# **CSC384 SUMMER 2018**

WEEK 11-12 - KNOWLEDGE REPRESENTATION

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# **OVERVIEW**

Introduction

2 LOGICAL REPRESENTATIONS

**3** First-Order Logic

### Introduction

- This material is covered in chapters 7-9 and 12 of the recommended text.
- Chapter 7 provides a useful motivation for logic, and an introduction to some basic ideas. It also introduces propositional logic, which is a good background for first-order logic.
- What we cover here is mainly covered in Chapters 8 and 9.
   However, Chapter 8 contains some additional useful
   examples of how first-order knowledge bases can be
   constructed. Chapter 9 covers forward and backward
   chaining mechanisms for inference, while here we
   concentrate on resolution.
- Chapter 12 covers some of the additional notions that have to be dealt with when using knowledge representation in Al.

## KNOWLEDGE REPRESENTATION

- What is Representation?
- Symbols standing for things in the world
  - Words we use in language
  - Symbols we use in mathematics and ideas.



### KNOWLEDGE REPRESENTATION

- Not all of our knowledge is symbolically represented.
- E.g., we do not symbolically represent the "pixels" that we perceive at the back of our retina.
- So intelligent agents also perform a great deal of low level "non-symbolic reasoning" over their perceptual inputs.
- But higher level "symbolically represented" knowledge also seems to be essential
- This is the kind of knowledge that we learn by in school, by reading, etc.
- In this module we study symbolically represented knowledge.

#### REASONING

- What is Reasoning (in the context of symbolically represented knowledge)
  - Manipulating our symbols to produce new symbols that represents new knowledge.
     Deriving a new sentence
  - Typically "symbols" are sequences of symbols, e.g., words in language sequenced together to form sentences.
  - So we will develop methods for manipulating "sentences" to produce new "sentences"

#### REASONING

- In language we can make up a huge variety of sentences.
- Each of these sentences makes some sort of claim or assertion about our world (mental or physical world).
- These claims could be true or false.
   I am depressed, so the sentence "I feel happy." is false.
- Reasoning aims to be TRUTH PRESERVING.
- If we use reasoning to manipulate a collection of TRUE sentences, we want the newly derived sentences to also be TRUE.
- If our reasoning is truth preserving we say that it is SOUND

#### REASONING

- A more subtle idea is COMPLETENESS.
- Completeness says that our reasoning system is powerful enough to produce ALL sentences that must be true given our current collection of true sentences.
- Completeness requires a formal characterization of "sentence" in order to answer the question of if we have produced ALL true sentences.

### WHY KNOWLEDGE REPRESENTATION?

- Large amounts of knowledge are used to understand the world around us, and to communicate with others.
- We also have to be able to reason with that knowledge.
  - We have to employ reasoning to make conclusions about isoceles triangles.
  - More generally, reasoning provides an exponential or more compression in the knowledge we need to store. I.e., without reasoning we would have to store a infeasible amount of information: e.g., Elephants can't fit into teacups, Elephants can't fit into cars, instead of just knowing that larger objects can't fit into smaller objects.

### LOGICAL REPRESENTATIONS

- Al typically employs logical representations of knowledge.
- Logical representations were originally developed to characterize laws of thought (proper ways of thinking).
- Have many features in common with natural language (although natural language is much more complex)

### LOGICAL REPRESENTATIONS

- They are mathematically precise, thus we can analyze their limitations, their properties, the complexity of inference etc.
- They are formal languages, thus computer programs can manipulate sentences in the language.
- They come with both a formal syntax and a formal semantics.
- Typically, have well developed proof theories: formal procedures for reasoning to produce new sentences.
- In this module we will study First-Order logic, and a reasoning mechanism called resolution that operates on First-Order logic.

# FIRST-ORDER LOGIC (FOL)

- Two components: Syntax and Semantics.
  - In a programming language we have a syntax for an if statement:

```
if <boolean condition>: <expressions>
```

- The if statement also has a semantics:if
   <boolean condition> evaluates to TRUE then we
   execute <expressions>.
- Syntax gives the grammar or rules for forming proper sentences.
- Semantics gives the meaning of the sentences.
- The semantics are compositional-the meaning of complex sentences is determined by the meaning of their parts.

# BASIC SEMANTIC ENTITIES OF FOL

- Sets, set membership, subsets and Cartesian products.
- Functions and relations.

### BASIC SYNTACTIC SYMBOLS OF FOL

- The syntax starts off with a different symbol for each the basic semantic entities (objects, functions, predicates, relations) that we have decided to utilize.
- We get to decide what symbols we use (but of course we want to use symbols that are easy to understand)
- These user specified symbols are called the primitive symbols

Syntax	Semantics
Constant symbols	A particular object $d \in D$
Function symbols	Some function $f: D^k \to D$
Predicate symbols	Some subset of D
Relation symbols	Some subset of $D^k$

### BASIC SYNTACTIC SYMBOLS OF FOL

 In addition we introduce some additional symbols that we will use to connect our basic symbols into sentences.

Syntax	Semantics	
Constant symbols	A particular object $d \in D$	
Function symbols	Some function $f: D^k \to D$	
Predicate symbols	Some subset of D	
Relation symbols	Some subset of $D^k$	
Equality	$\{(d,d) d\in D\}$	
Variables $x, y, z,$	Objects of <i>D</i> that can vary	
Logical connectives $\land, \lor, \neg, \Longrightarrow$	and, or, not, implies	
Quantifiers ∀, ∃	for all, exists	

### **EXAMPLE**

- Teaching CSC384, want to represent knowledge that would be useful for making the course a successful learning experience. So we might choose syntactic symbols like
  - Objects: students, subjects, assignments, numbers.
  - Predicates: easy(subject), CSMajor(student).
  - Relations: handedIn(student, assignment).
  - Functions: grade(student, assignment)->number.

### FIRST ORDER SYNTAX (THE GRAMMAR)

- We start with our basic syntactic symbols constants, functions, predicates, relations, and variables.
- From these we can build up terms and sentences (formulas). Terms are ways of applying functions to build up new "names" for objects. Formulas, are ways of making true/false assertions.

# FIRST ORDER SYNTAX - TERMS.

 emphterms are used as names (perhaps complex nested names) for objects in the domain.

