processes, respectively.

The comparison results illustrated by Figure 3 and Figure 4 also show similar phenomena as that in Figure 2. Concretely, ADFPSO exhibits the highest convergence speed in the later evolution process, while LPSO offers the lowest convergence speed in the whole evolution process on the majority of the test functions. Furthermore, ADFPSO also attains the best solution on 5 out of the 6 multimodal functions.

The comparison results on the three different types of functions verify that ADFPSO has **very reliable comprehensive performance**. Concretely, the adjustable driving force strengthens the “novelty” pursuit in the early evolution stage, which plays a positive effect on keeping population diversity. On the contrary, the adjustable driving force pay more attention on “fitness” pursuit in the later evolution stage, and then speeds up the convergence in the stage.

5.2. Effectiveness of p

In ADFPSO, the motivation of applying the hybrid driving force is to trade off the diversity and the speed of convergence. From the discussions in Section 3.3 we know that the parameter p plays a significant role in adjusting the driving force. Specifically, the change process of the p (see Figure 1(a)) shows that population should be mainly driven by F_{nov} in the initial evolution. Thus, the population can keep a higher diversity. On the contrary, the population should be mainly driven by F_{fit} in the later evolution. Thus, the convergence process can be speeded up. In this part, experiments are executed to verify the properties of the hybrid driving force on the 3 types of functions, and the convergence processes of the population are respectively illustrated by Figure 5 - Figure 7. Note that, in the figures, ADFPSO/GPSO denotes an ADFPSO

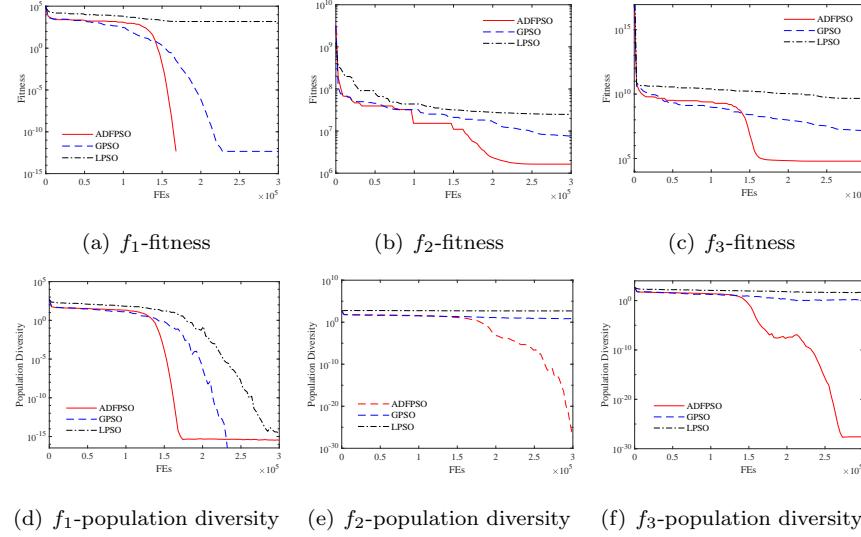


Figure 2: Change processes of fitness and population diversity when utilizing ADFPSO, GPSO, and LPSO to optimize the 3 unimodal functions.

variant in which \mathbf{PB}_i and \mathbf{GB} are two popular fitness-based driving forces applied in GPSO.

It can be observed from Figure 5 that ADFPSO significantly dominates ADFPSO/GPSO on all the 3 unimodal problems, in terms of fitness values. From the change processes of the fitness and the population diversity we notice that ADFPSO/GPSO achieves a high convergence speed on f_1 , which is a simple unimodal function. Moreover, it also displays higher convergence speeds than ADFPSO during the early evolution stage on all the unimodal problems. On the contrary, ADFPSO displays the lower convergence speed than ADFPSO/GPSO on the 3 unimodal functions in the initial evolution, while ADFPSO exhibits a higher convergence speed in the later evolution. From the distinct performance we can observe that it is F_{fit} implied in the GPSO topology enables ADFPSO/GPSO to have the fast convergence, especially in the former evolution stage. Within the later evolution process, on the contrary, the main driving force of ADFPSO switches from F_{nov} to F_{fit} . Thus, ADFPSO exhibits

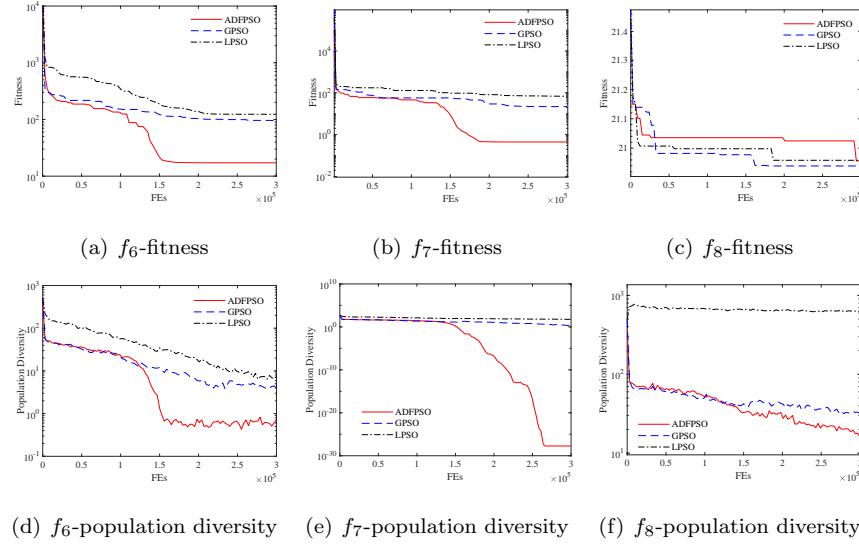


Figure 3: Change processes of fitness and population diversity when utilizing ADFPSO, GPSO, and LPSO to optimize the 3 basic multimodal functions.

more favorable performance on the exploitation.

Furthermore, the experiments on the basic multimodal functions and the composition functions respectively demonstrated in Figure 6 and Figure 7 also show similar phenomenon. Concretely, ADFPSO outperforms ADFPSO/GPSO, measured by the fitness value, on the majority of the test functions. Moreover, ADFPSO exhibits a lower convergence speed in the early evolution stage, while it displays a higher convergence speed during the later evolution stage. On the contrary, although ADFPSO/GPSO has higher convergence speeds and relatively accurate results in the initial evolution process, it is outperformed by ADFPSO in the later evolution process, measured by both convergence speed and solutions' accuracy.

The comparison results among different functions manifest that the proposed hybrid driving force can provide a good trade off between the exploration and the exploitation in the search process, and then enables ADFPSO to yield favorable comprehensive performance.

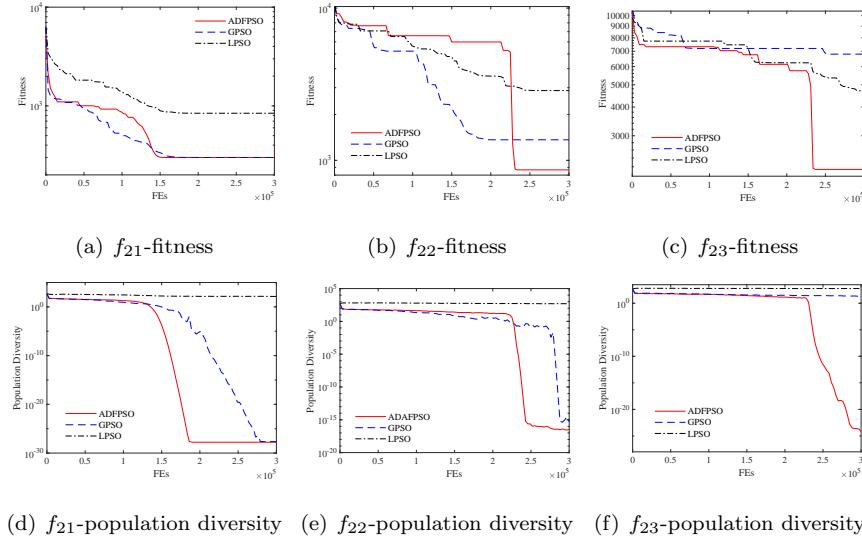


Figure 4: Change processes of fitness and population diversity when utilizing ADFPSO, GPSO, and LPSO to optimize the 3 composition functions.

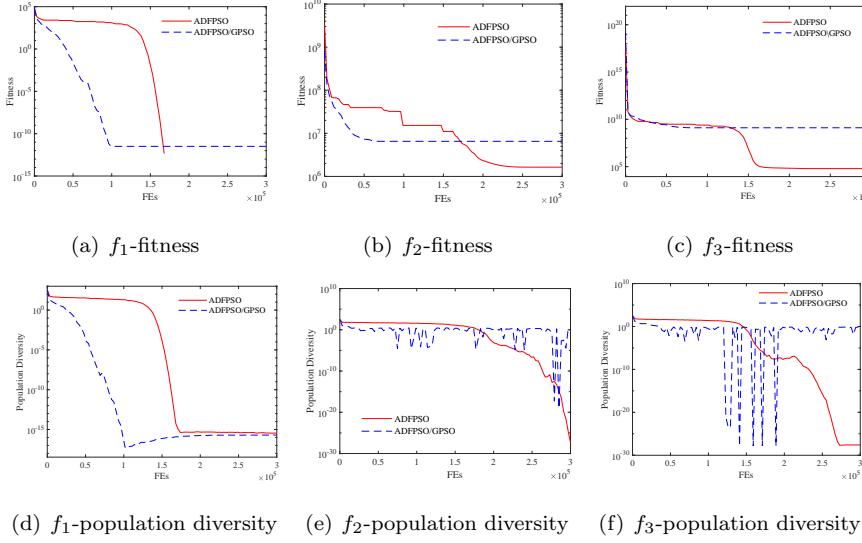


Figure 5: Performance of p on the 3 simple unimodal functions.

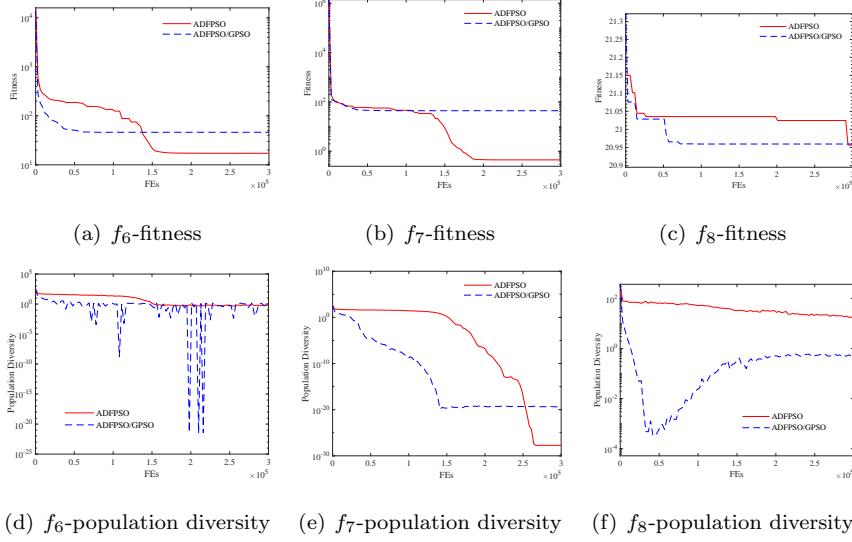


Figure 6: Performance of p on the 3 basic multimodal functions.

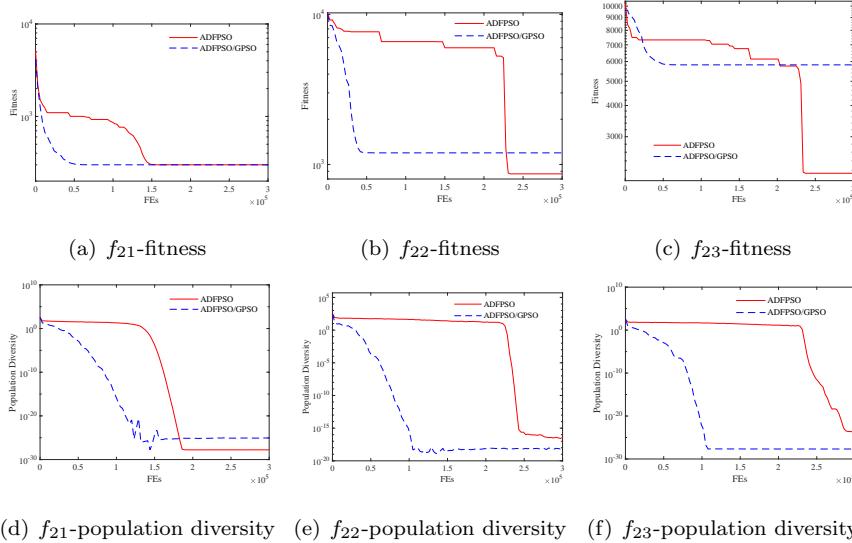
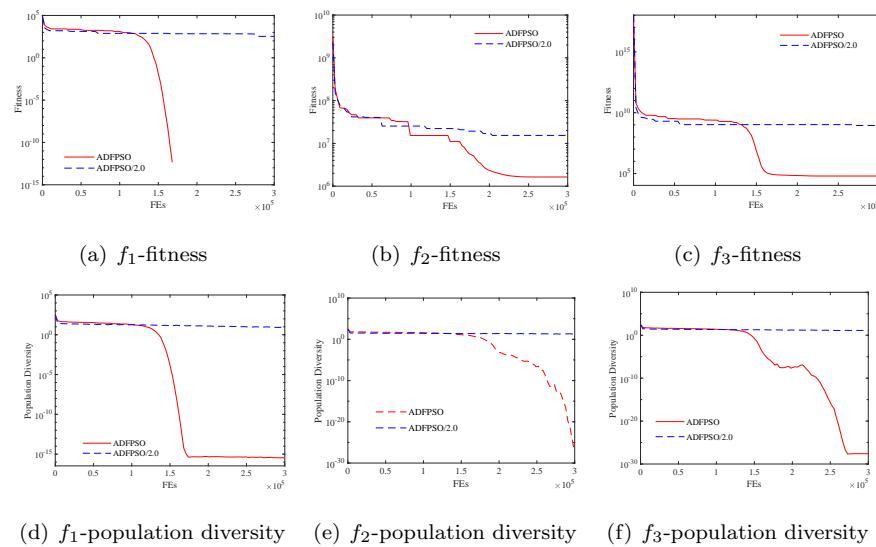


Figure 7: Performance of p on the 3 composition functions.

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9 5.3. Effectiveness of c_1 and c_2

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11 In ADFPSO, c_1 and c_2 deemed as two adjustable acceleration coefficients
12 in this study are the other two important parameters introduced to tune the
13 weights of F_{fit} and F_{nov} . In this part, experiments are executed to verify
14 characteristics of c_1 and c_2 . Comparison results between ADFPSO and ADFP-
15 SO/2.0 on the 3 different types of functions are illustrated by Figure 8, Figure
16 9, and Figure 10, respectively. Note that ADFPSO/2.0 denotes an ADFPSO
17 variant, in which time-varying c_1 and c_2 are replaced by 2.0.
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43 Figure 8: Performance of c_1 and c_2 on the 3 simple unimodal functions.
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46 It can be observed from Figure 8 that ADFPSO/2.0 displays unfavorable
47 performance measured by solution accuracy due to that the population of ADF-
48 PSO/2.0 does not converge during the entire evolution process, even for the sim-
49 ple unimodal function f_1 , though $c_1=c_2=2.0$ is popular applied in many PSO
50 algorithms. The results show that the two driving forces, i.e., F_{fit} and F_{nov} ,
51 with a same weight cannot meet the requirement of population convergence. In
52 addition, the comparison results demonstrated in Figure 9 and Figure 10 also
53 offer a common phenomena. Concretely, the population in ADFPSO/2.0 cannot
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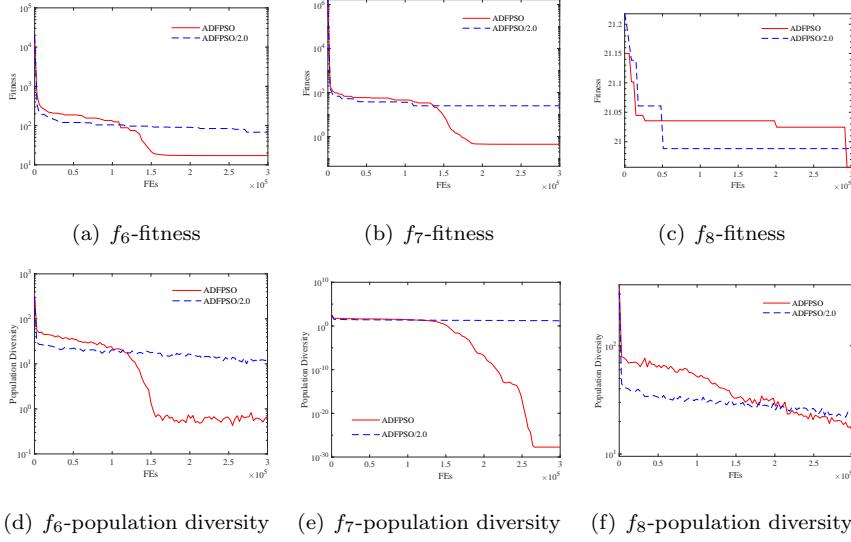


Figure 9: Performance of c_1 and c_2 on the 3 basic multimodal functions.

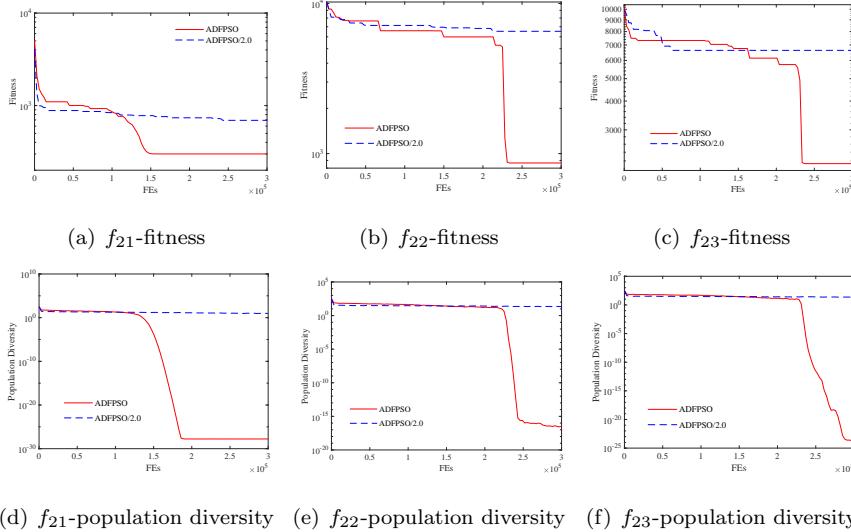


Figure 10: Performance of c_1 and c_2 on the 3 composition functions.

convergent or has a very slow convergence speed during the evolution process. However, the adjustable c_1 and c_2 enable ADFPSO to have a favorable convergence process. From the experimental results we obtain a conclusion that, with the time-varying c_1 and c_2 , the weights of the two driving forces are properly adjusted in the whole evolution process. Thus, distinct requirements of various evolution stages can be satisfied.

6. Conclusion

In the PSO community, it is a widely accepted choice that applying some elites, in terms of fitness values, to drive a population. However, there is an obvious flaw that the population may easily be premature convergence when optimizing a complicated multimodal problem, in which deception is one of the common phenomena. Thus, in this study, a novelty-based driving force, which entirely ignoring the objective of a problem, was introduced to overcome the deficiency of the fitness-based driving force.

In this study, an adjustable driving force based PSO (ADFPSO) was proposed, in which a single fitness-based driving force applied in the canonical PSO is replaced by a hybrid driving force in which the fitness-based driving force and the novelty-based driving force are two basic driving forces. During the search process of the population, the fitness-based driving force is used to improve the exploitation capability, while the novelty-based driving force is applied to enhance the exploration capability. Considering distinct requirements of the different evolution stages, weights of the two driving forces are adjusted during the search process through three time-varying parameters, i.e., p , c_1 , and c_2 . Based on the parameters, the novelty-based driving force plays a more significant role in the initial evolution stage aiming to increase the population's exploration ability. On the contrary, the fitness-based driving force plays a more important role intending to improve the population's exploitation capability.

To testify comprehensive performance of the proposed ADFPSO, two popular test suites, i.e., CEC2013 and CEC2017 test suites, were chosen as test func-

tions, while other 9 popular PSO variants were adopted as competitors. The comparison results verified that ADFPSO can offer outstanding and **comprehensive performance** on the test suites, especially on complicated multimodal functions. Moreover, properties of the newly proposed strategies involved in ADFPSO were also examined by a few experiments. Based on these experimental results, a few preliminary conclusions can be obtained. First, the novelty-based driving force has positive performance on keeping the population diversity. Second, the adjustable driving force can offer more reliable and promising characteristics than only one driving force. Last, the adjustable driving force has very favorable performance on complicated multimodal functions.

Although the favorable property of the novelty-based driving force has been verified by extensive experiments, it is dangerous to regard that it is superior to the traditional fitness-based driving force in general. In fact, the two driving forces have their own merits. Thus, it is a promising research subject that organic hybrid the two driving forces, and then adjusting their weights during the entire search process to fulfill distinct requirements of different evolution stages. To achieve the objectives, how to design an efficient “novelty” index for a specific problem is the first issue should be dealt with. Although a particle’s novelty is defined as an average distance between the particle and its neighbors in this study, it does not mean that the definition is the best choice. In fact, it is more suitable and feasible that applying appropriate metrics to measure a particle’s novelty according to a problem’s specific property. Furthermore, another one issue should be further studied is designing a proper adaptive adjustment for the weights of the two distinct driving forces, rather than the simple time-varying adjustments in ADFPSO. Only giving enough consideration to the current stage of a population and properties of a problem’s fitness landscape, can distinct virtues of various driving forces be sufficiently utilized.

