

# 운영체제 및 실습 - Concurrency -

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## 1. Lock

- 실습 1 : multi-thread without lock
- 실습 2 : multi-thread with lock

## 2. Mutex, Semaphore

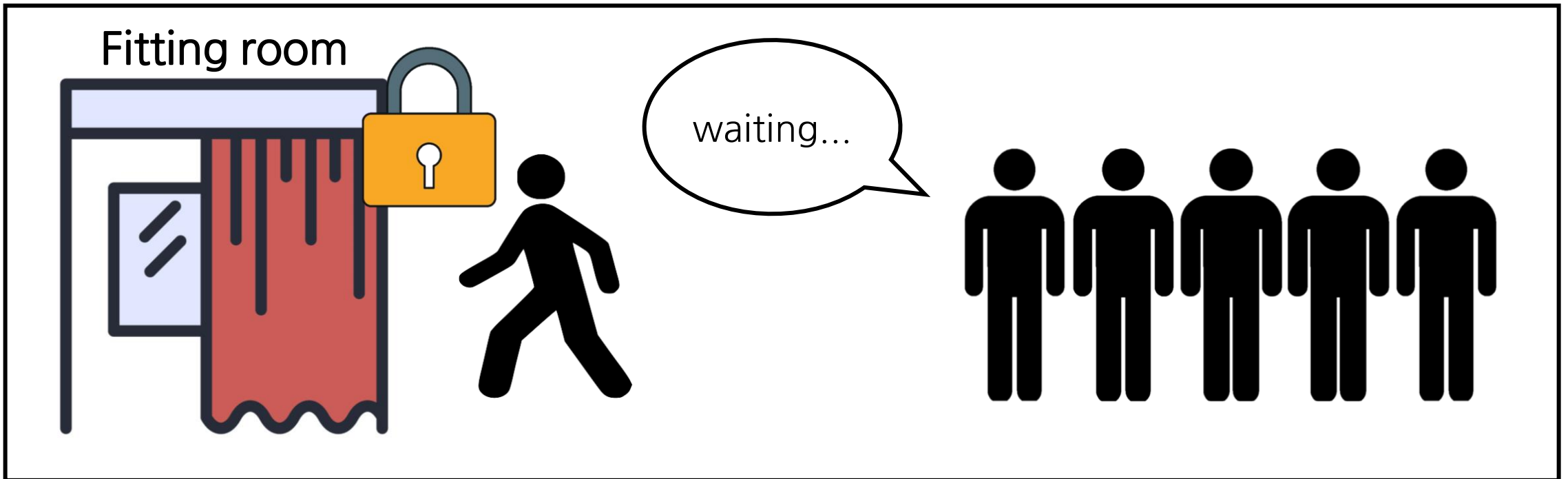
- 실습 3 : Binary, Counting semaphore

