

## Title

# Two-Step Transition Model for Alcohol Dependence: From Substitution to Self-Regulation

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## Abstract

### Background

Alcohol dependence imposes enormous social and medical costs worldwide. Traditional treatments, focusing primarily on abstinence or suppression, show high relapse rates (40–60%) and poor adherence. Pharmacological interventions often carry side effects such as hepatotoxicity and depressive symptoms, while forced abstinence can exacerbate guilt and hinder psychological recovery. Suppression-centered paradigms fail to adequately address the complex reward circuits underlying alcohol use.

### Hypothesis

This paper proposes a **two-step transition model** for alcohol dependence, moving beyond simple substitution:

- **Step 1:** Transition alcohol consumption to alternative pathways that maintain reward signaling while presenting lower harm.
- **Step 2:** Gradually reduce the intensity and frequency of these substituted rewards, enabling individuals to achieve long-term self-regulation.

### Rationale

Recent clinical evidence demonstrates that serotonergic neuromodulators in PTSD therapy, plant-derived compounds for anxiety and pain relief, and prosociality-enhancing agents can disrupt maladaptive patterns and redirect behavior toward healthier trajectories. These

findings support the concept of “**structural reassignment of reward**” rather than mere suppression as a viable pathway for addiction mitigation.

### **Expected Outcomes**

The proposed model can reduce not only social and medical costs but also alleviate alcohol-related family conflict, restore occupational functioning, and lower alcohol-related crime rates. On an individual level, it may decrease aggression, promote psychological stability, and foster personal growth, thus facilitating **social reintegration**.

### **Potential Limitations**

A key risk is the possibility of dual consumption, where individuals continue alcohol use while adopting substitutes, creating compounded health risks and novel addictive behaviors. Therefore, implementation must be accompanied by psychosocial interventions, regulatory safeguards, and rigorous monitoring.

### **Conclusion**

Effective strategies for resolving alcohol dependence may not lie in abstinence alone but in a phased pathway of substitution → reduction → self-regulation. This dual-step model offers a framework that transcends abstinence paradigms and opens new possibilities for both individual recovery and societal benefit.

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## **Main Text**

### **1. Introduction**

Alcohol dependence imposes substantial social and medical burdens worldwide, contributing to family disruption, increased crime rates, and loss of productivity. Traditional treatment strategies have focused primarily on abstinence or suppression, yet these approaches exhibit relapse rates of 40–60% and poor adherence. Pharmacological treatments often carry side effects such as hepatotoxicity or depressive symptoms, while forced abstinence amplifies guilt and psychological distress, further impeding recovery. This background demonstrates that suppression-centered paradigms fail to adequately address the complex reward circuitry underlying alcohol dependence.

### **2. Conceptual Framework**

This paper proposes a two-step transition model for alcohol dependence that goes beyond simple substitution.

- **Step 1:** Transition alcohol use to alternative pathways that maintain reward signaling while presenting lower harm.
- **Step 2:** Gradually reduce the intensity and frequency of these substituted rewards, enabling individuals to reach a state of long-term self-regulation.

Whereas existing harm-reduction models (e.g., nicotine replacement therapy) often stop at the first step, this model distinguishes itself by extending toward the phase of autonomous self-control.

### 3. Supporting Evidence

Recent clinical studies suggest that maladaptive reward circuits can be “**reassigned**” rather than merely suppressed.

- MDMA-assisted psychotherapy for PTSD disrupts entrenched trauma responses through serotonergic neuromodulation and facilitates new recovery pathways.
- Clinical trials with psilocybin in alcohol-dependent patients have demonstrated reductions in drinking and enhancement of self-reflection.
- Meta-analyses of randomized controlled trials with LSD report long-term positive effects in the recovery process of alcoholism.
- Cannabis research highlights relatively lower physical harms and social costs, supporting the feasibility of harm-reduction strategies.

Together, these findings suggest the potential for **structural reassignment of reward** as a novel mechanism for alleviating addiction.

### 4. Social and Clinical Implications

The proposed model carries implications at both societal and individual levels:

- **Societal level:** reduction in crime rates, mitigation of domestic violence, restoration of workplace productivity, and savings in healthcare and judicial costs.
- **Individual level:** decreased aggression, enhanced psychological stability, promotion of personal growth, and support for **social reintegration**.

This framework suggests not only medical benefits but also wide-reaching positive ripple effects across society.

### 5. Risks and Ethical Considerations

The primary risk of this model is the emergence of **dual consumption patterns**, where individuals simultaneously consume alcohol and substitute agents, thereby compounding health risks and fostering novel addictive behaviors. To mitigate this, the following safeguards are essential:

- Full compliance with IRB approval and legal regulations.
- Design of robust safeguards against misuse.
- Integration of psychosocial interventions and continuous monitoring.

Additionally, such interventions must not allow patients unrestricted access to substances. They should be administered exclusively within **clinically designed facilities or community-based therapeutic environments**. Substances must be provided and managed strictly under approved protocols within the clinic, thereby eliminating the risk of uncontrolled dual use and ensuring both efficacy and safety of treatment.

## 6. Conclusion

An effective strategy for resolving alcohol dependence may not lie in abstinence alone, but in a phased pathway of **substitution** → **reduction** → **self-regulation**. This model simultaneously advances personal autonomy and social recovery, presenting a new framework that transcends abstinence paradigms.

Moreover, nature's irony reinforces its philosophical foundation: just as antidotes are derived from toxins, and vaccines are produced from pathogens themselves, addiction too can be overcome by restructuring the very circuits that sustain it. Suppression alone may lead to dead ends, but through the paradox of converting "toxic reward" into its own antidote, new therapeutic possibilities for recovery emerge.

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