Terms	Examples
Constants	c, john, yufei, 42
Variables	$X, Y, Z, \dots$
Function application	$f(t_1,\ldots,t_k)$ , each $t_i$ is a term

- 5 is a constant term: a symbol representing the number 5.
   John is a term- a symbol representing the person John.
- +(5,5) is a function application term a new symbol representing the number 10.

### FIRST ORDER SYNTAX-SENTENCES.

Once we have terms we can build up sentences (formulas)
 Terms represent objects, formulas represent true/false assertions about these objects.

Formula	Examples
Atomic Formulas	$p(t), r(t_1, \ldots, t_k), p$ -pred., $r$ - rel., $t_i$ -terms
Negation	$\neg f$ , $f$ - formula
Conjuction	$f_1 \wedge \cdots \wedge f_k$ , $f_i$ -formulas
Disjuction	$f_1 \lor \cdots \lor f_k$ , $f_i$ -formulas
Implication	$f_1 \Longrightarrow f_2$
Existential Quantifier	$\exists x. f$
Universal Quantifier	$\forall x. f$

## SEMANTICS.

- Formulas (syntax) can be built up recursively, and can become arbitrarily complex.
- Intuitively, there are various distinct formulas (viewed as strings) that really are asserting the same thing
   ∀X, Y. elephant(X) ∧ teacup(Y) ⇒ largerThan(X, Y)
   ∀X, Y. teacup(Y) ∧ elephant(X) ⇒ largerThan(X, Y)
- To capture this equivalence and to make sense of complex formulas we utilize the semantics.

#### SEMANTICS.

- A formal mapping from formulas to true/false assertions about our semantic entities (individuals, sets and relations over individuals, functions over individuals).
- The mapping mirrors the recursive structure of the syntax, so we can map any formula to a composition of assertions about the semantic entities.

### SEMANTICS - THE LANGUAGE.

 First, we must fix the particular first-order language we are going to provide semantics for. The *primitive* symbols included in the syntax defines the particular language.

F = set of function (and constant symbols) Each symbol f in F has a particular arity.

P = set of predicate and relation symbols.

Each relation symbol  $r \in P$  has a particular arity. (The predicate symbols always have arity 1)

V = an infinite set of variables.

### SEMANTICS - PRIMITIVE SYMBOLS.

 An interpretation (model) specifies the mapping from the primitive symbols to semantic entities. It is a tuple

$$\langle D, \Phi, \Psi, V \rangle$$

- D is a non-empty set of objects (domain of discourse)
- Φ specifies the meaning of each primitive function symbol also handles the primitive constant symbols (these can be viewed as being zero-arity functions.
- Ψ specifies the meaning of each primitive predicate and relation symbol.
- V specifies the meaning of the variables.
- Note, the semantics entity that a syntactic symbol maps to is often called the meaning of the symbol or the denotation of the symbol

# SEMANTICS - PRIMITIVE SYMBOLS.

Symbol	Semantics
constant symbol c	$\Phi(c) \in D$ (some object)
k-ary function symbol f	$\Phi(f) \in \mathcal{D}^{\mathcal{D}^k}$
predicate symbol p	$\Psi(p)\in \mathscr{P}(D)$
k-ary relation symbol <i>r</i>	$\Psi(r) \in \mathcal{P}(D^k)$
variable <i>x</i>	$V(x) \in D$ (some object)

### **INTUITIONS: DOMAIN**

- Domain  $D: d \in D$  is an *individual*
- E.g., { amir, yuan, mississauga, 42, ...}
- We use underlined symbols to talk about domain individuals (to syntactic symbols of the first-order language are not underlined)
- Domains often infinite, but we'll use finite models to prime our intuitions

# Intuitions: Φ

Given k-ary function f and k individuals  $d_1, \ldots, d_k$ ,  $f(d_1, \ldots, d_k)$  denotes

- Constants (0-ary functions) are mapped to individuals in D.
   Φ(client17) = craig, Ψ(hotel5) = le fleabag
- 1-ary functions are mapped to particular functions in  $D \to D$ 
  - $\Phi(rating) = f rating, f rating(grandhotel) = 5stars$
- 2-ary functions are mapped to functions from  $D^2 \rightarrow D$  $\Phi(distance) = f - distance, f - distance(toronto, sienna) = 3256$
- n-ary functions are mapped similarly.

# Intuitions: Ψ

#### Given k-ary relation r, what does r denote

- 0-ary predicates are mapped to true or false.
  - $\Psi(rainy) = True, \ \Psi(sunny) = False$
- 1-ary predicates are mapped to subsets of D.

 $\Psi(privatebeach) = p - privatebeach$  (the subset of hotels that have a private beach)

e.g. *p – privatebeack* = { *grandhotel*, *fourseasons*}

Note: le-fleabag is not a member of this set!

 2-ary predicates are mapped to subsets of D<sup>2</sup> (sets of pairs of individuals)

 $\Psi(available) = p - available$ : (set of pairs (hotel, week) that have free rooms)

e.g.,  $p-available = \{(grandhotel, week29), (grandhotel, week30)\}$ 

Note: fourseasons has no available rooms!

# Intuitions: V

*V* exists to take care of quantification. As we will see the exact mapping it specifies will not matter.

- Notation: V[X/d] is a new variable assignment function.
  - Exactly like *V*, but maps the variable *X* to the individual *d*.
  - So for  $Y \neq X$ : V[X/d](Y) = V(Y)
  - For X:
     V[X/d](X) = d

### SEMANTICS - TERMS.

Given language L(F, P, V), and an interpretation  $I = \langle D, \Phi, \Psi, V \rangle$  and any term t. I(t) is the interpretation of t under I.

Symbol	Semantics
constant symbol c	$I(c) = \Phi(c) \in D$ (some object)
func. application $f(t_1, \ldots, t_k)$	$I(f(t_1,,t_k)) = \Phi(f)(I(t_1),,I(t_k))$ $I(x) = V(x) \in D$ (some object)
variable <i>x</i>	$I(x) = V(x) \in D$ (some object)
atomic formula $r(t_1, \ldots, t_k)$	$I(r(t_1,\ldots,t_k)) = True$
	if $(I(t_1),\ldots,I(t)k)) \in \Psi(r)$ ,
	otherwise <i>False</i> .

