

1 **AHC: An integrated numerical model for simulating**
2 **agroecosystem processes—model description and**
3 **application**

4

- 5 Annex A. Evaporation, transpiration and surface ponding
6 Annex B. Description of nitrogen transformation and the equations
7 Annex C. EPIC crop growth module
8 Annex D. Treatment for soil frost and thaw conditions
9 Annex E. Treatment of bottom boundary conditions and lateral drainage
10 Annex F. Supplementary material for the salinity and nitrogen cases

11 **Annex A. Evaporation, transpiration and surface ponding**

12 **(1) Evaporation and transpiration under stress**

13 The calculation of potential evapotranspiration (ET_p , mm d⁻¹) and its partitioning to crop
14 transpiration rate (T_p , mm d⁻¹) and soil evaporation rate (E_p , mm d⁻¹) are kept same as in the
15 original SWAP model (Kroes and van Dam, 2003). ET_p is estimated by the Penman-Monteith
16 equation (Monteith, 1965) using daily meteorological data of solar radiation, air temperature,
17 relative humidity and wind speed as well as crop parameters described below. The method for
18 reducing T_p and E_p to the lower actual transpiration and evaporation rates is modified and thus
19 different from the SWAP.

20 The potential soil evaporation rate of a wet, bare soil, E_{p0} (cm d⁻¹), is computed using
21 Penman-Monteith equation with a crop resistance (r_c) 0 s m⁻¹ and a crop height 0.1 cm. Then
22 model calculates a daily average of the potential soil evaporation rate, E_p (cm d⁻¹), as follows
23 (Goudriaan, 1977; Belmans et al., 1983):

24
$$E_p = E_{p0} \cdot e^{-k_{gr}LAI} \quad (\text{A1})$$

25 where $k_{gr}(-)$ is the extinction coefficient for global solar radiation, and LAI (-) is the leaf area
26 index. Under dry soil conditions, the maximum evaporation rate, E_{max} (cm d⁻¹), is first
27 calculated according to the Darcy's law (van Dam et al., 1997). Since the Darcy's law can
28 overestimate E_a , three functions which proposed by Black et al. (1969), Boesten and
29 Stroosnijder (1986) and Allen et al. (1998), respectively, are optionally applied to limit actual
30 evaporation (noted as E_{alim} , cm d⁻¹). The former two functions are empirical exponential
31 functions that have been adopted by the SWAP and described in Kroes and van Dam (2003).
32 However, the calculation of E_{alim} in the two functions is only related to the rainfall and time

33 but not to the surface soil moisture. Thus, this implies that the calibrated functions would still
34 result in an under- or over-estimation of soil evaporation when different upward flux from
35 lower soil layers exists, such as under conditions of shallow water tables (Xu et al., 2015).

36 The third function uses a mechanism two-stage approach, with equation of $E_{alim}=K_r E_p$. The
37 evaporation reduction coefficient K_r is calculated as a function of soil water content of surface
38 layer, as follows:

$$39 \quad K_r = \begin{cases} 1 & \text{for energy stage} \\ \frac{e^{f_k \cdot W_{rel}} - 1}{e^{f_k} - 1} & \text{for falling stage, } 0 \leq K_r \leq 1 \end{cases} \quad (\text{A2})$$

40 where f_k is a decline factor (-) and W_{rel} (-) is the relative water content of the soil layer through
41 which water moves to the evaporating soil surface layer (i.e., upper soil layer with thickness
42 $Z_{e,top}$). $Z_{e,top}$ is assigned to 15 cm and expands to a maximum depth (15-50 cm) when W_{rel}
43 drops below a threshold of 0.4 (Raes et al., 2012). The third function is more general and
44 recommended especially for the shallow water table conditions. Finally, the AHC determines
45 the actual evaporation rate (E_a , cm d⁻¹) by taking the minimum value of E_p , E_{max} and E_{alim} .

46 In addition, the effect of mulches on soil evaporation can be considered to further limit
47 the evaporation loss in the AHC. It is described by two factors, i.e., the fraction of soil surface
48 covered by mulch (CF_{mul} , -) and adjusted factor of mulch material (f_{mm} , -) (Allen et al., 1998).
49 The E_a is then adjusted with multiplying by a mulching reduction coefficient ($K_{r,mul}$, -) that is
50 calculated as follows:

$$51 \quad K_{r,mul} = 1 - CF_{mul} \cdot f_{mm} \quad (\text{A3})$$

52 where CF_{mul} varies from 0 to 100%, and f_{mm} varies between 0.5 for mulches of plant material
53 and is close to 1.0 for plastic mulches. The adjustment is not applied when standing pond

54 water remains on the soil surface.

55 The ET_p of a canopy completely covering the soil is calculated using the
56 Penman-Monteith equation. In case of a wet canopy, the r_c is set to zero. In case of a dry crop
57 with optimal water supply in the soil, r_c is minimal and varies between 30 s m^{-1} for arable
58 crop to 150 s m^{-1} for trees in a forest (Allen et al., 1989). Then, a daily average of the
59 potential transpiration rate, T_p (cm d^{-1}), is obtained by:

60
$$T_p = (1.0 - W_{frac}) ET_p - E_p \quad (\text{A4})$$

61 where $W_{frac}(-)$ is the fraction of the day that crop is wet, i.e., the ratio of the daily amount of
62 intercepted precipitation to the ET_p rate of a wet canopy. The actual transpiration (T_a , cm d^{-1})
63 is governed by the root water uptake ($S_a(z)$, d^{-1}) which is calculated from the potential
64 transpiration, rooting depth and distribution and a possible reduction due to water and salt
65 stress, as follows:

66
$$S_a(z) = \alpha_{ws} \frac{T_p}{z_{root}} = \alpha_w \alpha_s \frac{T_p}{z_{root}} \quad (\text{A5})$$

67 where $\alpha_w(-)$ and $\alpha_s(-)$ are the reduction coefficients relative to water stress and salt stress,
68 respectively, $\alpha_{ws}(-)$ is the reduction coefficients of integrated water-salt stress, and z_{root} is the
69 rooting depth (cm). A homogeneous root length density distribution is adopted over the
70 rooting depth as often assumed in the modeling practice, because the distribution is usually
71 not available (Kroes and van Dam, 2003).

72 In the original SWAP model, the stress coefficients α_w and α_s are described by a
73 reduction function proposed by Feddes et al. (1978) and Maas and Hoffman (1977),
74 respectively. In the AHC, the S-shaped functions suggested by van Genuchten (1987) are
75 provided as well to calculate the water and salt stress. In addition, a salinity threshold value

76 suggested by Dirksen and Augustijn (1988) is adopted to better describe the salinity stress, as

77 follows:

78

$$\alpha_s(h_o) = \frac{1}{1 + ((h_o^* - h_o)/(h_o^* - h_{o50}))^p} \quad (\text{A6})$$

79 where h_o is the soil solution osmotic head (cm), h_o^* is the threshold value of osmotic head

80 (cm), h_{o50} is the soil solution osmotic head of soil salinity at which $\alpha_s(h_o)$ is reduced by 0.50

81 (cm), and p is an empirical crop, soil and climate-specific dimensionless parameter described

82 as (Homaee et al., 2002):

83

$$p = \frac{h_{o50}}{h_{o50} - h_o^*} \quad (\text{A7})$$

84 Eq. (A6) is based on the soil solution osmotic head instead of the electrical conductivity

85 of saturation extracts (EC_e). If h_o^* is equal to 0 and p is just a user-specified parameter, Eq.

86 (A6) becomes the S-shaped function as same as that proposed by van Genuchten (1987) for

87 calculating the salinity stress. The S-shaped models could provide sufficient flexibility

88 without introducing unwarranted complexity.