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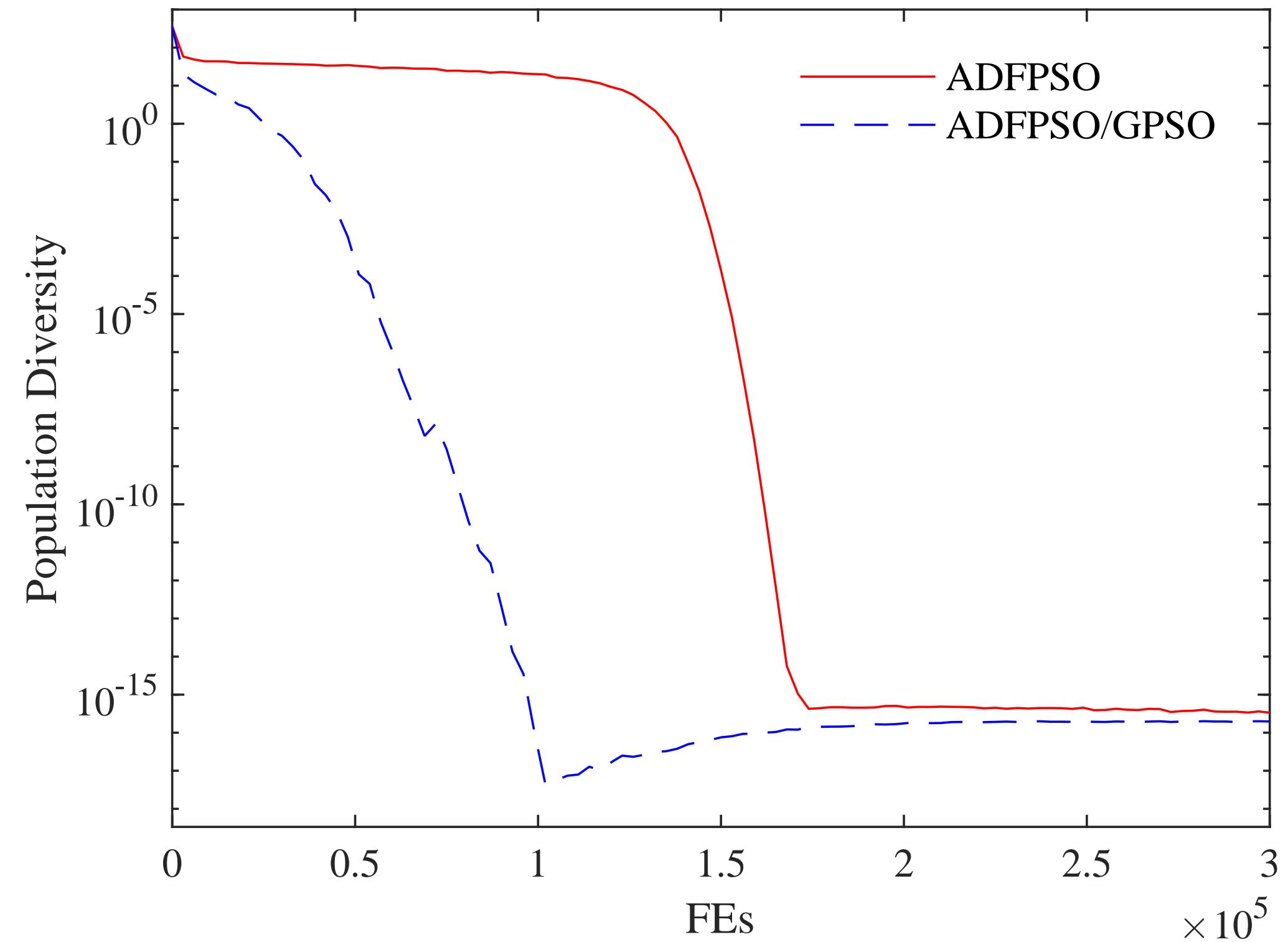
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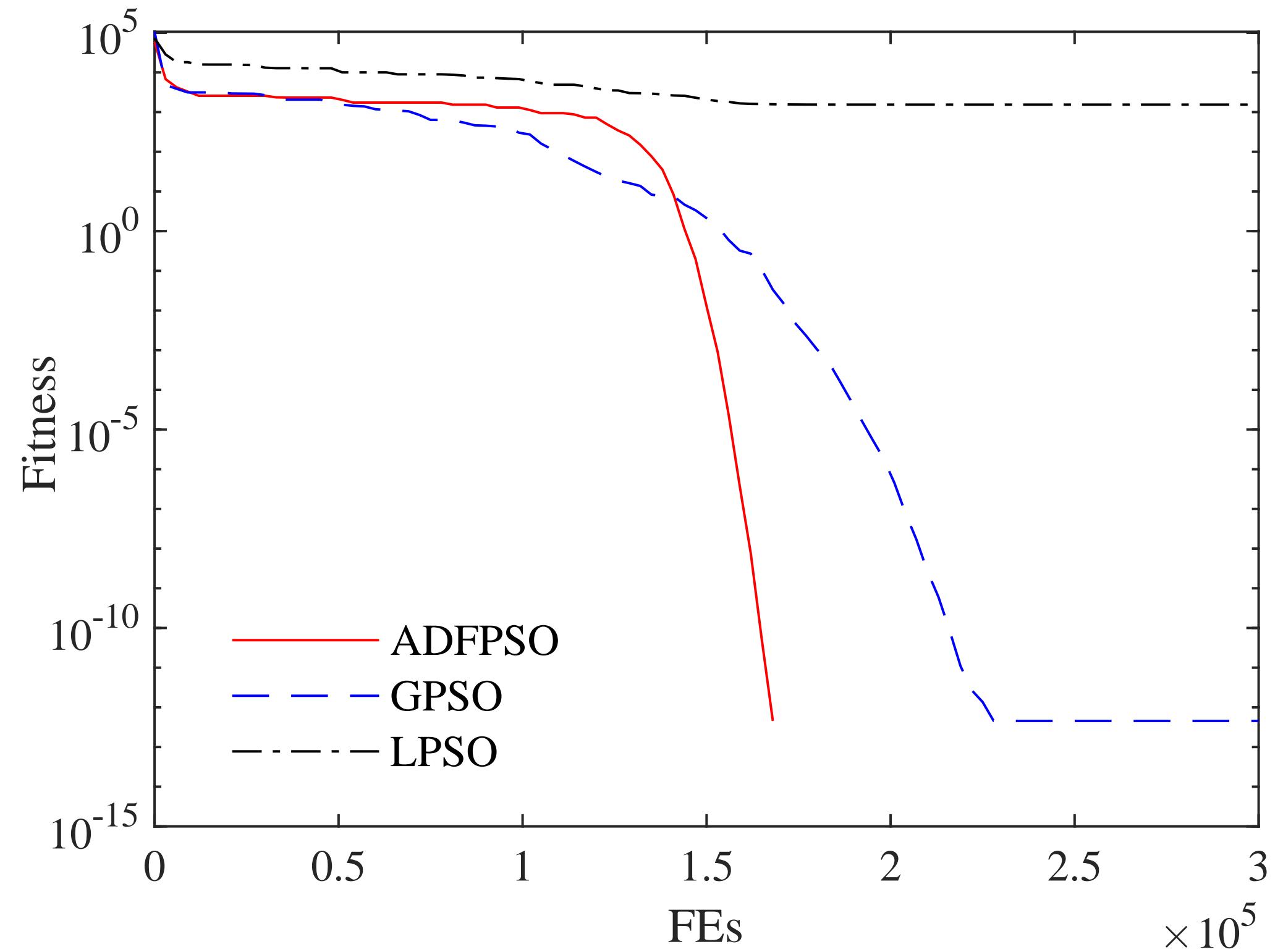
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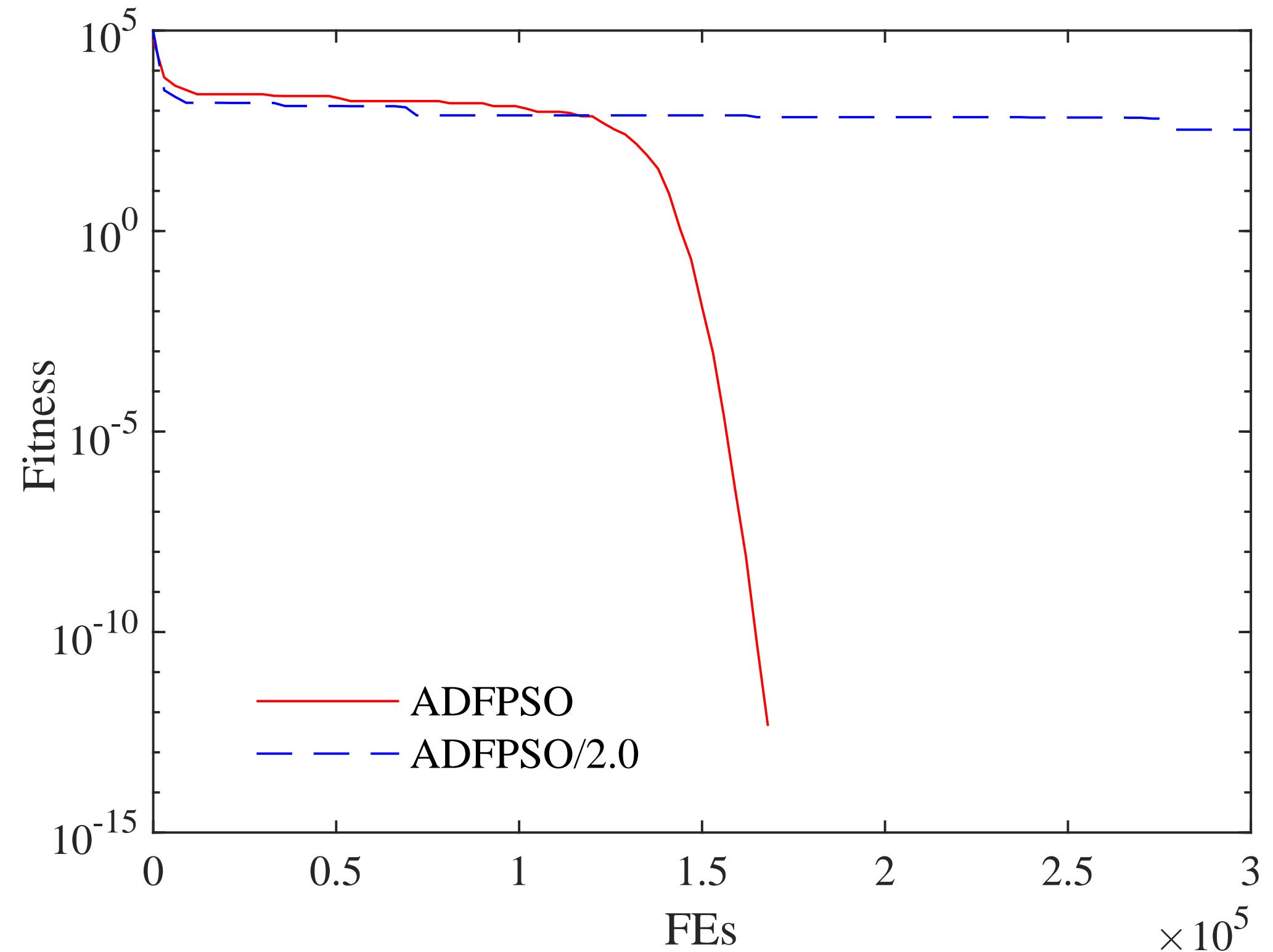
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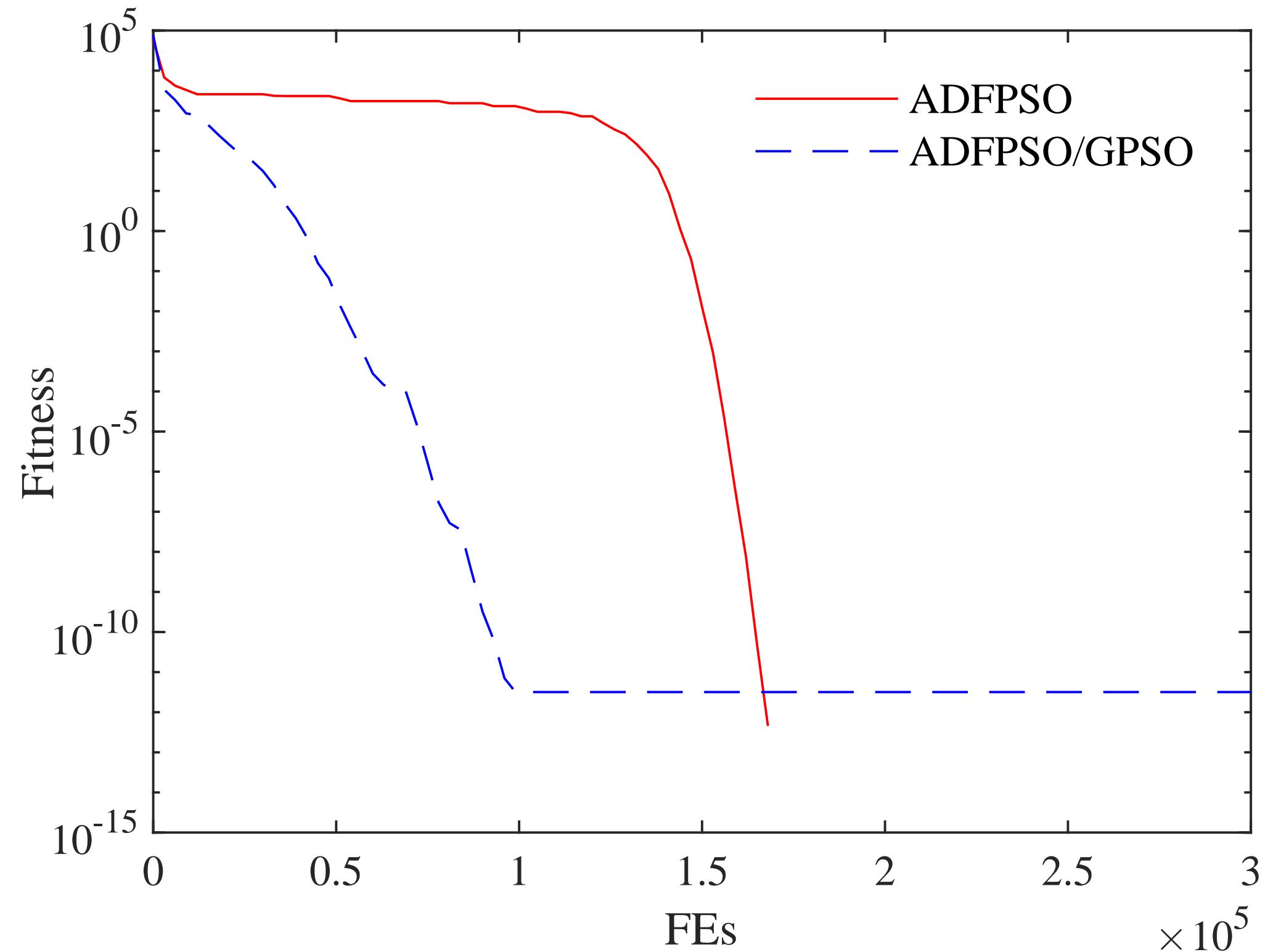
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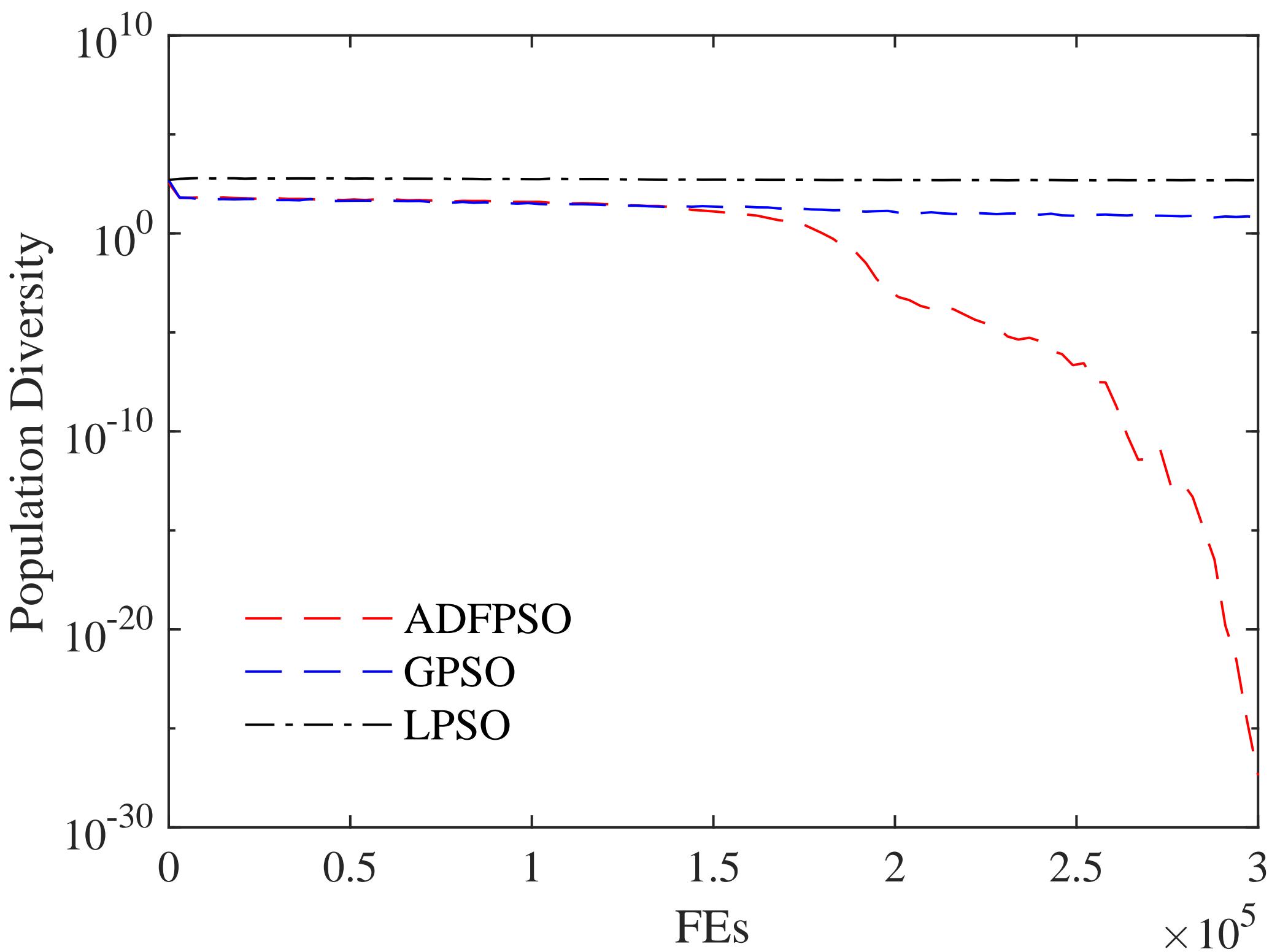
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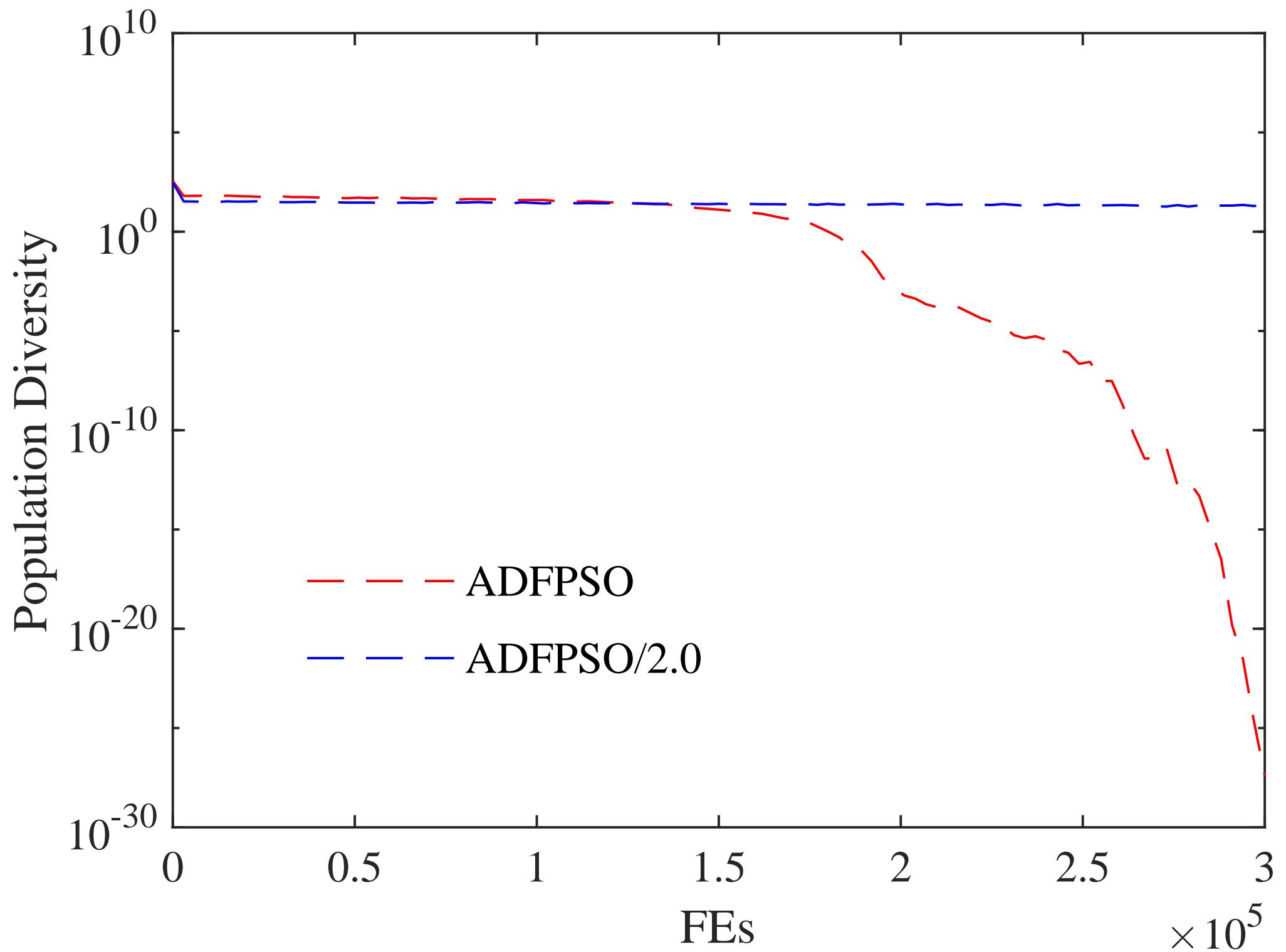


