

Quiz 2 ●○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Question 1

Greedy Algorithm

- ▶ Minimize $\sum C_i f_i$
- ▶ Sort in decreasing order of $\frac{f_i}{b_i}$.

Quiz 2 ○○● ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○○ ○○○○ ○○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
Question 1					

- ▶ Consider any alternate ordering, ALT
- ▶ ALT must have some $i, j = i + 1$ with $f_j/b_j \geq f_i/b_i$
- ▶ Suppose we swap them to form ALT'.

Quiz 2 ○○● ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
Question 1					

- ▶ Consider any alternate ordering, ALT
- ▶ ALT must have some $i, j = i + 1$ with $f_j/b_j \geq f_i/b_i$
- ▶ Suppose we swap them to form ALT'.
- ▶ Let C be completion of pizza before i, j
- ▶ Total cost was $P + f_i(C + b_i) + f_j(C + b_i + b_j)$

Quiz 2 ○○● ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○○ ○○○○ ○○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
Question 1					

- ▶ Consider any alternate ordering, ALT
- ▶ ALT must have some $i, j = i + 1$ with $f_j/b_j \geq f_i/b_i$
- ▶ Suppose we swap them to form ALT'.
- ▶ Let C be completion of pizza before i, j
- ▶ Total cost was $P + f_i(C + b_i) + f_j(C + b_i + b_j)$
- ▶ New cost is $P + f_i(C + b_i + b_j) + f_j(C + b_j)$
- ▶ New - Old = $f_i \cdot b_j - f_j \cdot b_i$
- ▶ Because $f_j/b_j \geq f_i/b_i$, difference is non-negative.

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Question 2

Divide and Conquer

- ▶ Find a pair i and j , with $i < j$
- ▶ Maximize the value of $S_i - S_j$

▶	10	3	27	20	13	8	14	11	25	36
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Quiz 2 ○○ ●○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○○○ ○○○○ ○○○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
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Question 2

Divide and Conquer

See correction on Piazza

- ▶ If small enough, use brute force
- ▶ Let (l_1, h_1) be the solution in LHS
- ▶ Let (l_2, h_2) be the solution in RHS
- ▶ Return best pair of $(h_1, l_1), (h_2, l_2), \cancel{(h_1, l_2)}$



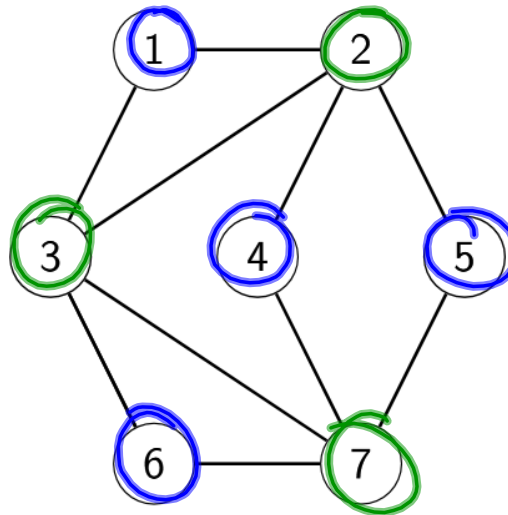
- ▶ $T(n) = 2T(n/2) + O(1)$

Quiz 2	Some Typical Problems	Decision Problems and \mathcal{NP}	Hamiltonian Paths	Subset Sum and 3-Color	Categorizing
oo	●oooooo	oo	oooooooooo	oo	
oo	oo	oooooooo	oo	oo	
	ooooo	oooo		ooooooooo	
		oooooo		oooo	

Independent Set and Vertex Cover

Independent Set and Vertex Cover

Find an independent set of size 4 and a vertex cover of size 3 in this graph:



Navigation icons: back, forward, search, etc.

Quiz 2 ○○ ○○	Some Typical Problems ○●○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
Independent Set and Vertex Cover					

Can you confirm a solution?

- ▶ Suppose I claim G has an INDEPENDENT SET of size k .
What evidence should I provide of my claim?
- ▶ Could you write an algorithm to verify such a claim?
Input: G , k , and the evidence from the first point.
Output: True or false, indicating if the evidence really confirms an independent set of size k .

Quiz 2 ○○ ○○	Some Typical Problems ○○●○○○ ○○ ○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Independent Set and Vertex Cover

Verifier for INDEPENDENT SET

Certificate: V' , a set of vertices.