# SEMANTICS - FORMULAS.

Formula	Semantics
$\neg f$	$I(\neg f) = \text{True iff } I(f) = \text{False}$
$f_1 \wedge \cdots \wedge f_k$	
$f_1 \vee \cdots \vee f_k$	$I(f_1 \lor \cdots \lor f_k) = \text{True iff } I(f_i) = \text{True for at least one } i$
$f_1 \implies f_2$	$I(f_1 \implies f_2) = \text{False iff } I(f_1) = \text{True and } I(f_2) = \text{False}$
∃ <i>X</i> . <i>f</i>	$I(f) = \text{True if for some } d \in D, I'(f) = \text{True}$
	where $I' = \langle D, \Phi, \Psi, V[X/d] \rangle$
	False otherwise
$\forall X.f$	$I(f) = \text{True}$ if for all $d \in D$ , $I'(f) = \text{True}$
	where $I' = \langle D, \Phi, \Psi, V[X/d] \rangle$
	False otherwise

### EXAMPLE

```
D = \{bob, jack, fred\}

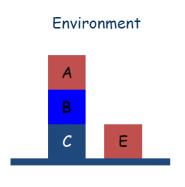
I(happy) = \{bob, jack, fred\}

What is I(\forall X.happy(X))
```

- $\Psi(happy)(V[X/bob](X)) = \Psi(happy)(bob) = True$
- $\Psi(happy)(V[X/jack](X)) = \Psi(happy)(jack) = True$
- $\Psi(happy)(V[X/fred](X)) = \Psi(happy)(fred) = True$

Therefore  $I(\forall X.happy(X)) = True$ .

### MODELS - EXAMPLE



Language (Syntax)

Constants: a,b,c,e
 Functions:
 No function

 Predicates:
 on: binary
 above: binary
 clear: unary
 ontable: unary

## Models - Example

#### Language (syntax)

- Constants: a,b,c,e
- Predicates:

on (binary)

above (binary)

clear (unary)

ontable(unary)

#### A possible Model I<sub>1</sub> (semantics)

- D = {<u>A</u>, <u>B</u>, <u>C</u>, <u>E</u>}
- $\Phi(a) = \underline{A}, \Phi(b) = \underline{B},$   $\Phi(c) = C, \Phi(e) = E.$
- $\Psi(on) = \{(\underline{A},\underline{B}), (\underline{B},\underline{C})\}$
- $= \Psi(above) = \{(\underline{A},\underline{B}),(\underline{B},\underline{C}),(\underline{A},\underline{C})\}$
- Ψ(clear)={<u>A,E</u>}
- Ψ(ontable)={C,E}

## MODELS - EXAMPLE

#### Model I<sub>1</sub> Matches our environment

■ D = 
$$\{A, B, C, E\}$$

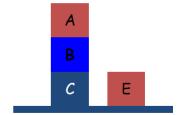
$$\Phi(a) = \underline{A}, \Phi(b) = \underline{B}, \\ \Phi(c) = \underline{C}, \Phi(e) = \underline{E}.$$

$$\Psi(on) = \{(\underline{A},\underline{B}), (\underline{B},\underline{C})\}$$

$$\Psi(above) = \{(\underline{A},\underline{B}),(\underline{B},\underline{C}),(\underline{A},\underline{C})\}$$

- Ψ(clear)={<u>A,E</u>}
- Ψ(ontable)={C,E}

## Environment



### Models - Formulas - True or False?

#### Model I<sub>1</sub>

■ D = 
$$\{A, B, C, E\}$$

$$\Phi(a) = \underline{A}, \Phi(b) = \underline{B}, \Phi(c) = \underline{C}, \Phi(e) = \underline{E}.$$

- $\Psi$ (on) = {(<u>A,B</u>),(<u>B,C</u>)}
- $\Psi(above) = \{(\underline{A},\underline{B}),(\underline{B},\underline{C}),(\underline{A},\underline{C})\}$
- Ψ(clear)={<u>A,E</u>}
- Ψ(ontable)={C,E}

```
\forall X, Y. on(X, Y) \rightarrow above(X, Y)
  X=A, Y=B true
  X=C, Y=A true (why?)
True
\forall X, Y. above(X, Y) \rightarrow on(X, Y)
   X=A, Y=B true
   X=A, Y=A true
   X=A, Y=C false
 Falsel
```

### Models - Formulas - True or False?

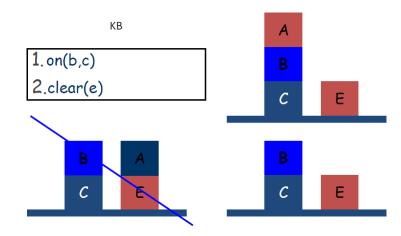
#### Model I<sub>1</sub>

$$\Phi(\alpha) = \underline{A}, \Phi(b) = \underline{B}, \Phi(c) = \underline{C}, \Phi(e) = \underline{E}.$$

■ 
$$\Psi$$
(on) = {(A,B),(B,C)}

```
\forall X \exists Y. (clear(X) \lor on(Y,X))
                true
 X=C, Y=B true
 True!
 \exists Y \forall X. (clear(X) \lor on(Y,X))
   Y=A ? False! (X=C)
   Y=C? False! (X=B)
   Y=E? False! (X=B)
   Y=B? False! (X=B)
  False!
```

### KNOWLEDGE BASE - MANY MODELS



#### Models

- Let our Knowledge base KB, consist of a set of formulas.
- We say that I is a model of KB or that I satisfies KB
   If, every formula f ∈ KB is true under I
- We write I ⊨ KB if I satisfies KB, and I ⊨ f if f is true under I.

#### WHAT'S SPECIAL ABOUT MODELS?

- When we write KB, we intend that the real world (i.e. our set theoretic abstraction of it) is one of its models.
- This means that every statement in KB is true in the real world.
- Note however, that not every thing true in the real world need be contained in KB. We might have only incomplete knowledge

#### MODELS SUPPORT REASONING.

 Suppose formula f is not mentioned in KB, but is true in every model of KB; i.e.,

$$I \models KB \implies I \models f$$
.

- Then we say that f is a logical consequence of KB or that KB entails f.
- Since the real world is a model of KB, f must be true in the real world.
- This means that entailment is a way of finding new true facts that were not explicitly mentioned in KB.