## 3. Busy wait, Blocking wait

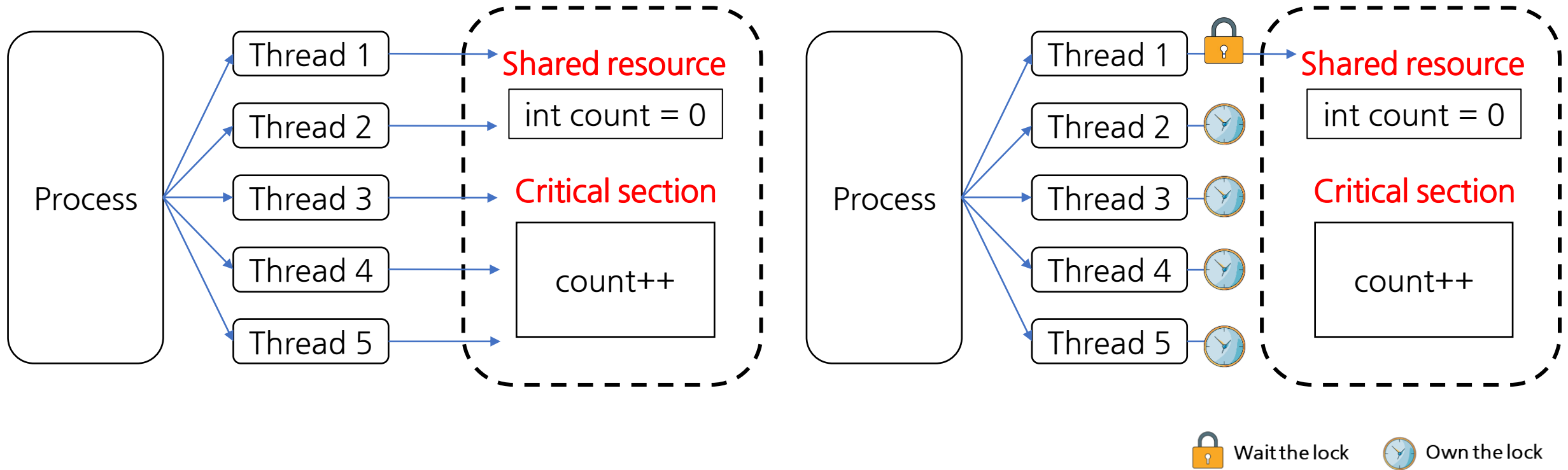
## 4. Dead lock, Starvation

- 실습 4 : Dead lock
- 실습 5 : Starvation

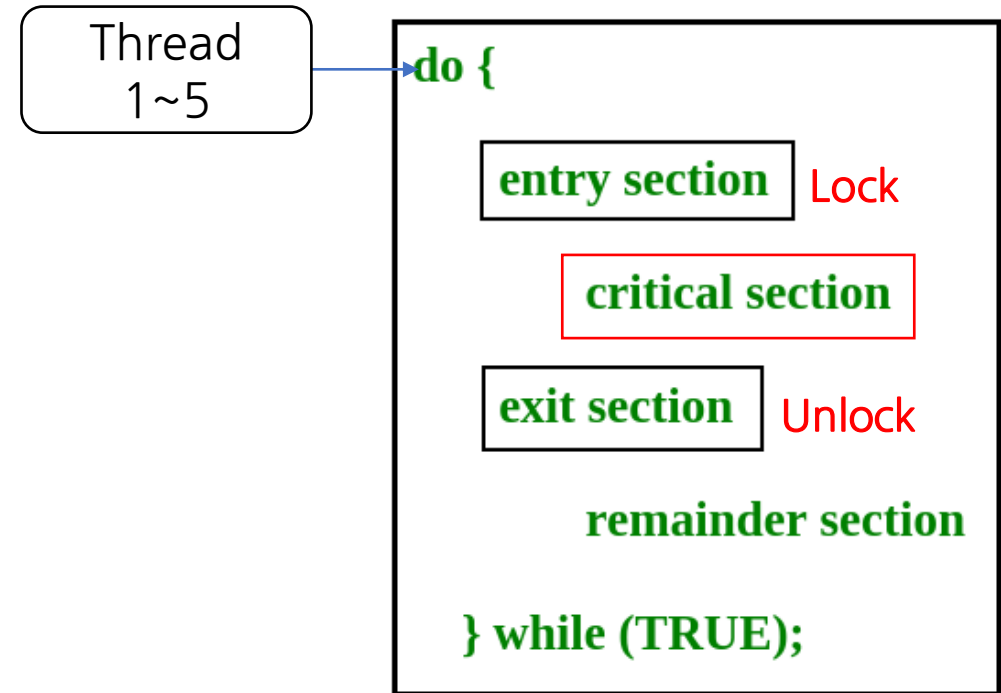
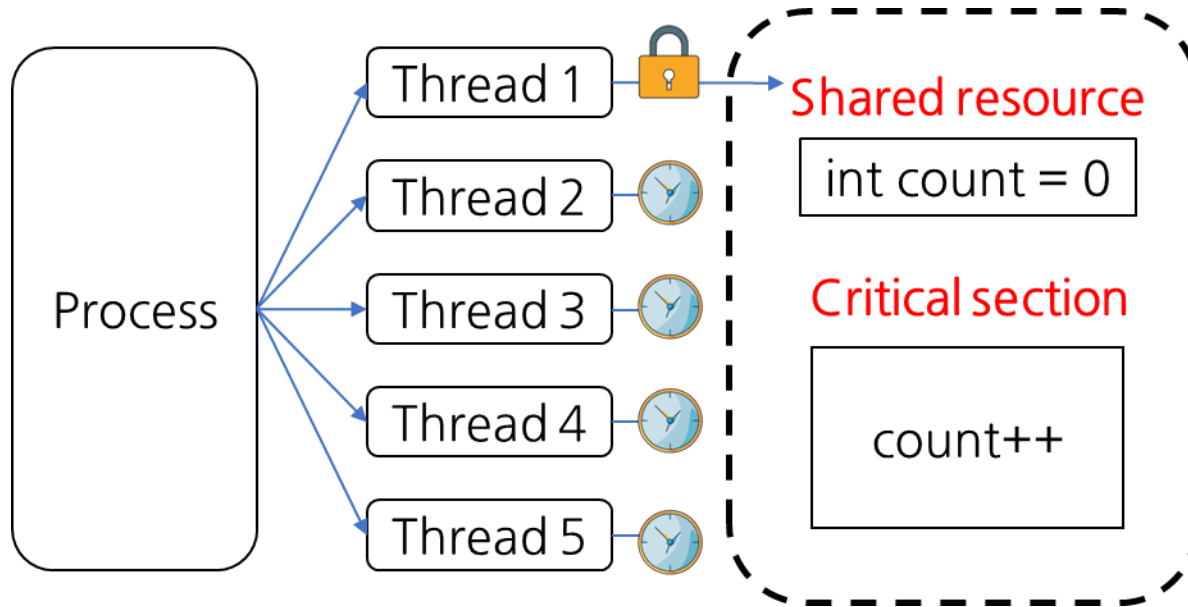
- Lock programming
  - Lock, Unlock
  - Multi process(thread)
    - Shared resource