Verifier:

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if  $V' \not\subseteq V$  then
  return false
if  $|V'| \neq k$  then
  return false
for all edges  $e = (u, v) \in E$  do
  if  $u \in V'$  and  $v \in V'$  then
    return false
return true

```

Quiz 2	Some Typical Problems	Decision Problems and \mathcal{NP}	Hamiltonian Paths	Subset Sum and 3-Color	Categorizing
○○	○○○●○○○	○○	○○○○○○○○○	○○	
○○	○○	○○○○○○○	○○	○○	
	○○○○○	○○○○		○○○○○○○	
		○○○○○○○		○○○○	

Independent Set and Vertex Cover

Which is easier?

Which do you think is easier to write an algorithm for?

VERTEX COVER(G, k)

INDEPENDENT SET(G, k)

Quiz 2 ○○ ○○	Some Typical Problems ○○○○●○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○○ ○○○○ ○○○○○○	Hamiltonian Paths ○○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
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Independent Set and Vertex Cover

Which is easier?

Which do you think is easier to write an algorithm for?

VERTEX COVER(G, k)	INDEPENDENT SET(G, k)
return IND. SET($G, n - k$)	return VER. COV($G, n - k$)

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○●○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Independent Set and Vertex Cover

Coincidence?

Claim: a graph G has an INDEPENDENT SET of size k if and only if it also has a VERTEX COVER of size $n - k$.

Part 1 of proof: If a graph G has an INDEPENDENT SET of size k , it also has a VERTEX COVER of size $n - k$.

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○● ○○ ○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Independent Set and Vertex Cover

Coincidence?

Claim: a graph G has an INDEPENDENT SET of size k if and only if it also has a VERTEX COVER of size $n - k$.

Part 2 of proof: If a graph G has VERTEX COVER of size $n - k$, it also has a an INDEPENDENT SET of size k .

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ●○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○○ ○○○○ ○○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
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Set Cover

Set Cover

Can you select three of the following sets in such a way that each letter from 'A' through 'J' is in at least one chosen set?

Set Number	Elements
1	A B
2	A C D E
3	B C F I
4	D G
5	E H
6	I J
7	F G H J

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○● ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Set Cover

Is Set Cover easier or harder than Vertex Cover?

Can we use SET COVER to solve VERTEX COVER?

Imagine I give you a solution to SET COVER and solve:

VERTEX COVER(G, k)

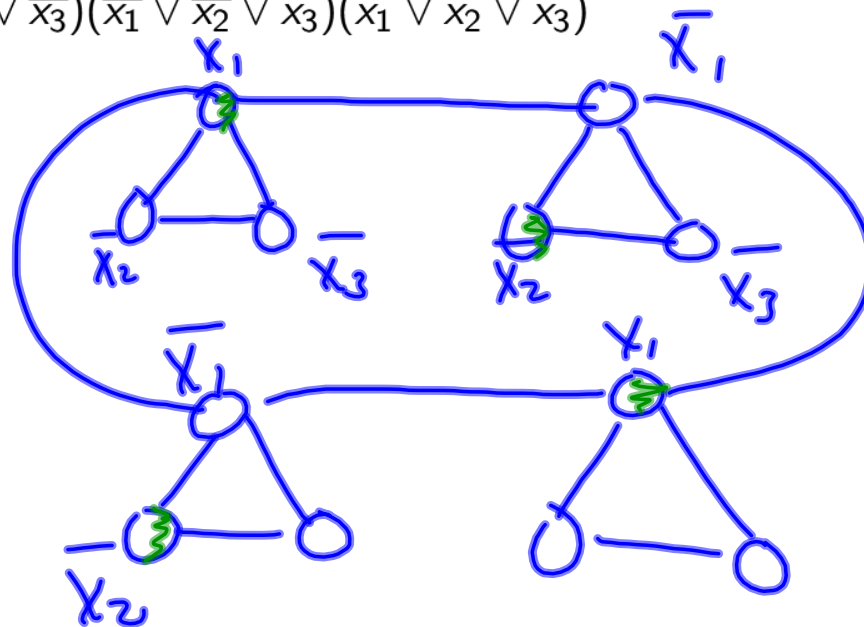
Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ●○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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3-Sat

3-Sat