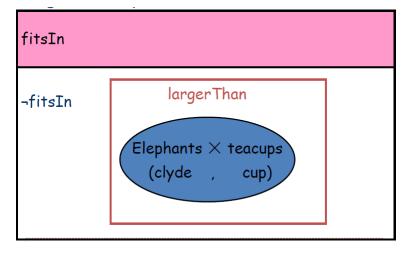
# LOGICAL CONSEQUENCE EXAMPLE.

- elephant (clyde)
   the individual denoted by the symbol clyde in the set deonted by elephant (has the property that it is an elephant).
- teacup(cup)cup is a teacup.
- Note that in both cases a unary predicate specifies a set of individuals. Asserting a unary predicate to be true of a term means that the individual denoted by that term is in the specified set.

# LOGICAL CONSEQUENCE EXAMPLE.

- $\forall X, Y$ . elephant $(X) \land teacup(Y) \implies largerThan(X, Y)$ .
  - For all pairs of individuals if the first is an elephant and the second is a teacup, then the pair of objects are related to each other by the largerThan relation.
  - For pairs of individuals who are not elephants and teacups, the formula is immediately true.
- $\forall X, Y. largerThan(X, Y) \Longrightarrow \neg fitsIn(X, Y)$ 
  - For all pairs of individuals if X is larger than Y (the pair is in the largerThan relation) then we cannot have that X fits in Y (the pair cannot be in the fitsIn relation).
  - (The relation largerThan has a empty intersection with the fitsIn relation).
- ¬fitsIn(clyde,cup)
- We know largerThan(clyde, teacup) from the first implication. Thus we know this from the second implication.

## LOGICAL CONSEQUENCES



# LOGICAL CONSEQUENCE EXAMPLE.

- If an interpretation satisfies KB, then the set of pairs elephant × teacup must be a subset of largerThan, which is disjoint from fitsIn.
- Therefore, the pair (clyde, cup) must be in the complement of the set fitsIn.
- Hence, ¬fitsIn(clyde, cup) must be true in every interpretation that satisfies KB.
- ¬fitsIn(clyde, cup) is a logical consequence of KB.

#### MODELS AND INTERPRETATIONS.

- The more sentences in KB, the fewer models (satisfying interpretations) there are..
- The more you write down (as long as it's all true!), the "closer" you get to the "real world"! Because Each sentence in KB rules out certain unintended interpretations.
- This is called axiomatizing the domain

### COMPUTING LOGICAL CONSEQUENCES.

- We want procedures for computing logical consequences that can be implemented in our programs.
- This would allow us to reason with our knowledge
  - Represent the knowledge as logical formulas
  - Apply procedures for generating logical consequences
- These procedures are called proof procedures.

#### PROOF PROCEDURES.

- Interesting, proof procedures work by simply manipulating formulas. They do not know or care anything about interpretations.
- Nevertheless they respect the semantics of interpretations!
- We will develop a proof procedure for first-order logic called resolution.

## PROPERTIES OF PROOF PROCEDURES.

- Before presenting the details of resolution, we want to look at properties we would like to have in a (any) proof procedure.
- We write KB ⊢ f to indicate that f can be proved from KB (the proof procedure used is implicit).
- Soundness

$$KB \vdash f \implies KB \models f$$

- i.e. all conclusions arrived at via the proof procedure are correct: they are logical consequences.
- Completeness  $KB \models f \implies KB \vdash f$ 
  - i.e. every logical consequence can be generated by the proof procedure.
- Note proof procedures for FOL have high complexity in the worst case. So completeness is not necessarily achievable in practice.

#### RESOLUTION.

- Clausal form.
  - Resolution works with formulas expressed in clausal form.
  - A literal is an atomic formula or the negation of an atomic formula.

Example:  $dog(fido), \neg cat(fido)$ 

• A clause is a disjunction of literals:

 $\neg owns(fido, fred) \lor \neg dog(fido) \lor person(fred)$ 

We write:

 $(\neg owns(fido, fred), \neg dog(fido), person(fred))$ 

A clausal theory is a conjunction of clauses.

### RESOLUTION RULE FOR GROUND CLAUSES

- The resolution proof procedure consists of only one simple rule:
  - From the two clauses  $(P, Q_1, Q_2, ..., Q_k)$   $(\neg P, R_1, R_2, ..., R_n)$
  - · We infer the new clause
  - $(Q_1, Q_2, ..., Q_k, R_1, R_2, ..., R_n)$
  - Example:

```
(¬largerThan(clyde, cup), ¬fitsIn(clyde, cup)
(fitsIn(clyde, cup))
⇒ ¬largerThan(clyde, cup)
```

#### RESOLUTION PROOF: FORWARD CHAINING

- Logical consequences can be generated from the resolution rule in two ways:
  - Forward Chaining Inference
    - From the two clauses
    - If we have a sequence of clauses  $C_1, C_2, \dots, C_k$ .
    - Such that each C<sub>i</sub> is either in KB or is the result of a resolution step involving two prior clauses in the sequence.
    - We then have that  $KB \vdash C_k$ .

Forward chaining is sound so we also have  $KB \models C_k$ .

### RESOLUTION PROOF: REFUTATION PROOFS

#### 2. Forward Chaining Inference

- We determine if KB ⊢ f by showing that a contradiction can be generated from KB ∧ ¬f.
- In this case a contradiction is an empty clause ().
- We employ resolution to construct a sequence of clauses  $C_1, C_2, \ldots, C_m$  such that
  - C<sub>i</sub> is in KB ∧ ¬f, or is the result of resolving two previous clauses in the sequence.
  - $C_m = ()$  i.e. its the empty clause.

#### RESOLUTION PROOF: REFUTATION PROOFS

- If we can find such a sequence  $C_1, C_2, ..., C_m = ()$ , we have that
  - KB ⊢ f
  - Furthermore, this procedure is sound, so  $KB \models f$
- And the procedure is also complete so it is capable of finding a proof of any f that is a logical consequence of KB. i.e.
  - If  $KB \models f$  then we can generate a refutation from  $KB \land \neg f$ .

