

**Quantum Algorithms**  
**Lecture 23**  
**Quantum algorithms for Abelian**  
**groups II**

**Zhejiang University**

# **Reduction of factoring to period finding**

# Factoring in parts

Let us assume that we know how to find the period. It is clear that we can factor the number  $y$  by running  $O(\log y)$  times a subprogram which, for any composite number, finds a nontrivial divisor with probability at least  $1/2$ .

$y$  has at most  $O(\log y)$  divisors (because  $2^{\log n} \sim n$ ). The program for each divisor can be launched for constant number of times to find a divisor with high probability.

# Procedure for finding a nontrivial divisor

**Input.** An integer  $y$  ( $y > 1$ ).

**Step 1.** Check  $y$  for parity. If  $y$  is even, then give the answer “2”; otherwise proceed to Step 2.

**Step 2.** Check whether  $y$  is the  $k$ -th power of an integer for  $k = 2, \dots, \log_2 y$ . If  $y = m^k$ , then give the answer “ $m$ ”; otherwise proceed to Step 3.

**Step 3.** Choose an integer  $a$  randomly and uniformly between 1 and  $y - 1$ . Compute  $b = \gcd(a, y)$  (say, by Euclid’s algorithm). If  $b > 1$ , then give the answer “ $b$ ”; otherwise proceed to Step 4.

# Procedure for finding a nontrivial divisor

**Step 4.** Compute  $r = \text{per}_y(a)$  (using the period finding algorithm that we assume we have). If  $r$  is odd, then the answer is “ $y$  is prime” (which means that we give up finding a nontrivial divisor). Otherwise proceed to Step 5.

**Step 5.** Compute  $d = \gcd(a^{r/2} - 1, y)$ . If  $d > 1$ , then the answer is “ $d$ ”; otherwise the answer is “ $y$  is prime”.

For example, if  $y = 21$  and  $a = 2$ , algorithm will find  $d = 7$ , but for  $a = 5$  will fail to find  $d > 1$ .

# Remark about Step 2

**Step 2.** Check whether  $y$  is the  $k$ -th power of an integer for  $k = 2, \dots, \log_2 y$ . If  $y = m^k$ , then give the answer “ $m$ ”; otherwise proceed to Step 3.

$\log_2 y$  is linear in length of  $y$  and there are at most  $\log_2 y$  different powers  $k$  to check for each case. Therefore, at most  $(\log_2 y)^2$  classical checks are needed.  $O(n^2)$  time complexity for input of size  $n$ .

We can consider this as addition to Step 1 to find simple solutions fast if such exist.

# **Analysis of the divisor finding procedure**

# Period finding result

If the above procedure yields a number, it is a nontrivial divisor of  $y$ . The procedure fails and gives the answer “ $y$  is prime” in two cases: 1) when  $r = \text{per}_y(a)$  is odd, or 2) when  $r$  is even but  $\gcd(a^{r/2} - 1, y) = 1$ , i.e.,  $a^{r/2} - 1$  is invertible modulo  $y$ . However,  $(a^{r/2} + 1)(a^{r/2} - 1) \equiv a^r - 1 \equiv 0 \pmod{y}$ , hence  $a^{r/2} + 1 \equiv 0 \pmod{y}$  in this case. The converse is also true: if  $r$  is even and  $a^{r/2} + 1 \equiv 0 \pmod{y}$ , then the answer is “ $y$  is prime”.



# Success probability

Let us prove that our procedure succeeds with probability at least  $1 - 1/2^{k-1}$ , where  $k$  is the number of distinct prime divisors of  $y$ . (Note that this probability vanishes for prime  $y$ , so that the procedure also works as a primality test.) In the proof we will need the Chinese Remainder Theorem and the fact that the multiplicative group of residues modulo  $p^\alpha$ ,  $p$  prime, is cyclic.

# Denotations

Let  $y = \prod_{j=1}^k p_j^{\alpha_j}$  be the decomposition of  $y$  into prime factors. We introduce the notation  $a_j \equiv a \pmod{p_j^{\alpha_j}}$ ,  $r_j = \text{per}_{(p_j^{\alpha_j})} a_j = 2^{s_j} r'_j$ , where  $r'_j$  is odd.