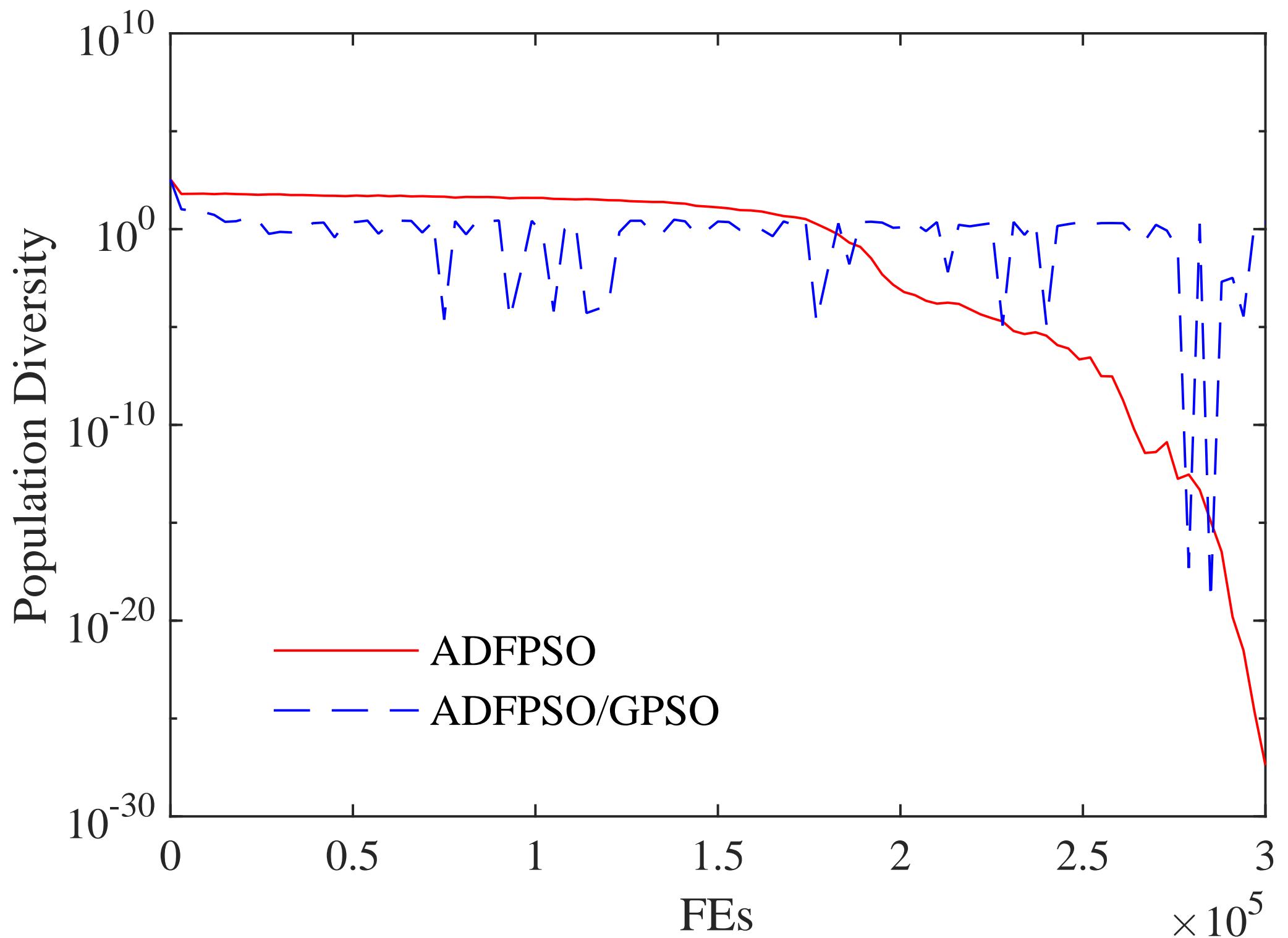


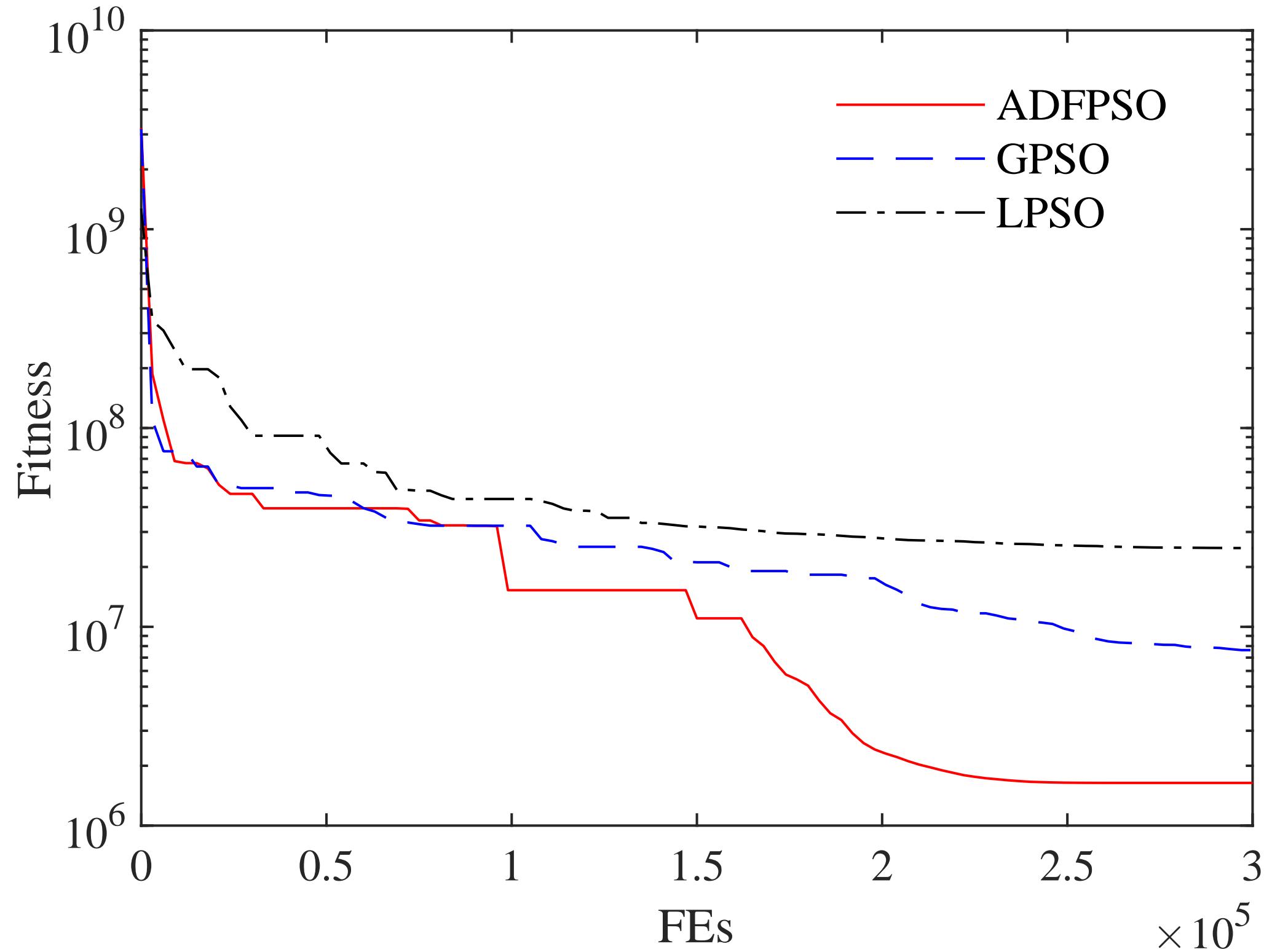


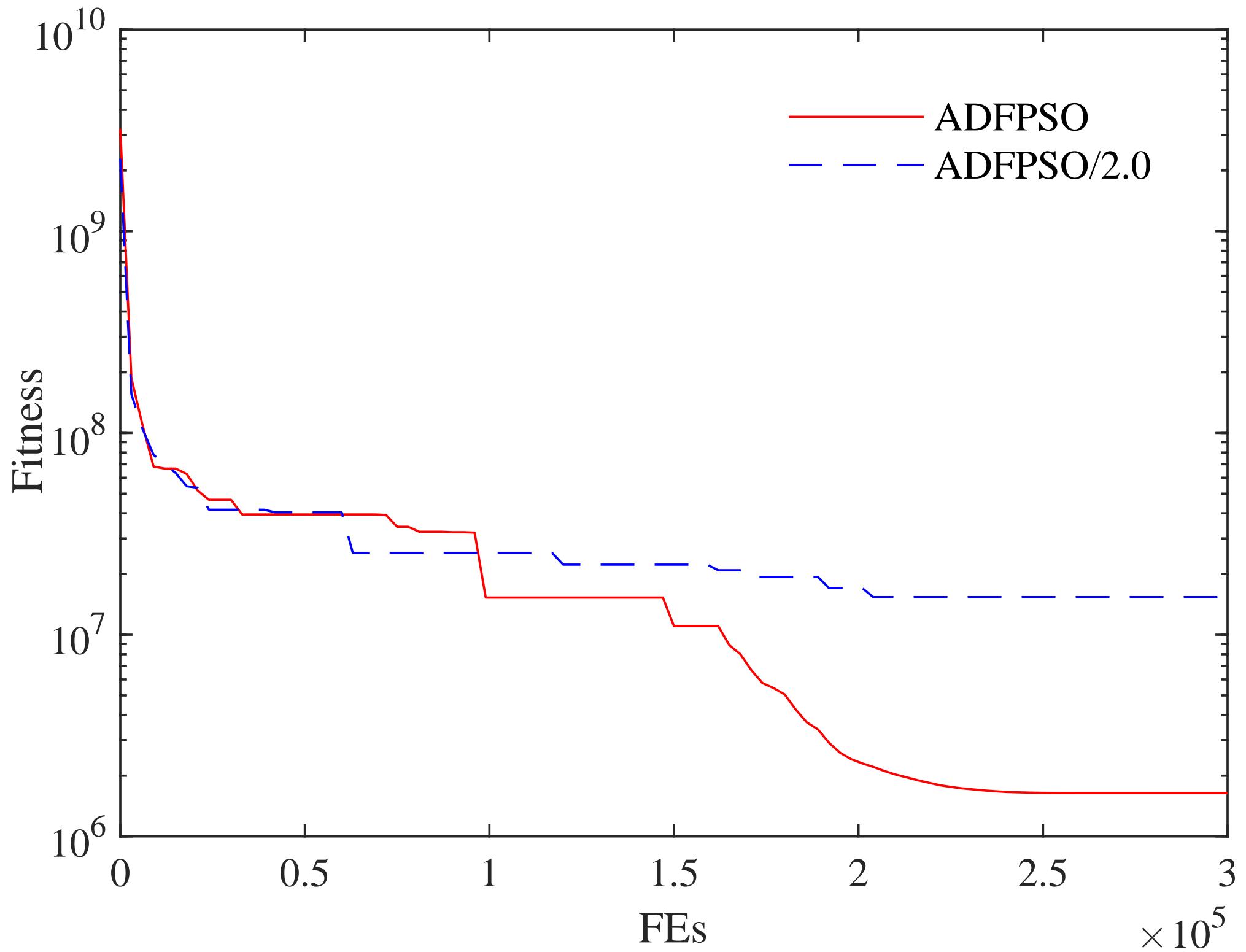


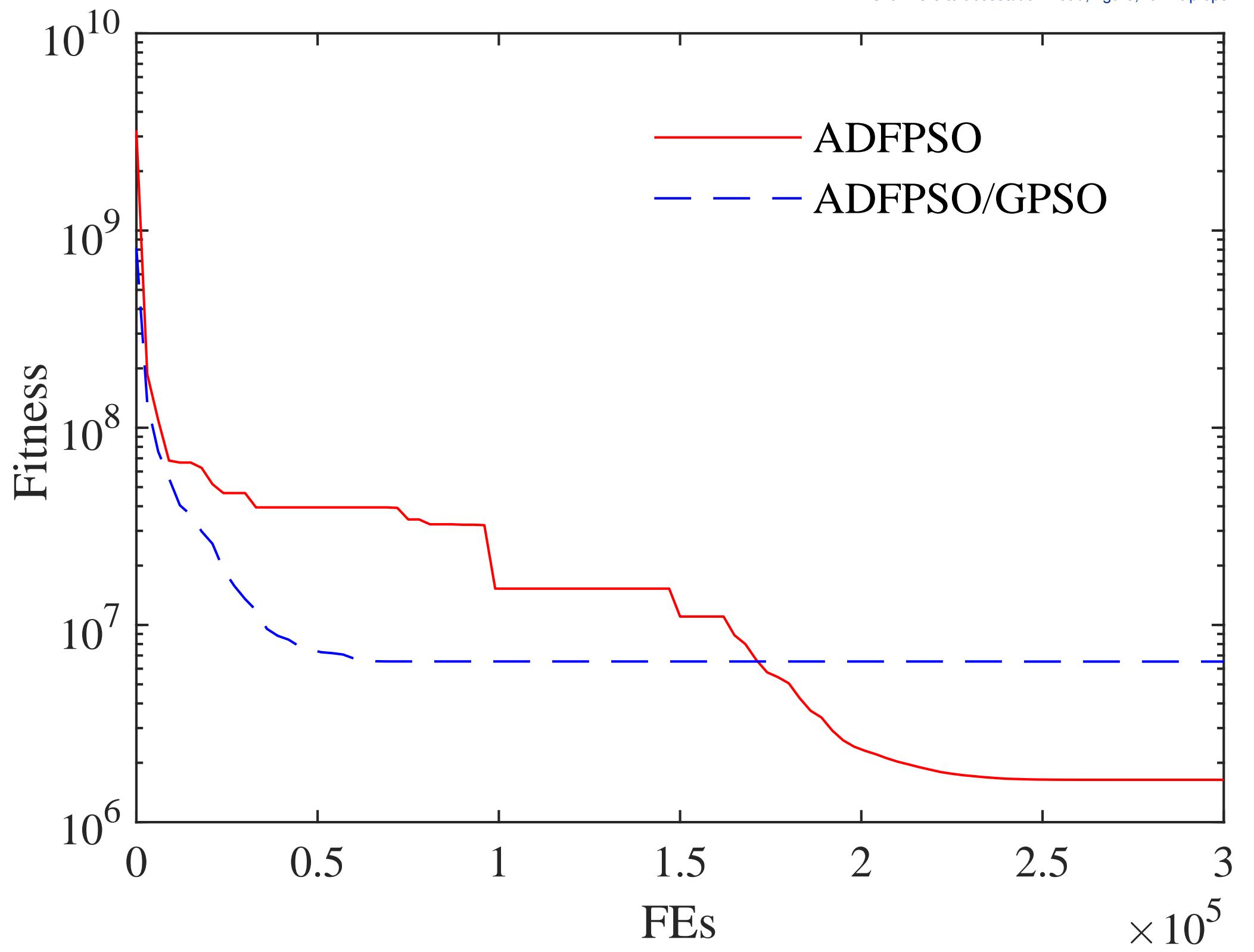


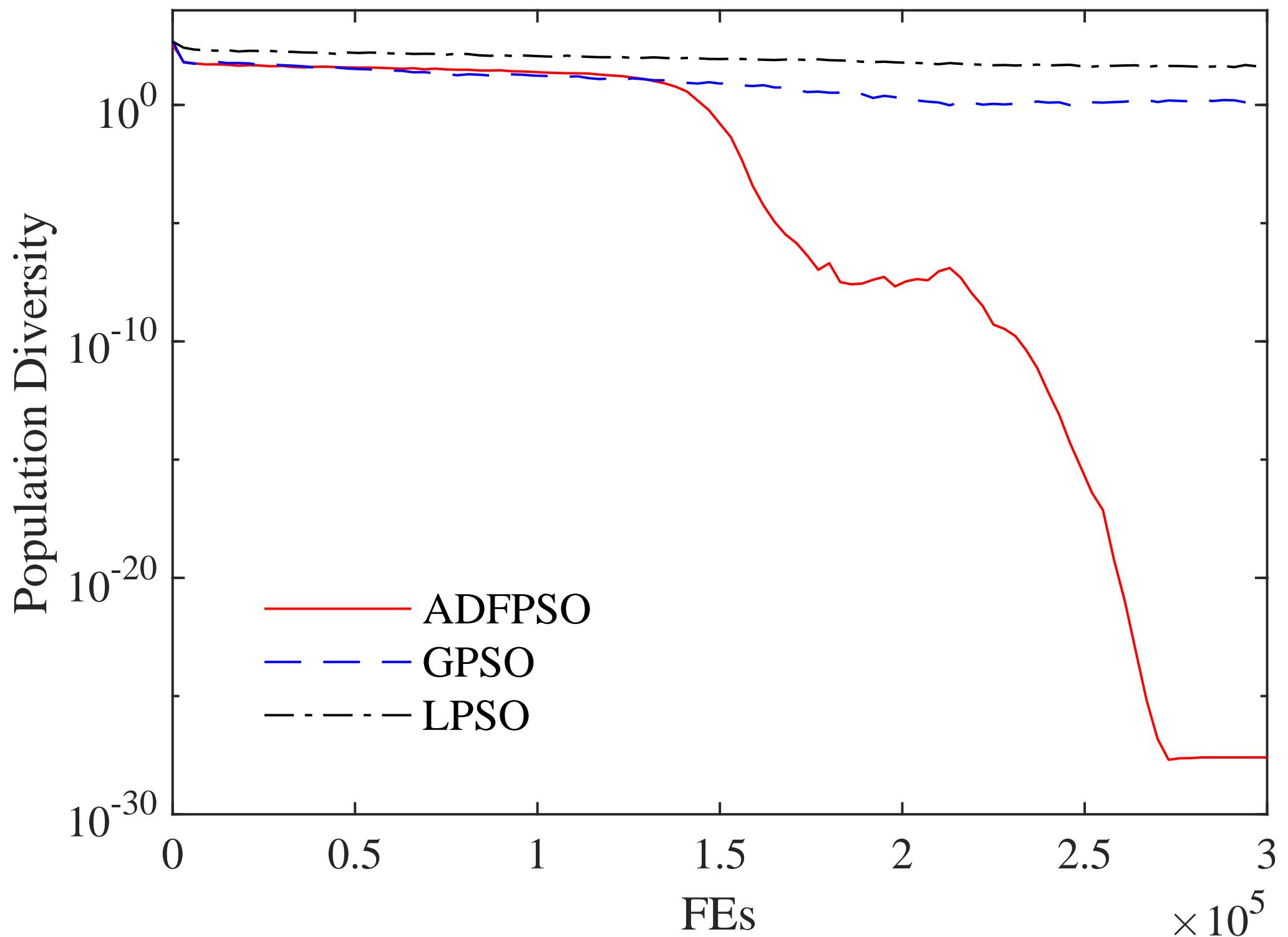


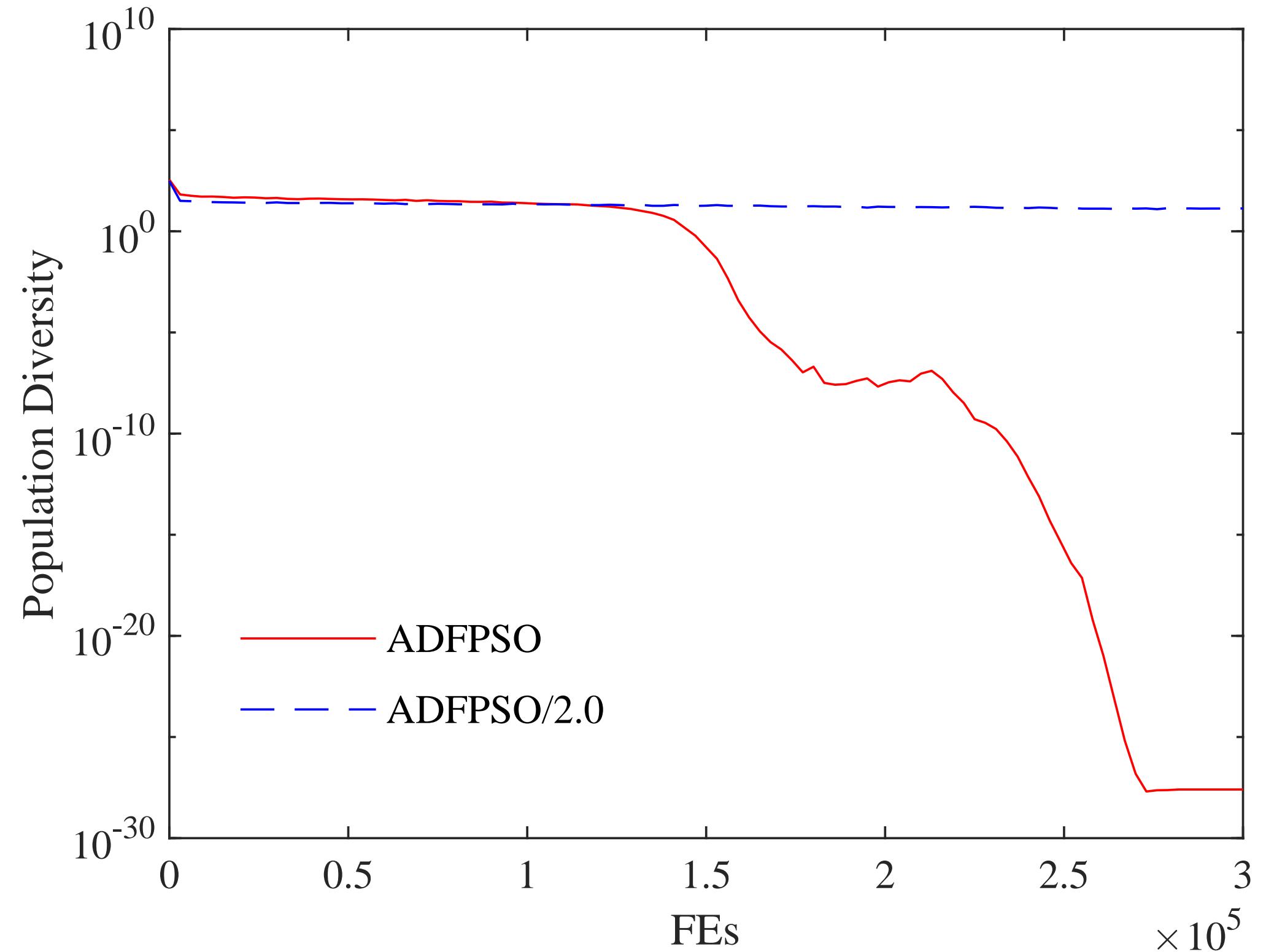


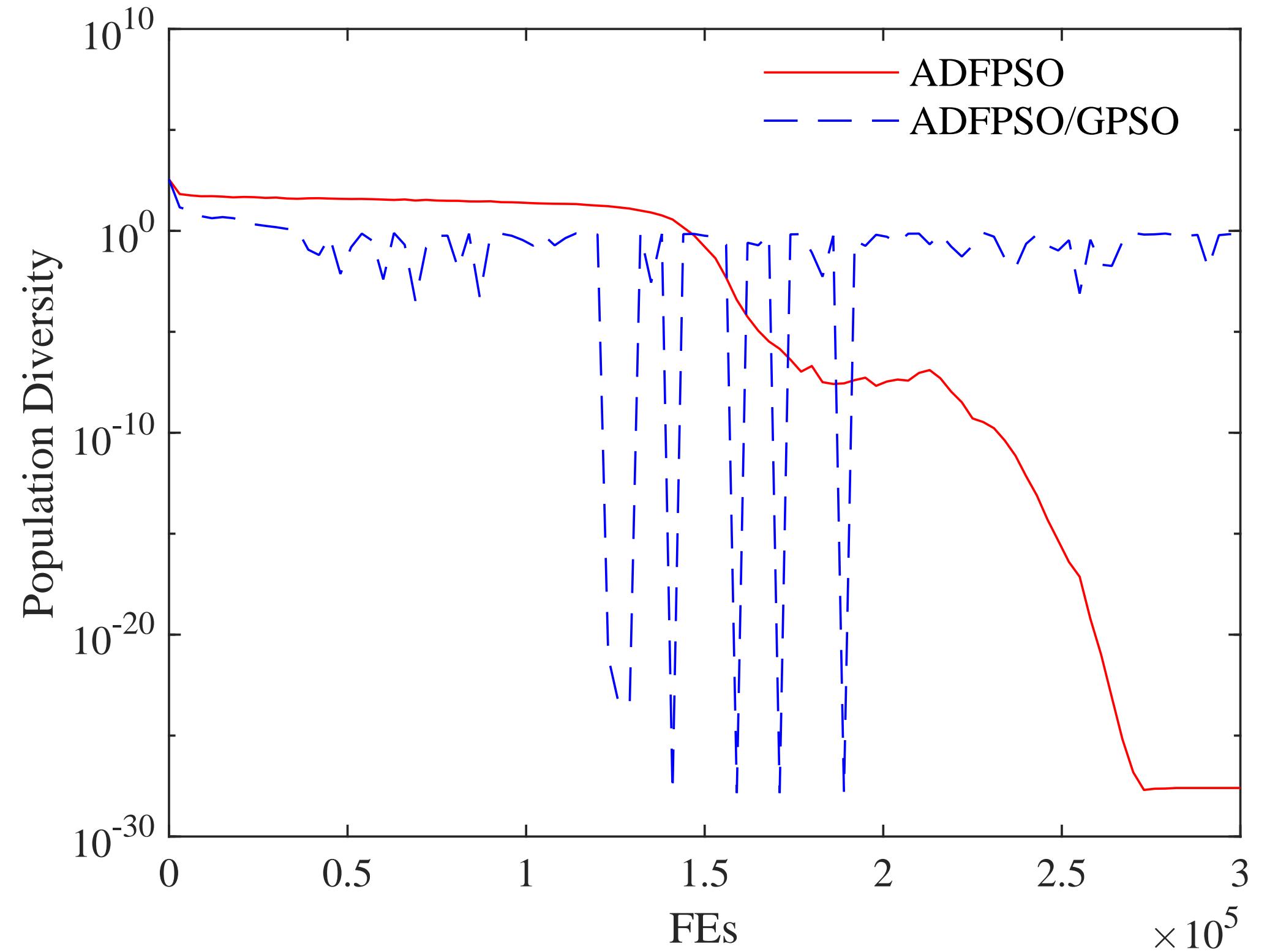


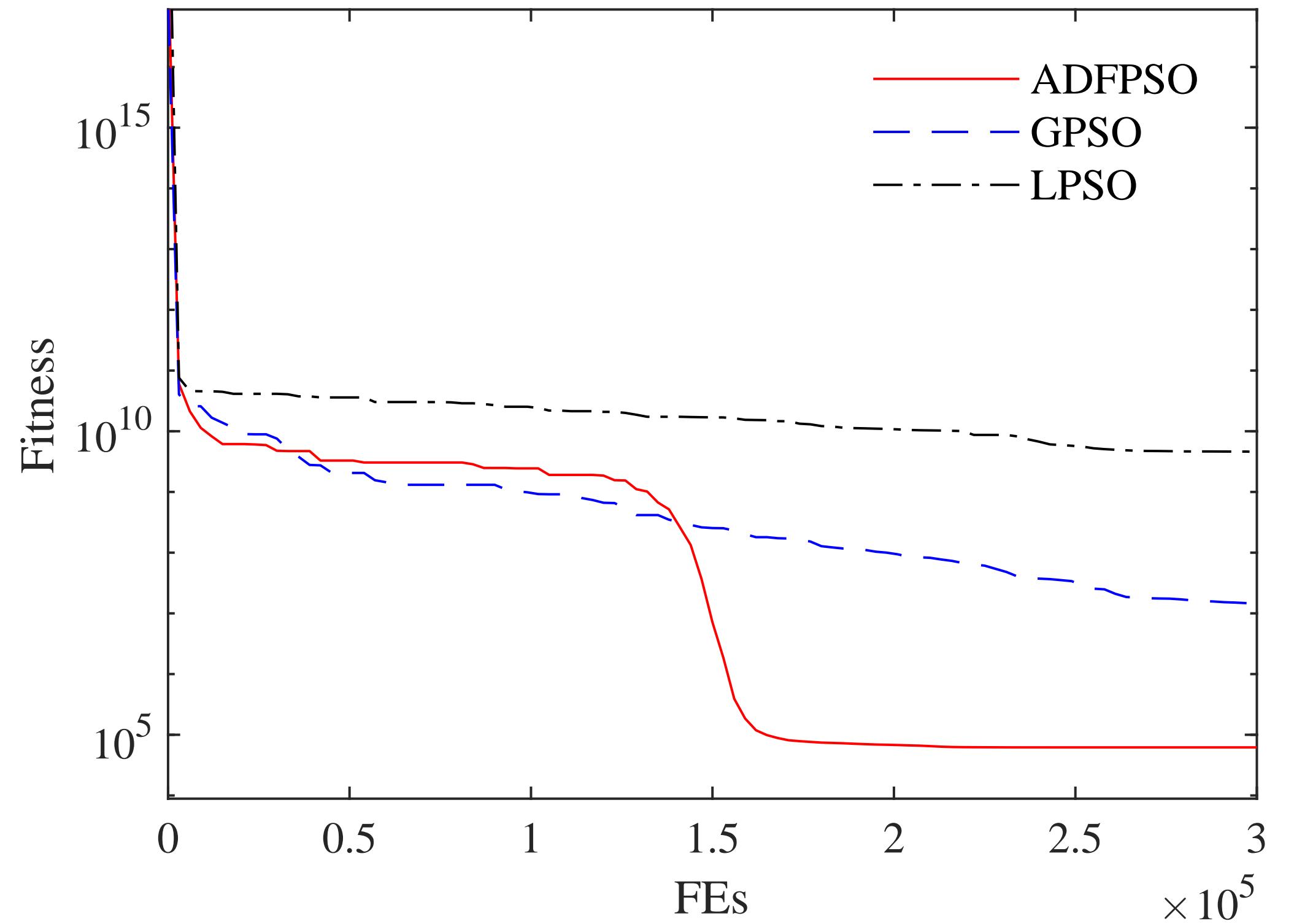


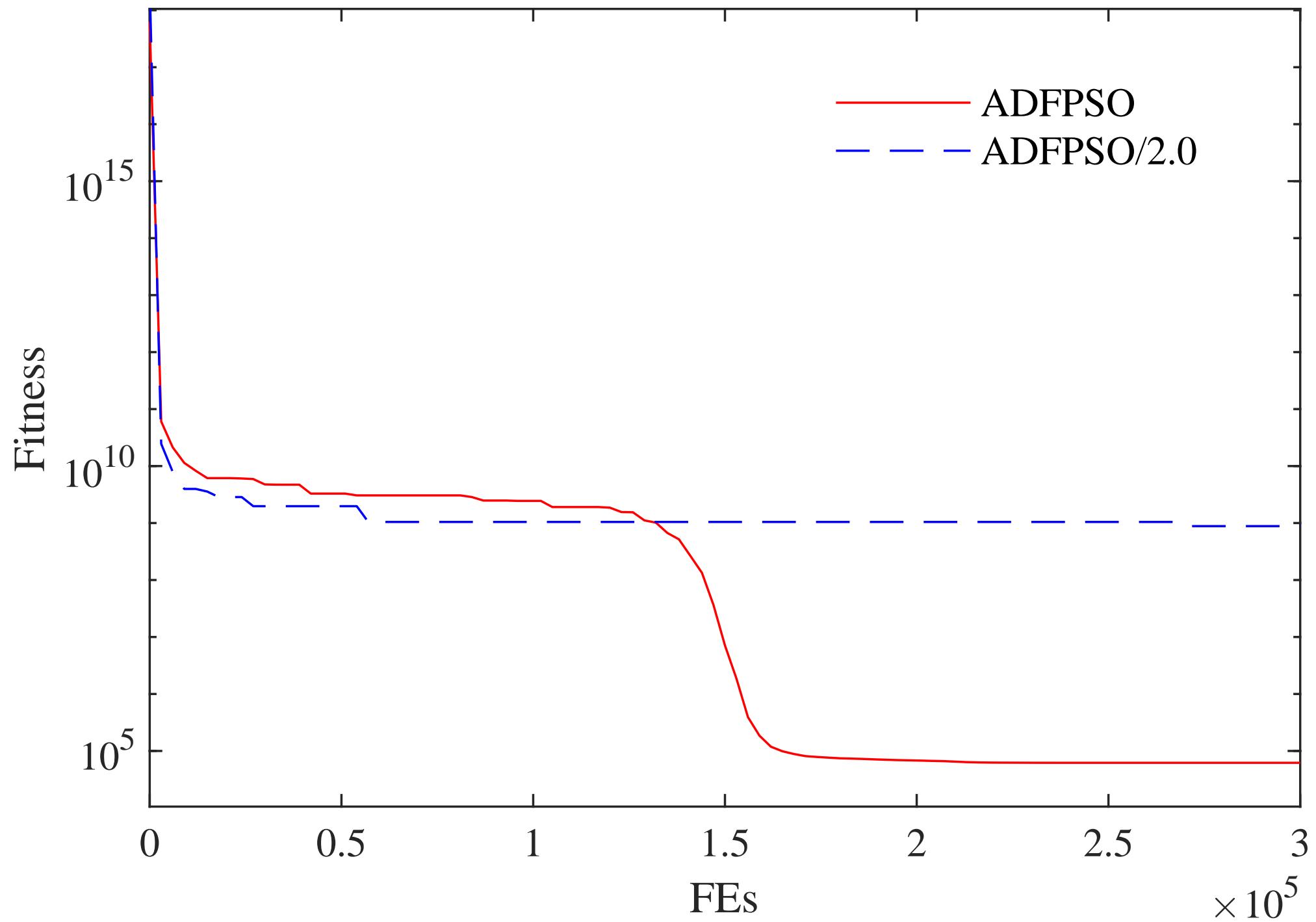


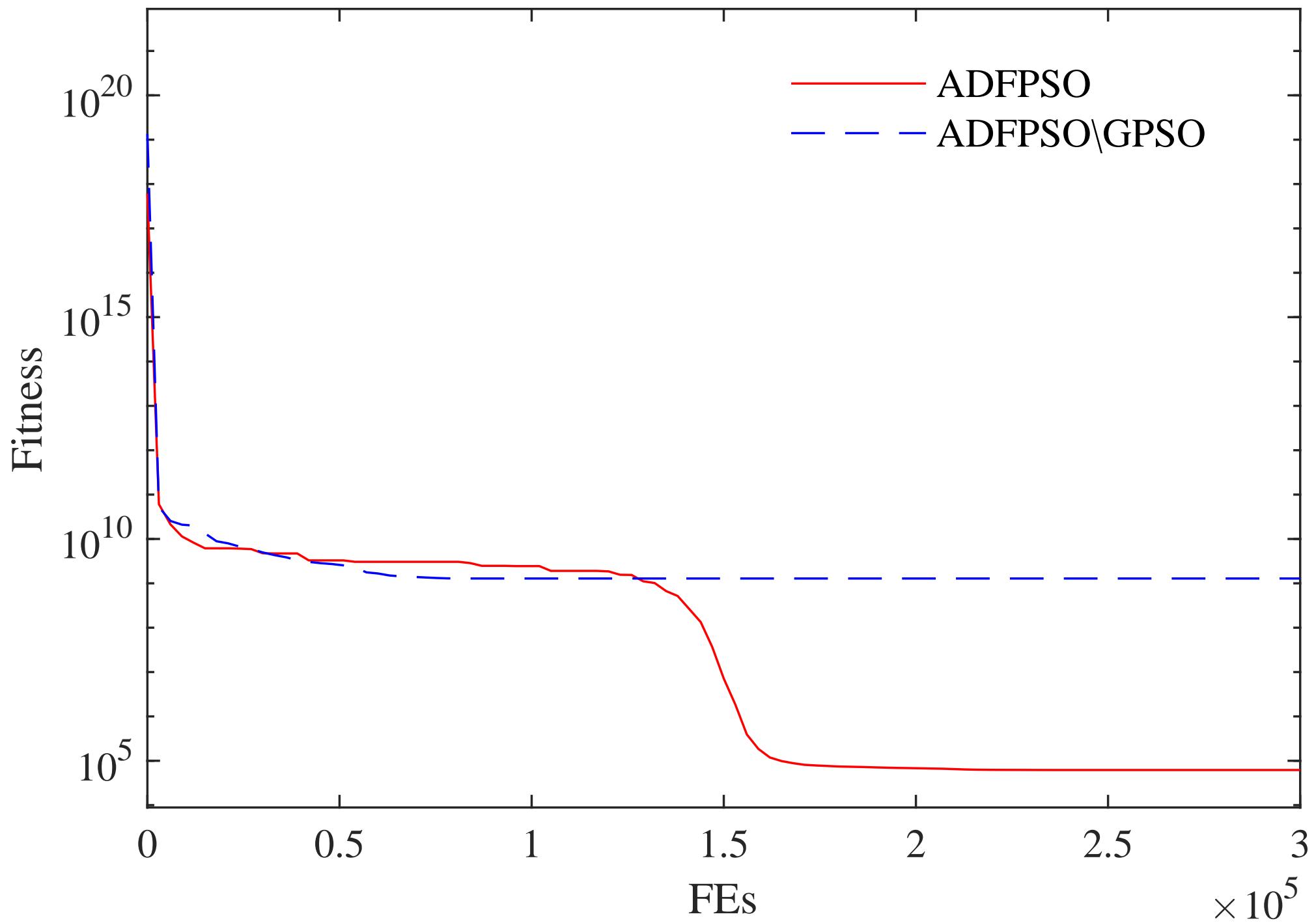


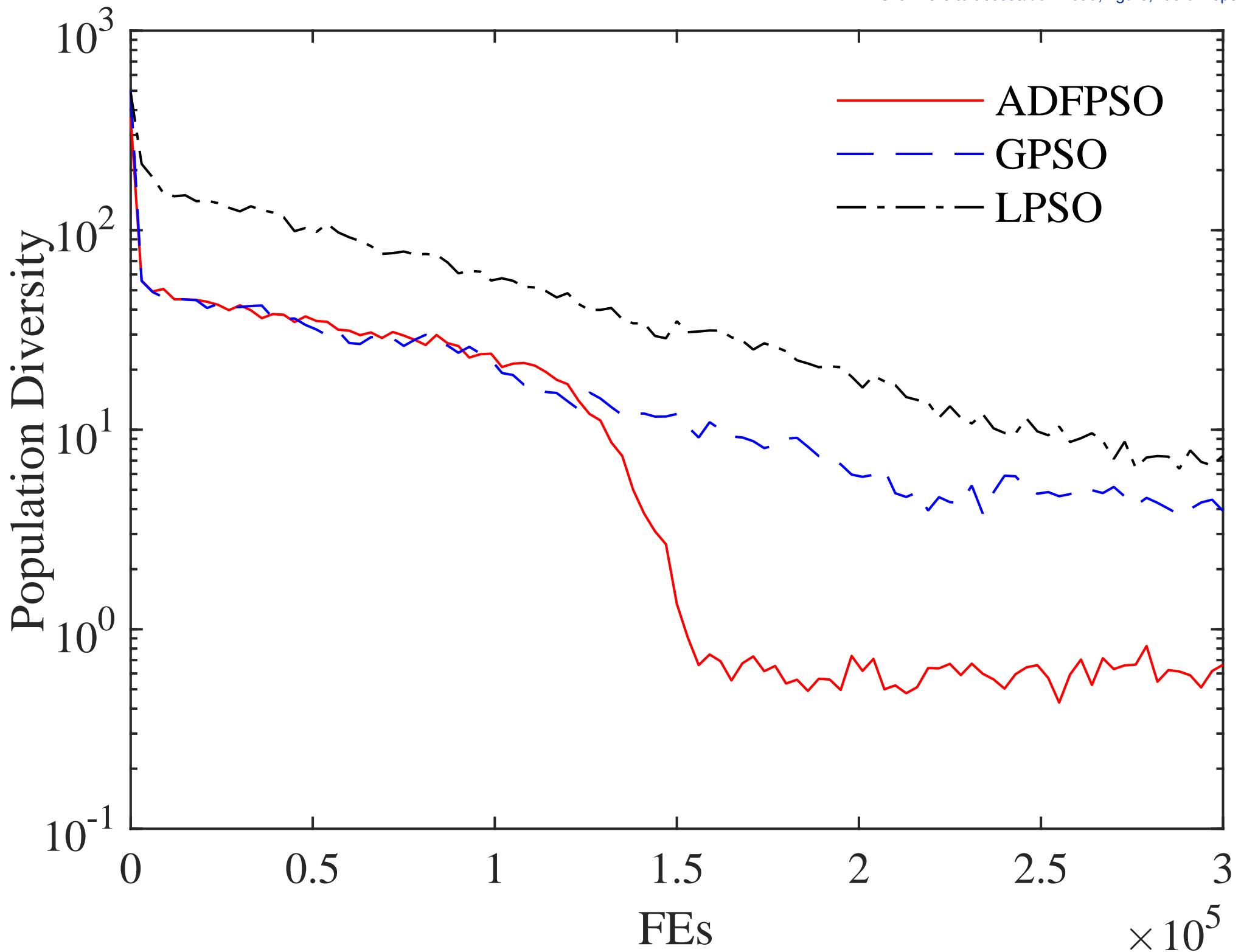


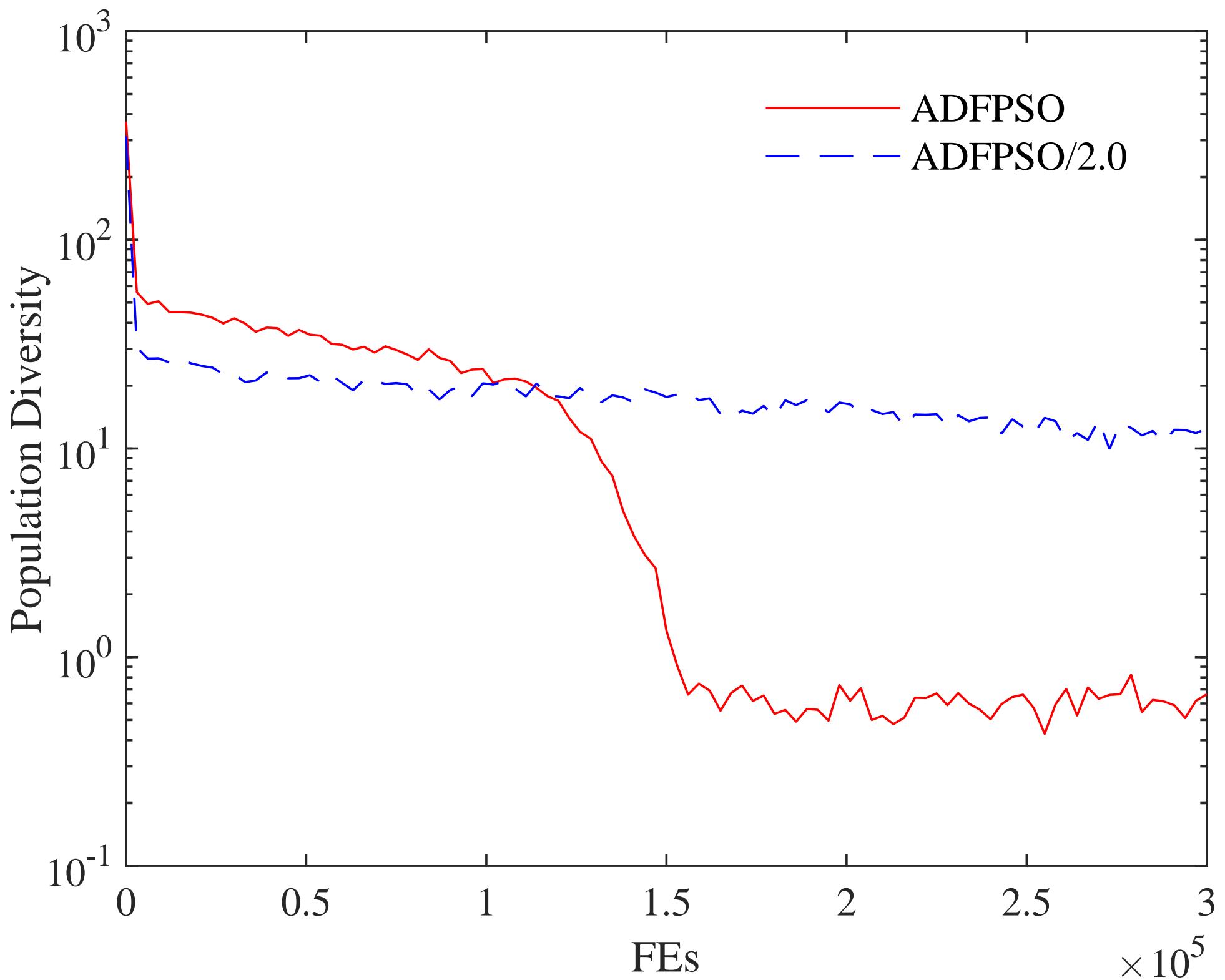