#### RESOLUTION PROOFS EXAMPLE

### Prove likes(clyde, peanuts) from

- 1.(elephant(clyde), giraffe(clyde))
- 2.(¬elephant(clyde), likes(clyde, peanuts))
- 3.(¬giraffe(clyde), likes(clyde, leaves))
- 4. ¬likes(clyde, leaves)

#### FORWARD CHAINING PROOF

- $3,4 \implies \neg giraffe(clyde)[5.]$
- $5,1 \implies elephant(clyde)[6.]$
- $6,2 \implies likes(clyde, peanuts)[7.]$

### RESOLUTION PROOFS EXAMPLE

Prove likes(clyde, peanuts) from

```
1.(elephant(clyde), giraffe(clyde))
```

- 2.(¬elephant(clyde), likes(clyde, peanuts))
- 3.(¬giraffe(clyde), likes(clyde, leaves))
- 4.¬likes(clyde, leaves)

#### REFUTATION PROOF

```
¬likes(clyde, peanuts) [5.]
```

- $5,2 \Longrightarrow \neg elephant(clyde)[6.]$
- $6,1 \implies giraffe(clyde)[7.]$
- $7.3 \implies \text{likes(clyde, leaves)}[8.]$
- $8,4 \Longrightarrow ()$

#### RESOLUTION PROOFS

- Proofs by refutation have the advantage that they are easier to find.
  - They are more focused to the particular conclusion we are trying to reach.
- To develop a complete resolution proof procedure for First-Order logic we need:
  - A way of converting KB and f (the query) into clausal form.
  - A way of doing resolution even when we have variables (unification).

## CONVERSION TO CLAUSAL FORM (C-T-C-F)

To convert the KB into Clausal form we perform the following 8-step procedure:

- Eliminate Implications.
- **②** Move Negations inwards (and simplify  $\neg\neg$ ).
- Standardize Variables.
- Skolemize.
- Onvert to prenex Form.
- Distribute conjunctions over disjunctions.
- Flatten nested conjunctions and disjunctions.
- Convert to Clauses.

### C-T-C-F STEPS 1,2 AND 3

Implications can be eliminated using this equivalence:

$$A \Longrightarrow B \Leftrightarrow \neg A \lor B$$

Negations can be moved inwards using the following rules:

$$\neg (A \land B) \Leftrightarrow \neg A \lor \neg B$$
$$\neg (A \lor B) \Leftrightarrow \neg A \land \neg B$$
$$\neg \forall X.f \Leftrightarrow \exists X.\neg f$$
$$\neg \exists X.f \Leftrightarrow \forall X.\neg f$$
$$\neg \neg A \Leftrightarrow A$$

 Standardize Variables: rename variables so each quantified variable is unique.

#### C-T-C-F STEP 4 - SKOLEMIZATION

- The idea behind Skolemization is that for existentially quantified variables, we need a particular instance of them
- In general, an instance of a variable can be produced by a function.
- Example

$$\forall X. p(X) \lor ((\forall Y. \neg p(Y) \lor p(f(X,Y))) \land (\exists Z. q(X,Z) \lor \neg p(Z)))$$

Observe that for any instance of X, we need just one instance of Z such that  $q(X,Z) \vee \neg p(Z)$ .

Therefore we can "cook" a function g that maps Dom[X] to Dom[Z] such that for any instance of X, the following holds:  $q(X,g(X)) \vee \neg p(g(X))$ 

 The function g is called Skolem function. The formula becomes

$$\forall X.p(X) \lor ((\forall Y.\neg p(Y) \lor p(f(X,Y))) \land (q(X,g(X)) \lor \neg p(g(X))))$$

## SKOLEMIZATION EXAMPLES

- Consider ∃Y.elephant(Y) ∧ friendly(Y)
- This asserts there is some individual (binding for *Y*) that is both elephant and friendly.
- To remove the existential, we invent a name for this individual, say a. This is a new constant symbol not equal to any previous constant symbols to obtain: elephant(a) ∧ friendly(a)
- This is saying the same thing, since we do not know anything about the new constant a.

#### SKOLEMIZATION

- It is essential that the introduced symbol a is new. Else we might know something else about a in KB.
- If we did know something else about a we would be asserting more than the existential.
- In original quantified formula we know nothing about the variable Y. Just what was being asserted by the existential formula.

### SKOLEMIZATION EXAMPLES

- Now consider ∀X∃Y.loves(X, Y).
- This formula claims that for every X there is some Y that X loves (perhaps a different Y for each X).
- Replacing the existential by a new constant won't work ∀X.loves(X, a).
  - because this asserts that there is a particular individual a loved by every X.
- To properly convert existential quantifiers scoped by universal quantifiers we must use functions not just constants.

### SKOLEMIZATION EXAMPLES

- We must use a function that mentions every universally quantified variable that scopes the existential.
- In this case X scopes Y so we must replace the existential Y by a function of X
   ∀X.loves(X, g(X)).
  - where g is a new function symbol.
- This formula asserts that for every X there is some individual (given by g(X)) that X loves. g(X) can be different for each different binding of X.

### C-T-C-F: CONVERT TO PRENEX

 Convert to prenex form. (Bring all quantifiers to the front only universals, each with different name).

$$\forall X.\neg p(X) \lor (\forall Y.\neg p(Y) \lor p(f(X,Y)) \land q(X,g(X)) \lor \neg p(g(X)))$$
$$\forall X\forall Y.\neg p(X) \lor (\neg p(Y) \lor p(f(X,Y)) \land q(X,g(X)) \lor \neg p(g(X)))$$

### C-T-C-F: CONJUNCTIONS OVER DISJUNCTIONS

• This is simply distribution rule:

$$A \lor (B \land C) \Leftrightarrow (A \lor B) \land (A \lor C)$$

$$\forall X \forall Y. \neg p(X) \lor (\neg p(Y) \lor p(f(X,Y)) \land q(X,g(X)) \lor \neg p(g(X)))$$

$$\forall X \forall Y. (\neg p(X) \lor \neg p(Y) \lor p(f(X,Y))) \land (\neg p(X) \lor q(X,g(X)) \lor \neg p(g(X)))$$

### C-T-C-F: FLATTEN & CONVERT TO CLAUSES

Flatten nested conjunctions and disjunctions:

$$A \lor (B \lor C) \Leftrightarrow (A \lor B \lor C)$$

 Convert to Clauses (remove quantifiers and break apart conjunctions).

$$\forall X \forall Y. \neg p(X) \lor (\neg p(Y) \lor p(f(X,Y)) \land q(X,g(X)) \lor \neg p(g(X)))$$
$$\neg p(X) \lor \neg p(Y) \lor p(f(X,Y))$$
$$\neg p(X) \lor q(X,g(X)) \lor \neg p(g(X))$$

#### Unification

- Ground clauses are clauses with no variables in them. For ground clauses we can use syntactic identity to detect when we have a P and ¬P pair.
- What about variables. Can the following clauses be resolved:

```
(P(\text{john}), Q(\text{fred}), R(X))
(\neg P(Y), R(\text{susan}), R(Y))
```

#### UNIFICATION

• Intuitively, once reduced to clausal form, all remaining variables are universally quantified. So, implicitly  $(\neg P(Y), R(\text{susan}), R(Y))$  represents a whole set of ground clauses like

```
(\neg P(\text{fred}), R(\text{susan}), R(\text{fred}))
(\neg P(\text{john}), R(\text{susan}), R(\text{john}))
```

• • •

- So there is a "specialization" of this clause that can be resolved with (P(john), Q(fred), R(X))
- We want to be able to match conflicting literals, even when they have variables. This matching process automatically determines whether or not there is a "specialization" that matches.
- We don't want to over specialize!

