

DFA: regular language

Union  $A \cup B = \{x : x \in A \text{ or } x \in B\}$

Concatenation  $A \circ B = \{xy : x \in A \text{ and } y \in B\}$

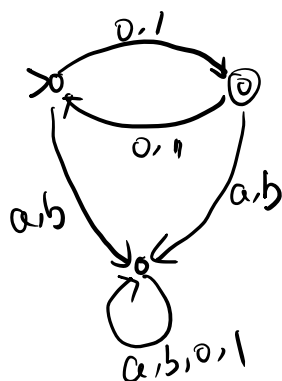
Star  $A^* = \{w_1 \dots w_k : w_i \in A, k \geq 0\}$

Theorem

If  $A$  and  $B$  are regular, so is  $A \cup B$

$A$  over  $\{0,1\}$

$B$  over  $\{0,b\}$  over  $\{0,1,a,b\}$



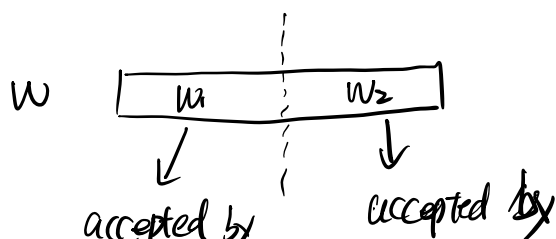
$M_1$   
 $M_2$   
 $M_3$   
 $\Sigma = \{a,b,0,1\}$

Theorem

If  $A$  and  $B$  are regular, so is  $A \circ B$ .

Idea:

$\exists M_1$  accepts  $A$   
 $\exists M_2$  accepts  $B \longrightarrow M_3$  accepts  $A \circ B$

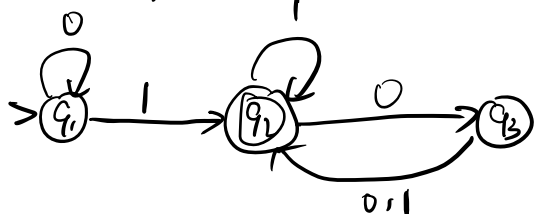


$w \in A \circ B$   $M_3$  accept  $w$ .

Non-determinism

DFA

$\delta$ : transition function  $\delta: K \times \Sigma \rightarrow K$

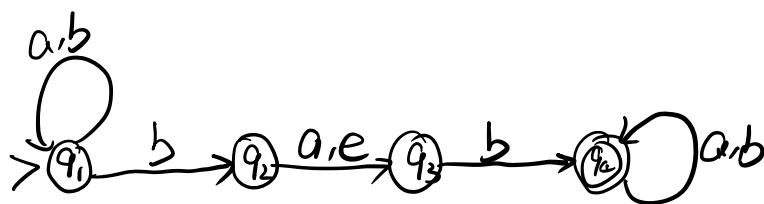


input: 1001

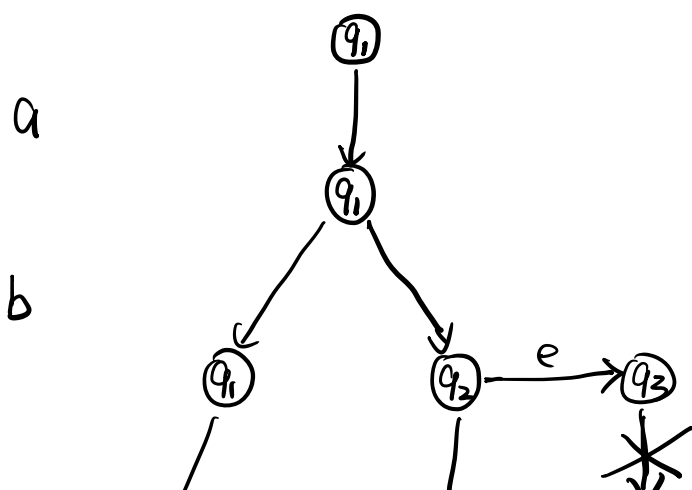
$(q_1, 1001) \xrightarrow{1} (q_2, 001) \xrightarrow{0} (q_3, 01) \xrightarrow{0} (q_2, 1) \xrightarrow{1} (q_2, \epsilon)$  path