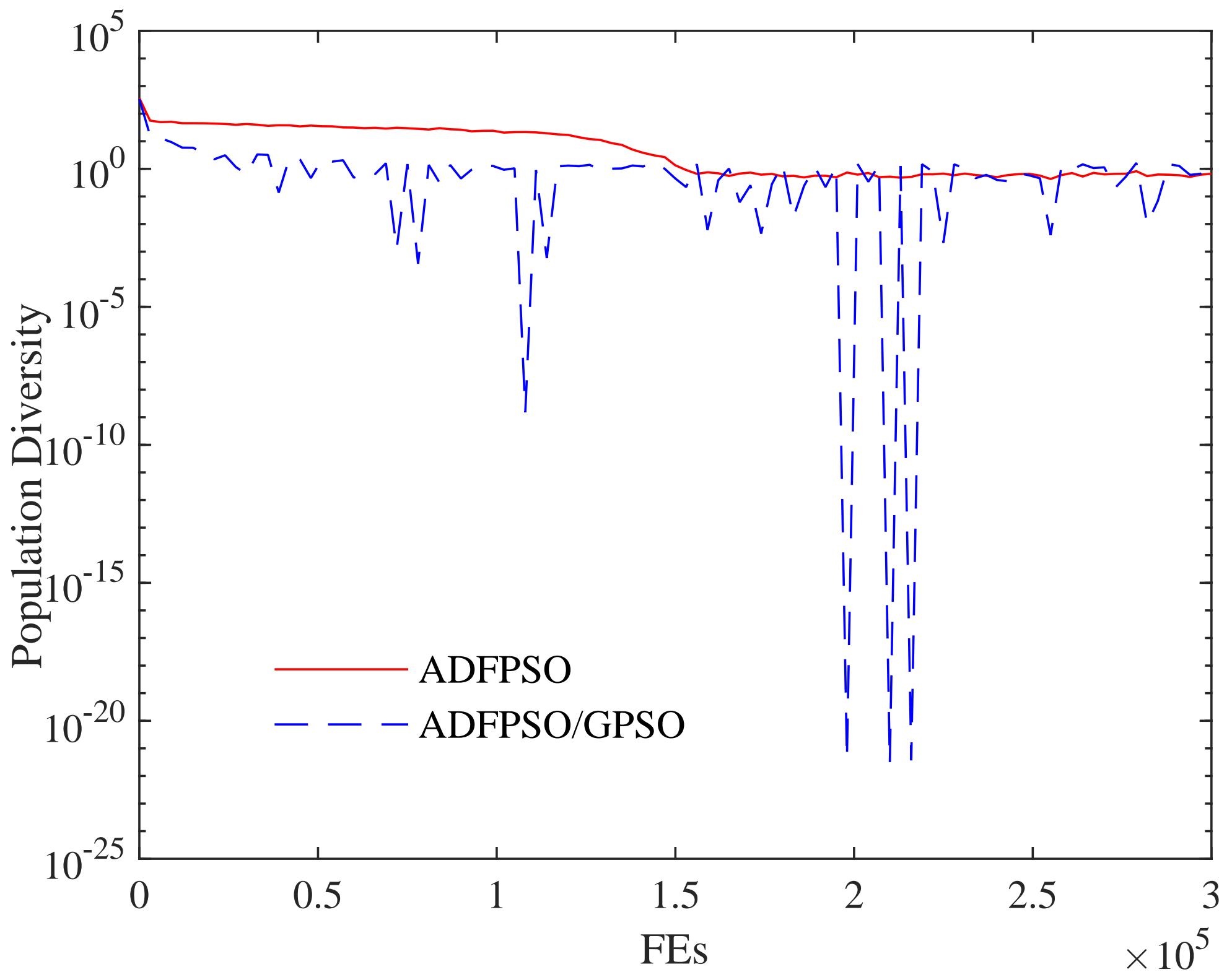


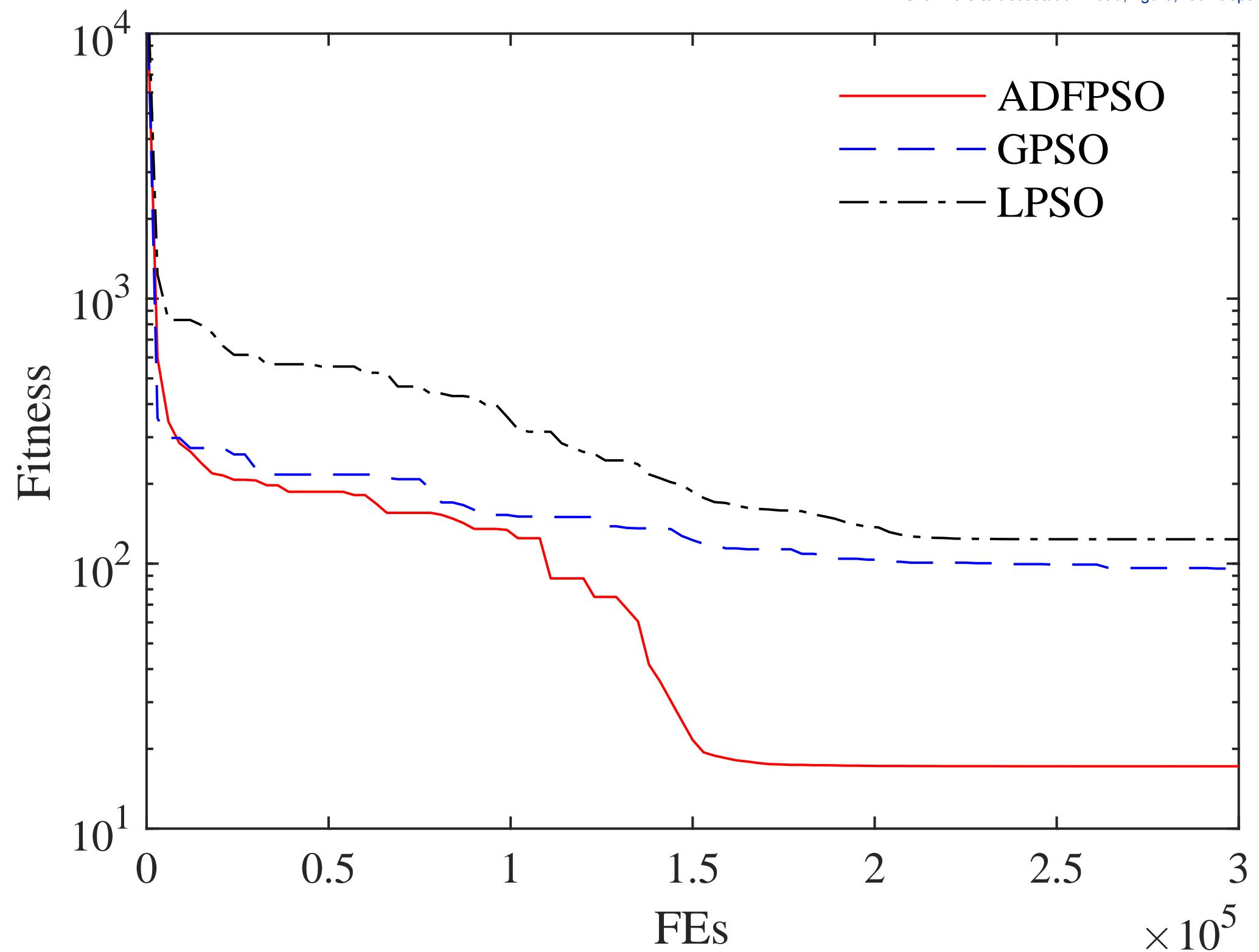


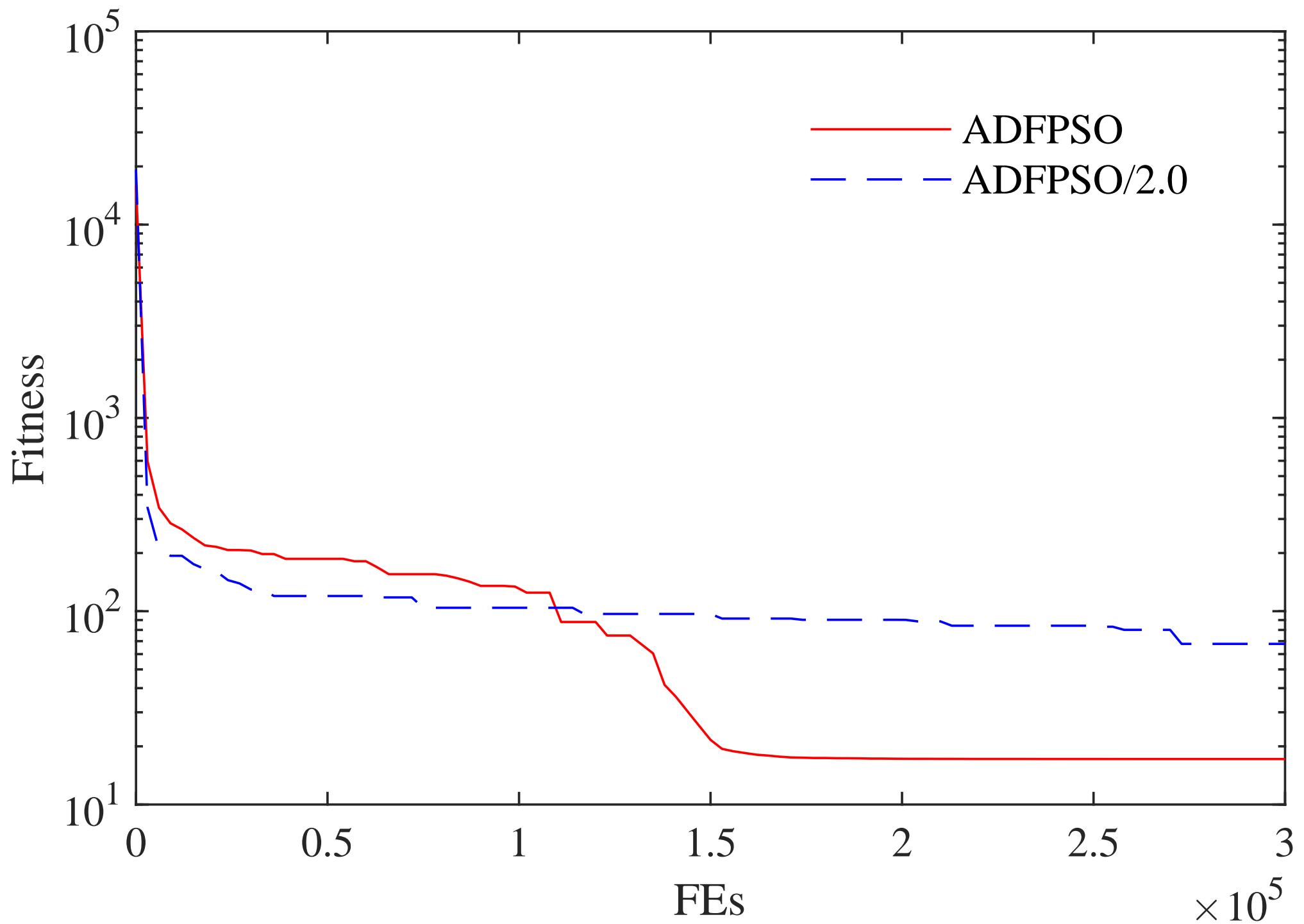


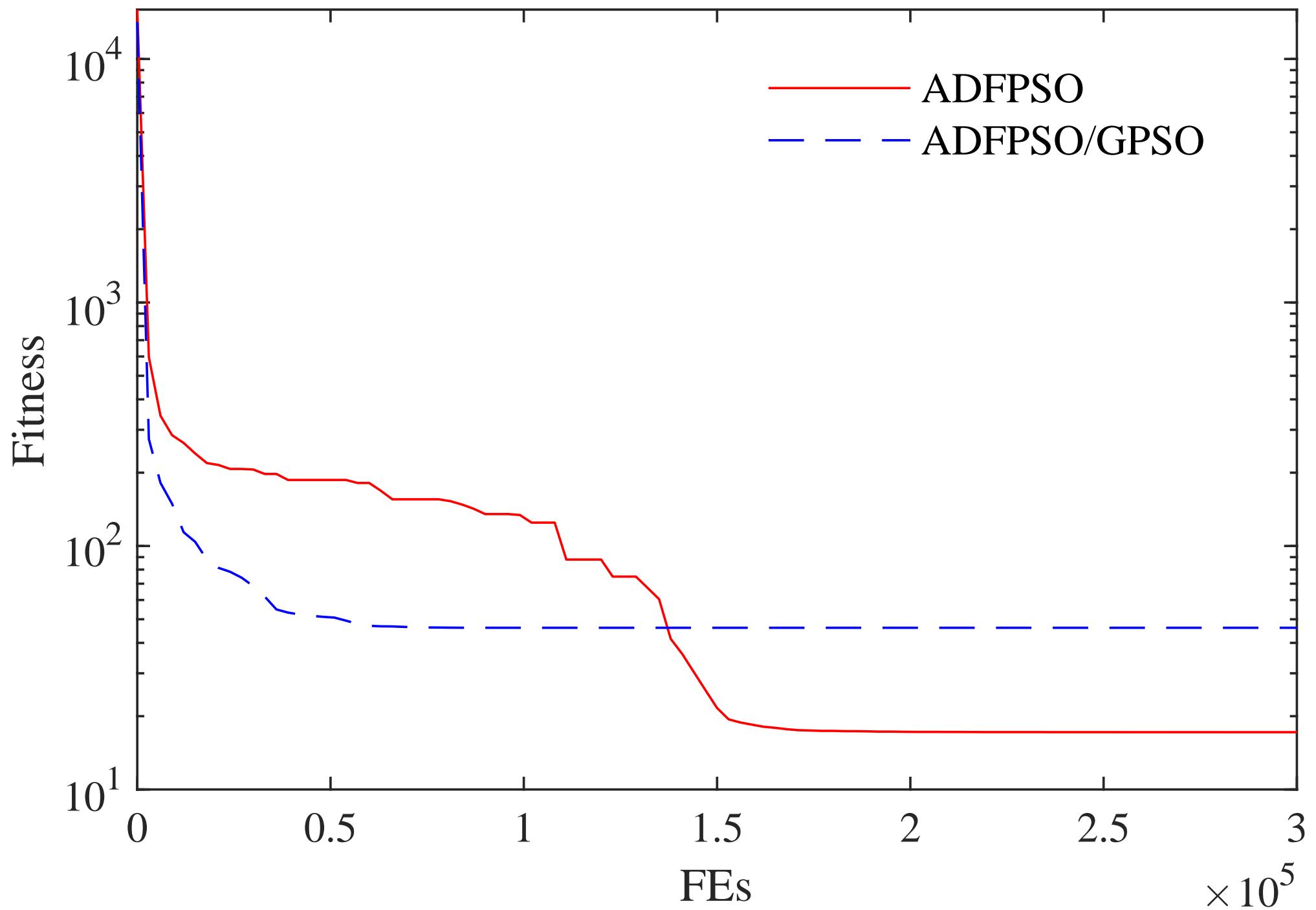


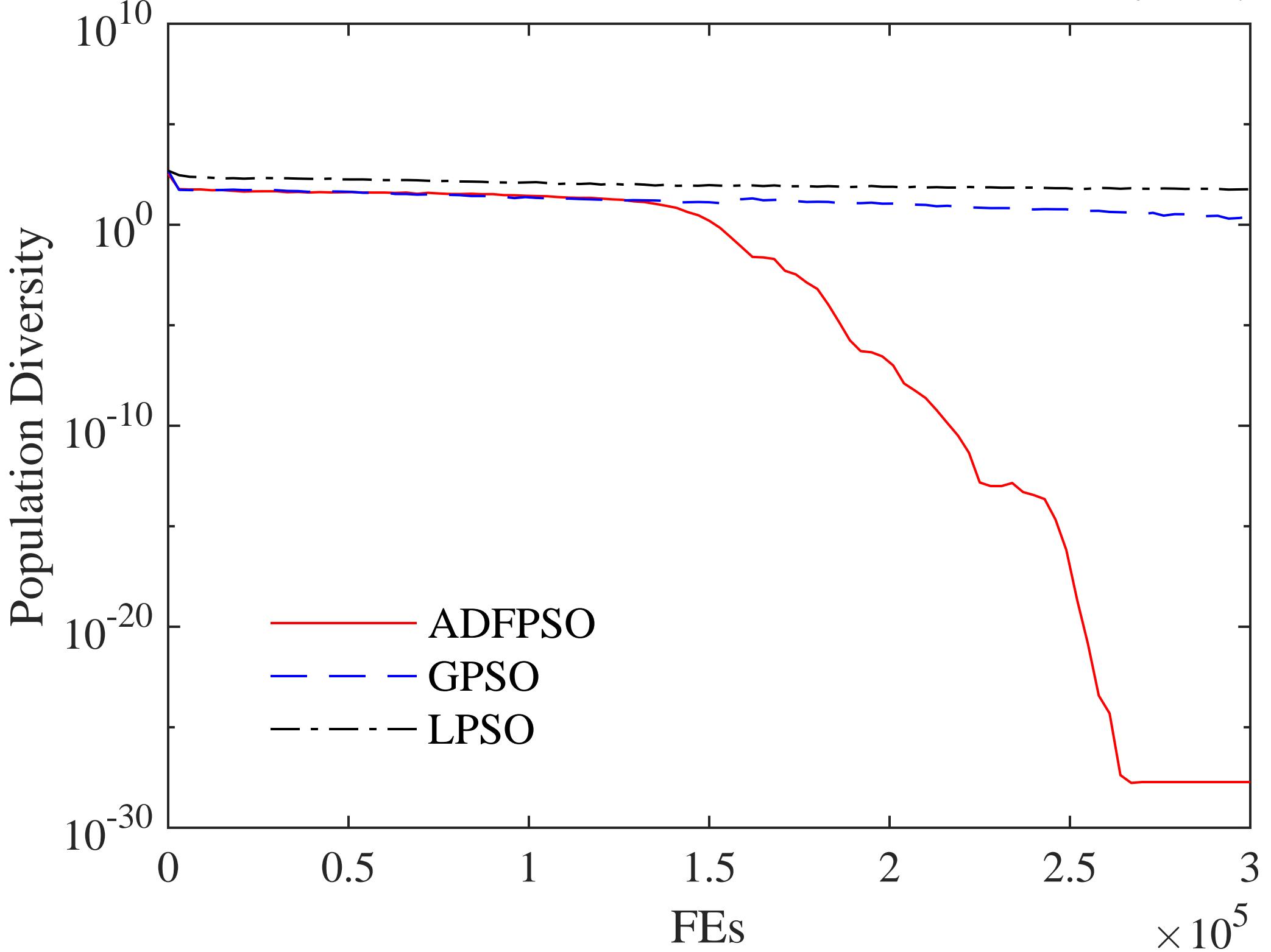


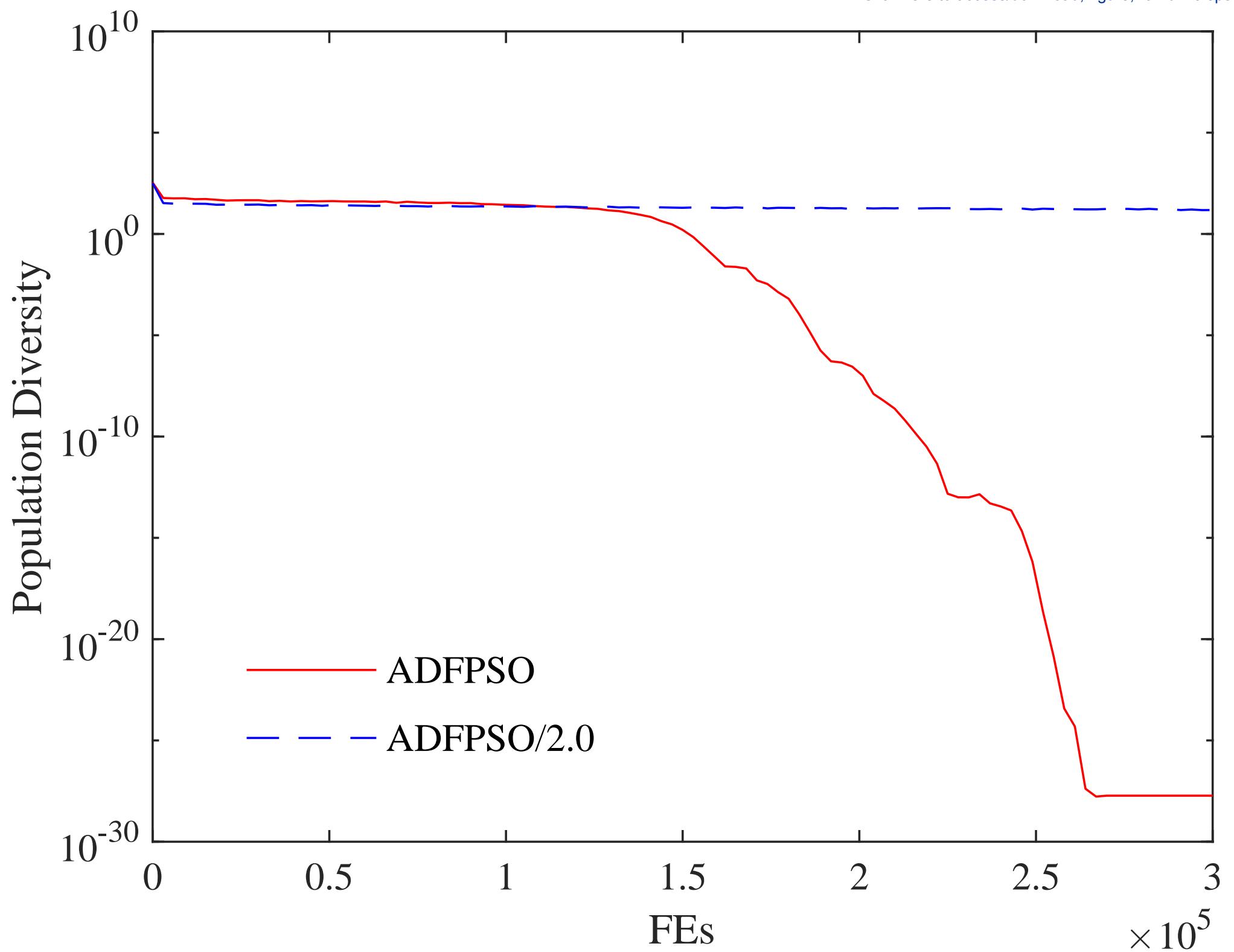


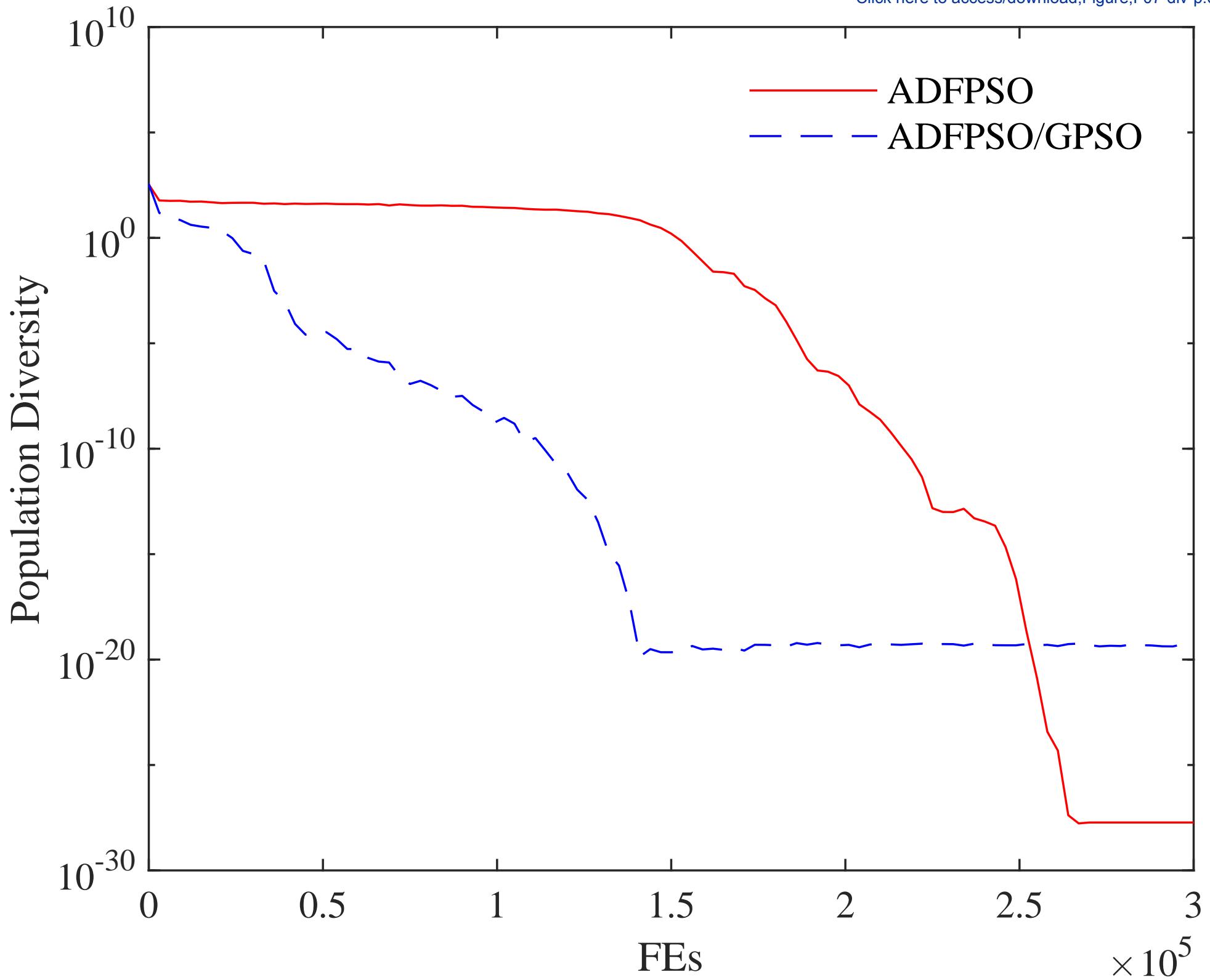


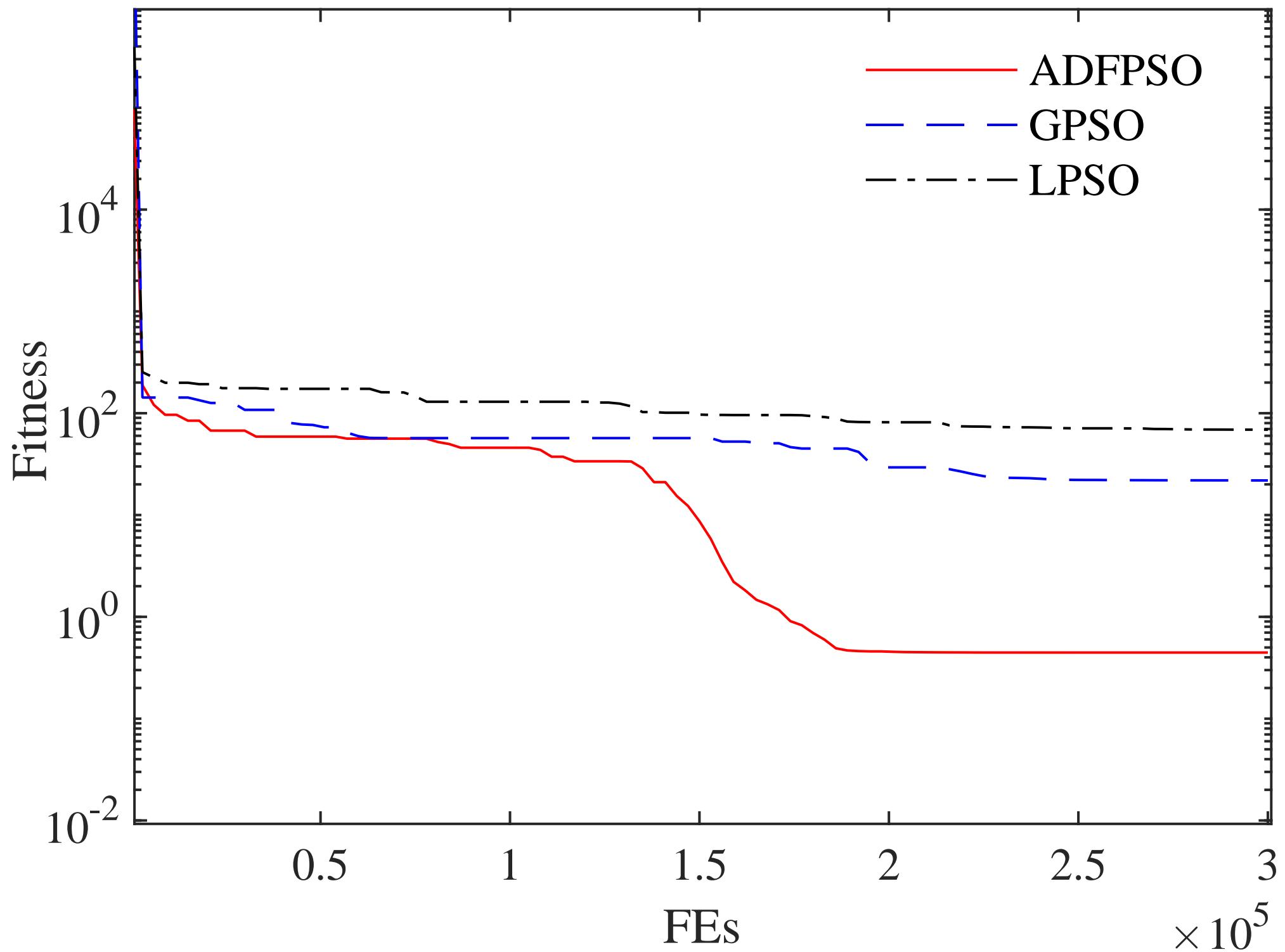


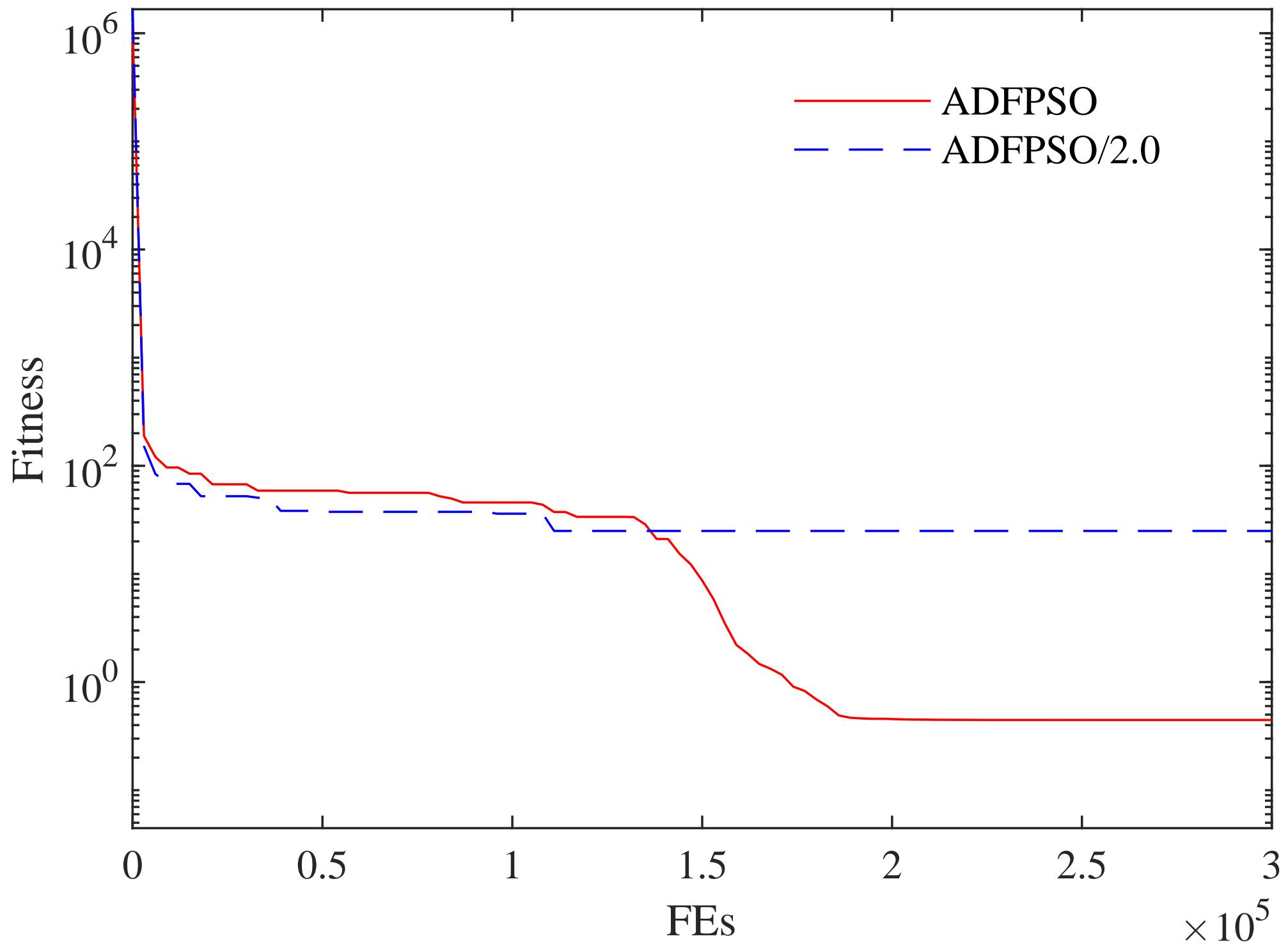


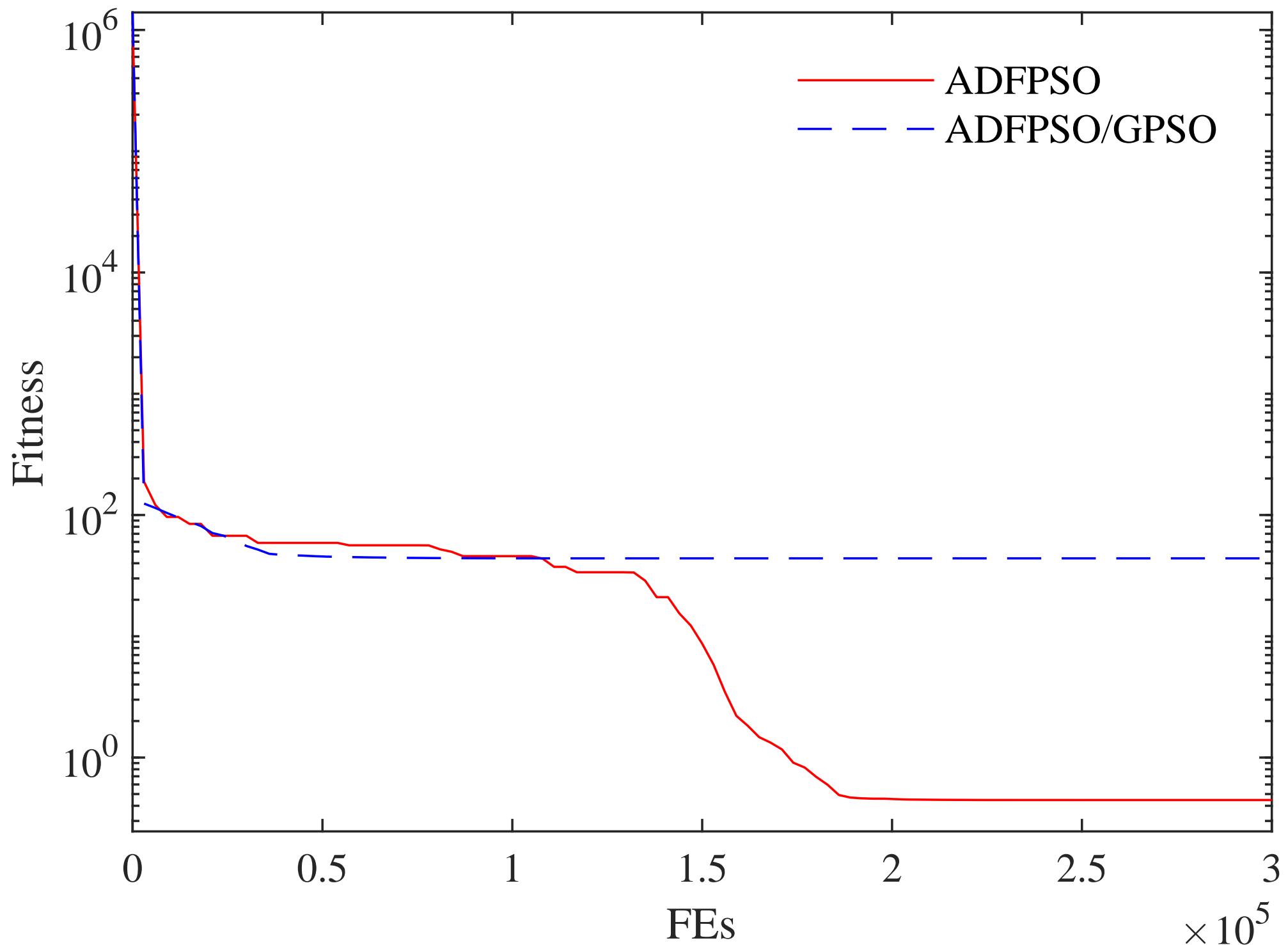


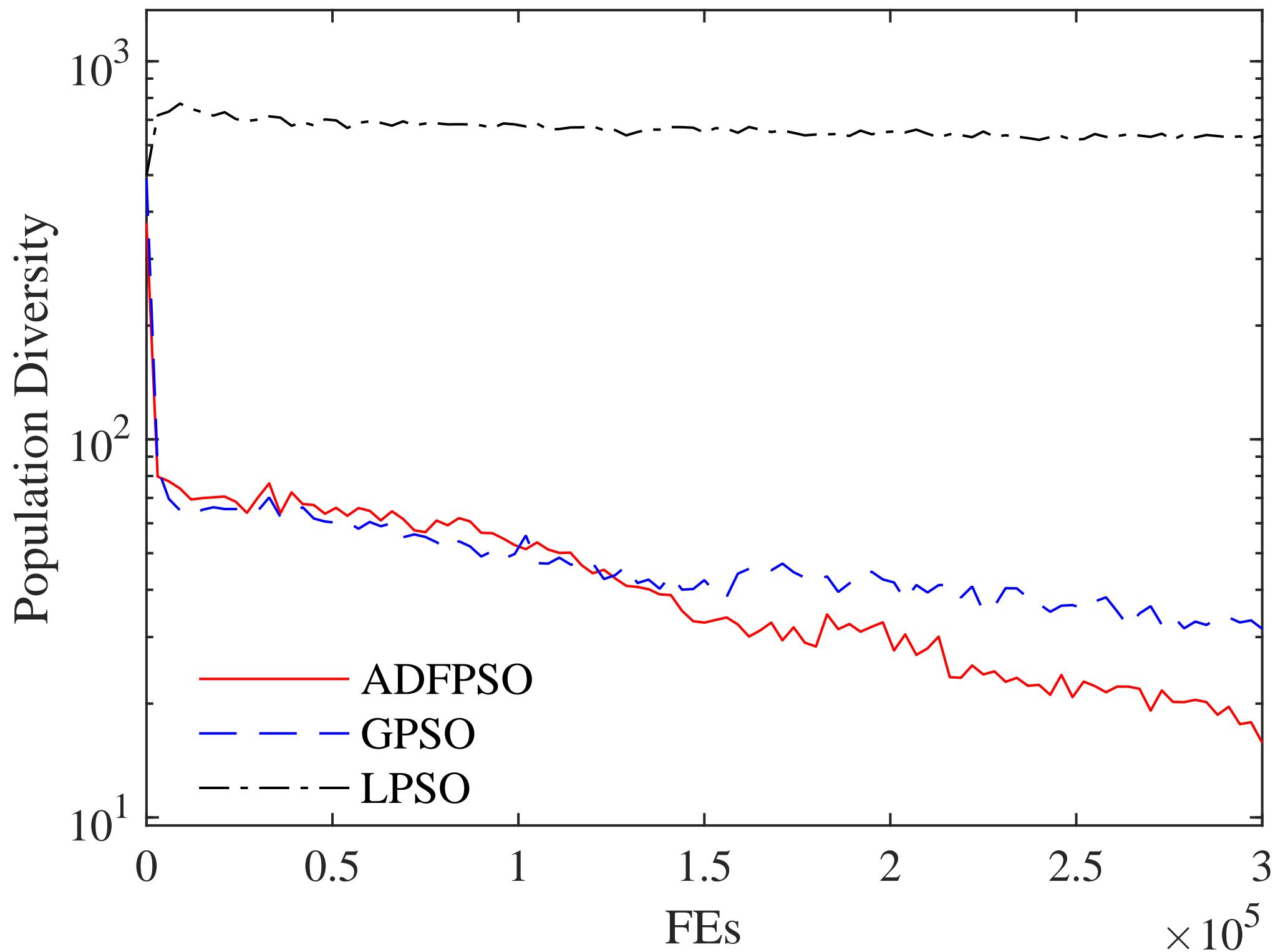


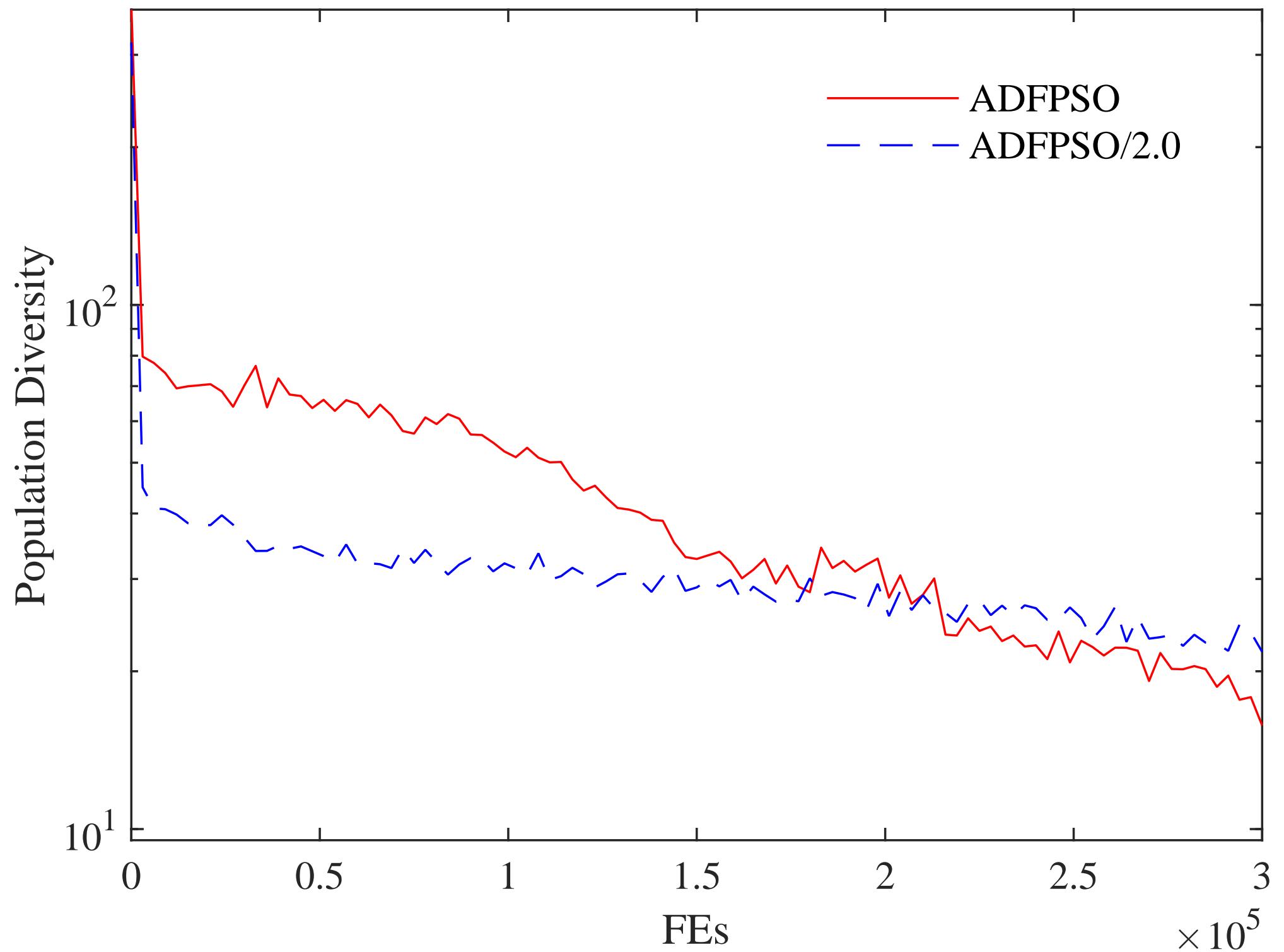