#### UNIFICATION

- Unification is a mechanism for finding a "most general" matching.
- · First we consider substitutions.

#### **DEFINITION**

A substitution is a finite set of equations of the form V = t where V is a variable and t is a term not containing V. (t might contain other variables).

### SUBSTITUTIONS

 We can apply a substitution σ to a formula f to obtain a new formula fσ by simultaneously replacing every variable mentioned in the left hand side of the substitution by the right hand side.

$$p(X,g(Y,Z))[X=Y,Y=f(a)] \rightarrow p(Y,g(f(a),Z))$$

 Note that the substitutions are not applied sequentially, i.e., the first Y is not subsequently replaced by f(a).

#### SUBSTITUTIONS

- We can compose two substitutions  $\theta$  and  $\sigma$  to obtain a new substitution  $\theta\sigma$ .
- Let  $\theta = \{X_1 = s_1, \dots, X_m = s_m\}, \sigma = \{Y = t_1, \dots, Y_k = s_k\}.$
- Then  $\theta \sigma = \{X_1 = s_1 \sigma, \dots, X_m = s_m \sigma, Y_1 = t_1, \dots, Y_k = t_k\}$
- That is, we apply  $\sigma$  to each RHS of  $\theta$  and then add all of the equations of  $\sigma$ .
- Delete any identities, i.e. equations of the form V = V.
- Delete any equations of the form Y<sub>i</sub> = s<sub>i</sub> where Y<sub>i</sub> is equal to one of the X<sub>i</sub> in θ.
- The final set S si the composition  $\theta \sigma$ .

#### COMPOSITION EXAMPLE

$$\theta = \{X = f(Y), Y = Z\}, \sigma = \{X = a, Y = b, Z = Y\}$$

#### Compute $\theta \sigma$ .

**1**  $S = \theta$  with  $\sigma$  applied to RH sides followed by  $\sigma$ .

$$S = \{X = f(Y) | X = a, Y = b, Z = Y\}, Y = Z\{X = a, Y = b, Z = Y\}, X = a, Y = b, Z = Y\}$$
  
=  $\{X = f(b), Y = Y, X = a, Y = b, Z = Y\}$ 

## SUBSTITUTIONS

- The empty substitution  $\varepsilon = \{\}$  is also a substitution, and it acts as an identity under composition.
- More importantly substitutions when applied to formulas are associative:

$$(\phi\theta)\sigma = \phi(\theta\sigma)$$

 Composition is simply a way of converting the sequential application of a series of substitutions to a single simultaneous substitution.

#### Unifiers.

- A unifier of two formulas f and g is a substitution σ that makes f and g syntactically identical.
- Not all formulas can be unified substitutions only affect variables.
- Example: p(f(X), a) and p(Y, f(w)) cannot be unified as there is no way of making a = f(w) with a substitution.
- Note we typically use UPPER CASE to denote variables, lower case for constants.

# MOST GENERAL UNIFIER (MGU)

- A substitution σ of two formulas f and g is a Most General Unifier (MGU) if
  - σ is a unifier.
  - ② For every other unifier  $\theta$  of f and g there must exist a third substitution  $\lambda$  such that

$$\theta = \sigma \lambda$$

- This says that every other unifier is "more specialized than σ" (has some other substitution pairs added in).
- The MGU of a pair of formulas f and g is unique up to renaming.

# MOST GENERAL UNIFIER (MGU)

Find MGU of p(f(X), Z) and p(Y, a).

- $\sigma = \{Y = f(a), X = a, Z = a\}$  is a unifier, but not a MGU.  $p(f(X), Z)\sigma = p(f(a), a)$  $p(Y, a)\sigma = p(f(a), a)$
- $\theta = \{ Y = f(X), Z = a \} \text{ is an MGU.}$   $p(f(X), Z)\theta = p(f(X), a)$   $p(Y, a)\theta = p(f(X), a)$
- $\sigma = \theta \lambda$ , where  $\lambda = \{X = a\}$ . •  $\theta \lambda = \{Y = f(a), Z = a, X = a\} = \sigma$

## MOST GENERAL UNIFIER (MGU)

- The MGU is the "least specialized" way of making clauses with universal variables match.
- We can compute MGUs.
- Intuitively we line up the two formulas and find the first sub-expression where they disagree. The pair of subexpressions where they first disagree is called the disagreement set.
- The algorithm works by successively fixing disagreement sets until the two formulas become syntactically identical.

## MOST GENERAL UNIFIER (MGU) ALGORITHM

- ② If  $S_k$  contains an identical pair of formulas, STOP, and RETURN  $\sigma_k$  and the MGU of f, g.
- **Solution** Set  $D_k = \{e_1, e_2\} \subseteq S_k$ .
- If e<sub>1</sub> = V a variable, and e<sub>2</sub> = t a term not containing V (or vice-versa) then let

$$\sigma_{k+1} = \sigma_k V = t$$
 (compose additional substitution)  $S_{k+1} = S_k \{ V = t \}$  (Apply the additional substitution)  $k = k+1$  GOTO 2

Stop, f,g cannot be unified

## MGU EXAMPLE 1.

$$S_0 = \{p(f(a), g(X)); p(X, Y)\}, k = 0, \sigma_0 = \{\}$$

- D<sub>0</sub> = {f(a), Y} OK, we have a variable Y and a term not containing Y.
- 2.  $\sigma_1 = \{\}\{Y = f(a)\} = \{Y = f(a)\}\$  $S_1 = S_0\{Y = f(a)\} = \{p(f(a), g(X)); p(f(a), f(a))\}\$
- 3. k=1
- 1.  $D_1 = \{g(X), f(a)\}$  fail we do not have a variable and a term not containing the variable.