- Lock programming
  - Shared resource
  - Critical Section



- Lock programming
  - Shared resource
  - Critical Section



# 실습 1: multi-thread without lock

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- thread.c
  - pthread\_create(), pthread\_join()

```
1 #include <stdlib.h>
2 #include <unistd.h>
3 #include <stdio.h>
4 #include <assert.h>
5 #include <pthread.h>
6
7 int count = 0; // shared resource
8 int nthread= 1;
9 int worker_loop_cnt = 1;
10
11 static void *worker(void *num);
12
13 int main(int argc, char *argv[]) {
14     pthread_t *th;
15     void *value;
16     long i;
17
18     if (argc < 3) {
19         fprintf(stderr, "%s parameter : nthread, worker_loop_cnt\n", argv[0]);
20         exit(-1);
21     }
22
23     nthread = atoi(argv[1]);
24     worker_loop_cnt = atoi(argv[2]);
25     th = malloc(sizeof(pthread_t) * nthread);
26     printf("main: begin (count = %d)\n", count);
27     for (i=0; i < nthread; i++)
28         assert(pthread_create(&th[i], NULL, worker, (void*) i) == 0);
29
30     for (i=0; i < nthread; i++)
31         assert(pthread_join(th[i], &value) == 0);
32     printf("main: done (count = %d)\n", count);
33     return 0;
34 }
```

13:33 [Top]

/home/yejin/TABA/concurrency/exercise\_1/thread.c\

# 실습 1: multi-thread without lock

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- thread.c
  - Critical section

```
36
37 static void *worker(void *num)
38 {
39     int number = (int)num;
40     for (int i=0; i < worker_loop_cnt; i++)
41         count++;
42     printf("Thread number %d: %d \n", number, count);
43     return NULL;
<%=] [+] /home/yejin/TABA/concurrency/exercise_1/thread.c\
```

# 실습 1: multi-thread without lock

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- 실행파일

```
# gcc thread.c -lpthread -o thread.out
```

- 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_1# ./thread.out 5 10000
```

```
main: begin (count = 0)
```

```
Thread number 0: 11108
```

```
Thread number 2: 12843
```

```
Thread number 1: 14259
```

```
Thread number 4: 18516
```

```
Thread number 3: 28312
```

```
main: done (count = 28312)
```

**Not deterministic!!!**



# 실습 2: multi-thread with lock

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- thread\_lock.c
  - Lock creation / initialization

```
1 #include <stdlib.h>
2 #include <unistd.h>
3 #include <stdio.h>
4 #include <assert.h>
5 #include <pthread.h>
6
7 int count = 0; // shared resource
8 int nthread= 1;
9 int worker loop cnt = 1;
10 pthread_mutex_t lock; // mutex variable
11 static void *worker(void *num);
12
13 int main(int argc, char *argv[]){
14     pthread_t *th;
15     void *value;
16     long i;
17
18     if (argc < 3){
19         fprintf(stderr, "%s parameter : nthread, worker_loop_cnt\n", argv[0]);
20         exit(-1);
21     }
22
23     nthread = atoi(argv[1]);
24     worker_loop_cnt = atoi(argv[2]);
25     th = malloc(sizeof(pthread_t) * nthread);
26     pthread_mutex_init(&lock, NULL);
27     printf("main: begin (count = %d)\n", count);
28     for (i=0; i < nthread; i++){
29         assert(pthread_create(&th[i], NULL, worker, (void*) i) == 0);
30
31     for (i=0; i < nthread; i++){
32         assert(pthread_join(th[i], &value) == 0);
33     }
34     printf("main: done (count = %d)\n", count);
35     return 0;
36 }
```

15:17 [Top]

[+] /home/yejin/TABA/concurrency/exercise\_2/thread\_lock.c\

# 실습 2: multi-thread with lock

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- thread\_lock.c

- pthread\_mutex\_lock(pthread\_mutex\_t \*mutex)
- pthread\_mutex\_unlock(pthread\_mutex\_t \*mutex)