By the Chinese Remainder Theorem,  $r$  is the least common multiple of all the  $r_j$ . Hence  $r = 2^s r'$ , where  $s = \max\{s_1, \dots, s_k\}$  and  $r'$  is odd.

$r = \text{per}_y(a)$ , i.e.,  $a^r \equiv 1 \pmod{y}$ .

# **y is prime - condition**

We now prove that the procedure yields the answer “y is prime” if and only if  $s_1 = s_2 = \dots = s_k$ . Indeed, if  $s_1 = \dots = s_k = 0$ , then  $r$  is odd. If  $s_1 = \dots = s_k \geq 1$ , then  $r$  is even, but  $a_j^{r/2} \equiv -1 \pmod{p_j^{\alpha_j}}$  (using the cyclicity of the group  $(\mathbb{Z}/p_j^{\alpha_j}\mathbb{Z})^*$ ), hence  $a^{r/2} \equiv -1 \pmod{y}$  (using the Chinese Remainder Theorem).

# **y is prime - condition**

Thus the procedure yields the answer “ $y$  is prime” in both cases. Conversely, if not all the  $s_j$  are equal, then  $r$  is even and  $s_m < s$  for some  $m$ , so that  $a_m^{r/2} \equiv 1(\text{mod } p_m^{\alpha_m})$ . Hence  $a^{r/2} \not\equiv -1(\text{mod } y)$ , i.e., the procedure yields a nontrivial divisor.

# Assessing probability

To give a lower bound of the success probability, we may assume that the procedure has reached Step 4. Thus  $a$  is chosen according to the uniform distribution over the group  $(Z/yZ)^*$ . By the Chinese Remainder Theorem, the uniform random choice of  $a$  is the same as the independent uniform random choice of  $a_j \in (Z/p_j^{\alpha_j}Z)^*$  for each  $j$ .

# Assessing probability

Let us fix  $j$ , choose some  $s \geq 0$  and estimate the probability of the event  $s_j = s$  for the uniform distribution of  $a_j$ . Let  $g_j$  be a generator of the cyclic group  $(\mathbb{Z}/p_j^{\alpha_j}\mathbb{Z})^*$ . The order of this group (number of elements) may be represented as  $p_j^{\alpha_j} - p_j^{\alpha_j-1} = 2^{t_j}q_j$ , where  $q_j$  is odd. Then

$$\begin{aligned} |\{a_j : s_j = s\}| &= \left| \{g_j^l : l = 2^{t_j-s}m, \text{ where } m \text{ is odd}\} \right| \\ &= \begin{cases} q_j & \text{if } s = 0, \\ (2^s - 2^{s-1})q_j & \text{if } s = 1, \dots, t_j. \end{cases} \end{aligned}$$

# Assessing probability

For any given  $s$ , the probability of the event  $s_j = s$  does not exceed  $1/2$ . Now let  $s = s_1$  be a random number (depending on  $a_1$ ); then  $\Pr[s_j = s] \leq 1/2$  for  $j = 2, \dots, k$ . It follows that

$$\Pr[s_1 = s_2 = \dots = s_k] \leq (1/2)^{k-1}$$

This yields the desired estimate of the success probability for the entire procedure: with probability at least  $1 - 1/2^{k-1}$  the procedure finds a nontrivial divisor of  $y$ .

# Case $y=p*q$

In such case  $k = 2$

With probability at least  $1 - \frac{1}{2^{k-1}} = 1/2$  the procedure finds a nontrivial divisor of  $y - p$  or  $q$ .



# **Quantum algorithm for finding the period: the basic idea**

# Period finding definition

The problem is this: given the numbers  $q$  and  $a$ , construct a polynomial size quantum circuit that computes  $per_q(a)$  with error probability  $\epsilon \leq 1/3$ . The circuit will operate on a single  $n$ -qubit register, as well as on many other qubits, some of which may be considered classical. The  $n$ -qubit register is meant to represent residues modulo  $q$  (recall that  $q < 2^n$ ).

# Modular multiplication operator

Let us examine the operator that multiplies the residues by  $a$ , acting by the rule

$$U_a: |x\rangle \rightarrow |ax \bmod q\rangle$$

(A more accurate notation would be  $U_{q,a}$ , indicating the dependence on  $q$ . However,  $q$  is fixed throughout the computation, so we suppress it from the subscript. We keep  $a$  because we will also use the operators  $U_b$  for arbitrary  $b$ .)