So the given formulas are not unifiable.

## MGU EXAMPLE 2.

$$S_0 = \{p(a,X,h(g(Z))); p(Z,h(Y),h(Y))\}, k = 0, \sigma_0 = \{\}$$

- 1.  $D_0 = \{a, Z\}$  OK, we have a variable Z and a term not containing Z.
- 2.  $\sigma_1 = \{\}\{Z = a\} = \{Z = a\}$  $S_1 = S_0\{Z = a\} = \{p(a, X, h(g(a)); p(a, h(Y), h(Y))\}$
- 3. k=1
- 1.  $D_1 = \{X, h(Y)\}$  -OK
- 2.  $\sigma_2 = \{Z = a\}\{X = h(Y)\} = \{Z = a, X = h(Y)\}\$  $S_2 = S_1\{X = h(Y)\} = \{p(a, h(Y), h(g(a)); p(a, h(Y), h(Y))\}\$
- 3. k = 2

## MGU EXAMPLE 2.

$$S_0 = \{p(a,X,h(g(Z))); p(Z,h(Y),h(Y))\}, k = 0, \sigma_0 = \{\}$$

- 1.  $D_2 = \{g(a), Y\}$  OK
- 2.  $\sigma_3 = \{Z = a, X = h(Y)\}\{Y = g(a)\} = \{Z = a, X = h(g(a)), Y = g(a)\}$  $S_3 = S_2\{Y = g(a)\} = \{p(a, h(g(a)), h(g(a)); p(a, h(g(a)), h(g(a)))\}$
- 3. k=3
- 1.  $D_1 = \{\}$  -Done!

$$MGU = \sigma_3 = \{Z = a, X = h(g(a)), Y = g(a)\}$$

## MGU EXAMPLE 2.

$$S_0 = \{p(a, X, h(g(Z))); p(Z, h(Y), h(Y))\}, k = 0, \sigma_0 = \{\}$$

$$MGU = \sigma_3 = \{Z = a, X = h(g(a)), Y = g(a)\}$$

Double check:

$$p(a, X, h(g(Z))\sigma_3 = p(a, h(g(a)), h(g(a)))$$
  
 $p(Z, h(Y), h(Y))\sigma_3 = p(a, h(g(a)), h(g(a)))$   
Correct!

## MGU EXERCISE.

Are p(X,X); p(Y,f(Y)) unifiable?

### Non-ground resolution

#### RESOLUTION OF NON-GROUND CLAUSES.

From the two clauses

$$(L, Q_1, Q_2, \ldots, Q_k)$$

$$(\neg M, R_1, R_2, \dots, R_n)$$

where there exists  $\sigma$  a MGU for L and M, we infer the new clause

$$(Q_1\sigma,\ldots,Q_k\sigma,R_1\sigma,\ldots,R_n\sigma)$$

### Non-ground resolution

#### RESOLUTION OF NON-GROUND CLAUSES.

From the two clauses

$$(L, Q_1, Q_2, \ldots, Q_k)$$

$$(\neg M, R_1, R_2, \dots, R_n)$$

where there exists  $\sigma$  a MGU for L and M, we infer the new clause

$$(Q_1\sigma,\ldots,Q_k\sigma,R_1\sigma,\ldots,R_n\sigma)$$

# NON-GROUND RESOLUTION EXAMPLE

- 1. (p(X), q(g(X)))
- 2.  $(r(a), q(Z), \neg p(a))$  L = p(X); M = p(a) $\sigma = \{X = a\}$
- 3.  $R[1a,2c]{X = a}(q(g(a)),r(a),q(Z))$

The notation is important.

- "R" means resolution step.
- "1a" means the first (a-th) literal in the first clause i.e. p(X).
- "2c" means the third (c-th) literal in the second clause,  $\neg p(a)$ .
  - 1a and 2c are the "clashing" literals.
- {X = a} is the substitution applied to make the clashing literals identical.

Some patients like all doctors. No patient likes any quack. Therefore no doctor is a quack.

#### RESOLUTION PROOF STEP 1.

Pick symbols to represent these assertions.

p(X) : X is a patient d(x) : X is a doctor q(X) : X is a quack

I(X, Y) : X likes Y

#### RESOLUTION PROOF STEP 2.

Convert each assertion to a first-order formula.

1. Some patients like all doctors. F1.

$$\exists X.p(X) \land \forall Y.(d(Y) \Longrightarrow I(X,Y))$$

2. No patient likes any quack

F2. 
$$\neg(\exists X.p(X) \land \exists Y.q(Y) \land I(X,Y))$$

3. Therefore no doctor is a quack.

Query.  $\forall X.d(X) \Longrightarrow \neg q(X)$ 

## RESOLUTION PROOF STEP 3.

Convert to Clausal form.

F1. 
$$\exists X.p(X) \land \forall Y.(d(Y) \Longrightarrow I(X,Y))$$
  
 $\exists X.p(X) \land \land Y. \neg d(Y) \lor I(X,Y)$   
 $\forall Y.p(a) \land (\neg d(Y) \lor I(a,Y))$  #Skolem constant  
1.  $p(a)$   
2.  $\neg d(Y) \lor I(a,Y)$ 

2. 
$$\neg a(Y) \lor l(a, Y)$$

F2. 
$$\neg(\exists X.p(X) \land \exists Y.q(Y) \land I(X,Y))$$

$$\forall X. \neg p(X) \lor \forall Y. \neg q(Y) \lor \neg l(X,Y)$$

$$\forall X \forall Y. \neg p(X) \lor \neg q(Y) \lor \neg l(X,Y)$$

$$3.\neg p(X) \lor \neg q(Y) \lor \neg l(X,Y)$$

### RESOLUTION PROOF STEP 3.

Negation of Query.

$$\neg(\forall X.d(X) \Longrightarrow \neg q(X))$$

$$\neg(\forall X.\neg d(X) \lor \neg q(X))$$

$$\exists X. \neg d(X) \land \neg q(X)$$

$$\neg d(b) \land \neg q(b)$$
 #Skolem constant

4. 
$$¬d(b)$$

5. 
$$¬q(b)$$

#### RESOLUTION PROOF STEP 4.

Resolution Proof from the Clauses.