```
38 static void *worker(void *num)
39 {
40     int number = (int)num;
41
42     pthread_mutex_lock(&lock); //lock
43     for (int i=0; i < worker_loop_cnt; i++)
44         count++;
45     printf("Thread number %d: %d \n", number, count);
46
47     pthread_mutex_unlock(&lock); //unlock
48
49     return NULL;
50 }
<] /home/yejin/TABA/concurrency/exercise_2/thread_lock.c\
```

# 실습 2: multi-thread with lock

- 실행파일

```
# gcc thread_lock.c -lpthread -o thread_lock.out
```

- 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_2# ./thread_lock.out 5 10000
```

```
main: begin (count = 0)
```

```
Thread number 0: 10000
```

```
Thread number 1: 20000
```

```
Thread number 2: 30000
```

```
Thread number 3: 40000
```

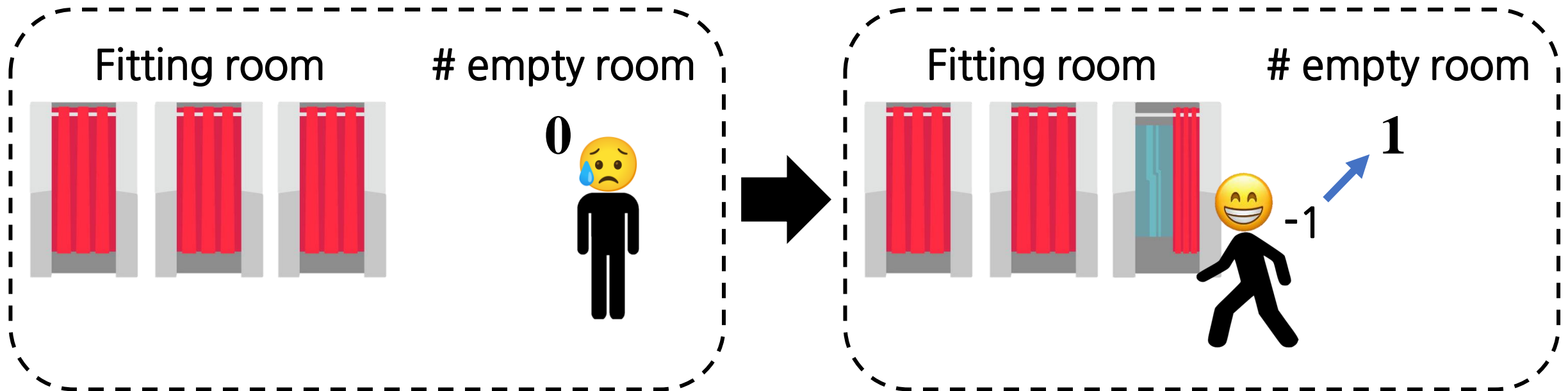
```
Thread number 4: 50000
```

```
main: done (count = 50000)
```