89 The AHC also includes the function of the compensated root water uptake. A critical

90 value of the water stress index ω_c , i.e., a root adaptability factor, is further introduced to

91 describe the compensated water uptake, referring to Jarvis (1989). ω_c represents a threshold

92 value, above which the root water uptake reduced in stressed parts of the root zone is fully

93 compensated for by uptake from other less-stressed parts (Eq. A8). The stress parts are just

94 partly compensated if α_{ws} is below this critical value (Eq. A9).

95

$$T_a^* = \sum_{i=1}^{Nr} T_{a,i}^* = \sum_{i=1}^{Nr} \frac{T_{a,i}}{\alpha_{ws}} = \sum_{i=1}^{Nr} \frac{T_p A_i \alpha_{ws,i}}{\alpha_{ws}} = \frac{T_p}{\alpha_{ws}} \sum_{i=1}^{Nr} A_i \alpha_{ws,i} = \frac{T_p}{\alpha_{ws}} \alpha_{ws} = T_p, \quad \alpha_{ws} \geq \omega_c \quad (\text{A8})$$

96

$$T_a^* = \sum_{i=1}^{Nr} T_{a,i}^* = \sum_{i=1}^{Nr} \frac{T_{a,i}}{\omega_c} = \sum_{i=1}^{Nr} \frac{T_p A_i \alpha_{ws,i}}{\omega_c} = \frac{T_p}{\omega_c} \sum_{i=1}^{Nr} A_i \alpha_{ws,i} = \frac{\alpha_{ws}}{\omega_c} T_p, \quad \alpha_{ws} \geq \omega_c \quad (\text{A9})$$

97 where T_a^* and $T_{a,i}^*$ are the total T_a and the T_a for the i^{th} compartment, respectively, when
 98 considering the root water uptake compensation. N_r is the number of compartments in the root
 99 zone, $\alpha_{ws,i}$ is the reduction coefficients of the water-salt stress for the i^{th} compartment, and $A_i(-)$
 100 is the weight of water uptake distribution for the i^{th} compartment as a function of relative root
 101 length density and compartment thickness. A_i is normalized to ensure that A_i integrates to
 102 unity over the root zone.

103 **(2) “Surface reservoir” boundary condition:**

104 A “surface reservoir” boundary condition is applied if the surface ponding is expected to
 105 develop, as follows:

$$106 \quad -K \left(\frac{\partial h}{\partial z} + 1 \right) = q_0(t) - \frac{dh_{pond}}{dt} \quad \text{at } z=0 \quad (\text{A10})$$

107 where q_0 is the net infiltration rate, i.e., the difference between precipitation and evaporation
 108 (cm d^{-1}), h_{pond} is the ponding depth of water (cm), q_{runoff} is the surface runoff (cm), z_{sill} is the
 109 maximum ponding height (cm), γ_{sill} is the runoff resistance (d), and β is an exponent (-).

110 If the ponding depth is greater than a threshold value, the surface runoff is supposed to
 111 happen and estimated as follows:

$$112 \quad q_{runoff} = \frac{1}{\gamma_{sill}} (h_{pond} - z_{sill})^{\beta_{sill}} \quad (\text{A11})$$

113 where q_{runoff} is the surface runoff (cm), z_{sill} is the maximum ponding height (cm), γ_{sill} is the
 114 runoff resistance (d), and β is an exponent (-).

115 **Annex B. Description of nitrogen transformation and the equations**

116 **(1) Mineralization and immobilization**

117 The concepts of mineralization and immobilization of nitrogen (N) are mainly adapted
118 from the Daisy (Hansen, 2002) while also referring to the APSIM (McCown et al., 1996). The
119 organic matter is divided into three main pools as follows: (1) soil organic matter is divided
120 into two main pools, viz., dead soil organic matter (SOM) and microbial biomass (SMB); and
121 (2) the added fresh organic matter (AOM) is defined as the third main pool. Each main pool is
122 subdivided into two or three subpools with different decay rates. The SOM is similar as
123 humus, and further subdivided into three subpools SOM₀, SOM₁ and SOM₂. The SOM₀
124 consists of almost inert soil organic matter. The active subpools SOM₁ and SOM₂ are assumed
125 to consist of chemically and physically stabilized organic matter, respectively. Their
126 decomposition rates are both simulated by first-order reaction kinetics (Eqs. B5 and B6). The
127 active SOM pool is assumed to change from about 60% to 20% of total soil organic matter
128 with depth increase for shallow soils (e.g., 0-60 cm) and 0-5% for deeper arable soils
129 (McCown et al., 1996). The microbial biomass in the soil which usually accounts for less than
130 4% of the active humus is subdivided into two subpools designated SMB₁ and SMB₂,
131 respectively. SMB₁ is considered to be more stable while SMB₂ is more dynamic part of the
132 microbial biomass. The simulation of biomass turnover is based on growth efficiency,
133 maintenance respiration and death rate coefficients, described using the first-order reaction
134 kinetics equations (Eqs. B3 and B4) (Hansen et al., 1991). The AOM is the organic fertilizer
135 such as plant residual, farmyard manure and slurry, which is allocated to the subpools AOM₁,
136 AOM₂ and SOM₂. The subpools AOM₁ and AOM₂ are assumed to consist of mainly cell wall

137 material and mainly water extractable cell material, respectively. Their decomposition rate of
 138 each subpool is calculated by first-order reaction kinetics (Eqs. B1 and B2). The SOM₂, SMB₂
 139 and AOM₂ have a faster turnover compared with the SOM₁, SMB₁ and AOM₁.

140
$$\frac{dC_1}{dt} = C_0 f_0 - \alpha_1 k_1 C_1 \quad (B1)$$

141
$$\frac{dC_2}{dt} = C_0 f_{00} - \alpha_2 k_2 C_2 \quad (B2)$$

142
$$\frac{dC_3}{dt} = \alpha_1 k_1 C_1 E_1 f_1 + \alpha_5 k_5 C_5 E_5 + \alpha_6 k_6 C_6 E_6 (1-f_6) - \alpha_3 k_3 C_3 - \alpha_3 \beta_1 C_3 \quad (B3)$$

143
$$\frac{dC_4}{dt} = \alpha_1 k_1 C_1 E_1 (1-f_1) + \alpha_2 k_2 C_2 E_2 + \alpha_3 k_3 C_3 E_3 (1-f_3) - \alpha_4 k_4 C_4 (2f_4 - 1) - \alpha_4 \beta_2 C_4 \quad (B4)$$

144
$$\frac{dC_5}{dt} = \alpha_6 k_6 C_6 E_6 f_6 - \alpha_5 k_5 C_5 \quad (B5)$$

145
$$\frac{dC_6}{dt} = C_0 (1-f_0 - f_{00}) + \alpha_3 k_3 C_3 E_3 f_3 + \alpha_4 k_4 C_4 E_4 f_4 - \alpha_6 \beta_6 C_6 \quad (B6)$$

146 where C_i is the carbon content of the i^{th} sub-pool (kg C ha⁻¹), k_i is a first-order decomposition
 147 rate coefficient which is modified according with the considered abiotic factors (kg C ha⁻¹ d⁻¹),
 148 α_i is the stress factor for carbon turnover (-), f_i is partitioning coefficient for carbon flow (-),
 149 and E_i is the substrate utilization efficiency (-).

150 The soil temperature and moisture are two main abiotic factors influencing the above
 151 carbon turnover, while the clay content is a specific factor to SOM₁, SOM₂ and BOM₁. These
 152 factors are calculated for each soil compartment using Eqs. (B7)-(B10). It is assumed that
 153 there are no interaction effects between the different factors, and the combined effect is
 154 multiplicative (Hansen et al., 1991). Model allows flows of carbon among different subpools
 155 during the decomposition of the organic matter.