# Modular multiplication operator

$$U_a: |x\rangle \rightarrow |ax \bmod q\rangle$$

This operator permutes the basis vectors for  $0 \leq x < q$  (recall that  $\gcd(a, q) = 1$ ). However, we represent  $|x\rangle$  by  $n$  qubits, so  $x$  may take any value between 0 and  $2^n - 1$ . We will assume that the operator  $U_a$  acts trivially on such basis vectors, i.e.,

$$U_a: |x\rangle = |x\rangle \text{ for } q \leq x < 2^n.$$

# Modular multiplication operator

$$U_a: |x\rangle \rightarrow |ax \bmod q\rangle$$

Since for the multiplication of the residues there is a Boolean circuit of polynomial  $O(n^2)$  size, there is a quantum circuit (with ancillas) of about the same size.

# Modular multiplication operator

The permutation given by the operator  $U_a$  can be decomposed into cycles. The cycle containing 1 is  $(1, a, a^2, \dots, a^{per_q(a)-1})$ ; it has length  $per_q(a)$ . The algorithm we are discussing begins at the state  $|1\rangle$ , to which the operator  $U_a$  gets applied many times. But such transformations do not take us beyond the orbit of 1 (the set of elements which constitute the cycle described above). Therefore, we consider the restriction of the operator  $U_a$  to the subspace generated by the orbit of 1.

# Modular multiplication operator

$v$  is an eigenvector for matrix  $A$  with eigenvalue  $\lambda$  if  $Av = \lambda v$ .

When  $U$  is a unitary operator, then all of its eigenvalues have length 1 and can be expressed in the form  $e^{2\pi i \phi}$  where  $\phi$  is between 0 and 1.

Eigenvalues of  $U_a$  :  $\lambda_k = e^{2\pi i \cdot k/t}$ , where  $t$  is the period

Eigenvectors of  $U_a$  :  $|\xi_k\rangle = \frac{1}{\sqrt{t}} \sum_{m=0}^{t-1} e^{-2\pi i \cdot km/t} |a^m\rangle$ .

$$U_a: |x\rangle \rightarrow |ax \bmod q\rangle$$

# Modular multiplication operator

It is easy to verify that the vectors  $|\xi_k\rangle$  are indeed eigenvectors. It suffices to note that the multiplication by  $a$  leads to a shift of the indices in the sum. If we change the variable of summation in order to remove this shift, we get the factor  $e^{2\pi i \cdot k/t}$ .

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$$U_a: |x\rangle \rightarrow |ax \bmod q\rangle$$



# Measuring eigenvalues

If we are able to measure the eigenvalues of the operator  $U_a$ , then we can obtain the numbers  $k/t$ . First let us analyze how this will help us in determining the period.

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# Measuring eigenvalues

Suppose we have a machine which in each run gives us the number  $k/t$ , where  $t$  is the sought-for period and  $k$  is a random number uniformly distributed over the set  $\{0, \dots, t - 1\}$ . We suppose that  $k/t$  is represented as an irreducible fraction  $k'/t'$  (if the machine were able to give the number in the form  $k/t$ , there would be no problem at all).

# Lemma

Having obtained several fractions of the form  $k'_1/t'_1, k'_2/t'_2, \dots, k'_l/t'_l$  we can, with high probability, find the number  $t$  by reducing these fractions to a common denominator.

If  $l \geq 2$  fractions are obtained, then the probability that their least common denominator is different from  $t$  is less than  $3 \cdot 2^{-l}$ .

# Lemma - proof

The fractions  $k'_1/t'_1, k'_2/t'_2, \dots, k'_l/t'_l$  can be obtained as reductions of fractions  $k_1/t, \dots, k_l/t$  (i.e.,  $k'_j/t'_j = k_j/t$ ), where  $k_1, \dots, k_l$  are independently distributed random numbers. The least common multiple of  $t'_1, \dots, t'_l$  equals  $t$  if and only if the greatest common divisor of  $k_1, \dots, k_l$  and  $t$  is equal to 1.