# Lock: Mutex, Semaphore

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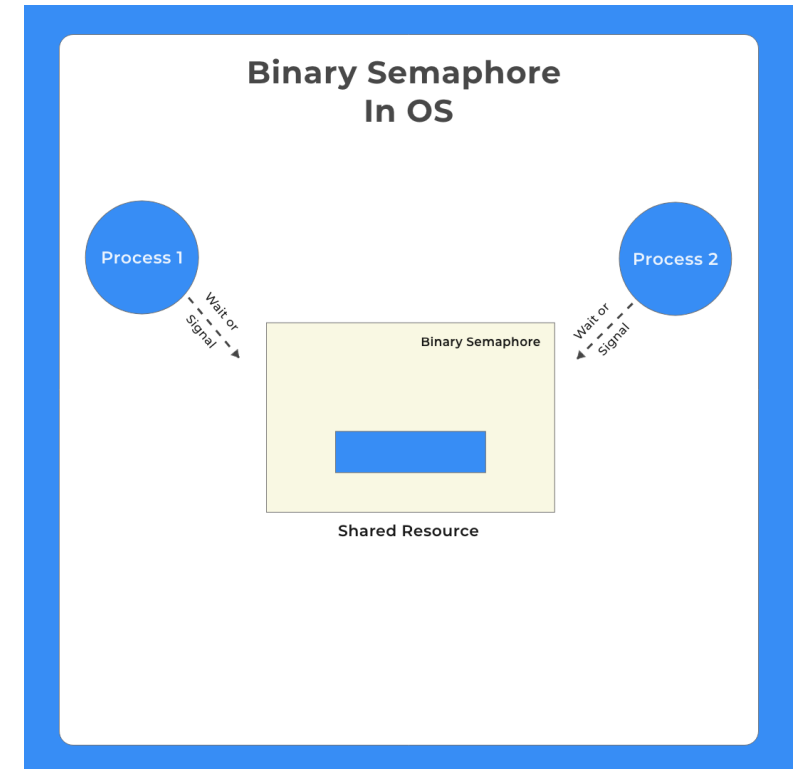
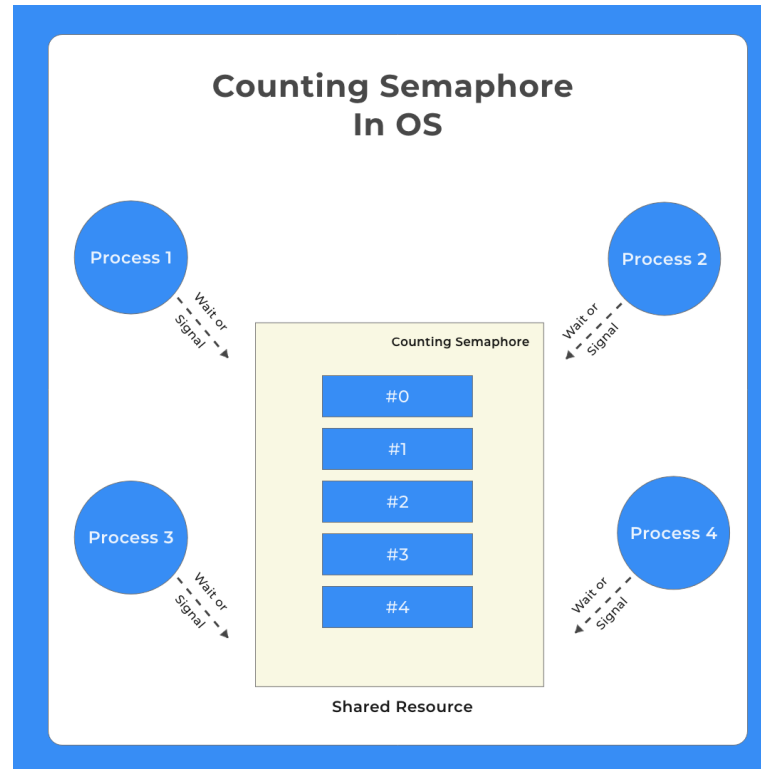
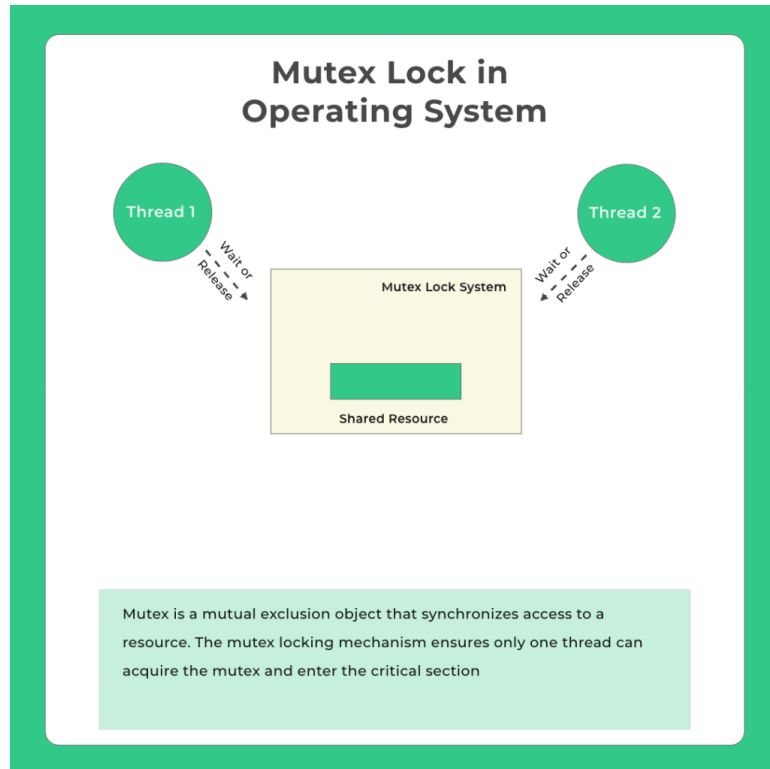
- Lock programming
  - Mutex
  - Semaphore



# Lock: Mutex, Semaphore

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- Lock programming
  - Mutex
  - Semaphore