156
$$\alpha_1 = \alpha_2 = F_m(T)F_m(h) \quad (B7)$$

157
$$\alpha_3 = F_m(T)F_m(h)F_m(Clay) \quad (B8)$$

158
$$\alpha_4 = F_m(T)F_m(h) = \alpha_1 \quad (B9)$$

159

$$\alpha_5 = \alpha_6 = F_m(T)F_m(h)F_m(Clay) = \alpha_3 \quad (B10)$$

160 where T is the soil temperature ($^{\circ}\text{C}$), h is the soil water pressure head (cm), and $Clay$ is the
 161 clay content at the soil compartment (kg kg^{-1}). The stress function F_m (-) is calculated as
 162 follows:

163

$$F_m(T) = \begin{cases} 0 & T \leq 0 \\ 0.1T & 0 < T \leq 20 \\ \exp(0.47 - 0.027T + 0.00193T^2) & T > 20 \end{cases} \quad (B11)$$

164

$$F_m(h) = \begin{cases} 0.6 & h \geq -1 \\ 0.6 + 0.4 \log(-h)/1.5 & -10^{1.5} \leq h < -1 \\ 1.0 & -10^{2.5} \leq h < -10^{1.5} \\ 1.0 - \log(-10^{-2.5}h)/4 & -10^{6.5} \leq h < -10^{2.5} \\ 0 & h < -10^{6.5} \end{cases} \quad (B12)$$

165

$$F_m(T) = \begin{cases} 1.0 - aC_c & 0 < C_c \leq C'_c \\ 1.0 - aC'_c & C_c > C'_c \end{cases} \quad (B13)$$

166 where C_c' is set equal to 0.25 kg kg^{-1} and a is equal to 2.0.

167 The flows of matter between different pools are calculated in terms of carbon, and thus
 168 the corresponding nitrogen flows are calculated based on the carbon/nitrogen ratio (CNR) of
 169 the receiving pool. The CNR value is set constant for each subpool. A carbon balance for each
 170 pool of organic matter can be established resulting in an expression for the rate of change of
 171 carbon in each pool. As each pool of organic matter is characterized by a particular carbon to
 172 nitrogen ratio (CNR_i), an overall organic nitrogen balance can be established resulting in an
 173 equation for net mineralization of nitrogen as flows:

174

$$R_{\min} = -\sum_i CNR_i \frac{dC_i}{dt} \quad (B14)$$

175 **(2) Nitrification and NH₃ volatilization**

176 Nitrification is a microbial process related to the conversion of ammonia N to nitrate N,
 177 while NH₃ volatilization, the loss of ammonia to the atmosphere, often takes place
 178 simultaneously. They are estimated using a combination of the methods of Reddy et al. (1979)
 179 and Godwin et al. (1984) which are also adopted by EPIC model (Williams, 1995). This
 180 approach is based on the first-order kinetic equations (Reddy et al., 1979), described in Eqs.
 181 (B15)-(B27). The combined rate is first calculated using Eq. (B15), and then is partitioned
 182 into the nitrification and volatilization rates using the subsequent equations. Nitrification, the
 183 conversion of ammonia N to nitrate N, is regulated by the soil water content, temperature and
 184 pH. The volatilization, loss of ammonia to the atmosphere, is estimated simultaneously with
 185 nitrification. This loss from soil surface is affected by the soil temperature and wind speed,
 186 while that from subsurface soils is influenced by the depth, cation exchange capacity (CEC,
 187 cmol kg⁻¹) and soil temperature (Williams, 1995).

188

$$\frac{dWNH_4}{dt} = R_{nit+vol} = WNH_4 [1 - \exp(-k_{nit} - k_{vol})] \quad (B15)$$

189

$$k_{nit} = f_{T_nit} f_{sw_nit} f_{pH_nit} \quad (B16)$$

190

$$f_{T_nit} = 0.41 \frac{T - 5}{10} \quad T_{soil} > 5^\circ C \quad (B17)$$

191

$$f_{sw_nit} = \begin{cases} \frac{SW - WP}{SW25 - WP} & SW < SW25 \\ 1.0 & SW25 \leq SW \leq FC \\ 1.0 - \frac{SW - FC}{PO - FC} & SW > FC \end{cases} \quad (B18)$$

192

$$f_{pH_nit} = \begin{cases} 0.307pH - 1.269 & pH < 7.0 \\ 1.0 & 7.0 \leq pH \leq 7.4 \\ 5.367 - 0.599pH & pH > 7.4 \end{cases} \quad (B19)$$

193

$$k_{vol} = \begin{cases} f_{T_nit} f_{wind} & \text{surface} \\ f_{T_nit} f_{CEC} f_{depth} & \text{subsurface} \end{cases} \quad (\text{B20})$$

194

$$f_{wind} = 0.335 + 0.16 \ln u_2 \quad (\text{B21})$$

195

$$f_{CEC} = \max(0.0, 1.0 - 0.038 \text{CEC}) \quad (\text{B22})$$

196

$$f_{depth} = 1 - \frac{1000x}{1000x + \exp(4.706 - 30.5x)} \quad (\text{B23})$$

197

$$R_{nit} = WNH_4 [1 - \exp(-k_{nit})] \quad (\text{B24})$$

198

$$R_{vol} = WNH_4 [1 - \exp(-k_{vol})] \quad (\text{B25})$$

199

$$R_{vol} = R_{vol} \frac{R_{nit+vol}}{R_{nit} + R_{vol}} \quad (\text{B26})$$

200

$$R_{nit} = R_{nit+vol} - R_{vol} \quad (\text{B27})$$

201 where WNH_4 and WNO_3 are respectively $\text{NH}_4\text{-N}$ and $\text{NO}_3\text{-N}$ contents in the soil layer (kg N ha^{-1}), k_{nit} and k_{vol} are respectively the nitrification regulator for volatilization regulator (d^{-1}), R_{nit} , R_{vol} and $R_{nit+vol}$ are respectively the nitrification rate, volatilization rate and combined rate of nitrification and volatilization ($\text{kg N ha}^{-1} \text{ d}^{-1}$), f_{T_nit} , f_{sw_nit} and f_{pH_nit} are respectively the factors of soil temperature, soil water and pH for N nitrification, f_{wind} , f_{depth} and f_{CEC} are respectively the factors of wind, soil depth and CEC for N volatilization (0-1), u_2 is the mean wind speed at 2 m height (m d^{-1}), x is the spatial coordinate assumed to be positive downward from soil surface (m), and PO is soil porosity ($\text{cm}^3 \text{ cm}^{-3}$). SW is the soil water content of the soil layer ($\text{cm}^3 \text{ cm}^{-3}$), WP and FC is the soil water content at plant wilting point and field capacity ($\text{cm}^3 \text{ cm}^{-3}$), respectively, and $SW25$ is the soil water content at $WP + 0.25(FC - WP)$

211 (cm³ cm⁻³).

212 **(3) Denitrification**

213 Nitrate N from nitrification or commercial fertilizer is subject to denitrification in
214 anaerobic environments by microbes that are able to utilize the N in NO₃⁻ and NO₂⁻ as
215 terminal electron acceptors. Denitrification is a complicated microbial process in which
216 nitrate N is lost in the form of N₂ and N₂O gas from the soil to atmosphere. Soil
217 denitrification is assumed to only take place in the top 20-cm soil layer. The denitrification
218 rate is calculated using the first-order equation as a function of soil temperature and organic
219 carbon content, as follows:

220

$$R_{den} = \begin{cases} WNO_3 [1 - \exp(-1.4f_T OC)] & \text{SWF} \geq 0.95 \\ 0 & \text{SWF} < 0.95 \end{cases} \quad (\text{B28})$$

221

$$f_{T_den} = 0.1 + 0.9 \frac{T}{T + \exp(9.93 - 0.312T)} \quad (\text{B29})$$

222 where R_{den} is the total denitrification rate (kg N ha⁻¹ d⁻¹), OC is the percent content of soil
223 organic carbon (%), and f_{T_den} is the soil temperature factor for denitrification (0-1).

224 **(4) N₂O emission**

225 The nitrous oxide (N₂O) emitted from soils is an important part affecting stratospheric
226 ozone levels and greenhouse effects. Both nitrification and denitrification contribute to the
227 release of N₂O, which are both considered in the AHC. In nitrification, N₂O emission is
228 estimated as a fraction of the nitrification rate, adjusted by the soil temperature and moisture
229 (Eq. B30). N₂O emission during the denitrification process is calculated using Eq. (B32) for
230 saturated and unsaturated conditions (Xu et al., 1998).