$$\phi = (x_1 \vee \overline{x_2} \vee \overline{x_3})(\overline{x_1} \vee \overline{x_2} \vee \overline{x_3})(\overline{x_1} \vee \overline{x_2} \vee x_3)(x_1 \vee x_2 \vee x_3)$$

Variable	Truth Value
x_1	True or False
x_2	True or False
x_3	True or False



Navigation icons: back, forward, search, etc.

Quiz 2	Some Typical Problems	Decision Problems and \mathcal{NP}	Hamiltonian Paths	Subset Sum and 3-Color	Categorizing
○○	○○○○○○○	○○	○○○○○○○○○	○○	
○○	○○	○○○○○○○	○○	○○	
	○●○○○	○○○○		○○○○○○○	
		○○○○○○○		○○○○	

3-Sat

Can Independent Set solve 3-Sat?

Imagine I give you a solution to INDEPENDENT SET. Let's use it to write an algorithm that solves 3-SAT.

Hint: Build a graph such that:

- ▶ The INDEPENDENT SET solver will select one variable from each clause, when given the graph and an appropriate value of k as input.
- ▶ Before you use the solver, modify the graph so that x_i and $\overline{x_i}$ won't both be selected, for any i .
- ▶ It is okay to select x_i two or more times, or $\overline{x_i}$ two or more times, as long as you don't select x_i and $\overline{x_i}$

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○●○○	Decision Problems and \mathcal{NP} ○○ ○○○○○○ ○○○○ ○○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
3-Sat					

Can Independent Set solve 3-Sat?

- Given 3SAT instance
- Build G w/ $3K$ vertices ($K = \#$ clauses)
 - ea. clause is clique size 3
 - label w/ elts of that clause
 - connect $(x_i) - (\bar{x}_i)$ for all i
 - ind set (G, K)

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3-Sat

Could we get false positives?

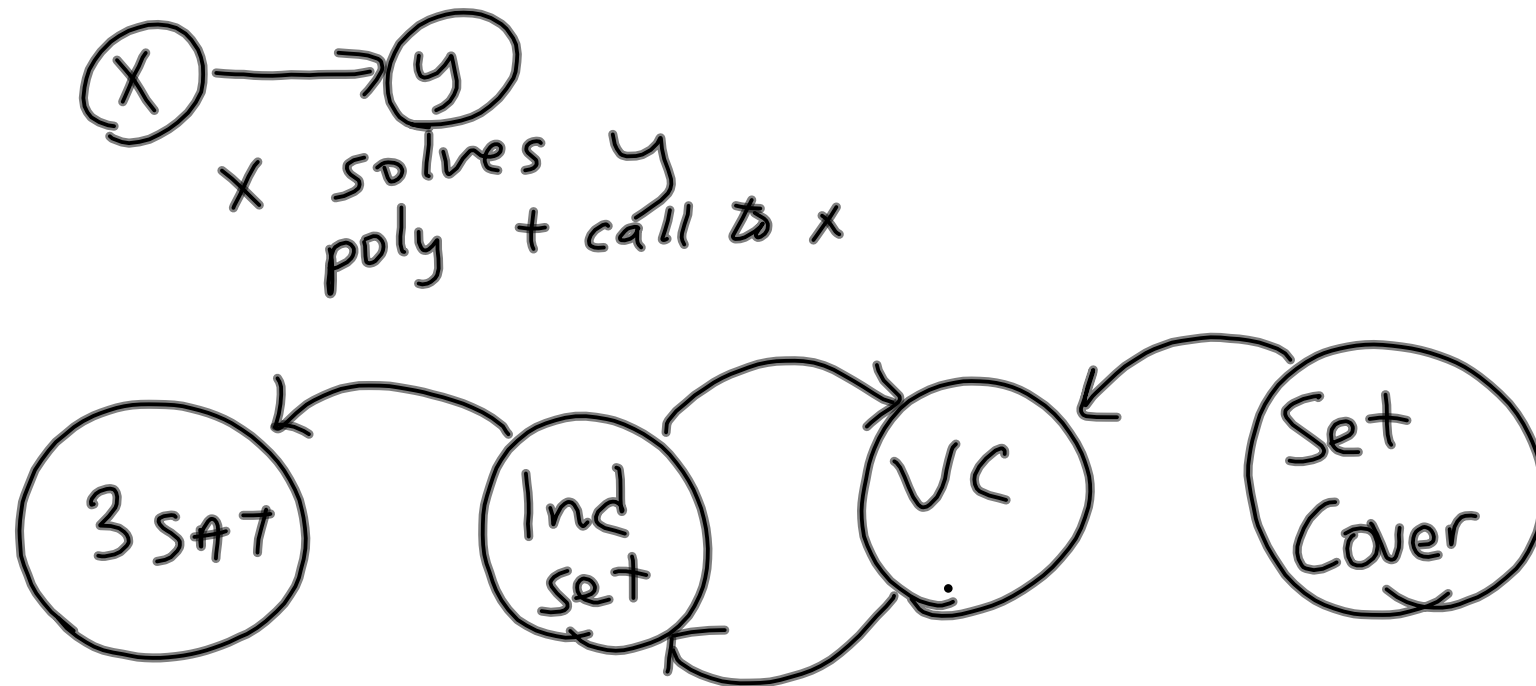
Show that, if that graph G has an independent set of size k , then the 3-SAT instance truly has a satisfying assignment.

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○●	Decision Problems and \mathcal{NP} ○○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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3-Sat

Could we get false negatives?

Show that, if the 3-SAT instance we have as input has a satisfying assignment, the graph we build will have an independent set of size k .



Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ●○ ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Decision Problems

What is a decision problem?

How might you expect to find INDEPENDENT SET as a problem?

- ▶ Does this graph G have an independent set of size k ?

decision

- ▶ Find the largest independent set in graph G .

optimization

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○● ○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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Decision Problems

A stupid algorithm for Independent Set

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for all subsets  $V'$  of  $V$  of size  $k$  do
  if  $V'$  is an Independent Set then
    return true
return false