# 실습 3: binary semaphore

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- thread\_bin\_sem.c: semaphore를 lock처럼 사용하기
  - sem\_init(semaphore, p\_shared, initial\_value), sem\_destroy(semaphore)

```
1 #include <stdlib.h>
2 #include <unistd.h>
3 #include <stdio.h>
4 #include <assert.h>
5 #include <pthread.h>
6 #include <semaphore.h>
7
8 int count = 0;
9 int nthread = 1;
10 int worker_loop_cnt = 1;
11 sem_t semaphore;
12 static void *work(void *num);
13
14 int main(int argc, char *argv[]){
15     pthread_t *th;
16     void *value;
17     long i;
18
19     if(argc < 3){
20         fprintf(stderr, "%s parameter : nthread, worker_loop_cnt\n", argv[0]);
21         exit(-1);
22     }
23
24     nthread = atoi(argv[1]);
25     worker_loop_cnt = atoi(argv[2]);
26     th = malloc(sizeof(pthread_t)*nthread);
27
28     sem_init(&semaphore, 0, 1);
29     printf("main: begin (count = %d)\n", count);
30     for(i=0; i<nthread; i++){
31         assert(pthread_create(&th[i], NULL, work, (void*) i) == 0);
32     }
33     for (i=0; i<nthread; i++){
34         assert(pthread_join(th[i], &value) == 0);
35     }
36     sem_destroy(&semaphore);
37     printf("main: done (count = %d)\n", count);
38 }
```

[+] /home/yejin/TABA/concurrency/exercise\_3/thread\_bin\_sem.c\

# 실습 3: binary semaphore

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- thread\_bin\_sem.c
  - sem\_wait(): semaphore 값 감소
  - Sem\_post(): semaphore 값 증가

```
38 static void *work(void* num){
39     int number = (int)num;
40
41     sem_wait(&semaphore);
42
43     for(int i = 0; i < worker_loop_cnt; i++)
44         count++;
45     printf("Thread number %d: %d \n", number, count);
46
47     sem_post(&semaphore);
48
49     return NULL;
50 }
~
<] /home/yejin/TABA/concurrency/exercise_3/thread_bin_sem.c\
```

# 실습 3: binary semaphore

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- 실행파일

```
# gcc thread_bin_sem.c -lpthread -o thread_bin_sem.out
```

- 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_3# ./thread_bin_sem_out 5 10000
main: begin (count = 0)
Thread number 0: 10000
Thread number 1: 20000
Thread number 3: 30000
Thread number 4: 40000
Thread number 2: 50000
main: done (count = 50000)
```



# 실습 3: counting semaphore

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- thread\_counting\_sem.c

```
1 #include <stdlib.h>
2 #include <unistd.h>
3 #include <stdio.h>
4 #include <assert.h>
5 #include <pthread.h>
6 #include <semaphore.h>
7
8 #define SEM_COUNT 3
9
10 int count[SEM_COUNT];
11 int working [SEM_COUNT];
12 int nthread = 1;
13 int worker_loop_cnt = 1;
14
15 pthread_mutex_t lock;
16 sem_t semaphore;
<home/yejin/TABA/concurrency/thread_counting_sem.c\
```

# 실습 3: counting semaphore

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- thread\_counting\_sem.c

```
int main(int argc, char *argv[])
{
    pthread_t *th;
    void *value;
    long i;

    if (argc < 3) {
        fprintf(stderr, "%s parameter : nthread, worker_loop_cnt\n", argv[0]);
        exit(-1);
    }

    nthread = atoi(argv[1]);
    worker_loop_cnt = atoi(argv[2]);

    th = malloc(sizeof(pthread_t) * nthread);

    pthread_mutex_init(&lock, NULL); // initialize the lock
    sem_init(&semaphore, 0, SEM_COUNT); // init sem

    for(i = 0; i < nthread; i++) {
        assert(pthread_create(&th[i], NULL, work, (void*) i) == 0);
    }

    for(i = 0; i < nthread; i++) {
        assert(pthread_join(th[i], &value) == 0);
    }

    sem_destroy(&semaphore);

    free(th);

    printf("Count array : \n");
    for(int i = 0; i < SEM_COUNT; i++){
        printf("%d ", count[i]);
    }

    printf("\nComplete\n");
}
```

<home/yejin/TABA/concurrency/thread\_counting\_sem.c\

# 실습 3: counting semaphore

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- thread\_counting\_sem.c