231

$$N_2O_{nit} = \alpha_{nit} R_{nit} f_{T_nit} f_{sw_nit} \quad (\text{B30})$$

232

233

$$f_{sw_den} = \exp(-23.77 + 23.77 wfps) \quad (B31)$$

234

$$N_2O_{den} = \begin{cases} 0.05R_{den} & wfps \geq 1.0 \\ \alpha_{den} R_{den} (1 - f_{sw_den}) & 0.8 \leq wfps < 1.0 \\ 0 & wfps < 0.8 \end{cases} \quad (B32)$$

235 where α_{nit} denotes the maximum fraction of nitrogen loss as N₂O through nitrification (-),
 236 f_{sw_den} is the soil moisture factor (-), $wfps$ is the fraction of soil pore space filled with water
 237 (0-1), and α_{den} is the maximum fraction of the total denitrification rate R_{den} occurring as N₂O
 238 (-), with a default value of 0.5. α_{nit} is set at a default value of 0.002 and can also be calibrated
 239 from the field measurement. The fraction of N₂O lost in nitrification varies from 0.001 to 0.05
 240 as reported by Goodroad and Keeney (1984).

241 **(5) Urea hydrolysis**

242 Urea is the major N fertilizer used for crop production in world agriculture. In the AHC,
 243 the rate for urea hydrolysis to ammonia ($HYDR_{urea}$, kg N ha⁻¹ d⁻¹) is estimated using the
 244 first-order kinetics equation, referring to the WNMM model (Li et al., 2007):
 245

$$HYDR_{urea} = WUREA [1.0 - \exp(-5.0 f_T wfps)] \quad (B33)$$

246 where $WUREA$ is the urea content in the soil layer (kg N ha⁻¹ d⁻¹). This implies that the urea
 247 hydrolysis is generally faster (2-3 days) in hot weather and wet soil while slower under cool
 248 and dry conditions (Li et al., 2007). This treatment is different from some other models (e.g.,
 249 NLEAP and EPIC) which assume that the process of urea hydrolysis is so fast that the urea
 250 can be directly treated as NH₄⁺ as model input.

251 **(6) Plant N uptake**

252 The nitrogen uptake is estimated using a demand and supply approach, referring to the

253 EPIC model (Williams, 1995). The daily crop N demand is the difference between the crop N
 254 content and the ideal N content for that day, and estimated using the Eq. (B34). The optimal
 255 crop N concentration (C_{NB}) declines with increasing crop growth stage (Jones, 1983). It is
 256 calculated using Eq. (B35) in which bn_1 , bn_2 and bn_3 are crop parameters calculated from
 257 crop-specific concentration of N in the plant at seedling, halfway through the season, and
 258 maturity, respectively.

259

$$UND_i = C_{NB,i} B_i - \sum_{k=1}^{i-1} UN_k \quad (B34)$$

260

$$C_{NB,i} = bn_1 + bn_2 \exp(-bn_3 HUI_i) \quad (B35)$$

261 where UND is the N demand rate of the crop ($\text{kg N ha}^{-1} \text{ d}^{-1}$), UN is the actual N uptake rate
 262 ($\text{kg N ha}^{-1} \text{ d}^{-1}$), and the subscript i refers to the day number.

263 N is taken up as NH_4^+ and NO_3^- by plants, considering both of the active process and
 264 passive process in the AHC. The amount of N that passively enters the plant (N_t^{Pass}) is
 265 determined by the plant transpiration rate and the concentration of N in the soil water that
 266 enters the plant. If passive N uptake is not enough to meet the plant demand, then active N
 267 uptake occurs. A rectangular hyperbola equation suggested by the RZWQM model (Ahuja et
 268 al., 2000) is used to estimate the rate of active N uptake (N_t^{Act} , $\text{kg N plant}^{-1} \text{ d}^{-1}$):

269

$$N_t^{Act} = \mu_1 \frac{N_t^{Soil}}{\mu_2 + N_t^{Soil}} \quad (B36)$$

270 where N_t^{Soil} is the amount of N available in the soil layer (kg N ha^{-1}), μ_1 is the maximum
 271 proportion of N that can be removed from the soil ($\text{kg N plant}^{-1} \text{ d}^{-1}$), and μ_2 the half maximum
 272 nitrogen-uptake amount for the species being simulated (kg N ha^{-1}). The total amount of
 273 additional nitrogen available to the plant through uptake is the sum of N_t^{Pass} and N_t^{Act} .

274 (7) ***Solid adsorption***

275 The adsorption is assumed to be instantaneous (equilibrium approach) and can be
 276 described by a linear, Freundlich or Langmuir isotherm (Eq. B37). There is almost no
 277 adsorption for NO_3^- . Thus, the adsorption is only considered for NH_4^+ that tends to be
 278 absorbed on soil particle.

$$279 \quad Q_k = \frac{k_{s,k} c_k^{\beta_k}}{1 + \eta_k c_k^{\beta_k}} \quad (\text{B37})$$

280 where $k_{s,k}$ ($\text{cm}^3 \text{ g}^{-1}$), β_k (-) and η_k ($\text{cm}^3 \text{ g}^{-1}$) are empirical coefficients. When $\beta_k=1$, Eq. (B37)
 281 becomes the Langmuir equation, when $\eta_k=0$, it becomes the Freundlich equation, and when
 282 the $\eta_k=0$ and $\beta_k=1$, it represents the liner adsorption isotherm. There is no solid adsorption
 283 when $k_{s,k}=0$.

284 **Annex C. Treatment for soil frost and thaw conditions**285 **(1) Adjustment for soil hydraulic conductivity**

286 As soil water freezes below a soil temperature of 0°C, the soil hydraulic conductivity is
 287 further adjusted under frost conditions, as follows (Kroes and van Dam, 2003):

$$288 \quad K^*(z) = f_{ST}(z)(K(z) - K_{\min}) + K_{\min} \quad (C1)$$

$$289 \quad f_{ST}(z) = \begin{cases} 1.0 & T \geq T_1 \\ \frac{T(z) - T_2}{T_1 - T_2} & T_2 < T(z) < T_1 \\ 0 & T < T_2 \end{cases} \quad (C2)$$

290 where $K^*(z)$ is the adjusted hydraulic conductivity at depth z (cm d^{-1}), K_{\min} is a very small
 291 hydraulic conductivity (cm d^{-1}) with a default value of $10^{-10} \text{ cm d}^{-1}$, $f_{ST}(z)$ is a correction factor
 292 for soil temperature at depth z , $T(z)$ is the soil temperature at depth z (°C), and T_1 and T_2 are
 293 the soil temperatures where reduction of hydraulic conductivity just begins and ends,
 294 respectively. The default values for T_1 and T_2 are taken as 0°C and -1°C.

295 **(2) Soil thawing process: a simple approach**

296 In the AHC, a variable active-node method is proposed to describe the simulation zone
 297 (i.e. active zone) and simulating the fate of soil water and solute during the soil thawing
 298 period in a simple way (Xu et al., 2013). This is particularly designed for the initial season
 299 when upper soil layer fully thaws while underlying soil layer is in thawing processes (e.g., for
 300 spring wheat growth in Northwest China). The active zone corresponded to the upper fully
 301 thawed soil layer, which is bounded by the soil surface at the top and the upper boundary of
 302 frozen soil layer at the bottom. The rest nodes below are set as inactive nodes. The active zone
 303 depth (D_{act} , cm) is increased with soil thawing, estimated using Eq. (C3).