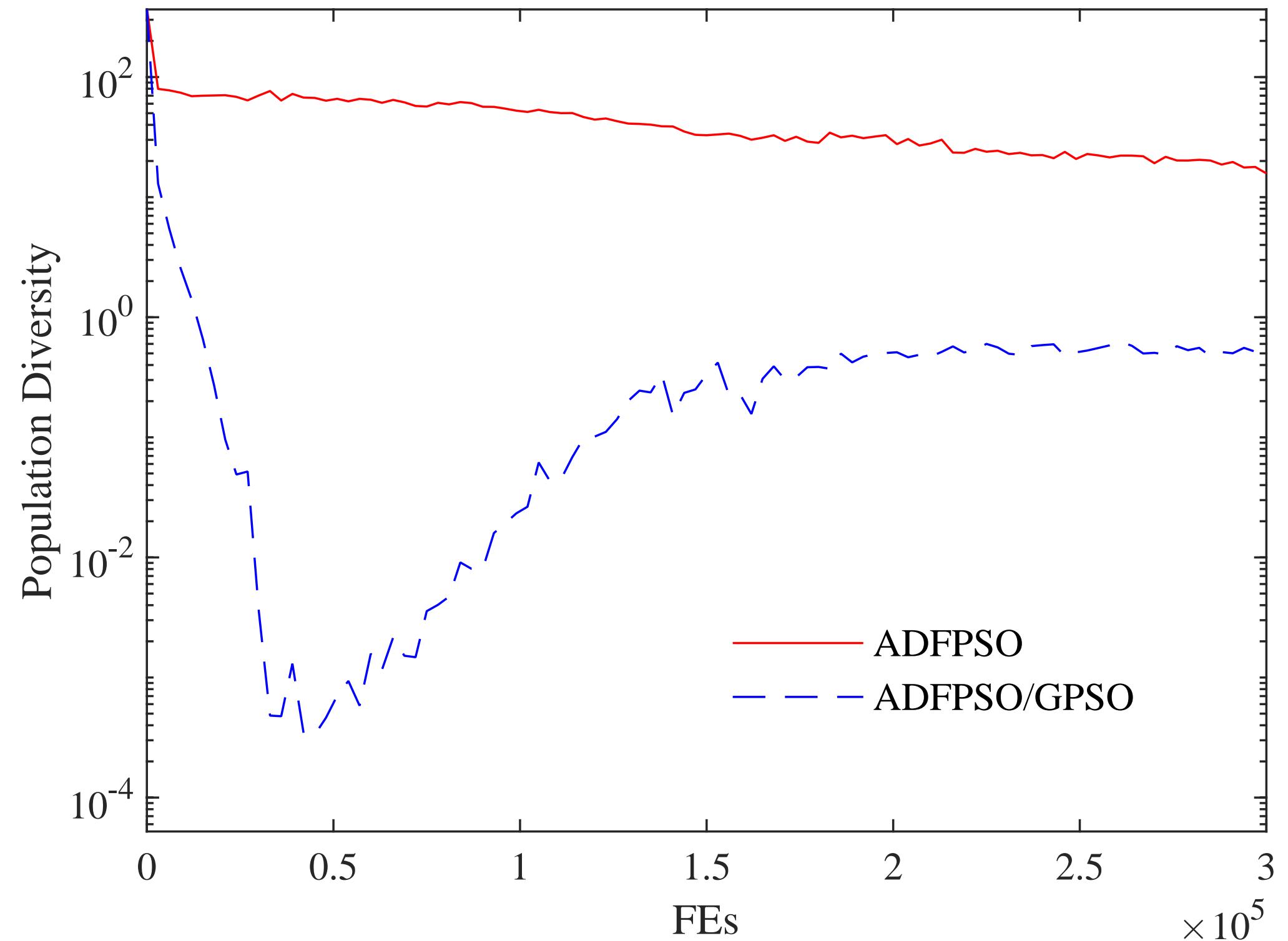


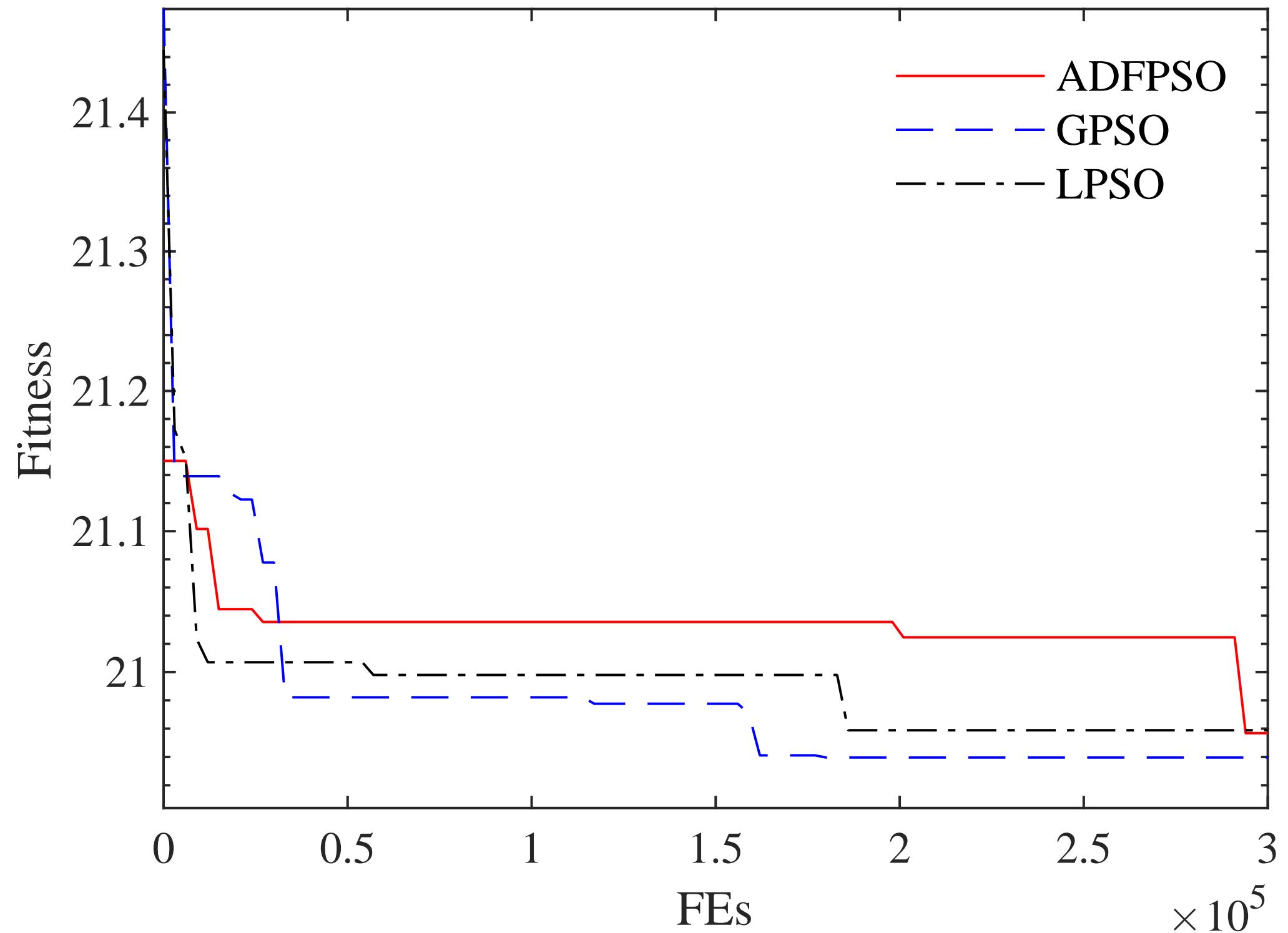


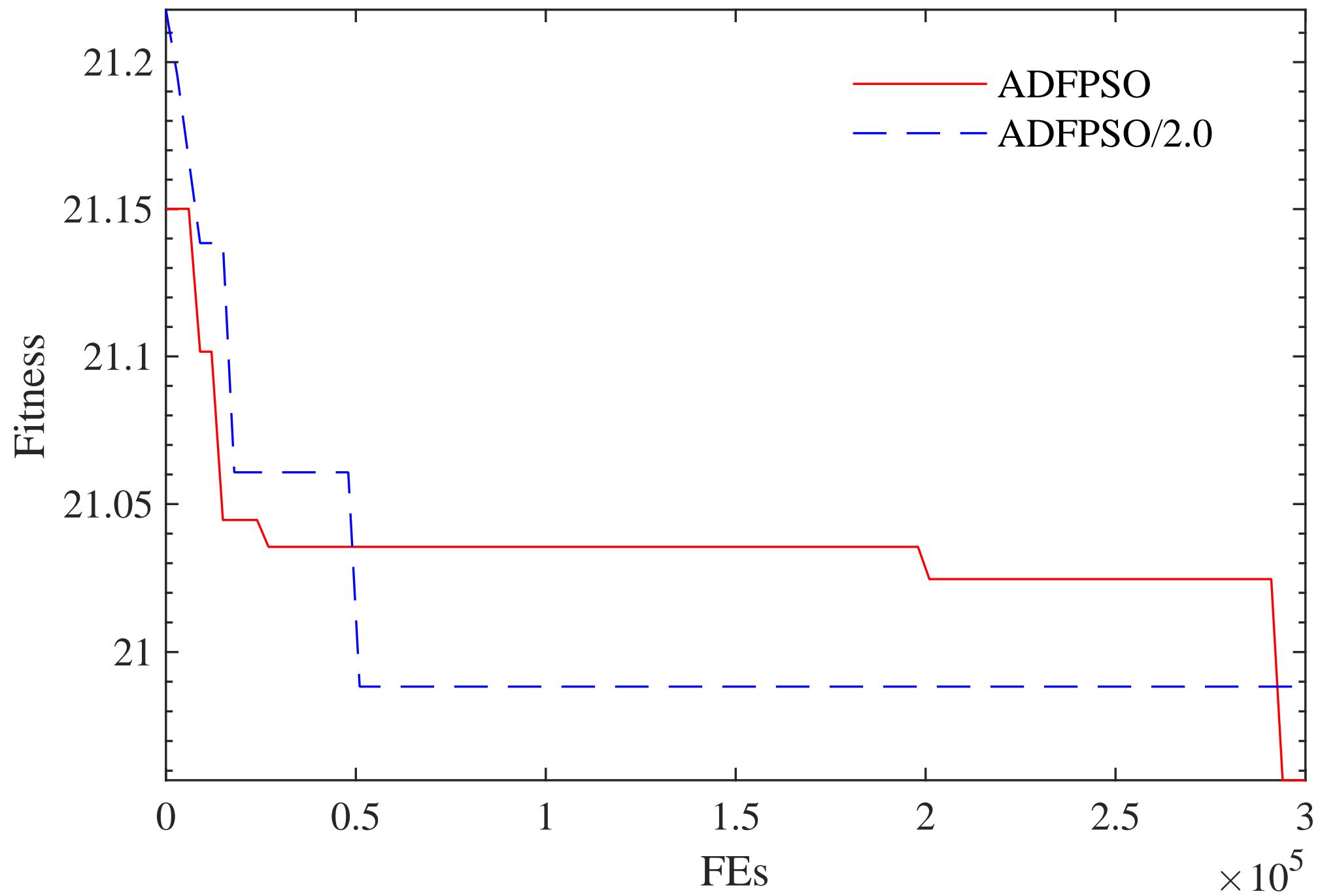


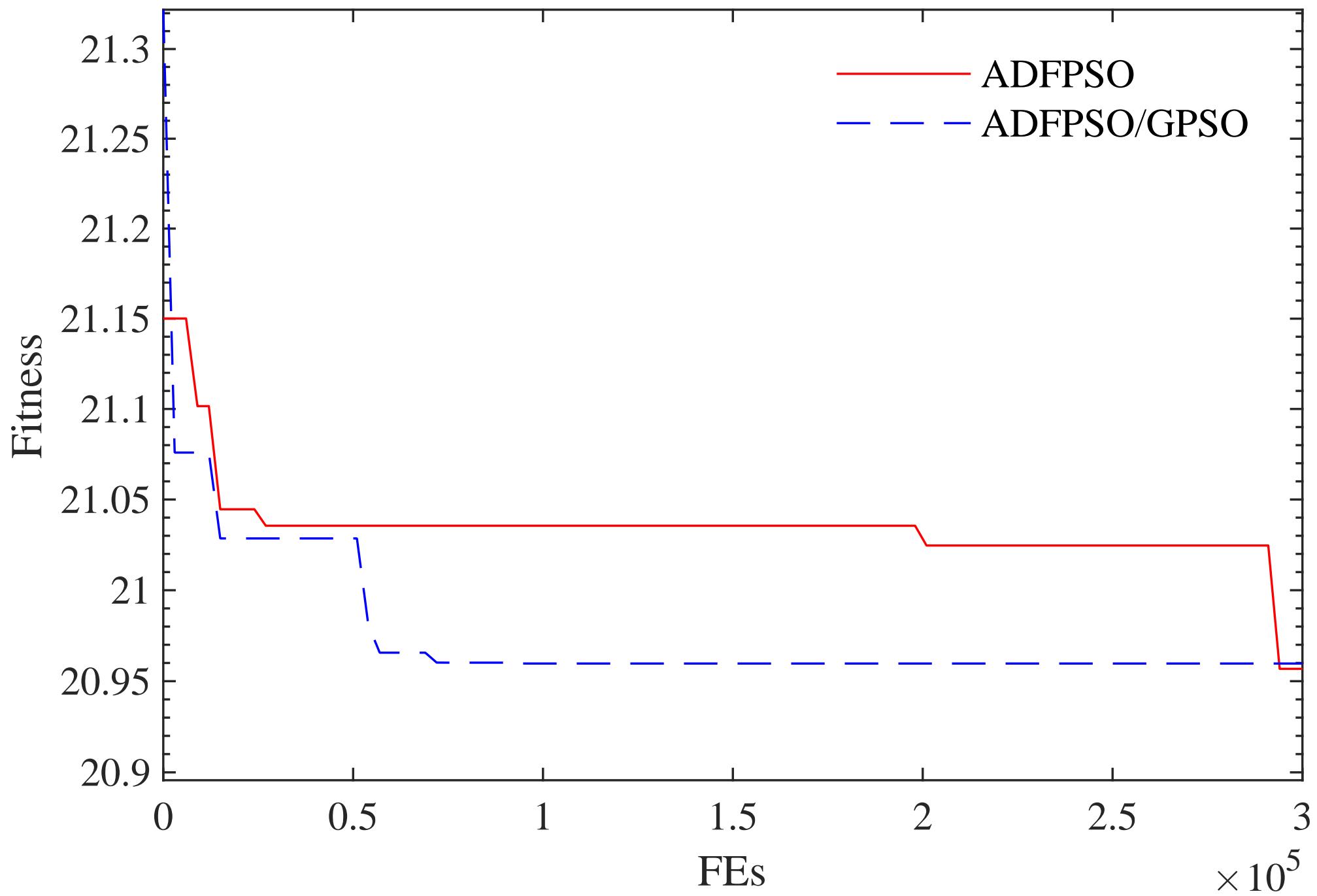


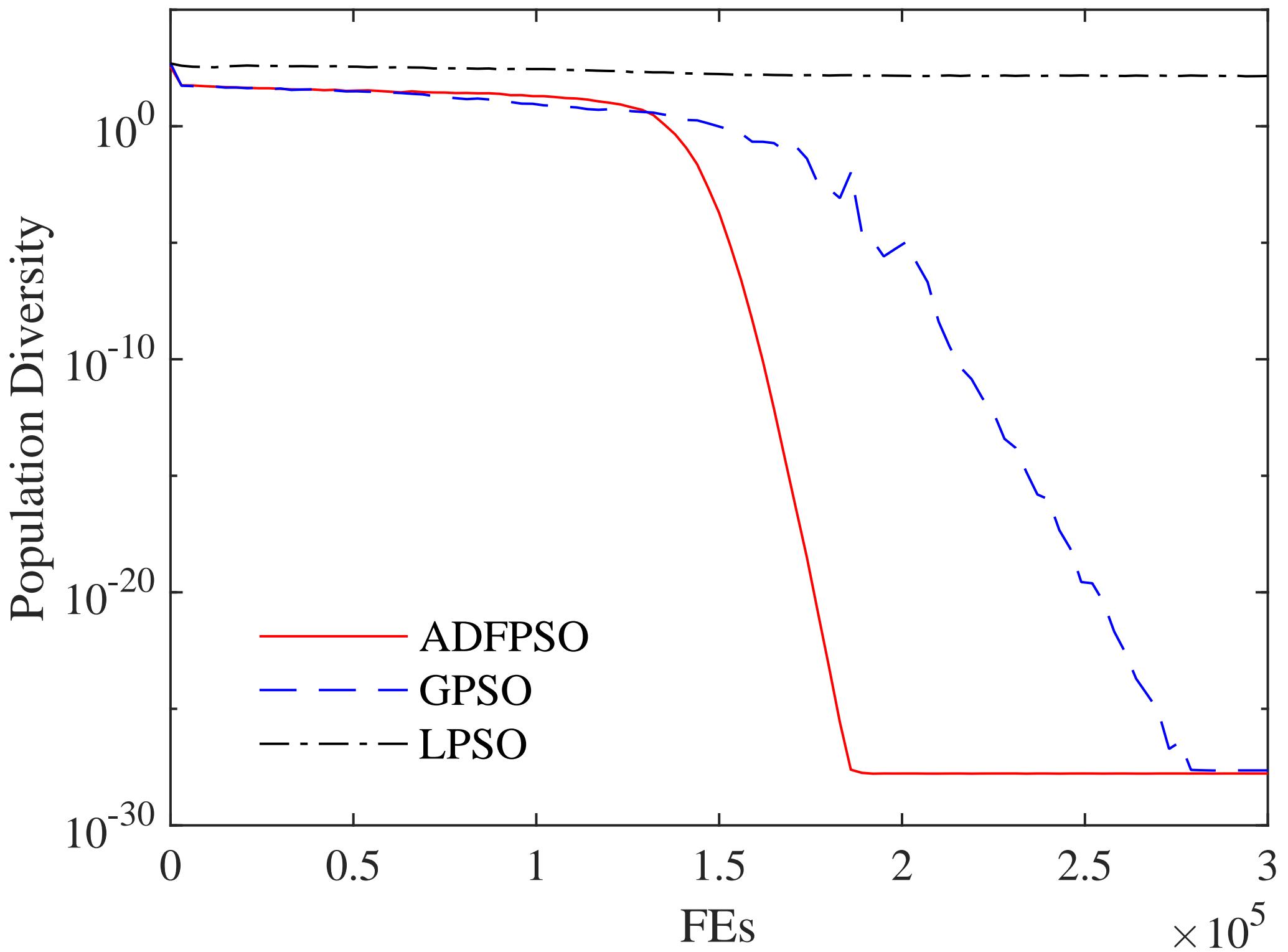


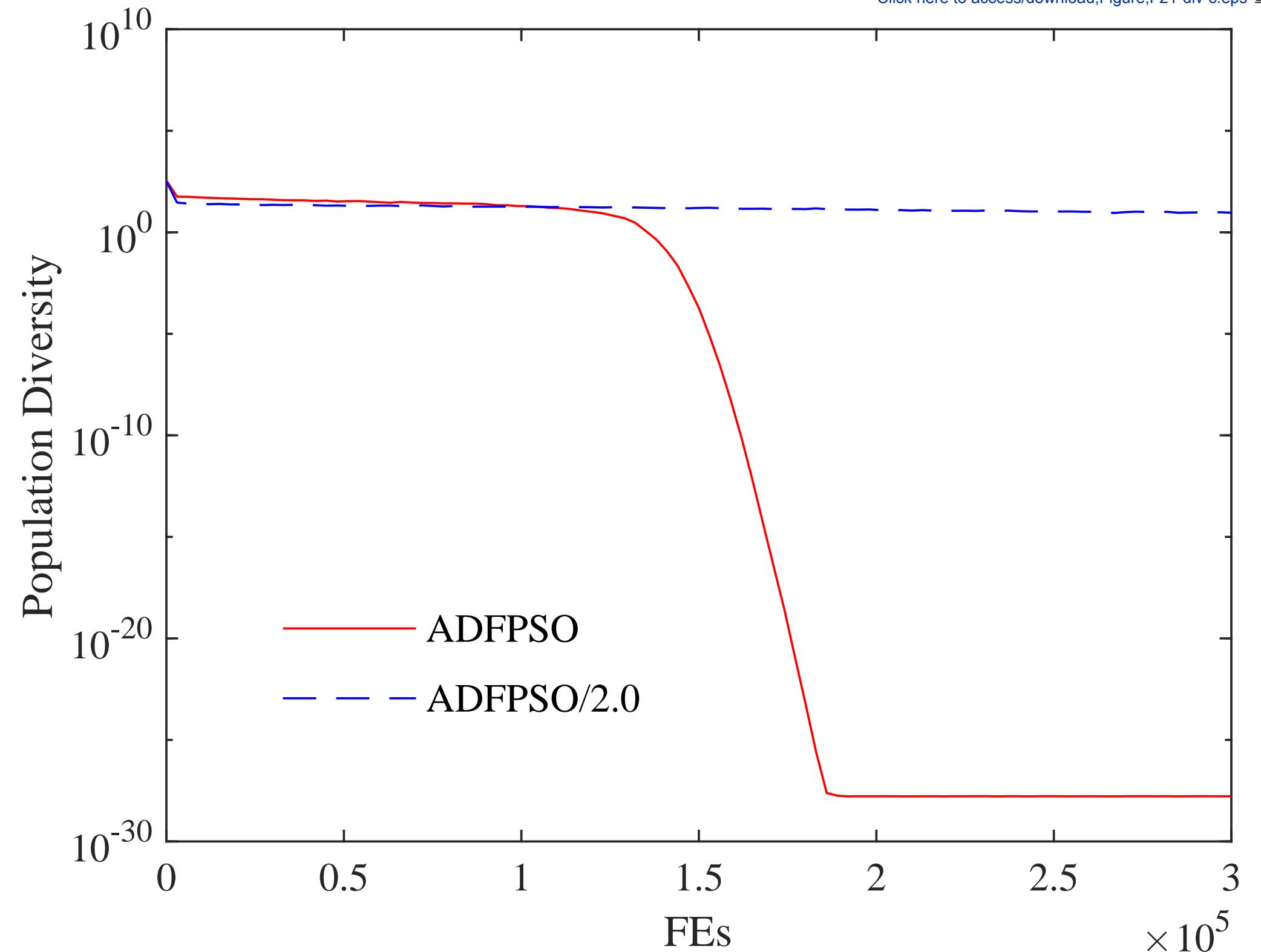


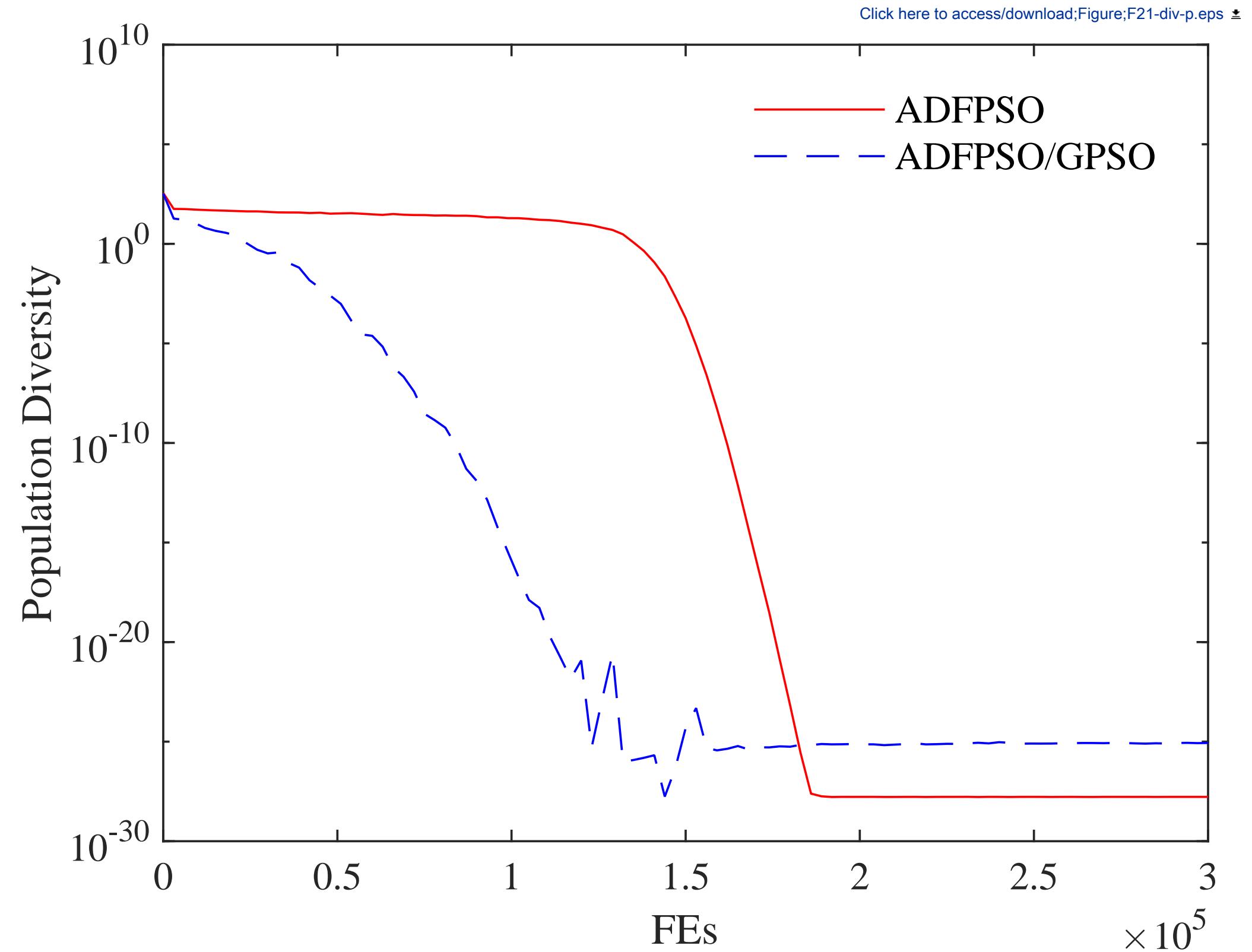


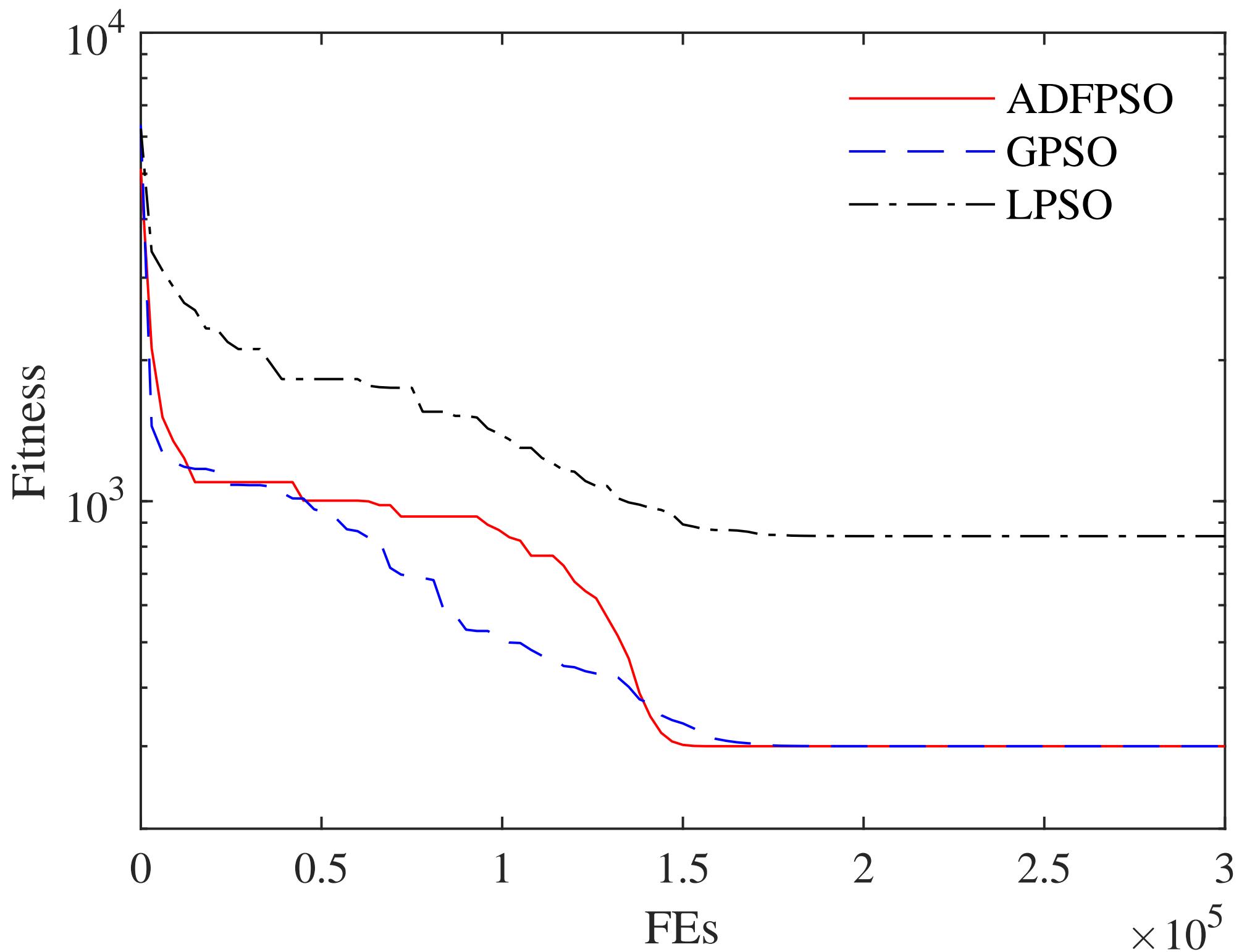


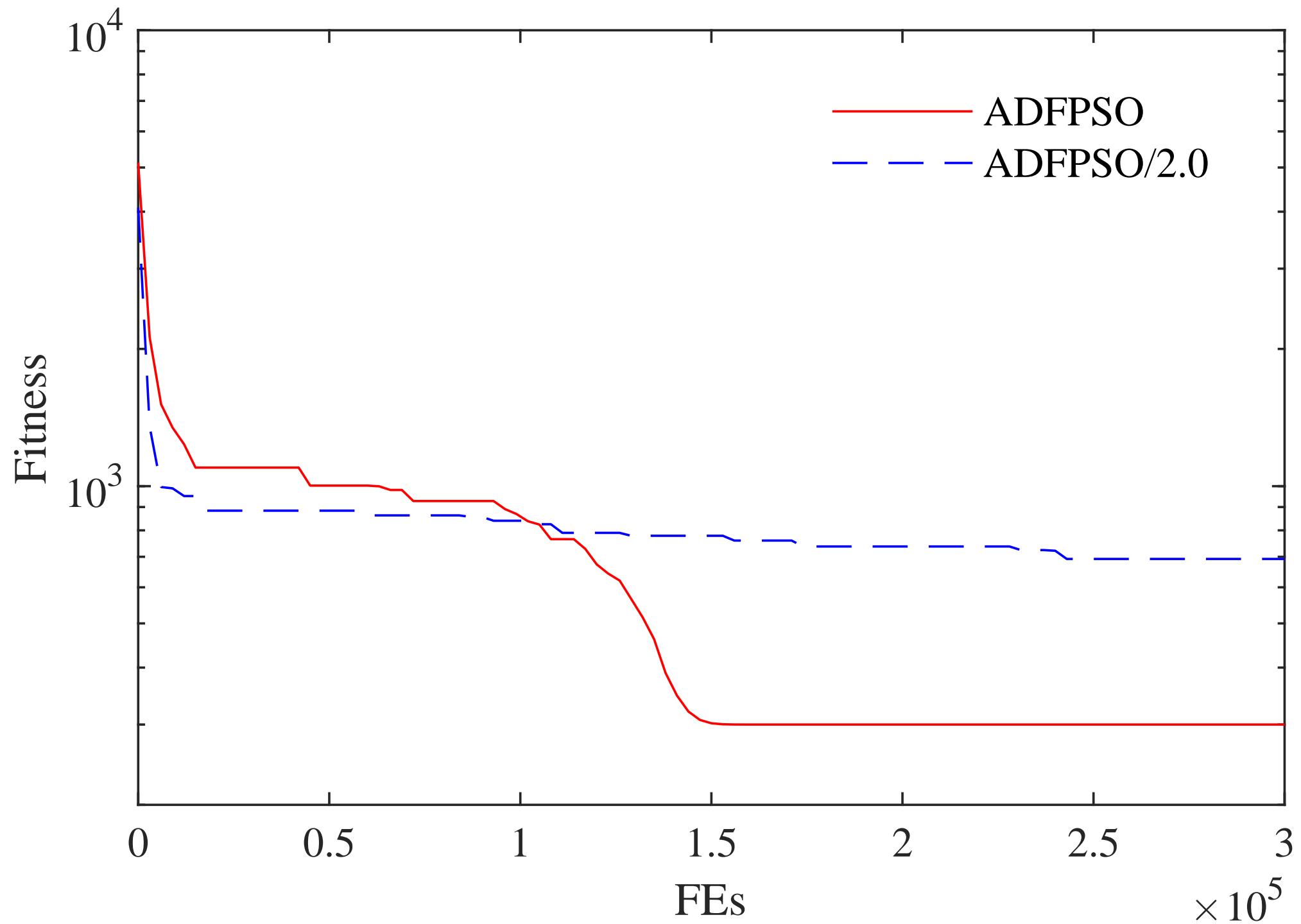


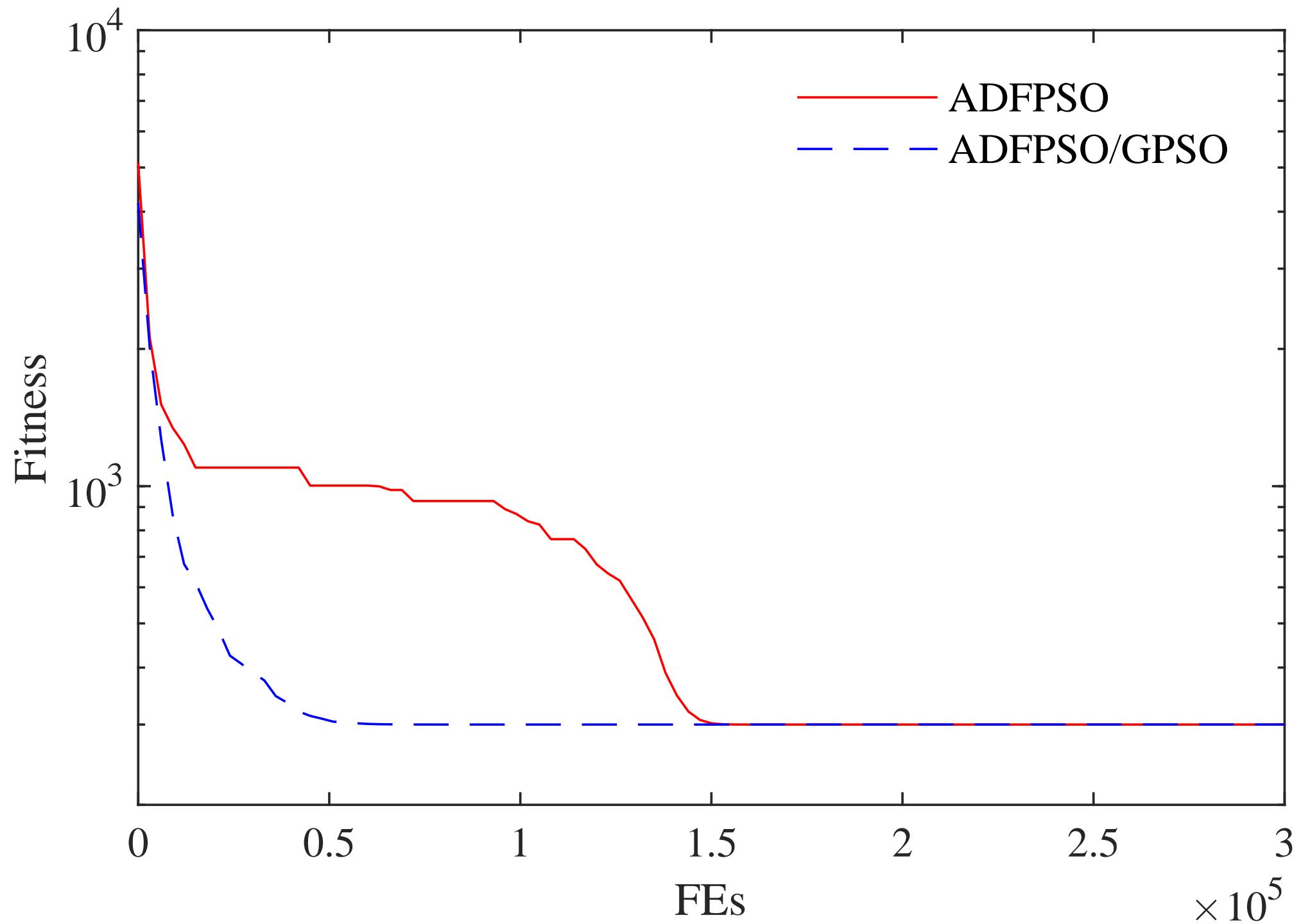


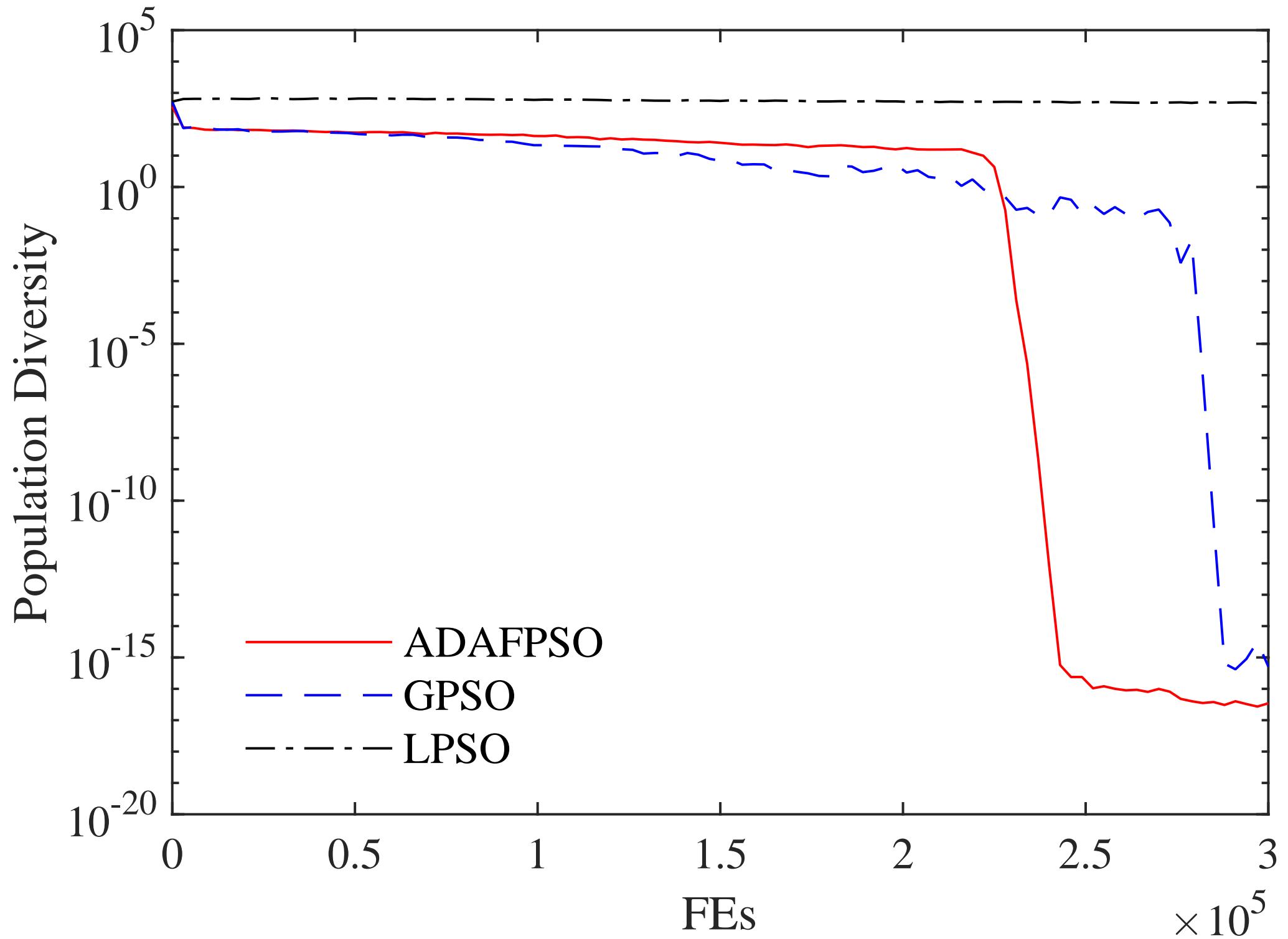


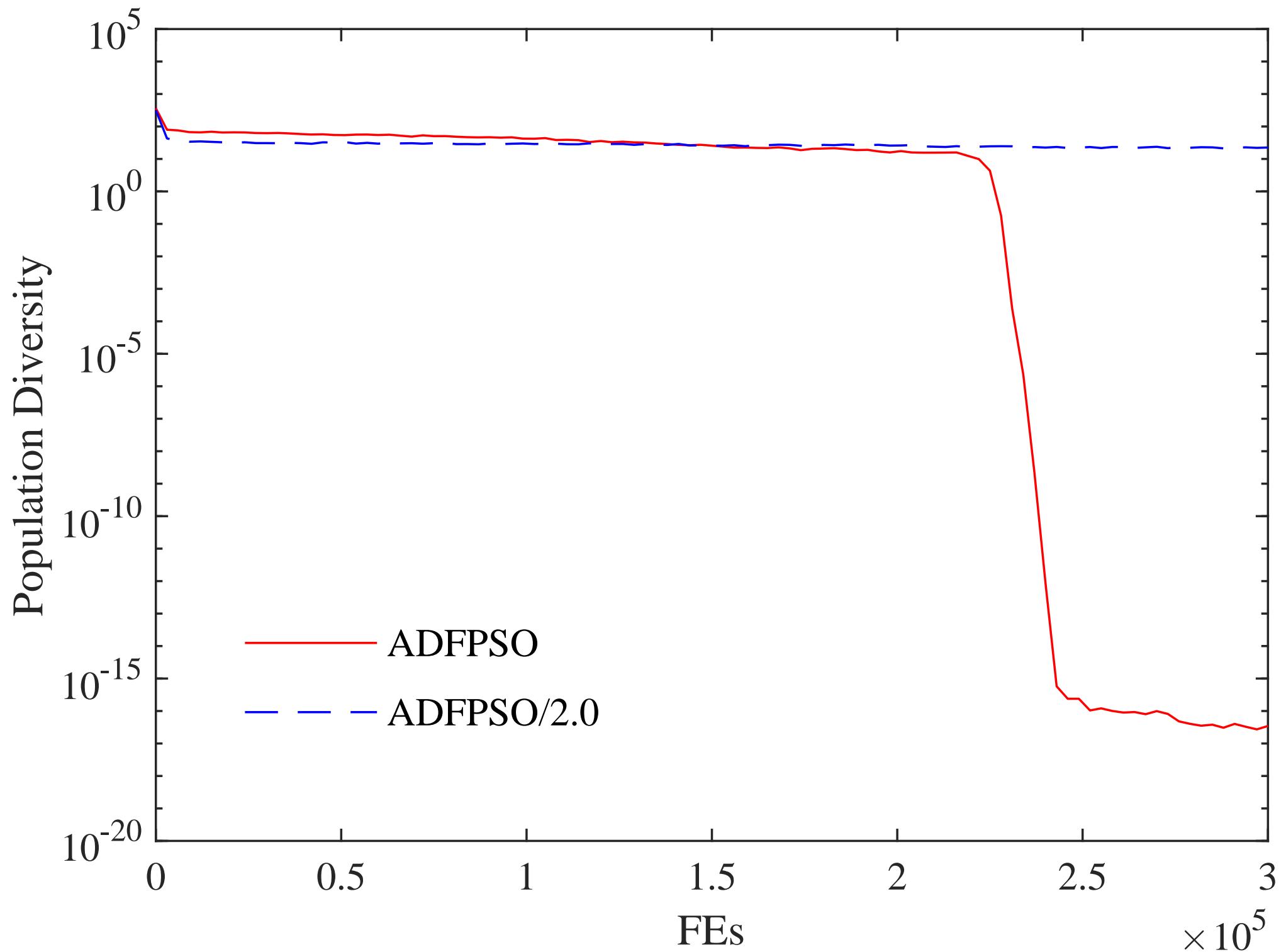