Non-deterministic finite automata (NFA)

1. several choices for the next state
2. may switch states without reading any input symbols.



a | b | a | b | a



$K = \{q_1, \dots, q_4\}$

$\Sigma = \{a, b\}$

$S = q_1$

$F = \{q_4\}$

$\Delta = \{ (q_1, a, q_1),$

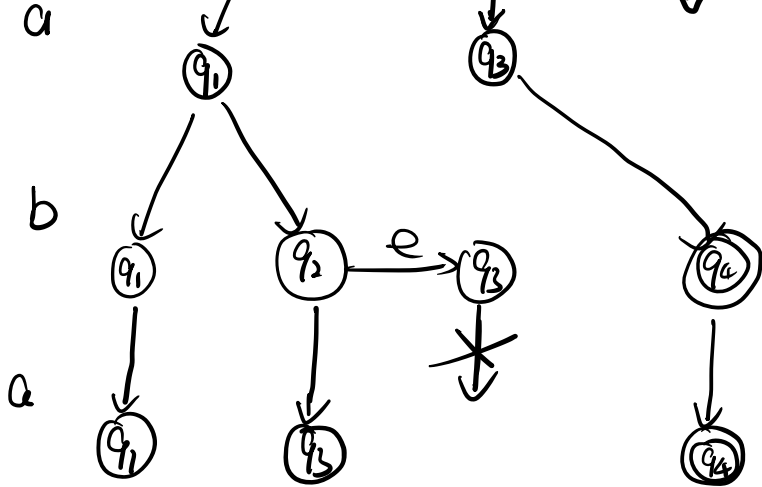
$(q_1, b, q_1),$

$(q_1, b, q_2),$

$(q_2, a, q_3),$

$(q_2, e, q_3), \emptyset$

}



## Definition

A NFA is 5-tuple  $(K, \Sigma, \Delta, s, \bar{F})$

- $K$ : a set of states
- $\Sigma$ : " of input symbols
- $s \in K$ : initial state
- $\bar{F} \subseteq K$ : a set of final state.

- $\Delta$  transition relation: a subset of  $K \times (\Sigma \cup \epsilon) \times K$
- $\downarrow$   
current  
state

$\downarrow$   
input  
symbol

$\downarrow$   
next  
state.

$$(q, a, p) \in \Delta$$

A configuration for a NFA  $M = (K, \Sigma, \Delta, s, \bar{F})$

is an element of  $K \times \Sigma^*$

$\downarrow$   
current  
state

$\downarrow$   
unread  
input

$$(q, w) \vdash_M (q', w') \text{ if } \exists u \in \Sigma \cup \epsilon \text{ s.t. } w = uw' \text{ and } (q, u, q') \in \Delta$$

a, b



a | b | a | b | a

$t_M(q_1, aba)$

$(q_1, ababa) \quad t_M(q_1, baba) \quad t_M(q_2, aba) \quad \dots$

$t_M$   
 $(q_3, aba)$

$(q, w) \stackrel{*}{t}_M (q', w')$  if  $(q, w) = (q', w')$  or

$\exists (q_0, w_0), \dots, (q_i, w_i)$  for some  $i \geq 1$   
s.t.  $(q, w) = (q_0, w_0) \stackrel{*}{t}_M \dots \stackrel{*}{t}_M (q_i, w_i) = (q', w')$

NFA  $M$  accepts  $w$  if  $(s, w) \stackrel{*}{t}_M (q, e)$  for some  $q \in F$

$L(M) = \{ w \in \Sigma^* : M \text{ accepts } w \}$

$M$  accepts  $L(M)$

(recognizes)