```
# gcc thread_counting_sem.c -lpthread -o thread_counting_sem.out
```

```
static void *work(void* num)
{
    int number = (int)num;
    int count_index = -1;

    sem_wait(&semaphore); // sem count down

    pthread_mutex_lock(&lock); // lock
    for(int i = 0; i < SEM_COUNT; i++){
        if(working[i] == 0){
            working[i] = 1;
            count_index = i;
            break;
        }
    }
    pthread_mutex_unlock(&lock); // unlock

    if(count_index == -1){
        fprintf(stderr, "Thread number %d: count_index < 0", number);
        exit(-1);
    }

    for(int i = 0; i < worker_loop_cnt; i++)
        count[count_index]++;

    //printf("Thread number %d: %d \n", number, count[count_index]);

    pthread_mutex_lock(&lock); // lock
    working[count_index] = 0;
    pthread_mutex_unlock(&lock); // unlock

    sem_post(&semaphore); // sem count up

    return NULL;
}
```

```
<home/yejin/TABA/concurrency/thread_counting_sem.c\
```

# 실습 3: counting semaphore

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- 실행파일

```
# gcc thread_counting_sem.c -lpthread -o thread_counting_sem.out
```

- 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_3# ./thread_counting_sem.out 5 100000
```

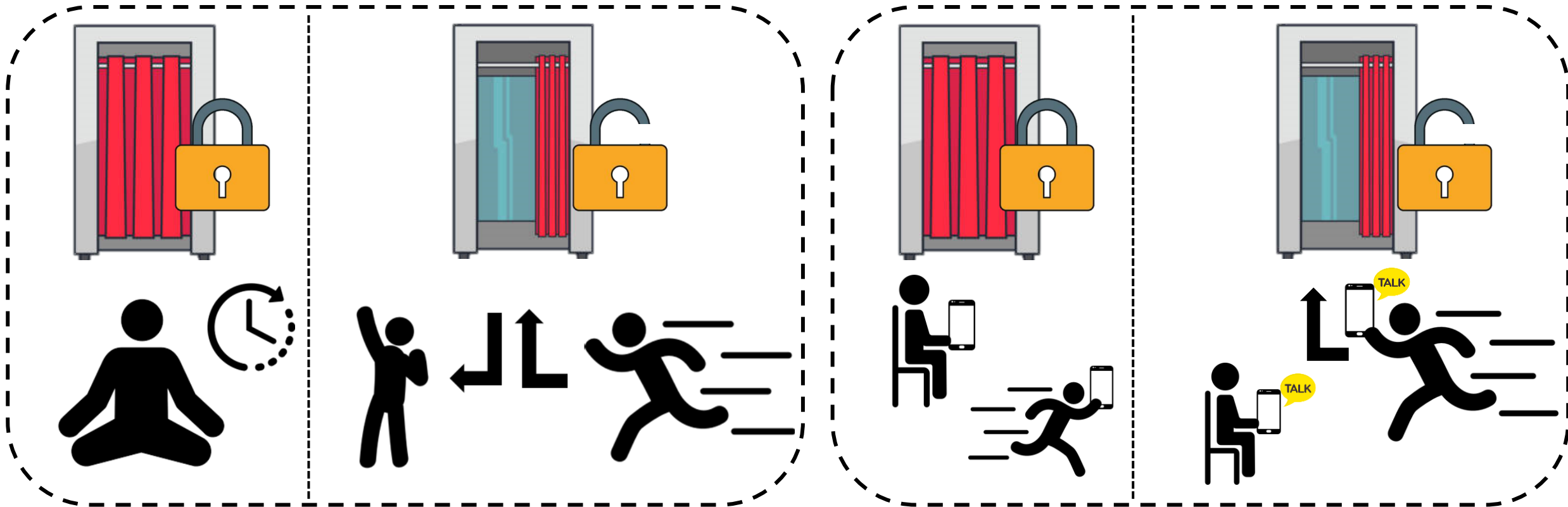
```
Count array :  
200000 100000 200000
```

```
Complete
```

# Lock: Wait

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- Lock programming
  - Busy wait
  - Block/wakeup



# 실습 4: Dead lock

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- thread\_2lock.c

```
1 #include <stdlib.h>
2 #include <unistd.h>
3 #include <stdio.h>
4 #include <assert.h>
5 #include <pthread.h>
6
7 int first_count = 0;
8 int second_count = 0;
9 int nthread = 1;
10 int nthread_one = 1;
11
12 int main_loop_cnt = 1;
13
14 pthread_mutex_t first_lock;
15 pthread_mutex_t second_lock;
16
17 static void *work_one(void* num)
18 {
19     int number = (int)num;
20     int answer = 0;
21
22     < /home/yejin/TABA/concurrency/exercise_4/thread_2lock.c\
```