```

- ▶ This takes time $O(n^k)$
- ▶ We could do similar for finding the largest independent set in time $O(2^n nk)$

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ●○○○○○ ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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The set \mathcal{NP}

What is \mathcal{NP} ?

- ▶ \mathcal{NP} stands for “Non-deterministic Polynomial”
- ▶ This is the set of decision problems *whose “yes” instances can be verified* in polynomial time.

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○●○○○○ ○○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
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The set \mathcal{NP}

How do we show a problem is in \mathcal{NP} ?

- ▶ Certificate: the “proof” that this is a “yes” instance.
 - ▶ For example, V' in Independent Set
- ▶ Verifier: a polynomial time algorithm:
 - ▶ Input: the problem instance **and** the certificate
 - ▶ Output: true or false (if the certificate is valid)

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○●○○○ ○○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○○	Categorizing
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The set \mathcal{NP}

Proof that Independent Set is in \mathcal{NP}

Certificate: V' , a set of vertices.

Verifier:

```

if  $V' \not\subseteq V$  then
  return false
if  $|V'| \neq k$  then
  return false
for all edges  $e = (u, v) \in E$  do
  if  $u \in V'$  and  $v \in V'$  then
    return false
return true

```

Quiz 2 oo oo	Some Typical Problems oooooooo oo oooo	Decision Problems and \mathcal{NP} oo oooo●oo oooo oooooo	Hamiltonian Paths oooooooooo oo	Subset Sum and 3-Color oo oo oooooooo oooo	Categorizing
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The set \mathcal{NP}

Prove that VERTEX COVER is in \mathcal{NP}

Certificate: V'
 Verifier:
 1. if $|V'| \neq k$, reject
 2. if $V' \not\subseteq V$, reject
 3. for each $e=(u,v) \in E$
 if $u \notin V'$ and $v \notin V'$
 reject
 4. accept

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Quiz 2	Some Typical Problems	Decision Problems and \mathcal{NP}	Hamiltonian Paths	Subset Sum and 3-Color	Categorizing
○○	○○○○○○○	○○	○○○○○○○○○	○○	
○○	○○	○○○○●○	○○	○○	
	○○○○○	○○○○		○○○○○○○	
		○○○○○○		○○○	

The set \mathcal{NP}

Prove that SET COVER is in \mathcal{NP}

Set Cover: given $\{S_i\}$, k . $\exists a. S_i \subseteq U$

Certificate: The subsets chosen

Verifizier:

- verifier:
1. If not really subsets from input, reject
 2. If $\neq k$ given, reject
 3. If $\bigcup_{\text{chosen}} S_i \neq U$ reject
 4. accept

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○● ○○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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The set \mathcal{NP}

Prove that 3-SAT is in \mathcal{NP}

Cert: Truth Value Assignment

Verifier:

1. If any var unassigned, reject
2. for ea. clause C
if C unsatisfied
reject
3. accept

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\mathcal{NP} -complete Problems

The Cook-Levin Theorem

If $x \in \mathcal{NP}$, then this:

$x() \{ \dots 3\text{-SAT}()_j \}$
in poly time + call to 3-SAT.