Observation

DFA is a special type of NFA

function

$q, a \rightarrow \delta(q, a)$

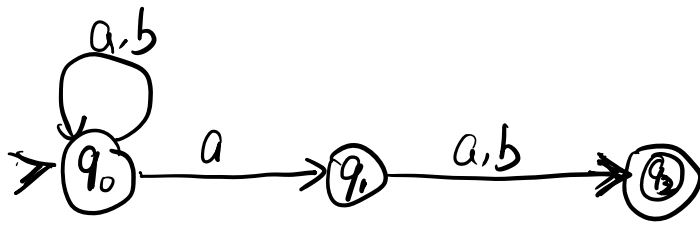
relation

$(q, a, \delta(q, a))$

Exercise

Design NFA to accept

$L = \{ w \in \{a, b\}^* : \text{the second symbol from the end of } w \text{ is } a \}$



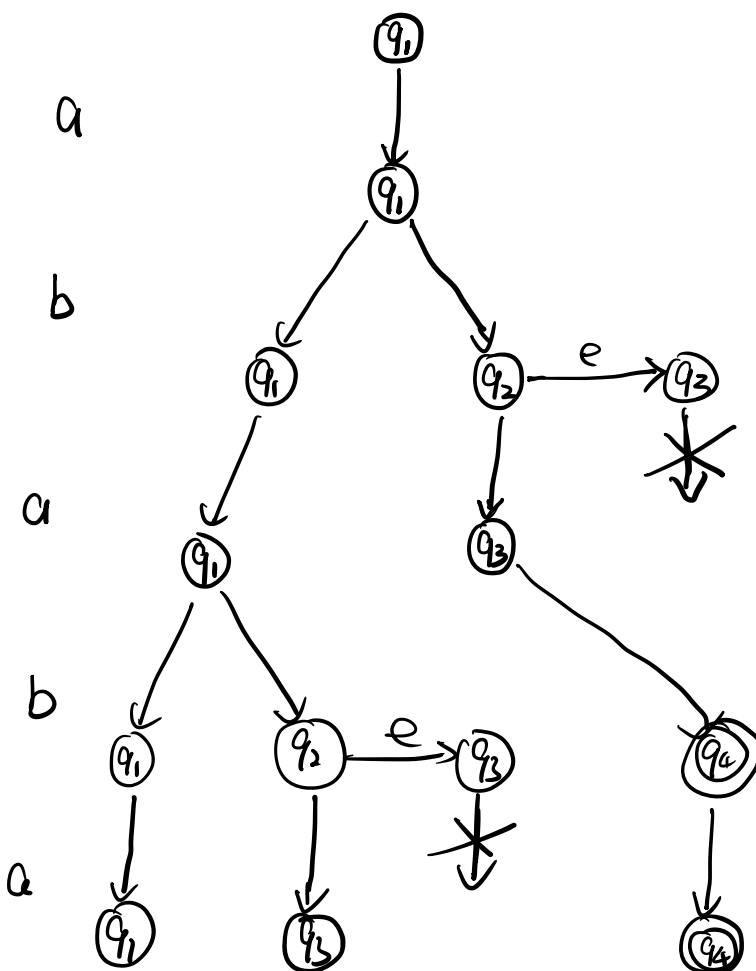
Input: aba  
bab

## Theorem

For any NFA  $M$ , there always exists DFA  $M'$  such that  $L(M) = L(M')$

## Idea

$M'$  simulate the tree-like computation of  $M$



$\{q_0\}$   
 $\downarrow$   
 $\{q_1\}$   
 $\downarrow$   
 $\{q_1, q_2, q_3\}$   
 $\downarrow$   
 $\{q_1, q_3\}$   
 $\downarrow$   
 $\{q_1, q_2, q_3, q_4\}$   
 $\downarrow$   
 $\{q_1, q_3, q_4\}$

