Supplementary Materials to: LAPT: Label-driven Automated Prompt Tuning for OOD Detection with Vision-Language Models

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The following materials are provided in this supplementary file:

- More results on small-scaled ID datasets (cf. Section 4.1 in the main paper).
- More detailed results on OpenOOD benchmark (cf. Section 4.2 in the main paper).
- More analyses and discussions (cf. Section 4.3 in the main paper).

A Results on Small-scaled ID Datasets

In addition to experiments with the large-scale ImageNet-1K ID dataset, we also report results on smaller-scaled ID datasets following [5]. As shown in Tab. A1, performance on these smaller datasets is nearing saturation, with our method and NegLabel both achieving nearly perfect ID/OOD discrimination. On more challenging tasks (e.g., using ImageNet-1K as the ID dataset and in the near-OOD detection scenario), our method shows a more pronounced improvement, as shown in the main paper.

B More Detailed Results on OpenOOD Benchmark

The detailed OOD detection and full-spectrum OOD detection results on the OpenOOD benchmark are presented in Tab. A2 and Tab. A3, respectively.

C More Analyses and Discussions

Stage Ablation. We have ablated the roles of different stages, including sample collection, data mixing and prompt tuning in Fig. 5, Tab. 4 and Fig. 4 of the main paper, respectively. To highlight their individual contributions, we reorganize the NearOOD results from the OpenOOD benchmark into Tab. A4.

Impact of Text Prompts in Data Collection. Our generation or retrievalbased image collection process exhibits high robustness to text prompts, as evidenced in Tab. A6. The robustness stems from two facts. First, images retrieved

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Table A1: OOD detection results with small-scaled ID datasets, where results are based on a VITB/16 CLIP encoder.

OOD datasets										
Methods	INatu	ralist	Su		Places		Textures		Aver	age
Methods	AUROC↑	$FPR95 \downarrow$	AUROC↑	FPR95↓	AUROC↑	$FPR95 \downarrow$	AUROC↑	FPR95↓	AUROC↑	FPR95↓
ID dataset: Stanford-Cars [6]										
NegLabel [5]	99.99	0.01	99.99	0.01	99.99	0.03	99.99	0.01	99.99	0.01
LAPT (Ours)	99.99	0.01	99.99	0.01	99.99	0.02	99.99	0.01	99.99	0.01
			ID da	taset:	CUB-20	0 [11]				
NegLabel [5]	99.96	0.18	99.99	0.02	99.90	0.33	99.99	0.01	99.96	0.13
LAPT (Ours)	99.98	0.15	99.99	0.01	99.95	0.16	99.99	0.01	99.98	0.08
			ID dat	taset: (Oxford-I	Pet [8]				
NegLabel [5]	99.99	0.01	99.99	0.02	99.96	0.17	99.97	0.11	99.98	0.07
LAPT (Ours)	99.99	0.01	99.99	0.01	99.97	0.11	99.97	0.12	99.98	0.06
			ID da	ataset:	Food-10)1 [2]				
NegLabel [5]	99.99	0.01	99.99	0.01	99.99	0.01	99.60	1.61	99.90	0.40
LAPT (Ours)	99.99	0.01	99.99	0.01	99.99	0.01	99.71	1.25	99.92	0.32
			ID da	taset:	ImageN	et-10				
NegLabel [5]	99.83	0.02	99.88	0.20	99.75	0.71	99.94	0.02	99.85	0.24
LAPT (Ours)	99.85	0.01	99.91	0.14	99.82	0.63	99.95	0.02	99.88	0.20
			ID da	taset:	ImageN	et-20				
NegLabel [5]	99.95	0.15	99.51	1.93	98.97	4.40	99.11	2.41	99.39	2.22
LAPT (Ours)	99.96	0.13	99.58	1.78	99.02	3.97	99.23	2.21	99.45	2.02
			ID dat	taset: I	mageNe	et-100				
NegLabel [5]	99.87	0.57	97.89	11.26	96.25	19.15	96.00	20.37	97.50	12.84
LAPT (Ours)	99.91	0.49	98.12	9.21	97.01	15.37	96.89	18.13	97.98	10.80

or generated with different prompts show high consistency. For example, the top 10 images retrieved with different prompts overlap by more than a half, and images generated from various prompts, while not identical, maintain a high cosine similarity (around 0.9) in CLIP space. Second, our training strategy is inherently robust, yielding consistent results when the training data are highly similar. Practically, we employed the simplest prompt '<label>' in the data collection process.

Data Mixing Strategies. As illustrated in Tab. A6, the application of cross-modal data mixing results in a significant performance improvement, indicating that mitigating image noise is crucial when using automatically collected images. Cross-distribution mixing also yields a certain degree of performance enhancement, which is consistent with findings reported in [14].

Hyper-parameter for data mixing. Results with different α in Eq. (10) and β in Eq. (12) are illustrated in Fig. A1a and Fig. A1b, respectively. By default, $\alpha = 1.0$ and $\beta = 0.3$ are used in our experiments.

Different VLMs. Results with various VLMs architectures are illustrated in Tab. A7. A stronger backbone typically leads to better performance.

Visualization of Learned Prompts. Following [15], we interpret the learned continuous prompts by searching their closest words within the vocabulary, where the Euclidean distance is adopted. It's important to note that CLIP employs BEP for its tokenization process, which means that its vocabulary consists

Table A2: Detailed OOD detection results of our LAPT on the OpenOOD benchmark, where ImageNet-1k is adopted as ID dataset.