# Lemma - proof

The probability that  $k_1, \dots, k_l$  have a common prime divisor  $p$  does not exceed  $1/p^l$ . Therefore, the probability of not getting  $t$  after reducing to a common denominator does not exceed  $\sum_{k=2}^{\infty} \frac{1}{k^l} < 3 \cdot 2^{-l}$  (the range of the index  $k$  in this sum obviously includes all prime divisors of  $t$ ).

# Generating the number $k/t$

Now we construct the machine  $M$  that generates the number  $k/t$  (in the form of an irreducible fraction) for random uniformly distributed  $k$ . This will be a quantum circuit which realizes the measuring operator  $W = \sum_{k=0}^{t-1} V_k \otimes \Pi_{L_k}$ , where  $L_k = \mathcal{C}(|\xi_k\rangle)$ , the subspace generated by  $|\xi_k\rangle$ . The operators  $V_k$  are the form  $|0\rangle \rightarrow \sum_{y,z} |y,z\rangle$ , where  $y$  is an irreducible fraction and  $z$  is garbage.

# Generating the number $k/t$

The conditional probabilities should satisfy the inequality

$$\mathbf{P}\left(\left[\frac{k}{t}\right] \middle| k\right) \stackrel{\text{def}}{=} \sum_z \left| \left\langle \left[\frac{k}{t}\right], z \middle| V_k \middle| 0 \right\rangle \right|^2 \geq 1 - \varepsilon$$

where  $\left[\frac{k}{t}\right]$  denotes the irreducible fraction equal to the rational number  $k/t$ .

# Generating the number $k/t$

The construction of such a measuring circuit is rather complex, so we first explain how it is used to generate the outcome  $y$  with the desired probability  $w_v = \sum_{k \in M_y} \frac{1}{t}$ . Let us take the state  $|1\rangle$  as the initial state. A direct computation (task for students - to carry it through) shows that

$$|1\rangle = \frac{1}{\sqrt{t}} \sum_{k=0}^{t-1} |\xi_k\rangle$$



# Generating the number $k/t$

$$|1\rangle = \frac{1}{\sqrt{t}} \sum_{k=0}^{t-1} |\xi_k\rangle$$

If we perform the measurement on this state, then by the formula for total probability we obtain

$$\mathbf{Pr}[\text{outcome} = y] = \mathbf{P}(W(|0\rangle \otimes |1\rangle), y) = \sum_k \mathbf{P}(y|k) \mathbf{P}(|1\rangle, \mathcal{L}_k)$$

# Generating the number $k/t$

The probabilities of all  $|\xi_k\rangle$  are equal:  $P(|1\rangle, L_k) = |\langle \xi_k | 1 \rangle|^2 = 1/t$ , which corresponds to the uniform distribution of  $k$ . The property

$$\mathbf{P}\left(\left\lfloor \frac{k}{t} \right\rfloor \middle| k\right) \stackrel{\text{def}}{=} \sum_z \left| \left\langle \left\lfloor \frac{k}{t} \right\rfloor, z \middle| V_k \middle| 0 \right\rangle \right|^2 \geq 1 - \varepsilon$$

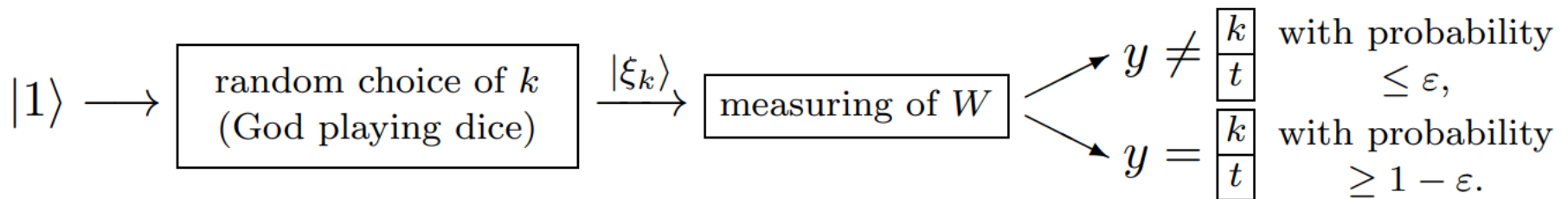
guarantees that we obtain the outcome  $\left\lfloor \frac{k}{t} \right\rfloor$  with probability  $\geq 1 - \varepsilon$ .