304

$$\begin{aligned} D_{act} &= a \cdot J^b + c && \text{if } D_{act} < D_{\max} \\ D_{act} &= D_{sp} && \text{if } D_{act} \geq D_{\max} \end{aligned} \quad (\text{C3})$$

305 where D_{\max} is the maximum active zone depth (cm) and specified according to in-situ
 306 observation or experience, D_{sp} is the depth of whole simulation domain (cm), J is the day
 307 number after soil starts to thaw, c is the value of D_{act} in initial simulation, and a and b are
 308 empirical coefficients (-). Given that the thawing rate tended to increase with time, b should
 309 be larger than one. Meanwhile, a non-flux bottom boundary condition is defined for the active
 310 zone during thawing period, assuming that there is little water and solute exchange at the
 311 frozen interface. After the soil profile fully thaws, all nodes are activated and the bottom
 312 boundary condition is redefined as user-specified previously.

313

314

315 **Annex D. EPIC crop growth module**

316 The EPIC crop growth module (EPIC_CGM) considers leaf area development, light
317 interception and conversion of intercepted light into biomass and yield, and the effects of
318 temperature, water, and salt or nitrogen stress. The detailed calculation procedure is provided
319 in the following parts.

320 **Biomass:** The solar radiation intercepted by crop leaf area is estimated with Beer's law
321 (Monsi and Saeki, 1953):

322
$$PAR_{day} = 0.5 \cdot RA_{day} \cdot [1 - \exp(-k_l \cdot LAI)] \quad (D1)$$

323 where PAR_{day} is the intercepted photosynthetic active radiation on a given day (MJ m^{-2}), RA_{day}
324 and $0.5 \cdot RA_{day}$ are respectively the total incident solar radiation (MJ m^{-2}) and incident
325 photosynthetic active radiation, k_l is the light extinction coefficient, and LAI is leaf area index
326 (-). The k_l varied with foliage characteristic, sun angle, row spacing and direction, and latitude.
327 The default value of k_l used in the AHC (=0.65) is representative of crops with narrow row
328 spacing, and a smaller value (0.4-0.6) might be suitable for wide row spacing and for tropical
329 areas with higher sun angle (Williams et al., 1989).

330 The potential increase in biomass on a given day is estimated according to Monteith and
331 Moss (1977), as follows:

332
$$\Delta B_a = RUE \cdot \Delta B_p = RUE \cdot PAR_{day} \cdot REG \quad (D2)$$

333 where ΔB_a and ΔB_p are the daily actual and potential increase in total biomass (kg ha^{-1}),
334 respectively, RUE is the plant radiation-use efficiency ($(\text{kg ha}^{-1}) \cdot (\text{MJ m}^{-2})^{-1}$), and REG is the
335 crop growth regulating factor (the minimum stress factor) (-). The REG value is equal to the
336 minimum stress factor of water-salt stress (α_{ws}) and temperature stress for salinity simulation,

337 and that of water stress (α_w), nitrogen stress and temperature stress for nitrogen simulation.
 338 The calculation of α_w and α_{ws} can be found in Annex A. The stress factors of nitrogen (α_N) and
 339 temperature (α_T) are calculated using Eq. (D3) and Eqs. (D4-D5), respectively.

340

$$\alpha_T = \sin\left(\frac{\pi}{2}\left(\frac{T_{avg} - T_b}{T_{opt} - T_b}\right)\right) \quad (D3)$$

341

$$\alpha_N = \frac{N_{scale}}{N_{scale} + \exp(3.52 - 0.026N_{scale})} \quad (D4)$$

342

$$N_{scale} = 200 \left[\frac{\sum_{k=1}^i UN_k}{(C_{NB})_i B_i} - 0.5 \right] \quad (D5)$$

343 where RUE is sensitive to the atmospheric CO_2 level and affected by VPD . The equations of
 344 Stockle et al. (1992) are applied to adjust RUE for considering the effects of CO_2 , as follows:

345

$$RUE^* = \frac{100CO_2}{CO_2 + \exp(bc_1 - bc_2(CO_2))} \quad (D6)$$

346 where bc_1 and bc_2 are crop shape factors. The VPD correction for adjusting RUE is
 347 accomplished in the equation:

348

$$\begin{aligned} RUE' &= RUE^* - \Delta RUE_{slope} (VPD - VPD_{thr}) && \text{if } VPD > VPD_{thr} \\ RUE' &= RUE^* && \text{if } VPD \leq VPD_{thr} \end{aligned} \quad (D7)$$

349 where ΔRUE_{slope} is the rate of decline in RUE per unit increase in VPD ($(kg\ ha^{-1}) \cdot (MJ\ m^{-2})^{-1} \cdot$
 350 kPa^{-1}). Finally, the RUE' is substituted into Eq. (D2) to estimate the corrected biomass.

351 **Leaf Area Index:** The phonological development stage is controlled by heat unit
 352 accumulation. The heat unit index (HUI), representing the fraction of potential heat units
 353 accumulated in a given data, is calculated as follows:

354

$$HUI_i = \frac{\sum_{k=1}^i HU_k}{PHU} \quad (D8)$$

355 where HU is the heat units ($^{\circ}\text{C}$) on day i (equaling to average daily temperature minus base
 356 temperature), and PHU is the total potential heat units required for crop maturation ($^{\circ}\text{C}$). The
 357 value of HUI ranges from 0 at planting to 1 at physiological maturity.

358 LAI represents the level of canopy cover, and is estimated as a function of heat unit, crop
 359 stress and development stages using the equations:

$$360 \quad \Delta LAI = (HUF_i - HUF_{i-1}) LAI_{mx} (1 - \exp(5(LAI_{i-1} - LAI_{mx}))) \sqrt{REG_i} \quad (\text{D9})$$

$$361 \quad LAI_i = LAI_{i-1} + \Delta LAI \quad (\text{D10})$$

362 where subscript i is the day number, Δ is the daily change, subscript mx refers to the
 363 maximum value possible for the crop, and HUF is the heat unit factor. The HUF is calculated
 364 as follows:

$$365 \quad HUF_i = \frac{HUI_i}{HUI_i + \exp(ah_1 - ah_2 \cdot HUI_i)} \quad (\text{D11})$$

366 where ah_1 and ah_2 are the shape coefficients for the corresponding crop. From the start of leaf
 367 decline till to the end of the growing season the LAI is estimated as follows:

$$368 \quad LAI_i = LAI_c \left(\frac{1 - HUI_i}{1 - HUI_c} \right)^\alpha \quad (\text{D12})$$

369 where α is a parameter governing the rate of decline in LAI, and the subscript c represents the
 370 day number of year when LAI starts declining.

371 **Crop height:** Crop height has a significant effect on the aerodynamic resistance in ET
 372 calculation. It can be directly specified as a function of the development stage, or estimated as
 373 follows:

$$374 \quad H_{c,i} = H_{c,mx} \sqrt{HUF_i} \quad (\text{D13})$$

375 where $H_{c,i}$ is the canopy height on day i (cm), and $H_{c,mx}$ is the maximum canopy height (cm).

376 **Root system:** The fraction of total biomass partitioned to the root system is 30-50% in
377 seedlings and reduced to 5-20% in mature plants (Jones, 1985). This model decreases the
378 fraction of total biomass in roots (fr_{root}) linearly from 0.4 at emergence to 0.2 at maturity,
379 using the equation:

$$fr_{root} = 0.4 - 0.2HUI_i \quad (D14)$$

381 Root depth normally increases rapidly from the seeding depth to a specific maximum
382 depth before maturity (Borg and Grimes, 1986). The daily increase in root depth is simulated
383 as a function of heat unit index and potential root zone depth, as follows:

$$RD_i = 2.5(RD_{mx})(HUI_i) \quad \text{if } RD_i \leq RD_{mx} \quad (\text{D15})$$

385 where RD_i is the root depth on day i (cm) and RD_{mx} is the maximum root depth (cm). The
 386 vertical root distribution in soil profile is assumed to be a piecewise-linear function of root
 387 depth.