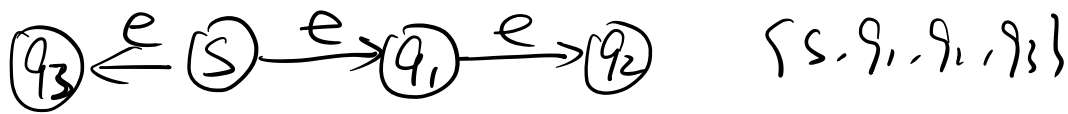
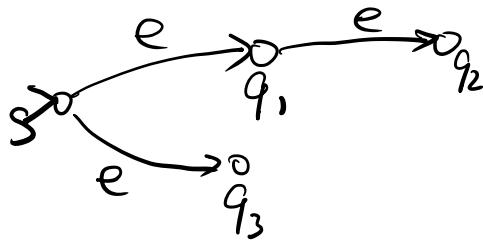
Proof.

Given NFA  $M = (K, \Sigma, \Delta, s, \bar{F})$ ,

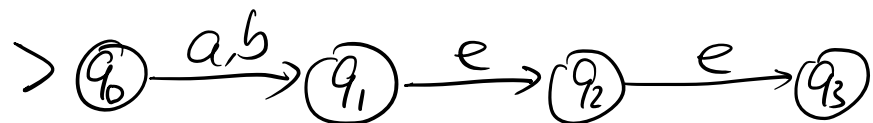
Construct a DFA  $M' = (K', \Sigma, \delta, s', \bar{F}')$  s.t.  $L(M') = L(M)$