# Generating the number $k/t$

To be completely pedantic, we need to derive an inequality

$$\sum_y \left| \Pr[\text{outcome} = y] - w_y \right| \leq 2\epsilon$$

Schematically, the machine  $M$  functions as follows:



# Generating the number $k/t$

The random choice of  $k$  happens automatically, without applying any operator whatsoever. Indeed, the formula of total probability is arranged in such a way as if: before the measurement begins, a random  $k$  was generated, which then remains constant. (Of course, the formula is only true when the operator  $W$  is measuring with respect to the given subspaces  $L_k$ .)

**Additional remarks**

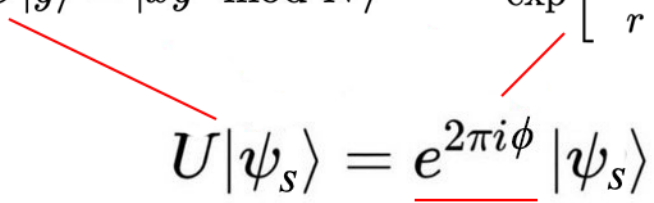
# Period finding

We reformulate our period finding problem into a phase estimation problem. To find the period of  $a$  with respect to  $q$  (the smallest nonnegative number  $t$  such that  $a^t \equiv 1 \pmod{q}$ ), we find the eigenvalues of  $U_a$ :

$$U_a: |x\rangle \rightarrow |ax \bmod q\rangle$$

# Period finding

Since  $U$  has  $r$  ( $r$  - period) eigenvectors, the phase  $\phi$  in the phase estimation equals  $s/r$ , where  $s$  is an integer in the range  $0, \dots, r - 1$ . Each eigenvector corresponds to a different value of  $s$ .

$$U|y\rangle = |xy \bmod N\rangle \quad \exp\left[\frac{2\pi i s}{r}\right] \quad \frac{s}{r} = \text{phase}$$
  
$$U|\psi_s\rangle = \underbrace{e^{2\pi i \phi}}_{\text{eigenvalue}} |\psi_s\rangle$$


# Period finding

To solve the eigenvalue, we need to know the eigenvector. But we don't know the period  $r$  and therefore we don't know the eigenvectors. Fortunately, we don't need to. We know the superposition of all eigenvectors. Let's create a superposition with all the eigenvectors.

$$\frac{1}{\sqrt{r}} \sum_{t=0}^{r-1} |v_t\rangle = \frac{1}{\sqrt{r}} \sum_{t=0}^{r-1} \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left[\frac{-2\pi i k t}{r}\right] |x^k \bmod N\rangle$$

which, using  $\sum_{t=0}^{r-1} \exp\left[\frac{-2\pi i k t}{r}\right] = r\delta_{k,0}$  becomes,

$$\frac{1}{\sqrt{r}} \sum_{t=0}^{r-1} |v_t\rangle = |1\rangle$$



# Eigenvectors of modular op.

$$U_x: |y\rangle \rightarrow |xy \bmod N\rangle$$

eigenvalues

eigenvectors

$$U|u_s\rangle = \exp\left[\frac{2\pi i s}{r}\right] |u_s\rangle \quad \text{with} \quad |u_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left[\frac{-2\pi i s k}{r}\right] |x^k \bmod N\rangle$$

$$\begin{aligned} \underline{U|u_s\rangle} &= \underline{U \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left[\frac{-2\pi i k t}{r}\right] |x^k \bmod N\rangle} = \underline{\frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left[\frac{-2\pi i k t}{r}\right] |x^{k+1} \bmod N\rangle} \\ &\quad |u_s\rangle \qquad \qquad \qquad \text{apply } U|y\rangle = |xy \bmod N\rangle \\ &= \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} \exp\left[\frac{-2\pi i (k-1)t}{r}\right] |x^k \bmod N\rangle = \underline{\exp\left[\frac{-2\pi i t}{r}\right] |u_s\rangle} \end{aligned}$$

$|u_s\rangle$  is eigenvector of  $U$

if  $r$  is the period,  $x^0 = x^r$ . We can shift  $k \rightarrow k-1$

**Thank you for your  
attention!**