Crop yield: The actual crop yield is calculated using the harvest index concept:

$$389 \quad Y_a = HI \cdot B_a \quad (\text{D16})$$

390 where Y_a is the amount of the crop removed from the field (kg ha^{-1}), HI is the harvest
 391 index, and B_a is the actual aboveground biomass (kg ha^{-1}). For non-stressed conditions,
 392 the harvest index increases from 0 at planting to HI at maturity, and its value on each day
 393 of plant's growing season is simulated with the equations:

$$HIA_i = HI \cdot \left(\sum_{k=1}^i \Delta HUFH_k \right) \quad (D17)$$

$$HUFH_i = \frac{100HUI_i}{100HUI_i + \exp(11.1 - 10HUI_i)} \quad (D18)$$

396 where $HUFH$ is the heat unit factor affecting harvest index. Then, the HIA is corrected

397 considering the effects of water, salinity and temperature, as follows:

398

$$HIA^* = \frac{HI}{1 + HI_{\min} (0.9 - WS) \cdot \max(0, \sin(\frac{\pi}{2} (\frac{HUI - 0.3}{0.3})))} \quad (D19)$$

399 where HI_{\min} is the minimum harvest index allowed for plant under the water stress conditions.

400 The WS is the water stress and equals to the ratio of actual crop transpiration to potential crop
401 transpiration. Finally, HIA^* is substituted into Eq. (D16) to estimate the corrected crop yield.

402 **Dormancy:** The AHC assumes crops can go dormant in the winter season if the
403 dormancy option is activated. During dormancy, transpiration is stopped and crops do not
404 grow. The dates of beginning and end of dormancy are required to be specified by users. It is
405 suggested that the dates should be determined based on the field observation or temperature.

406 Such as in North China Plain, winter wheat will generally enter dormancy if the 5-day sliding
407 average temperature drops below 0 °C for the first time in winter season, and will come out of
408 dormancy when that temperature above 0 °C in the next spring (Ma et al., 2005).

409 **Annex E. Treatment of bottom boundary conditions and lateral drainage**

410 The treatment of the bottom conditions and lateral drainage in the AHC are kept same as
411 that in the SWAP. Thus, only a brief introduction is provided here, and a more detailed
412 description can be found in Kroes and van Dam (2003). The model provides eight options to
413 prescribe the lower boundary conditions. The relevant description and equations are presented
414 in Table E1. A simple, basic interaction between groundwater and a maximum of 5 surface
415 water systems may be simulated. The drainage/infiltration (q_{drain} , cm d⁻¹) to/from each surface
416 water system i is calculated as:

417
$$q_{drain,i} = \frac{\phi_{gwl} - \phi_{drain,i}}{\gamma_{drain,i}} \quad (\text{E1})$$

418 where the drainage base $\phi_{drain,i}$ is equal to the surface water level of system i (cm below the
419 soil surface), ϕ_{gwl} is the groundwater level (cm below the soil surface), $\gamma_{drain,i}$ is the drainage or
420 infiltration resistance from system i (d). $\gamma_{drain,i}$ can be simply specified as a constant value, or
421 estimated by using the drainage equations of Hooghoudt and Ernst. The latter one allows the
422 more comprehensive evaluation of drainage design. The theory behind these equations is
423 clearly described in Ritzema (1994). Five typical drainage situations are distinguished. For
424 each of which the drainage resistance γ_{drain} can be calculated by equations given in Table E2.

425 Table E1. Eight options for the lower boundary condition

Code	Description	Type condition	Typical scale of application	Equation	Data input into model
1	Prescribe groundwater level (GWL)	Dirichlet	Field	$h_n = GWL - z_n - h_{resis}$	GWLs are given as function of time
2	Prescribe bottom flux (q_{bot})	Neumann	Region	$q_{bot} = q_{ng}$	q_{ng} is given as function of time
3	Calculate bottom flux from hydraulic head of deep aquifer	Cauchy	Region	$q_{bot} = (\phi_{aquif} - \phi_{avg})/c_{conf}$	ϕ_{aquif} and ϕ_{avg} is given as function of time; c_{conf} is required
4	Calculate bottom flux as function of groundwater level	Cauchy	Region	$q_{bot} = a_{qb} e^{b_{qb} \phi_{avg} }$	ϕ_{avg} is given as function of time
5	Prescribe soil water pressure head of bottom compartment (h_n)	Dirichlet	Field	$h_n = h_{ng}$	h_{ng} are given as function of time
6	Bottom flux equals zero	Neumann	Field	$q_{bot} = 0$	No data input required
7	Free drainage of soil profile	Neumann	Field	$\frac{\partial(h+z)}{\partial z} = 1$ thus: $q_{bot} = -K_n(h)$	No data input required
8	Free outflow at soil-air interface	Neumann	Field	when $h_n \leq 0$, $q_{bot} = 0$; else when h_n increase to above zero, h_n is set to zero and drainage occurs	No data input required

426 Note: where h_{ng} (cm) and q_{ng} (cm d^{-1}) are the given pressure head and flux at the bottom of the soil profile, respectively. z_n is the position of
 427 bottom nodal point (negative, cm), and h_{resis} is the head difference between the groundwater level and hydraulic head of the bottom nodal point in
 428 the previous time step (cm). ϕ_{aquif} and ϕ_{avg} is the hydraulic head in the semi-confined aquifer (cm), ϕ_{avg} is the average groundwater level in the
 429 region (cm), and c_{conf} is the semi-confining layer resistance (d). h is the soil water pressure head (cm), z is the vertical coordinate (cm, positive
 430 upward), and $K_n(h)$ is the hydraulic conductivity of the bottom nodal point (cm d^{-1}).

431 Table E2. Drainage equations of Hooghoudt and Ernst

Code	Different conditions	Equation
1	Homogeneous profile, drain on top of impervious layer	$\gamma_{drain} = \frac{L_{drain}^2}{4K_{hprof}(\phi_{gwl} - \phi_{drain})} + \gamma_{entr}$
2	Homogeneous profile, drain above impervious layer	$\gamma_{drain} = \frac{L_{drain}^2}{8K_{hprof}D_{eq} + 4K_{hprof}(\phi_{gwl} - \phi_{drain})} + \gamma_{entr}$
3	Heterogeneous soil profile, drain at interface between both soil layers	$\gamma_{drain} = \frac{L_{drain}^2}{8K_{hbot}D_{eq} + 4K_{htop}(\phi_{gwl} - \phi_{drain})} + \gamma_{entr}$
4	Heterogeneous soil profile, drain in bottom layer	$\gamma_{drain} = \left(\frac{\phi_{gwl} - \zeta_{int}}{K_{htop}} + \frac{\zeta_{int} - \phi_{drain}}{K_{vtop}} \right) + \frac{L_{drain}^2}{8K_{hbot}D_{eq}} + \frac{L_{drain}}{\pi\sqrt{K_{hbot}K_{vbot}}} \ln\left(\frac{D_{bot}}{u_{drain}}\right) + \gamma_{entr}$
5	Heterogeneous soil profile, drain in top layer	$\gamma_{drain} = \frac{\phi_{gwl} - \phi_{drain}}{K_{vtop}} + \frac{L_{drain}^2}{8K_{htop}D_{top} + 8K_{hbot}D_{bot}} + \frac{L_{drain}}{\pi\sqrt{K_{hbot}K_{vbot}}} \ln\left(\xi_{drain} \frac{\phi_{drain} - \zeta_{int}}{u_{drain}}\right) + \gamma_{entr}$

432 Note: L_{drain} the drain spacing (cm), K_{hprof} the horizontal saturated hydraulic conductivity above the drainage basis (cm d^{-1}), and γ_{entr} the entrance resistance into
433 the drains and/or ditches (d).434 D_{eq} is the equivalent depth (cm), K_{htop} and K_{hbot} the horizontal saturated hydraulic conductivity (cm d^{-1}) of upper and lower soil layer, respectively.435 ζ_{int} the level of the transition (cm) between the upper and lower soil layer, K_{vtop} and K_{vbot} the vertical saturated hydraulic conductivity (cm d^{-1}) of the upper and
436 lower soil layer, respectively, and D_{bot} is the contributing layer below the drain level (cm).437 u_{drain} is the wet perimeter of the drain (cm), D_{top} equal to $(\phi_{drain} - \zeta_{int})$, and E_{drain} is the drain geometry factor (-).