$$K' = 2^K = \{Q : Q \subseteq K\}$$

$$s' = \{s\} \in \bar{F}(s)$$



$$\forall q \in K, \bar{E}(q) = \{p \in K : (q, e) \vdash_M^* (p, e)\}$$



$$\bar{E}(q_0) = \{q_0\}$$

$$\bar{E}(q_1) = \{q_1, q_2, q_3\}$$

$$\bar{E}(q_2) = \{q_2, q_3\}$$

$$\bar{E}(q_3) = \{q_3\}$$

$$\forall Q \subseteq K, E(Q) = \bigcup_{q \in Q} \bar{E}(q)$$

$$\bar{F}' = \{Q \subseteq K : Q \cap \bar{F} \neq \emptyset\}$$

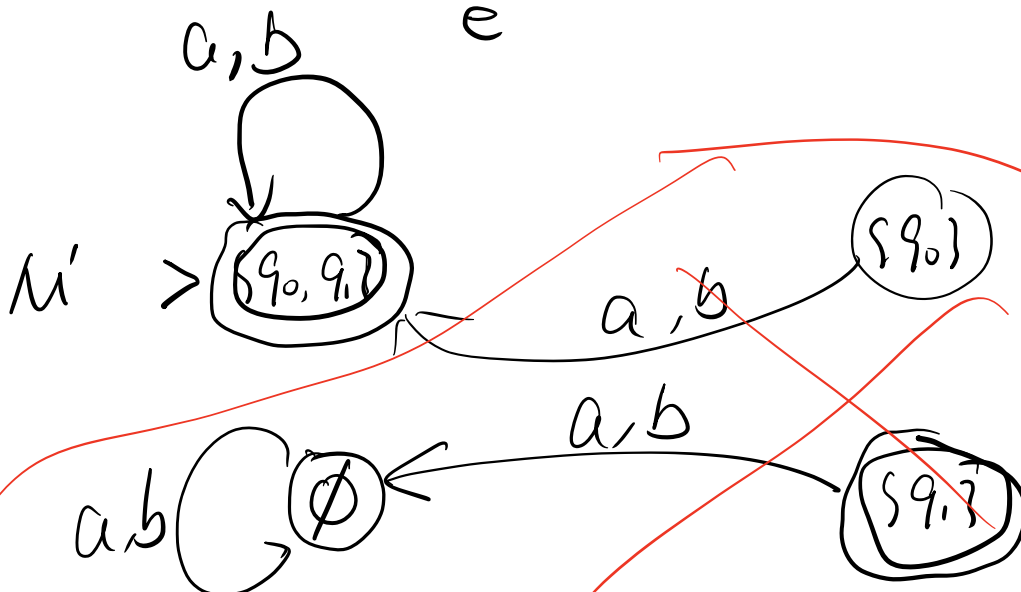
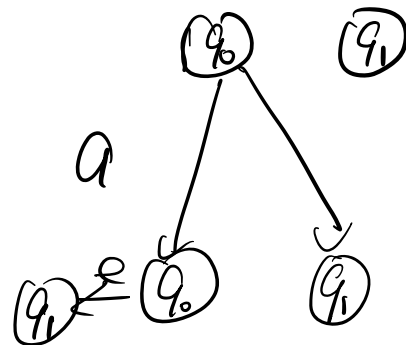
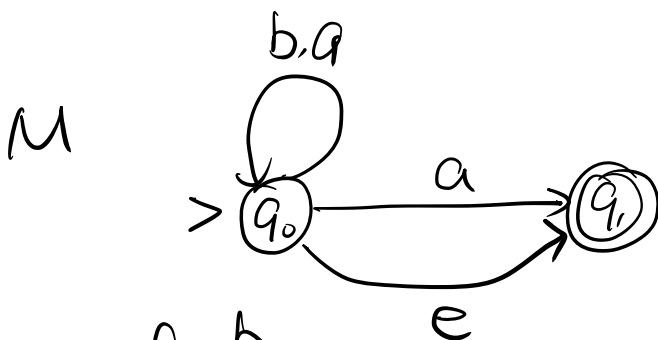
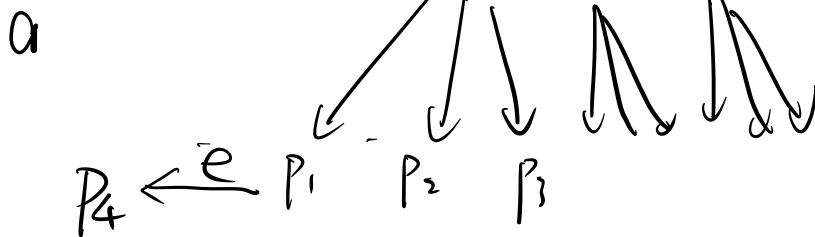
$\delta: 2^K \times \Sigma \rightarrow 2^K$  transition function

$\forall Q \subseteq K, \forall a \in \Sigma$

$$\delta(Q, a) = \bigcup_{q \in Q} \bar{\epsilon} \left( \{p \in K : (q, a, p) \in \Delta\} \right)$$

NFA

$Q = \{q_0, q_1, q_2\}$



$$L(M') = L(M)$$

Claim

for any  $p, q \in K$  and  $w \in \Sigma^*$

$(p, w) \vdash_M^* (q, e)$  iff  $(\bar{E}(p), w) \vdash_{M'}^* (Q, e)$   
for some  $Q$  containing  $q$ .



by induction on  $|w|$

$M$  accepts  $w$

$\Leftrightarrow (s, w) \vdash_M^* (q, e)$  for some  $q \in F$

$\Leftrightarrow (\bar{E}(s), w) \vdash_{M'}^* (Q, e)$  with  $q \in Q$

$\Leftrightarrow M'$  accept  $w$

$Q \cap F \neq \emptyset$   
 $Q \in \mathcal{F}'$

Corollary



A language is regular iff it is accepted by some NFA.