Near-/Far-OOI	FPR95 ↓	AUROC ↑	
Near-OOD	SSB-hard [10]	65.47	80.09
	NINCO [1]	52.40	85.16
	Mean	58.94	82.63
Far-OOD	iNaturalist [9]	1.17	99.63
	Textures [3]	38.40	89.72
	OpenImage-O [12]	35.00	93.45
	Mean	24.86	94.26

Table A3: Detailed full-spectrum OOD detection results of our LAPT on the OpenOOD benchmark, where ImageNet-1k is adopted as ID dataset.

Near-/Far-OOL	$ \text{FPR95}\downarrow $	AUROC ↑	
Near-OOD	SSB-hard [10]	76.44	71.73
	NINCO [1]	65.92	77.80
	Mean	71.18	74.77
Far-OOD	iNaturalist [9]	1.25	99.56
	Textures [3]	53.09	86.23
	OpenImage-O [12]	44.85	90.63
	Mean	33.07	92.14

of subwords that are commonly found within larger words. For example, the subword 'bi' could be included in the vocabulary due to its frequent occurrence in English words such as 'billion' and 'bionic'. As shown in Tab. A8, the prompts learned for ID differ from those for OOD. Interestingly, the OOD prompts we learned share similarities with the best text prompts found by NegLabel. For instance, they both include the term 'nice'.

Time complexity. We analyze the time complexity of our method and competitors in Tab. A9. Compared to methods that tune a heavy module, such as CLIPN [13], which learns an additional text encoder, our approach only learns lightweight prompts, resulting in faster training speed. Regarding test speed, most methods perform similarly because, regardless of how the text branch is designed, the text encoding typically executes only once. Therefore, the primary time consumption lies in encoding the test image with the image encoder. ZOC [4] is an exception, as it passes the image feature through an additional caption generator module, leading to slower test speed.

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Table A4: where 'NegL', 'SamC', 'DisAwareP' and 'DMix' represent the negative label mining, automated sample collection, distribution-aware prompt tuning, and data mixing, respectively.

NegL	SamC	DisAwareP	DMix	AUROC ↑
✓	X	X	X	76.92
\checkmark	\checkmark	X	X	77.85
\checkmark	\checkmark	X	\checkmark	79.01
\checkmark	\checkmark	\checkmark	X	79.20
✓	✓	\checkmark	✓	82.63

Table A5: Results with different text prompts in data collection.

Prompts for data collection FPR95 \downarrow AUROC \uparrow								
' (<a href="</th"><th>58.94</th><th>82.63</th>	58.94	82.63						
'The nice < label>'	58.89	82.57						
'A photo of a <label>'</label>	58.91	82.65						

Table A6: AUROC results with different data mixing strategies.

Vanilla (Cross-modal Mixin	ng Cross-distribution M	ixing Near-C	OOD Far-OOD
\checkmark	X	X	79.2	93.15
\checkmark	\checkmark	X	82.0	93.68
\checkmark	\checkmark	\checkmark	82.6	94.26
				_
82.6 - Near OOD		* 82.6 - *		→ Near OOD
82.4 -		82.5 -		
82.2 -		82.4 -	_	
82.0 - 28 81.8 - 81.6 -		AN OC (C (S)		
81.6		AU 82.2		
81.4 -		82.1 -		
81.2 -		82.0 -		
81.0 -		81.9	<u> </u>	
0.2 0.4	0.6 0.8 1.0 1.2 Values of α	1.4	0.2 0.4 0.6 V	0.8 1.0 1.2 1.4 alues of β
(a) Values of α		(b) Val	ues of β

Fig. A1: Results with different values of α and β .

 ${\bf Table~A7:~OOD~detection~results~of~our~LAPT~with~different~VLMs~architectures,} \\ {\bf where~ImageNet-1K~is~used~as~the~ID~dataset.}$

OOD datasets											
Backbone		INaturalist		Sun		Places		Textures		Average	
Dackbone	AUROC↑	FPR95↓	AUROC↑	$FPR95 \downarrow$	AUROC↑	FPR95↓	AUROC↑	FPR95↓	AUROC↑	FPR95↓	
ResNet50	99.31	2.59	95.17	25.32	90.64	38.49	89.57	47.89	93.67	28.57	
VITB/16	99.63	1.16	96.01	19.12	92.01	33.01	91.06	40.32	94.68	23.40	
VITL/14	99.69	1.07	96.36	19.87	93.83	31.25	90.89	40.18	95.19	23.09	

Table A8: The closest words to each of the four context vectors learned by LAPT, along with their distances in parentheses.

#	ID	OOD
1 2 3 4	given (1.5288) cot (1.3776) { (1.9539) wooden (1.1061)	eats (1.3191)

Table A9: Analyses on the time complexity of our LAPT and competitors. 'Training' measures the training time, and 'Param.' presents the number of learnable parameters. 'Test' reports the inference speed, measured with a batch size of 32. Results are achieved with a NVIDIA L40 GPU.

Methods	Training	Test	Param.	FPR95 ↓
MCM [7]	_	$10.2 \mathrm{ms}$	_	43.93
NegLabel [5]	_	$10.5 \mathrm{ms}$	_	25.40
ZOC [4]	>24h	$50.6 \mathrm{ms}$	336M	85.19
CLIPN [13]	>24h	$10.3 \mathrm{ms}$	37.8M	31.10
LAPT (Ours)	1h	$10.5 \mathrm{ms}$	4K	23.40

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