438 **Annex F. Supplementary material for the salinity and nitrogen cases**

439

440 Table F1 Parameters and their ranges used in sensitivity analysis in the salinity case.

Parameter	Definition	Min	Max
θ_{r1}	Residual water content for material i ($\text{cm}^3 \text{ cm}^{-3}$)	0.02	0.08
θ_{s1}	Saturated water contents for material 1 ($\text{cm}^3 \text{ cm}^{-3}$)	0.38	0.48
θ_{si}	Saturated water contents for material i ($\text{cm}^3 \text{ cm}^{-3}$) ($i=2-4$)	0.44	0.52
K_{si}	Saturated hydraulic conductivity for material i (cm d^{-1})	5	37
α_i	Empirical shape parameter in Eq. (2) for material i (-)	0.005	0.03
n_i	Empirical shape parameter in Eq. (2) for material i (-)	1.15	1.8
$L_{slt,i}$	Dispersion length for salt solute transport (cm)	6	22

Note: the subscript i is the number of soil material and equal to 1-4 in this case. Twenty-five parameters are selected for sensitive analysis.

The parameter range for calibration is kept same as that in sensitivity analysis.

441

442 Table F2 Parameters and their ranges used in sensitivity analysis in the nitrogen case.

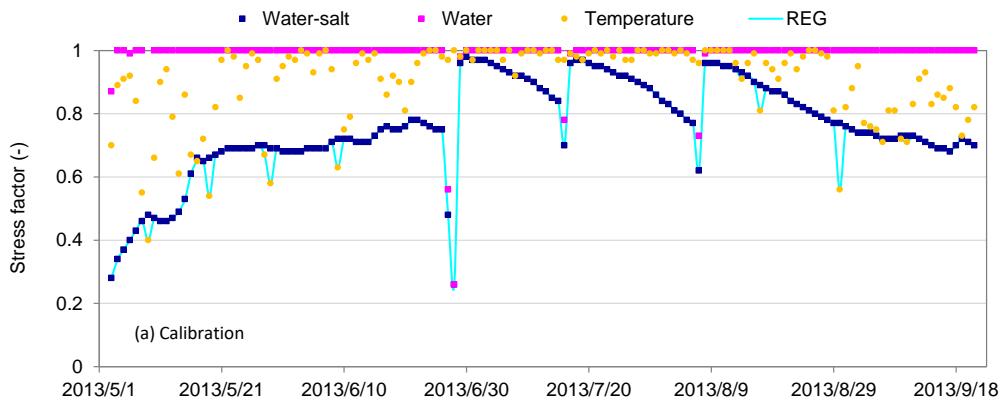
Parameter	Definition	Min	Max
θ_{r1}	Residual water content for material i ($\text{cm}^3 \text{ cm}^{-3}$)	0.04	0.09
θ_{si}	Saturated water contents for material i ($\text{cm}^3 \text{ cm}^{-3}$)	0.38	0.52
K_{si}	Saturated hydraulic conductivity for material i (cm d^{-1})	5	37
α_i	Empirical shape parameter in Eq. (2) for material i (-)	0.005	0.03
n_i	Empirical shape parameter in Eq. (2) for material i (-)	1.15	1.8
$L_{amm,i}$	Dispersion length for NH_4^+ transport (cm)	6	22
$L_{nit,i}$	Dispersion length for NO_3^- transport (cm)	6	22

Note: the subscript i is the number of soil material and equal to 1-5 in this case. Thirty-five parameters are selected for sensitive analysis.

The parameter range for calibration is kept same as that in sensitivity analysis.

443

444

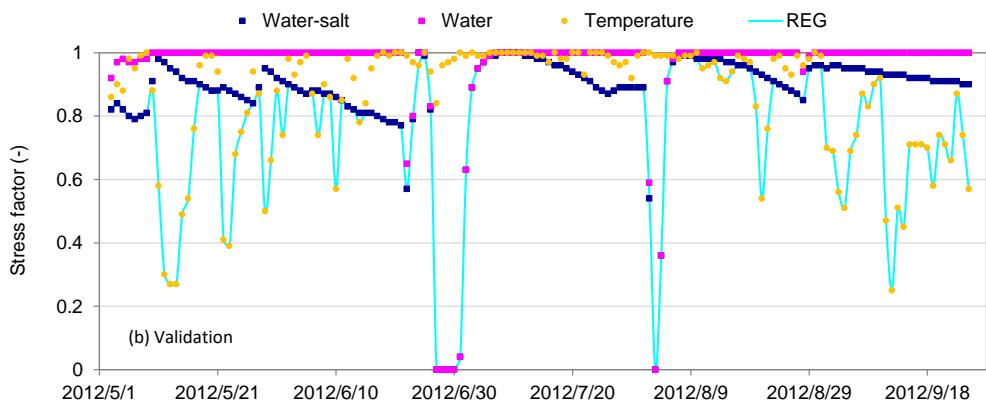


444

445

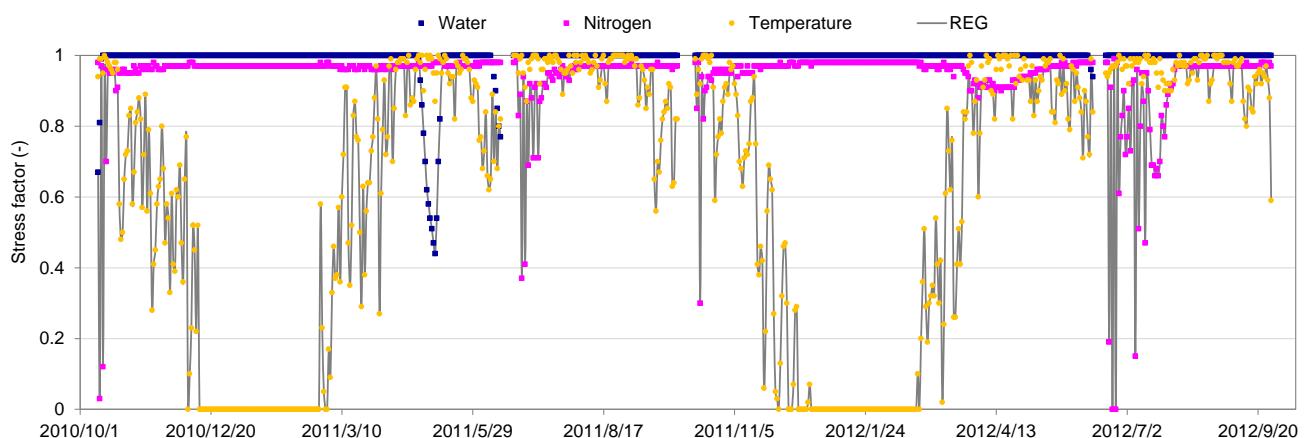
446 Figure F1. Simulated stress factors of water-salt, water, temperature and the final regulating
447 factor (REG) for maize growth during 2013 (a) and 2012 (b) in the salinity case

448



449

450 Figure F2. Simulated stress factors of water, nitrogen, temperature and the final regulating
451 factor (REG) for wheat-maize growth during 2010-2012 in the nitrogen case.