Quiz 2 ○○ ○○	Some Typical Problems ○○○○○○○ ○○ ○○○○	Decision Problems and \mathcal{NP} ○○ ○○○○○ ●○○ ○○○○○	Hamiltonian Paths ○○○○○○○○○ ○○	Subset Sum and 3-Color ○○ ○○ ○○○○○○○ ○○○	Categorizing
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 \mathcal{NP} -complete ProblemsProof that Independent Set is \mathcal{NP} -complete

- IND SET $\in \mathcal{NP}$ (see earlier)
- reduction {
 - 3SAT()
 - ...
 - IND SET
 (see earlier)
- reduction is correct
 - no false positives
 - no false negatives

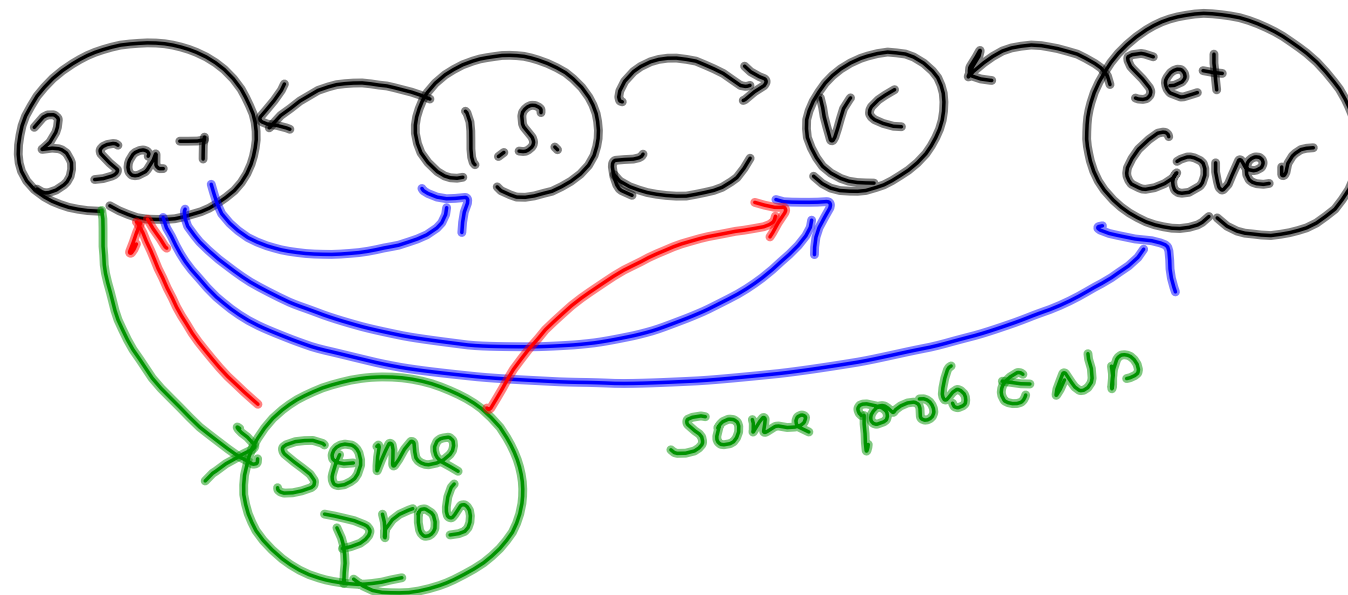
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Quiz 2	Some Typical Problems	Decision Problems and \mathcal{NP}	Hamiltonian Paths	Subset Sum and 3-Color	Categorizing
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\mathcal{NP} -complete Problems

What have we seen so far?

Cook - Levin



Quiz 2	Some Typical Problems	Decision Problems and \mathcal{NP}	Hamiltonian Paths	Subset Sum and 3-Color	Categorizing
oo	oooooooo	oo	oooooooooo	oo	
oo	oo	oooooo	oo	oo	
	oooo	ooo●		oooooooo	
		oooooo		oooo	

\mathcal{NP} -complete Problems

What is the set \mathcal{P} ?

\mathcal{P} : polynomial time solvable.
 $\mathcal{P} \stackrel{?}{=} \mathcal{NP}$