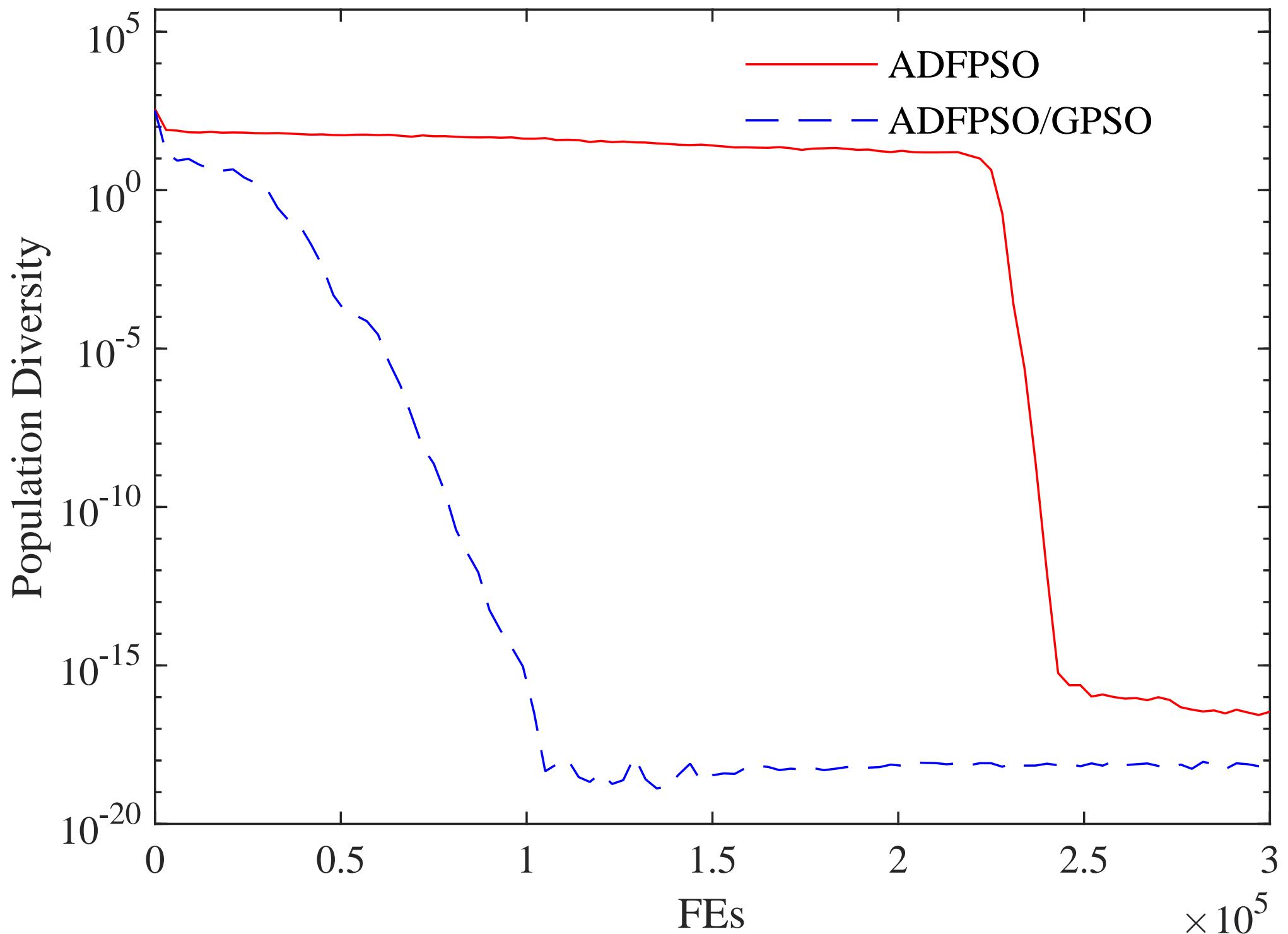


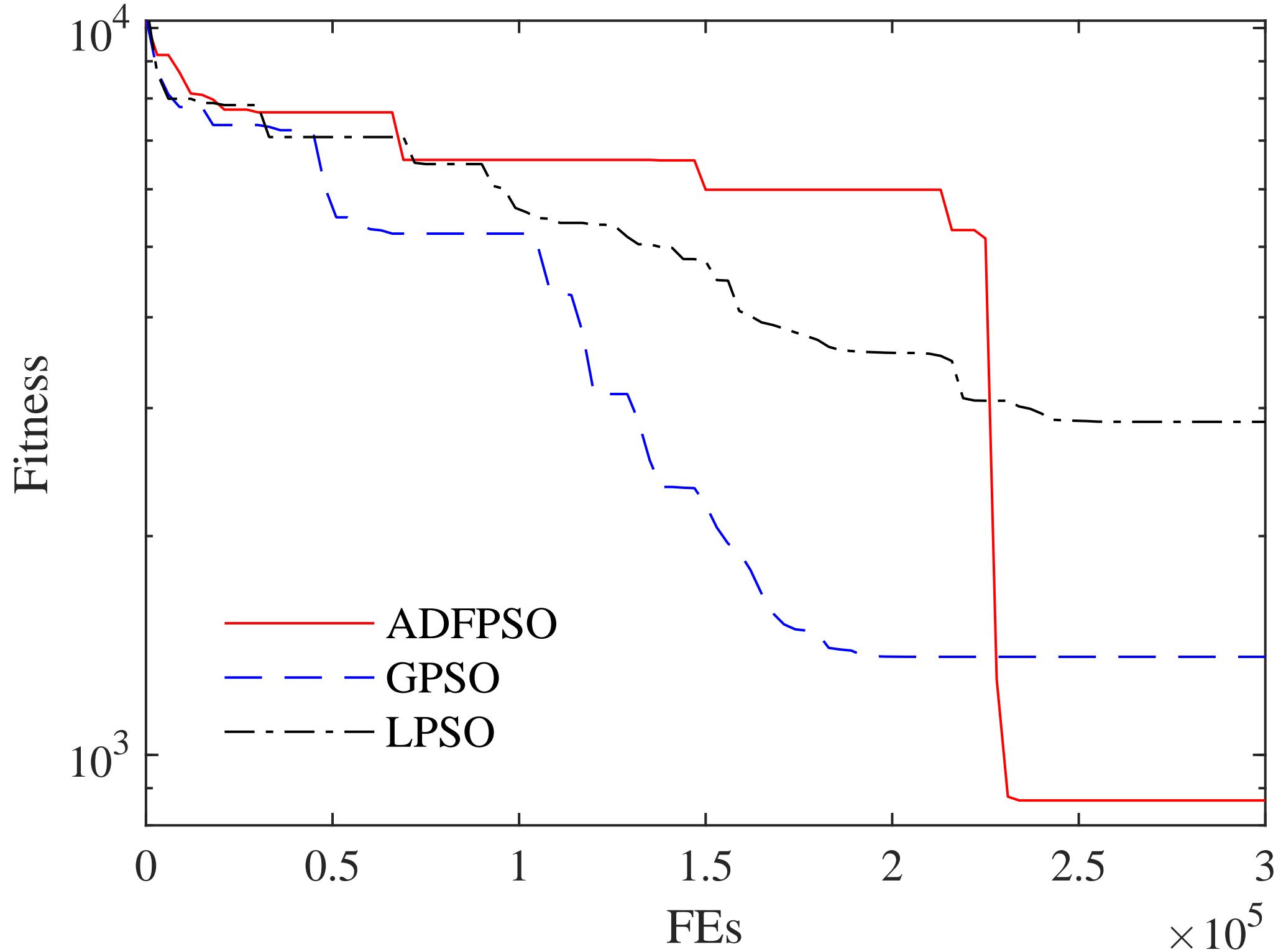


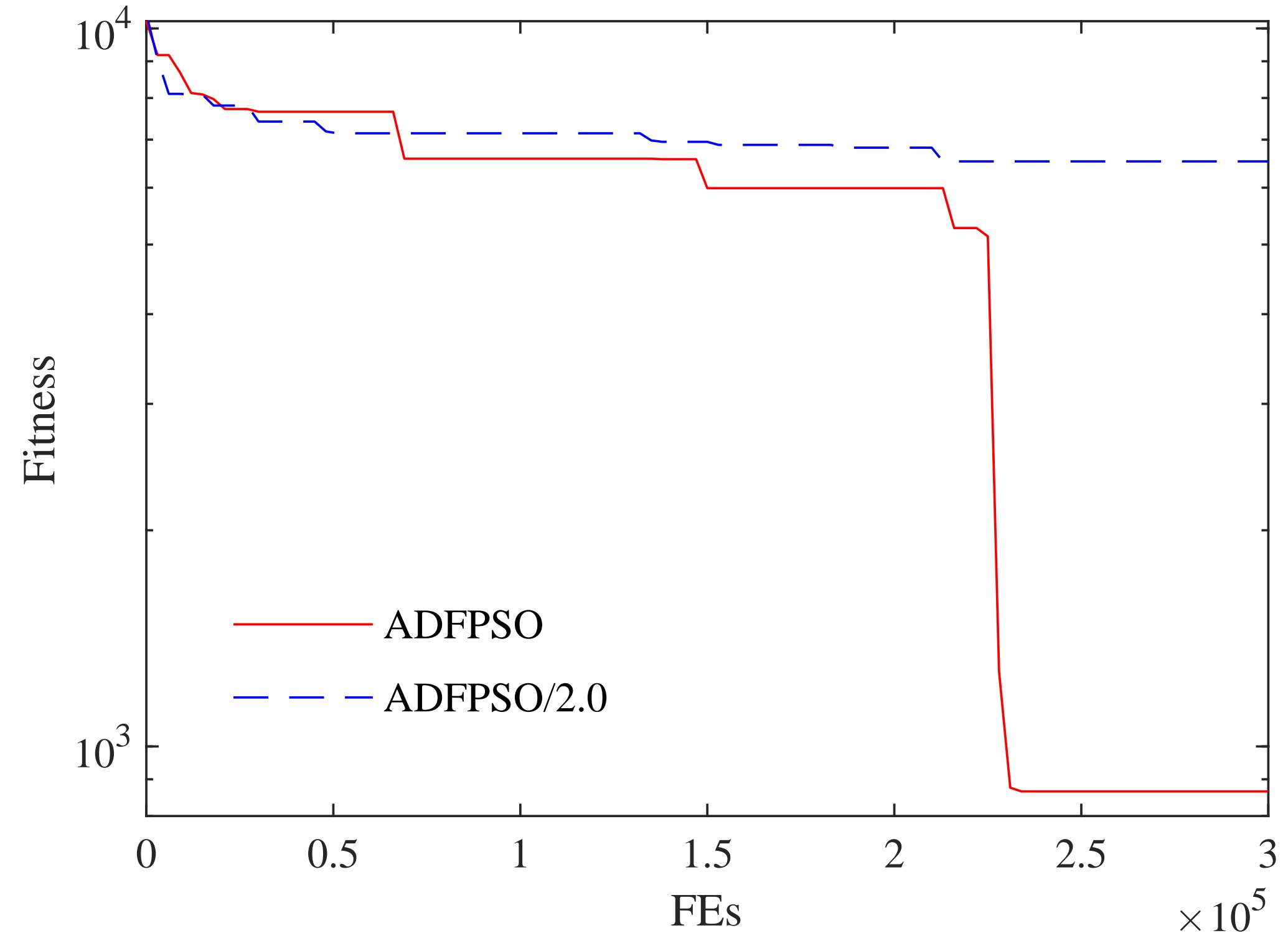


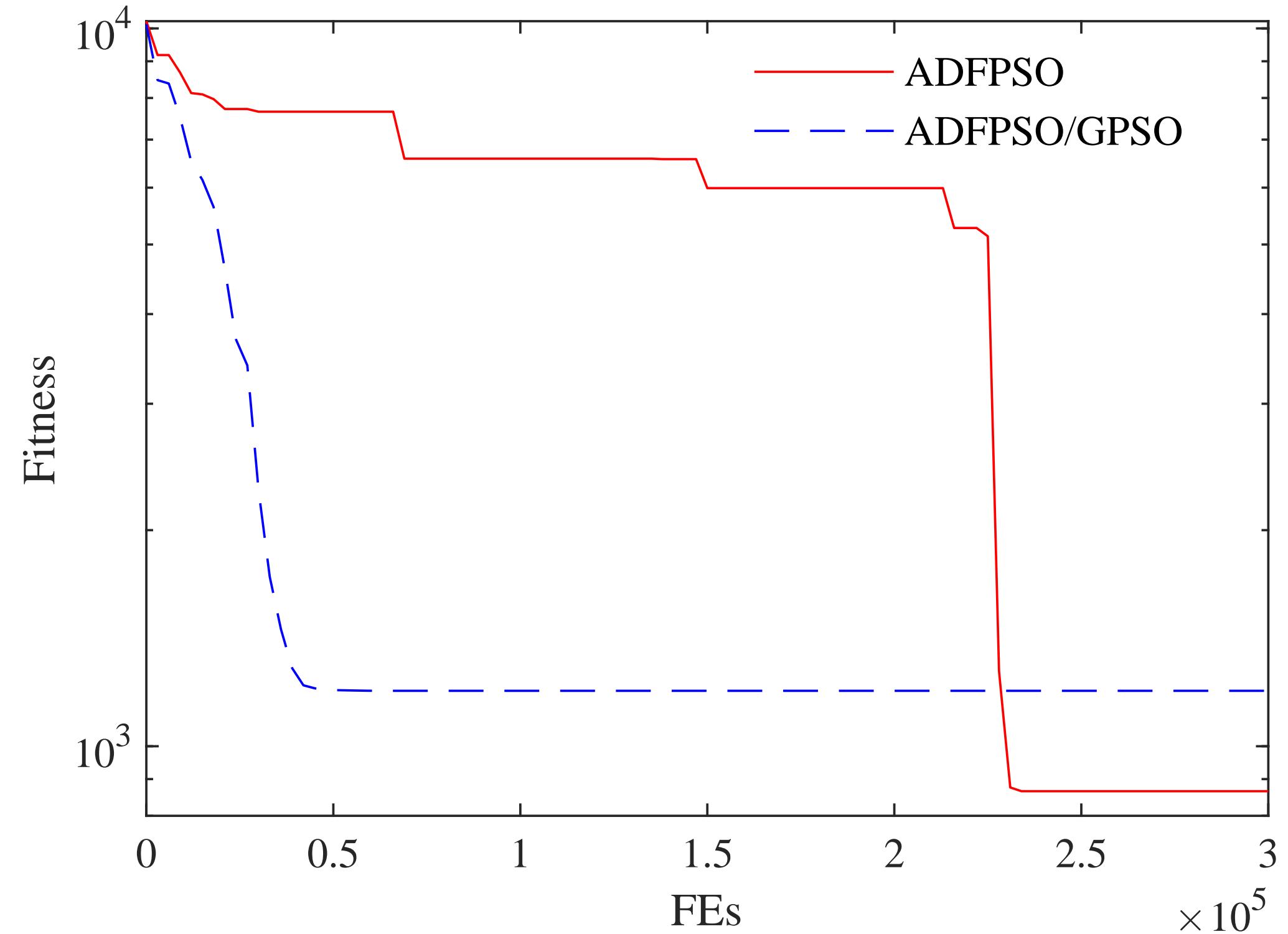


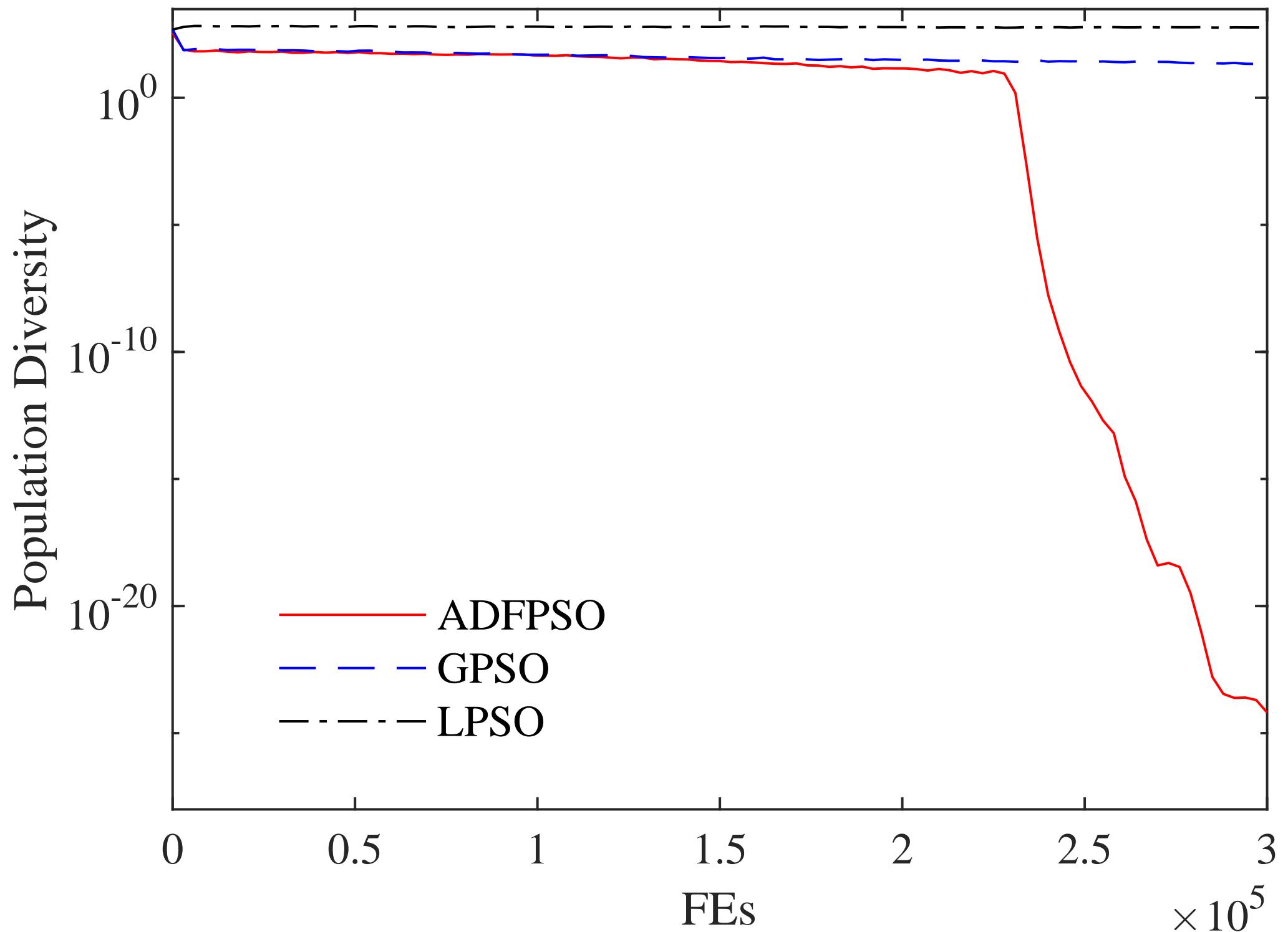


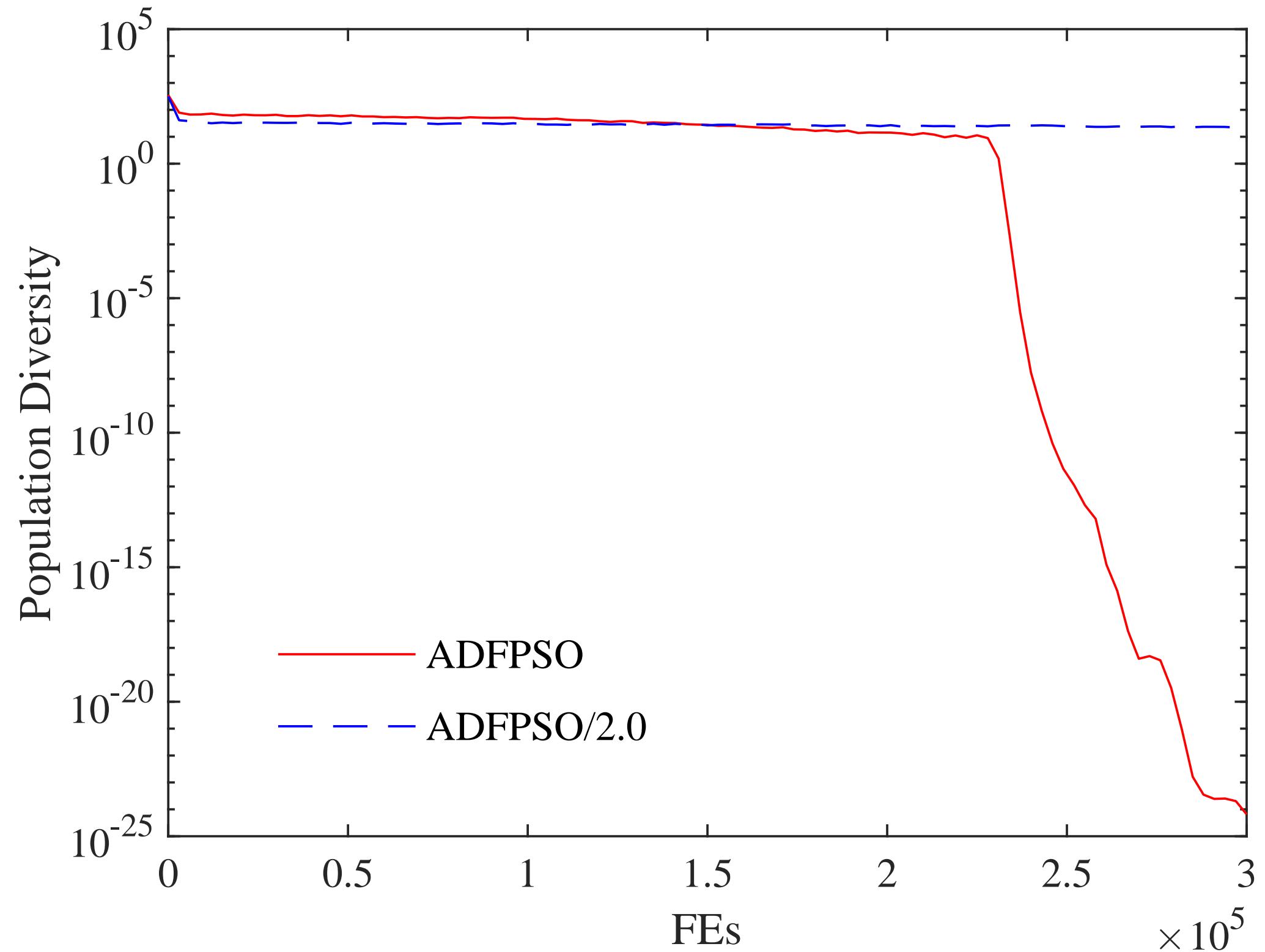


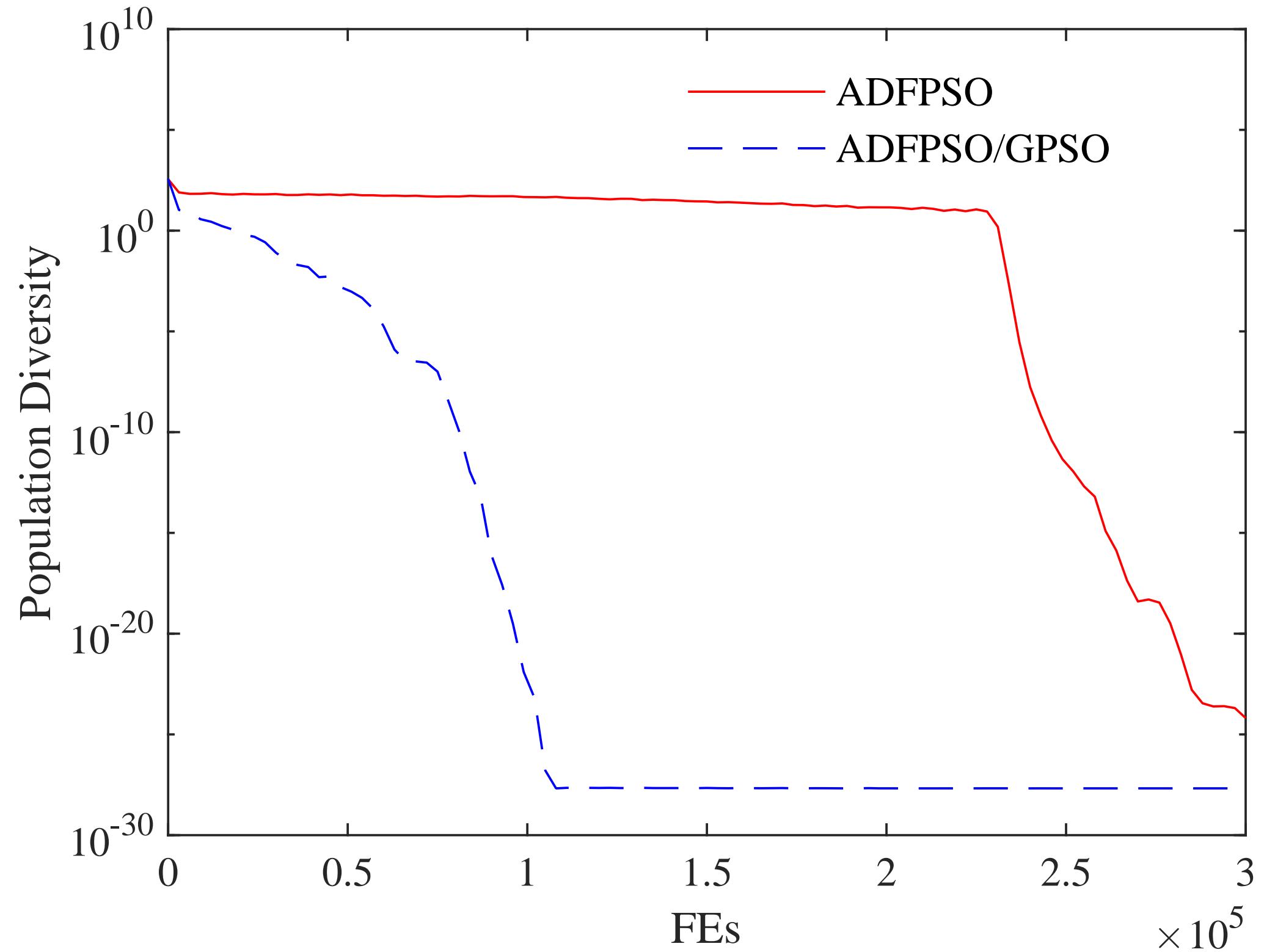


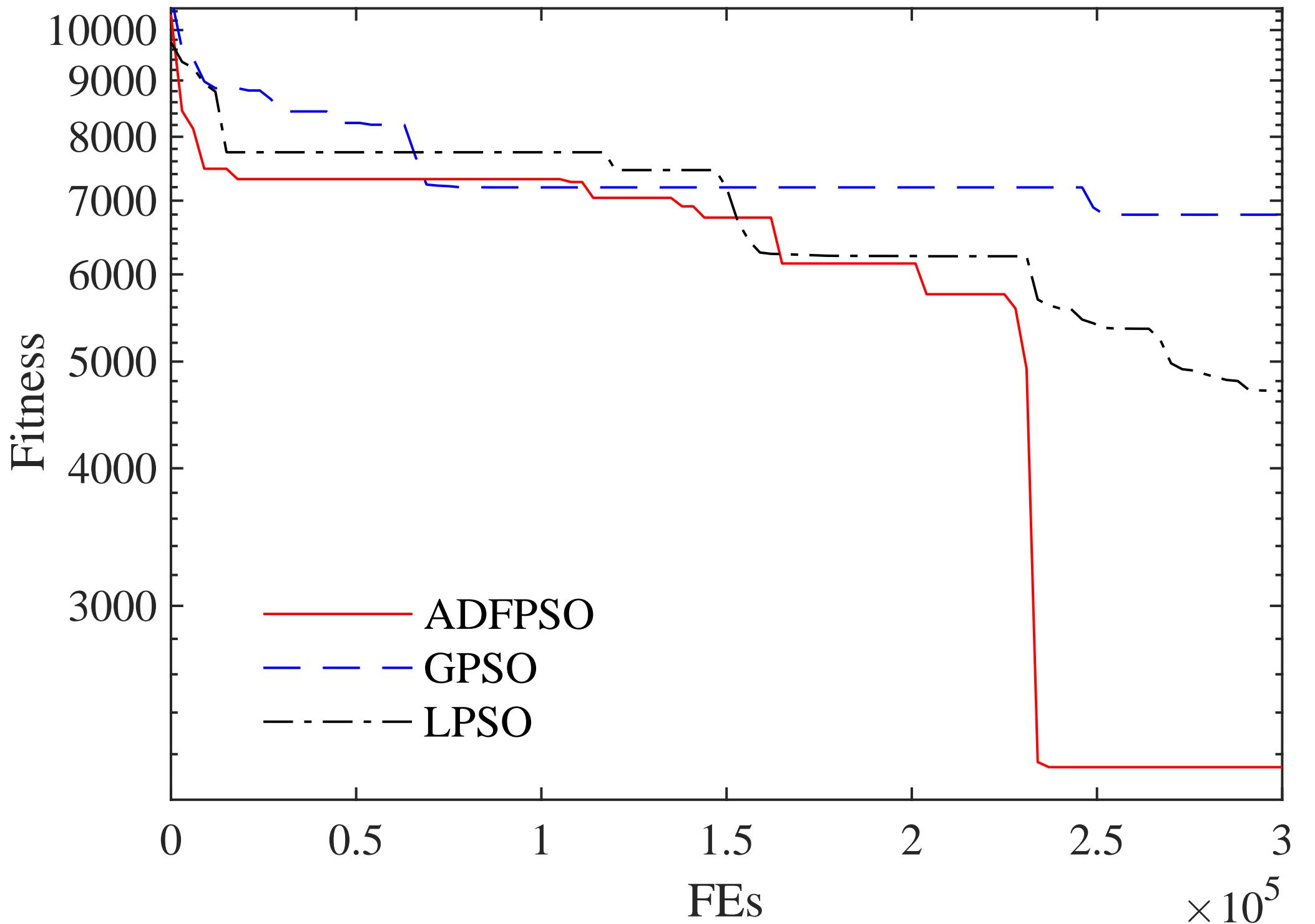


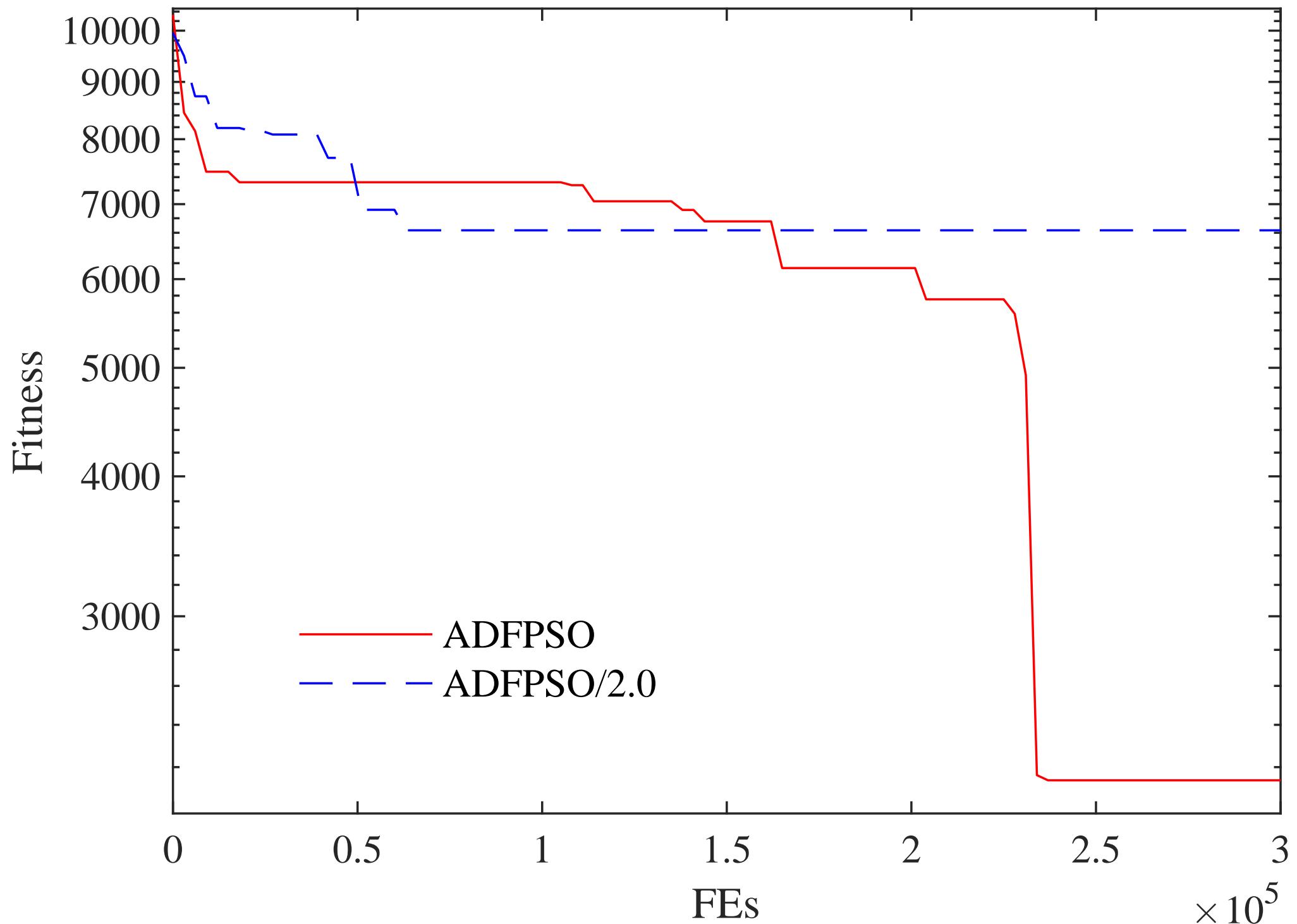


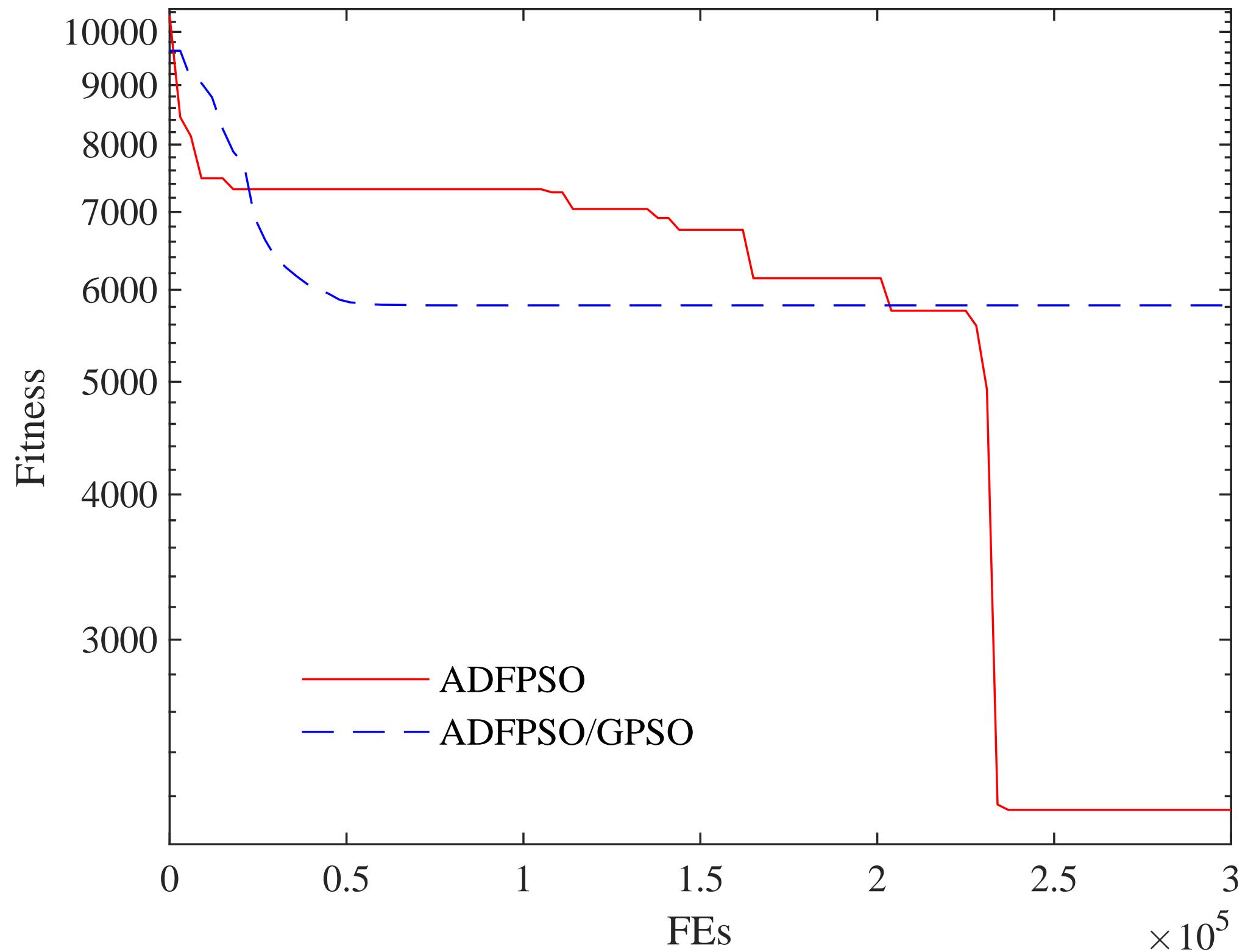


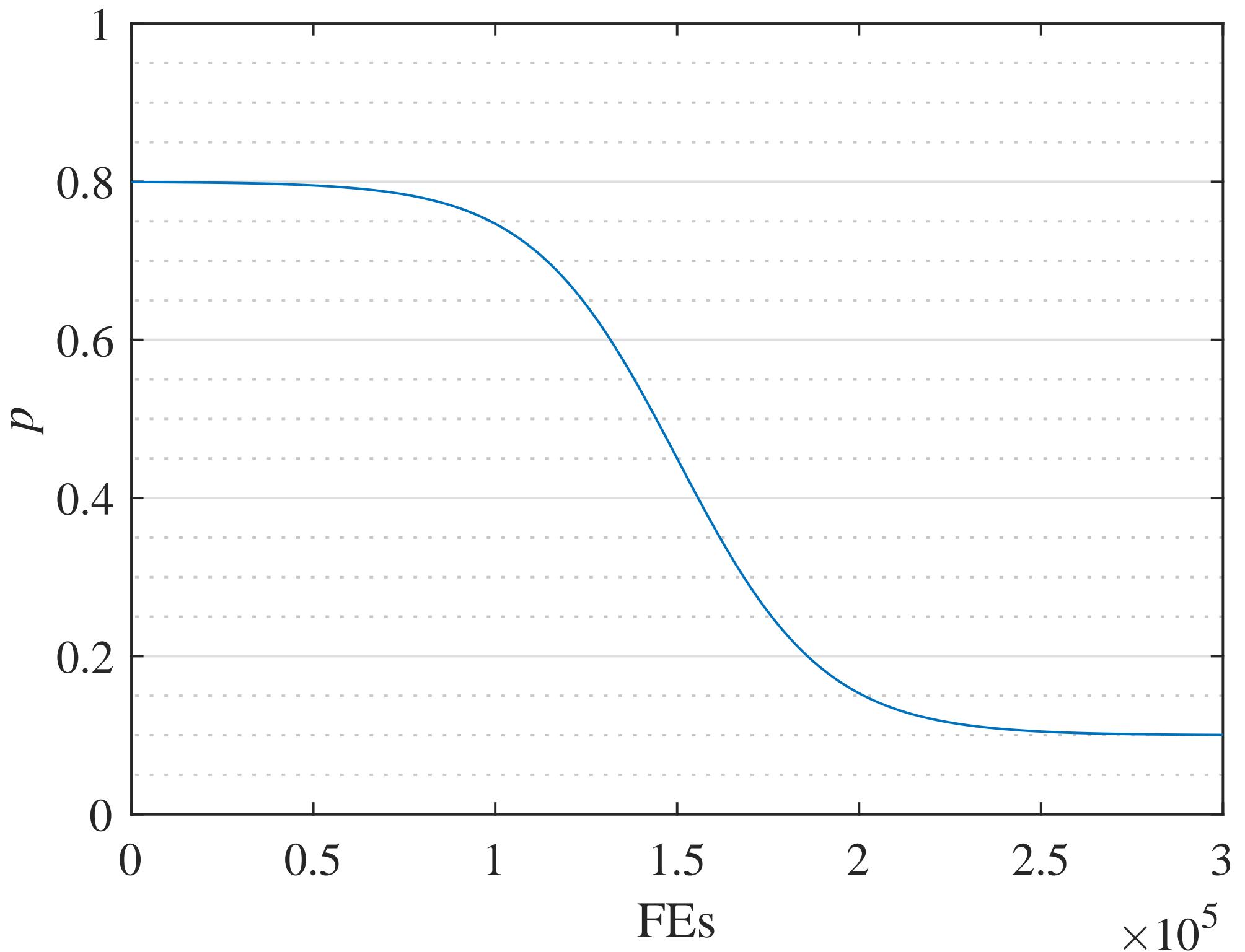


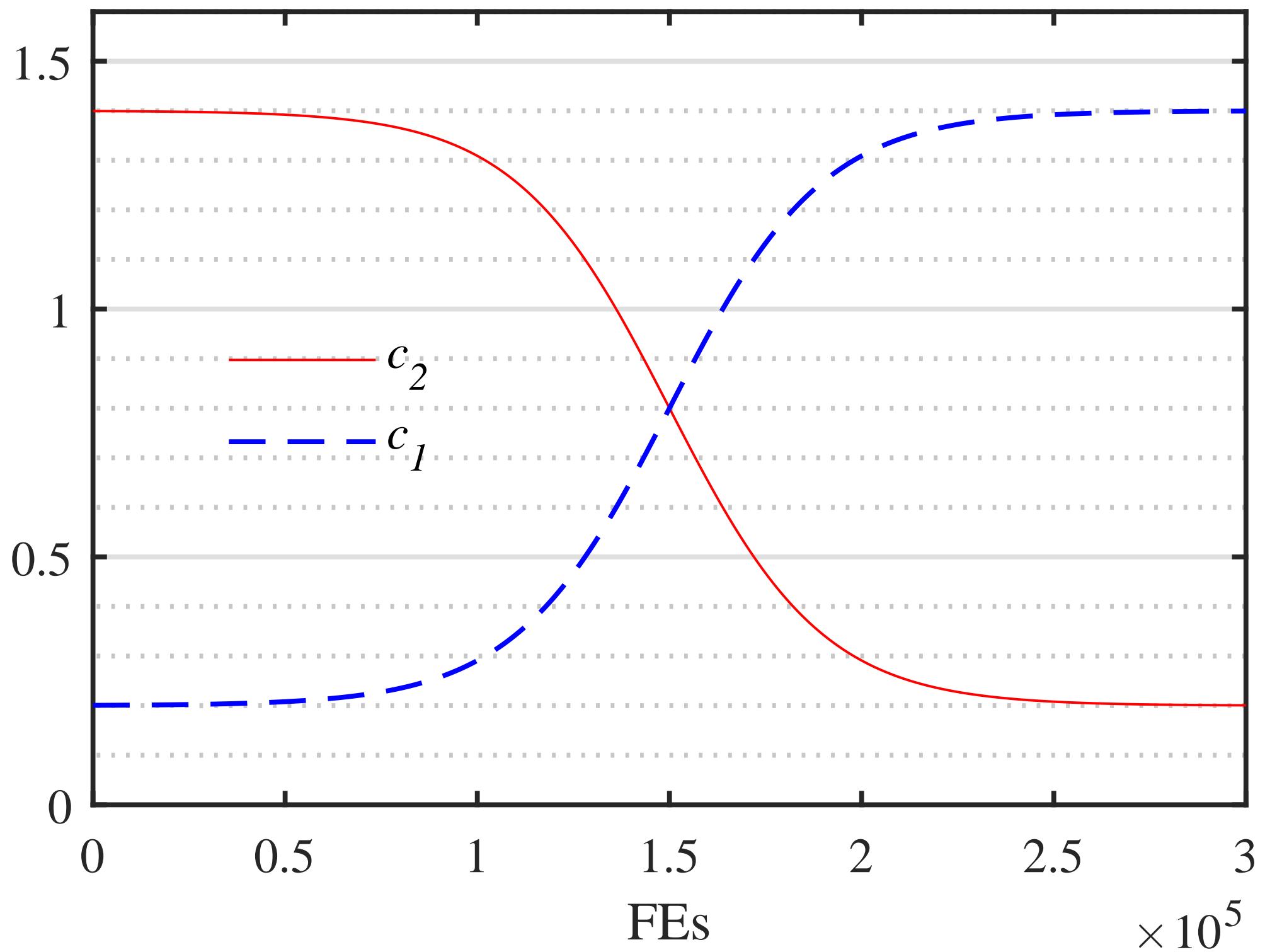