452 **References**

- 453 Ahuja, L.R., Rojas, K.W., Hanson, J.D., Shaffer, M.J. and Ma, L., 2000. The Root Zone
454 Water Quality Model. Water Resources Publ., LLC, Highlands Ranch, CO, 372 pp.
- 455 Allen, R.G., Jensen, M.E., Wright, J.L. and Burman, R.D., 1989. Operational estimates of
456 reference evapotranspiration. Agronomy Journal, 81(4): 650-662.
- 457 Allen, R.G., Pereira, L.S., Raes, D. and Smith, M., 1998. Crop evapotranspiration, Guidelines
458 for computing crop water requirements. Irrigation and Drainage Paper 56, FAO, Rome,
459 Italy.
- 460 Belmans, C., Wesseling, J.G. and Feddes, R.A., 1983. Simulation model of the water balance
461 of a cropped soil: SWATRE. Journal of Hydrology, 63(3-4): 271-286.
- 462 Black, T.A., Gardner, W.R. and Thurtell, G.W., 1969. The prediction of evaporation, drainage,
463 and soil water storage for a bare soil. Soil Science Society of America Journal, 33(5):
464 655-660.
- 465 Boesten, J. and Stroosnijder, L., 1986. Simple model for daily evaporation from fallow tilled
466 soil under spring conditions in a temperate climate. Netherlands Journal of Agricultural
467 Science, 34: 75-90.
- 468 Borg, H. and Grimes, D.W., 1986. Depth development of roots with time: an empirical
469 description. Transactions of the ASAE, 29(1): 194-197.
- 470 Dirksen, C. and Augustijn, D.C., 1988. Root water uptake function for nonuniform pressure
471 and osmotic potentials. Agronomy Abstract. ASA, Madison, WI: 185.
- 472 Feddes, R.A., Kowalik, P.J. and Zaradny, H., 1978. Simulation of field water use and crop
473 yield, Simulation Monographs, Pudoc, Wageningen, The Netherlands, 189 pp.
- 474 Godwin, D.C., Jones, C.A., Ritchie, J.T., Vlek, P.L.G. and Youngdahl, L.G., 1984. The water
475 and nitrogen components of the CERES models, Proceedings of the International
476 Symposium on Minimum Data Set for Agrotechnology Transfer (ICRISAT (pp. 101-106).
- 477 Goodroad, L.L. and Keeney, D.R., 1984. Nitrous oxide production in aerobic soils under
478 varying pH, temperature and water content. Soil Biology and Biochemistry, 16(1): 39-43.
- 479 Goudriaan, J., 1977. Crop micrometeorology: a simulation study. Pudoc.
- 480 Hansen, S., 2002. Daisy, a flexible soil-plant-atmosphere system model. Report. Dept. Agric.

- 481 Hansen, S., Jensen, H.E., Nielsen, N.E. and Svendsen, H., 1991. Simulation of nitrogen
482 dynamics and biomass production in winter wheat using the Danish simulation model
483 DAISY. *Fertilizer research*, 27(2-3): 245-259.
- 484 Homae, M., Dirksen, C. and Feddes, R.A., 2002. Simulation of root water uptake: I.
485 Non-uniform transient salinity using different macroscopic reduction functions.
486 *Agricultural Water Management*, 57(2): 89-109.
- 487 Jarvis, N.J., 1989. A simple empirical model of root water uptake. *Journal of Hydrology*,
488 107(1-4): 57-72.
- 489 Jones, C.A., 1983. A survey of the variability in tissue nitrogen and phosphorus
490 concentrations in maize and grain sorghum. *Field Crops Research*, 6: 133-147.
- 491 Jones, C.A., 1985. C4 Grasses and Cereals, 412 pp. John Wiley and Sons, Inc., New York.
- 492 Kroes, J.G. and van Dam, J.C., 2003. Reference Manual SWAP version 3.0.3, Alterra-report
493 773, Alterra, Green World Research, Wageningen.
- 494 Li, Y., White, R., Chen, D., Zhang, J., Li, B., Zhang, Y. et al., 2007. A spatially referenced
495 water and nitrogen management model (WNMM) for (irrigated) intensive cropping
496 systems in the North China Plain. *Ecological Modelling*, 203(3-4): 395-423.
- 497 Ma, Y.P., Wang, S.L. and Zhang, L., 2005. Study on improvement of WOFOST against
498 overwinter of wheat in North China. *Chinese Journal of Agrometeorology*, 3: 145-149.
- 499 Maas, E.V. and Hoffman, G.J., 1977. Crop salt tolerance-current assessment. *Journal of*
500 *irrigation And Drainage Division, ASCE*(103): 115-134.
- 501 McCown, R.L., Hammer, G.L., Hargreaves, J.N.G., Holzworth, D.P. and Freebairn, D.M.,
502 1996. APSIM: a novel software system for model development, model testing and
503 simulation in agricultural systems research. *Agricultural Systems*, 50(3): 255-271.
- 504 Monsi, M. and Saeki, T., 1953. Uber den Lictfaktor in den Pflanzengesellschaften und sein
505 Bedeutung fur die Stoffproduktion. *Japanese Journal of Botany*, 14: 22-52.
- 506 Monteith, J.L., 1965. Evaporation and the environment. *The State and Movement of Water in*
507 *Living Organisims*. Cambridge University Press: Swansea, pp. 205-234.
- 508 Monteith, J.L. and Moss, C.J., 1977. Climate and the Efficiency of Crop Production in Britain
509 [and Discussion]. *Philosophical Transactions of the Royal Society of London, Series B:*
510 *Biological Sciences*, 281(980): 277 -294.

- 511 Raes, D., Steduto, P., Hsiao, T.C. and Fereres, E., 2012. AquaCrop Version 4.0-Calculation
512 procedures, FAO, Land and Water Division, Rome, Italy.
- 513 Reddy, K.R., Khaleel, R., Overcash, M.R. and Westerman, P.W., 1979. A nonpoint source
514 model for land areas receiving animal wastes: II. Ammonia volatilization. Transactions of
515 the ASAE, 22(6): 1398-1404.
- 516 Ritzema, H.P., 1994. Subsurface flow to drains. In 'Drainage principles and applications', H.P.
517 Ritzema (Ed. in Chief), ILRI publication 16, second edition, Wageningen, p. 263-304.
- 518 Stockle, C.O., Williams, J.R., Rosenberg, N.J. and Jones, C.A., 1992. A method for
519 estimating the direct and climatic effects of rising atmospheric carbon dioxide on growth
520 and yield of crops: Part I—Modification of the EPIC model for climate change analysis.
521 Agricultural Systems, 38(3): 225-238.
- 522 van Dam, J.C., Huygen, J., Wesseling, J.G., Feddes, R.A., Kabat, P., van Walsum, P.E.V. et
523 al., 1997. Theory of SWAP Version 2.0. Simulation of Water Flow, Solute Transport and
524 Plant Growth in the Soil-Water-Atmosphere-Plant Environment, Department of water
525 resources, WAU, Report 71, technical Document 45, DLO Winand Staring Centre-DLO.
- 526 van Genuchten, M.T., 1987. A numerical model for water and solute movement in and below
527 the root zone. United States Department of Agriculture Agricultural Research Service US
528 Salinity Laboratory.
- 529 Williams, J.R., 1995. The EPIC model. In: V.P. Singh (Eds.), Computer Models of Watershed
530 Hydrology. Water Resources Publications, Highlands Ranch, CO.
- 531 Williams, J.R., Jones, C.A., Kiniry, J.R. and Spanel, D.A., 1989. The EPIC crop growth
532 model. Transactions of the ASAE, 32(2): 497-511.
- 533 Xu, C., Shaffer, M.J. and Al-kaisi, M., 1998. Simulating the impact of management practices
534 on nitrous oxide emissions. Soil Science Society of America Journal, 62(3): 736-742.
- 535 Xu, X., Huang, G., Sun, C., Pereira, L.S., Ramos, T.B., Huang, Q. et al., 2013. Assessing the
536 effects of water table depth on water use, soil salinity and wheat yield: Searching for a
537 target depth for irrigated areas in the upper Yellow River basin. Agricultural Water
538 Management, 125: 46-60.

539 Xu, X., Sun, C., Qu, Z., Huang, Q., Ramos, T.B., and Huang, G. et al., 2015. Groundwater
540 recharge and capillary rise in irrigated areas of the upper Yellow River basin assessed by
541 an agro-hydrological model. Irrigation and Drainage, doi: 10.1002/ird.1928.