Proof:

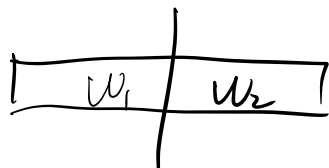
$\Rightarrow$  regular  $\Rightarrow \exists \text{ DFA} \Rightarrow \exists \text{ NFA}$

$\Leftarrow \exists \text{ NFA} \Rightarrow \exists \text{ DFA} \Rightarrow \text{regular}$

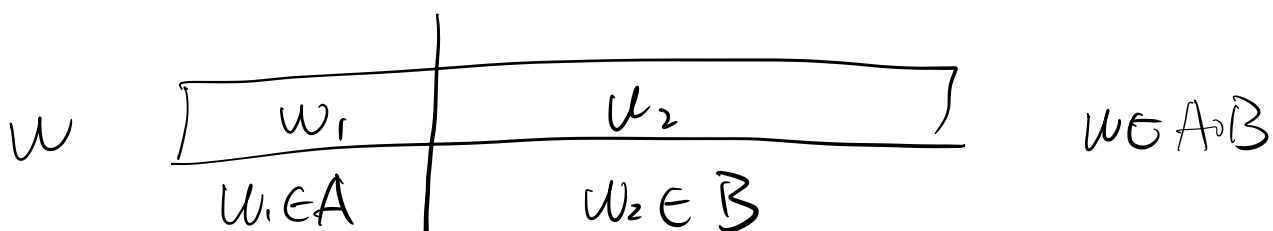
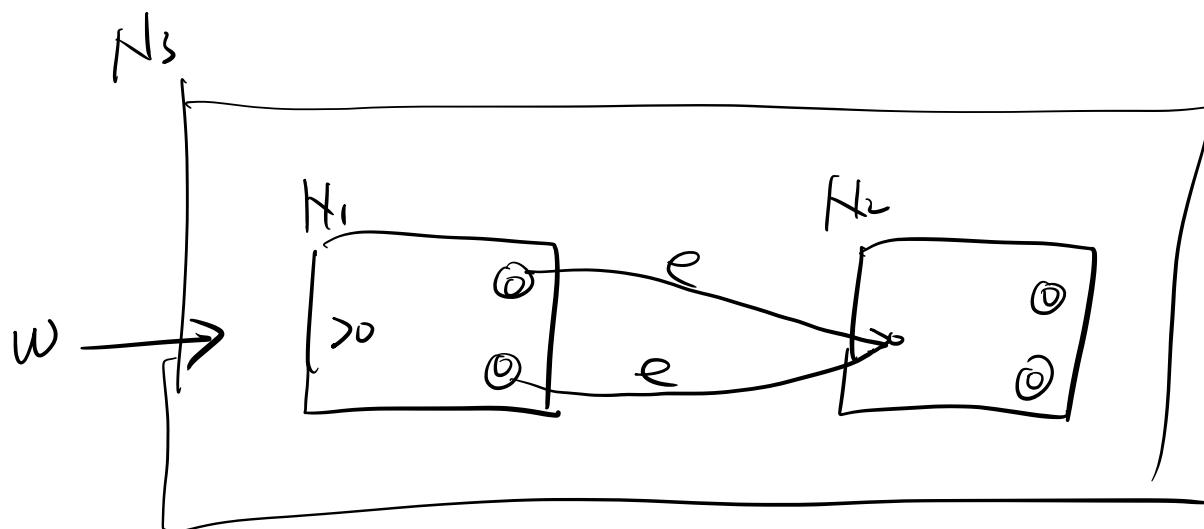
Theorem

If  $A$  and  $B$  are regular, so is  $A \circ B$

Idea



$\exists N_1$  accept  $A$   
 $\exists N_2$  accept  $B \rightarrow N_3$  accept  $A \circ B$



Proof:

$$N_1 = (K_1, \Sigma, A_1, S_1, \bar{F}_1)$$

$$N_2 = (K_2, \Sigma, A_2, S_2, \bar{F}_2)$$

$$N_3 = (K_3, \Sigma, A_3, S_3, \bar{F}_3)$$

$$\cdot K_3 = K_1 \cup K_2$$

$$\cdot S_3 = S_1$$

$$\cdot \bar{F}_3 = \bar{F}_2$$

$$\cdot A_3 = A_1 \cup A_2 \cup \{(q, e, s_2) : q \in \bar{F}_1\}$$



$$(p, e) \vdash_{\tau}^* (q, e)$$