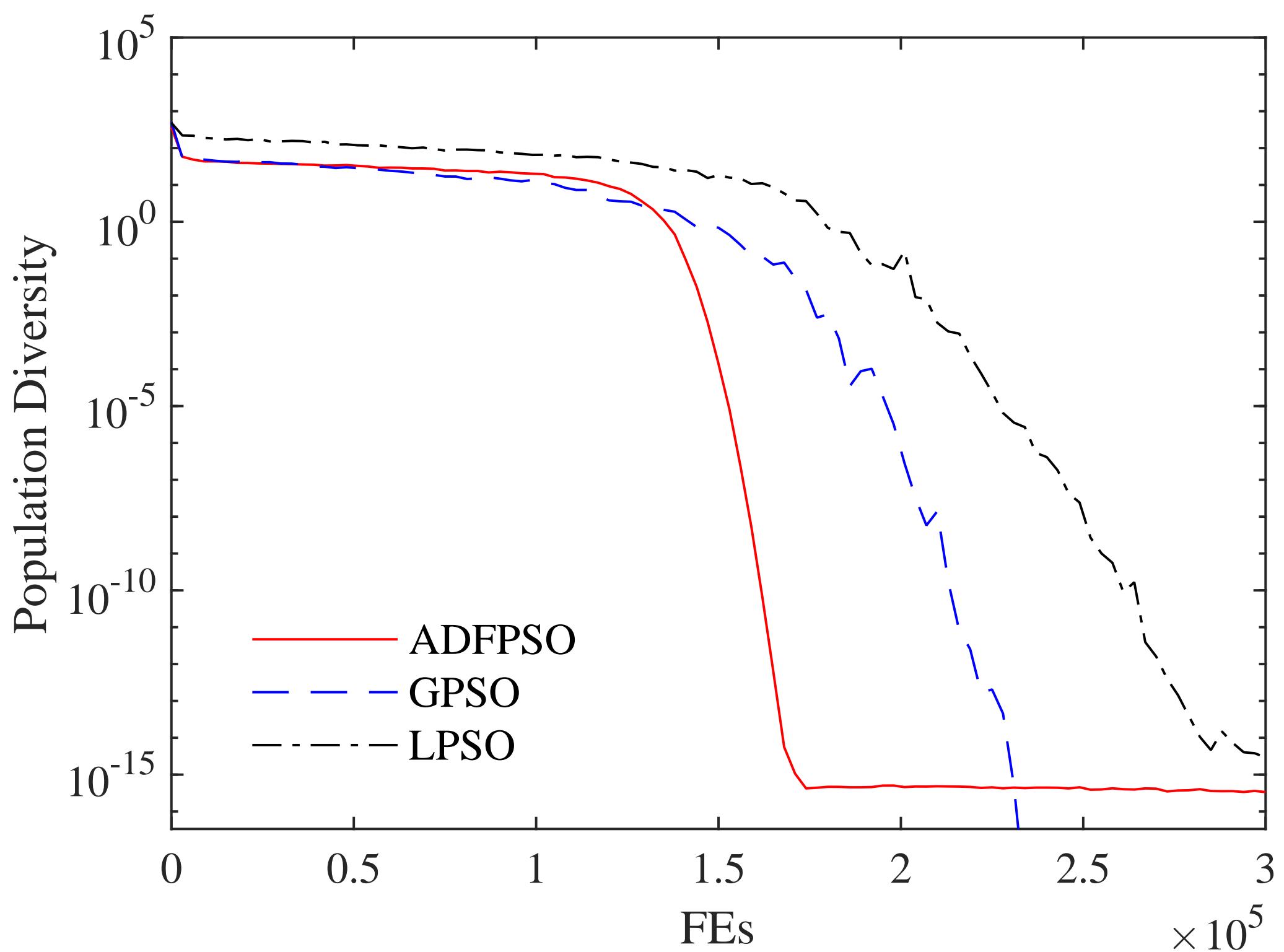


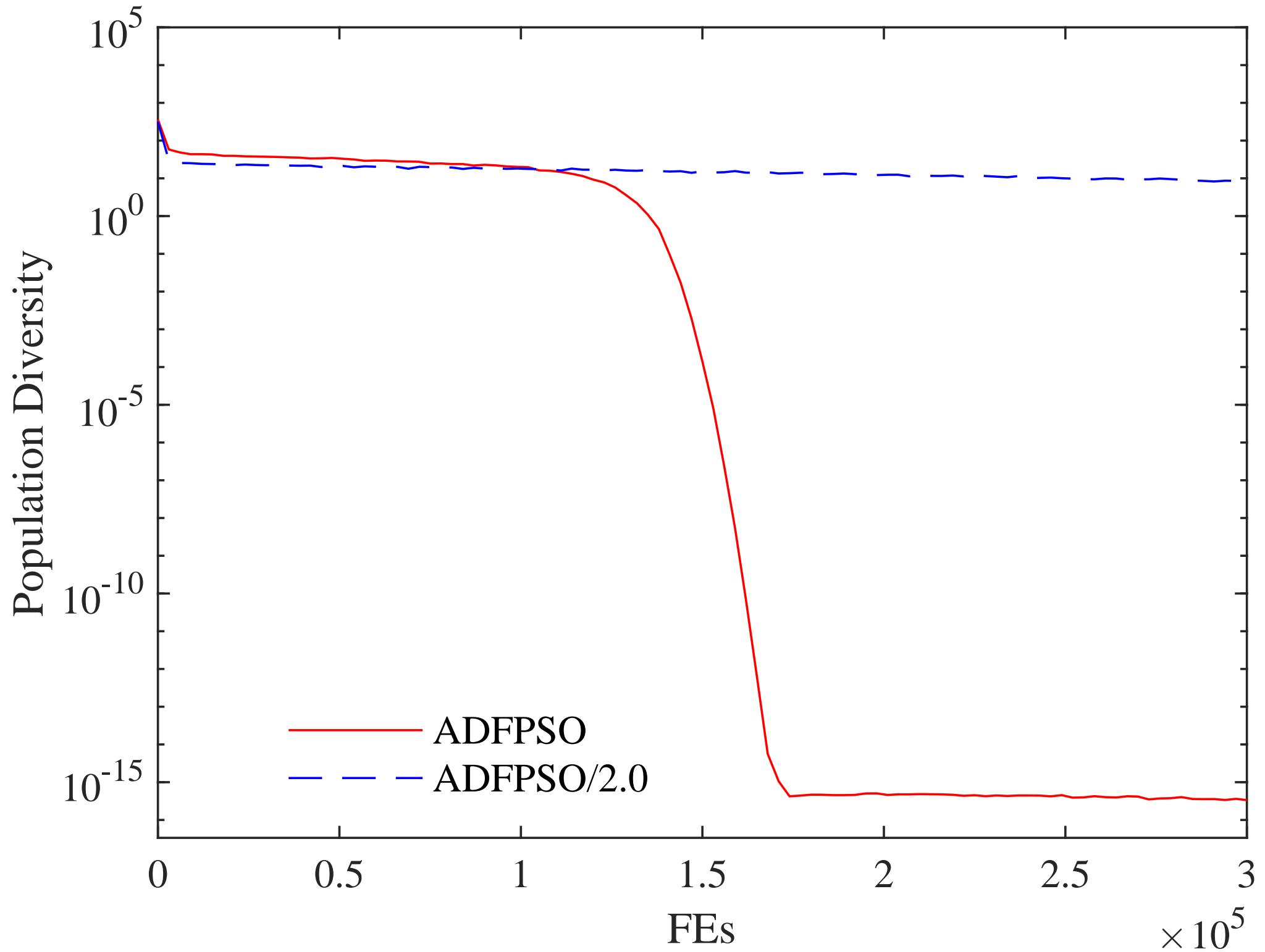












Dear Editors:

We would like to submit the manuscript entitled “Adjustable driving force based particle swarm optimization algorithm”, which we wish to be considered for publication in “Information Sciences”. No conflict of interest exists in the submission of this manuscript, and manuscript is approved by all authors for publication. I would like to declare on behalf of my co-authors that the work described was original research that has not been published previously, and not under consideration for publication elsewhere, in whole or in part. All the authors listed have approved the manuscript that is enclosed.

We deeply appreciate your consideration of our manuscript, and we look forward to receiving comments from the reviewers. If you have any queries, please don't hesitate to contact me at the address below.

Thank you and best regards.

Yours sincerely,

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