- 1. *p*(*a*)
- 2.  $(\neg d(Y), I(a, Y))$
- 3.  $(\neg p(X), \neg q(Y), \neg l(X, Y))$
- 4. d(b)
- 5. q(b)
- 6.  $R[3b,5]\{R=b\}(\neg p(Z),\neg I(Z,b))$
- 7.  $R[6a, 1]{Z = a} \neg I(a, b)$
- 8.  $R[7,2b]{Y=b}\neg d(b)$
- 9. R[8,4]() #contradiction proving the query is true.

If we have to resolve two clauses that have the same variable, we always rename the variables in one clause so that there are no shared variables

### **ANSWER EXTRACTION**

- The previous example shows how we can answer true-false questions. With a bit more effort we can also answer "fill-in-theblanks" questions (e.g., what is wrong with the car?).
- We can use free variables in the query where we want the fill in the blanks. We simply need to keep track of the binding that these variables received in proving the query.
- parent(art, jon) is art one of jon's parents?
- parent(X, jon) who is one of jon's parents?

## **ANSWER EXTRACTION**

- A simple bookkeeping device is to use an predicate symbol answer(X, Y,...) to keep track of the bindings automatically.
- To answer the query parent(X,jon), we construct the clause
  - $(\neg parent(X, jon), answer(X))$
- Now we perform resolution until we obtain a clause consisting of only answer literals (previously we stopped at empty clauses).

## Answer Extraction: Example 1

- father(art, jon)
- 2. father(bob,kim)
- 3.  $(\neg father(Y,Z), parent(Y,Z))$ i.e. all fathers are parents
- 4. (¬parent(X,jon), answer(X))i.e. the query is: who is parent of jon?Here is a resolution proof:
- 5.  $R[4,3b]\{Y=X,Z=jon\}(\neg father(X,jon),answer(X))$
- 6.  $R[5,1]{X = art}$  answer(art) so art is a parent of jon

## Answer Extraction: Example 2

- 1. (father(art, jon), father(bob,jon) //either bob or art is parent of jon
- 2. father(bob,kim)
- 3.  $(\neg father(Y,Z), parent(Y,Z))$  //i.e. all fathers are parents
- 4.  $(\neg parent(X, jon), answer(X))$  //i.e. query is parent(X,jon) Here is a resolution proof:
- 5.  $R[4,3b]\{Y=X,Z=jon\}(\neg father(X,jon),answer(X))$
- 6.  $R[5,1a]{X = art}$  (father(bob,jon), answer(art))
- 7. R[6,3b]{ Y = bob, Z = jon} (parent(bob,jon), answer(art))
- 8.  $R[7,4]{X = bob}$  (answer(bob), answer(art)) A disjunctive answer: either bob or art is parent of jon.

### **FACTORING**

- 1.  $(p(X), p(Y)) // \forall X. \forall Y. \neg p(X) \Longrightarrow p(Y)$
- 2.  $(\neg p(V), \neg p(W)) // \forall V. \forall W. p(V) \Longrightarrow \neg p(W)$ 
  - These clauses are intuitively contradictory, but following the strict rules of resolution only we obtain:
- 3.  $R[1a,2a](X=V)(p(Y),\neg p(W))$ Renaming variables:  $(p(Q),\neg p(Z))$
- 4. R[3b, 1a](X = Z)(p(Y), p(Q))No way of generating empty clause!
  - Factoring is needed to make resolution over non-ground clauses complete, without it resolution is incomplete!

### **FACTORING**

 If two or more literals of a clause C have an MGU θ, then Cθ with all duplicate literals removed is called a factor of C.

• 
$$C = (p(X), p(f(Y)), \neg q(X))$$
  
 $\theta = \{X = f(Y)\}$   
 $C\theta = (p(f(Y)), p(f(Y)), \neg q(f(Y))) \implies (p(f(Y)), \neg q(f(Y)))$   
is a factor

Adding a factor of a clause can be a step of proof:

- 1. (p(X), p(Y))
- 2.  $(\neg p(V), \neg p(W))$
- 3.  $f[1ab]{X = Y}p(Y)$
- 4.  $f[2ab]{V = W} \neg p(W)$
- 5.  $R[3,4]{Y = W}()$ .

## REVIEW: ONE LAST EXAMPLE!

### Consider the following English description

- Whoever can read is literate.
- Dolphins are not literate.
- Flipper is an intelligent dolphin.
- Who is intelligent but cannot read?

## **EXAMPLE**

### Pick symbols & convert to first-order formula

Whoever can read is literate.

$$\forall X. \mathsf{read}(X) \Longrightarrow \mathsf{lit}(X)$$

Dolphins are not literate.

$$\forall X.\mathsf{dolp}(X) \Longrightarrow \neg \mathsf{lit}(X)$$

- Flipper is an intelligent dolphin dolp(flipper) ∧ intell(flipper)
- Who is intelligent but cannot read?
- $\exists X. \mathsf{intell}(X) \land \neg \mathsf{read}(X)$ .

## EXAMPLE

```
Convert to clausal form
       \forall X. \operatorname{read}(X) \Longrightarrow \operatorname{lit}(X)
        (\neg read(X), lit(X))
       \forall X. \mathsf{dolp}(X) \Longrightarrow \neg \mathsf{lit}(X)
        (\neg dolp(X), \neg lit(X))
        dolp(flipper) ∧ intell(flipper)
        dolp(flipper)
        intell(flipper)
        \exists X. \mathsf{intell}(X) \land \neg \mathsf{read}(X).
        \implies \forall X.\neg \mathsf{intell}(X) \lor \mathsf{read}(X)
        \implies (\negintell(X), read(X), answer(X))
```

## EXAMPLE

### Do the resolution proof

- 1.  $(\neg read(X), lit(X))$
- 2.  $(\neg dolp(X), \neg lit(X))$
- 3. dolp(flipper)
- 4. intell(flipper)
- 5.  $(\neg intell(X), read(X), answer(X))$
- 6. R[5a,4] X=flip. (read(flip), answer(flip))
- 7. R[6,1a] X=flip. (lit(flip), answer(flip))
- 8. R[7,2b] X=flip. ( $\neg$  dolp(flip), answer(flip))
- R[8,3] answer(flip) so flip is intelligent but cannot read!