# 실습 4: Dead lock

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- thread\_2lock.c

```
59 int main(int argc, char *argv[])
60 {
61     pthread_t *th;
62     void *value;
63     long i;
64
65     if (argc < 3) {
66         fprintf(stderr, "%s parameter : nthread, main_loop_cnt\n", argv[0]);
67         exit(-1);
68     }
69
70     nthread = atoi(argv[1]);
71     nthread_one = nthread/2;
72
73     main_loop_cnt = atoi(argv[2]);
74
75     th = malloc(sizeof(pthread_t) * nthread);
76
```

```
77     pthread_mutex_init(&first_lock, NULL); // initialize the lock
78     pthread_mutex_init(&second_lock, NULL); // initialize the lock
79
80     for(int loop = 0; loop < main_loop_cnt; loop++){
81         printf("---- loop %d ----\n", loop);
82
83         for(i = 0; i < nthread_one; i++)
84             assert(pthread_create(&th[i], NULL, work_one, (void*) i) == 0);
85
86         for(i = nthread_one; i < nthread; i++)
87             assert(pthread_create(&th[i], NULL, work_two, (void*) i) == 0);
88
89         for(i = 0; i < nthread; i++)
90             assert(pthread_join(th[i], &value) == 0);
91
92         first_count = 0;
93         second_count = 0;
94     }
```

86:47 [94%]

/home/yejin/TABA/concurrency/exercise\_4/thread\_2lock.c\

# 실습 4: Dead lock

- thread\_2lock.c

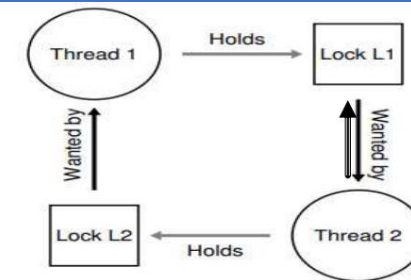


Figure 32.7: The Deadlock Dependency Graph

```
17 static void *work_one(void* num)
18 {
19     int number = (int)num;
20     int answer = 0;
21
22     pthread_mutex_lock(&first_lock); // lock
23     pthread_mutex_lock(&second_lock); // lock
24
25     answer = first_count + second_count;
26
27     printf("Work_one : %d \n", answer);
28
29     first_count++;
30     second_count++;
31
32     pthread_mutex_unlock(&second_lock); // unlock
33     pthread_mutex_unlock(&first_lock); // unlock
34
35     return NULL;
36 }
```

```
38 static void *work_two(void* num)
39 {
40     int number = (int)num;
41     int answer = 0;
42
43     pthread_mutex_lock(&second_lock); // lock
44     pthread_mutex_lock(&first_lock); // lock
45
46     answer = first_count + second_count;
47
48     printf("Work_two : %d \n", answer);
49
50     first_count++;
51     second_count++;
52
53     pthread_mutex_unlock(&first_lock); // unlock
54     pthread_mutex_unlock(&second_lock); // unlock
55
56     return NULL;
57 }
58
```

57:1 [29%]

/home/yejin/TABA/concurrency/exercise\_4/thread\_2lock.c\

```
# gcc thread_2lock.c -lpthread -o thread_2lock.out
```



- 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_4# ./dl.out 1000 4
```

```
---- loop 0 ----
```

```
Aquiring mutex 1
```

```
Aquired mutex 1
```

```
Waiting to aquire mutex 2
```

```
Aquired mutex 2
```

```
Work_one : 0
```

```
Releasing mutex 2
```

```
Released mutex 2
```

```
Releasing mutex 1
```

```
Releasing mutex 1
```

```
Aquiring mutex 1
```

```
Aquired mutex 1
```

```
Waiting to aquire mutex 2
```

```
Aquired mutex 2
```

```
Work_one : 2
```

```
Releasing mutex 2
```

```
Released mutex 2
```

```
Releasing mutex 1
```

```
Releasing mutex 1
```

```
Aquiring mutex 1
```

```
Aquired mutex 1
```

```
Waiting to aquire mutex 2
```

```
Aquired mutex 2
```

```
Work_one : 4
```

```
Work_one : 220
```

```
Releasing mutex 2
```

```
Released mutex 2
```

```
Releasing mutex 1
```

```
Releasing mutex 1
```

```
Waiting to aquire mutex 2
```

```
Releasing mutex 1
```

```
Aquiring mutex 1
```

```
Aquiring mutex 1
```

```
Aquiring mutex 1
```

```
Waiting to aquire mutex 2
```

```
Aquiring mutex 1
```

```
Waiting to aquire mutex 2
```

```
Aquiring mutex 1
```

```
Waiting to aquire mutex 2
```

```
Waiting to aquire mutex 2
```

```
Waiting to aquire mutex 2
```

```
Aquired mutex 2
```

```
Waiting to aquire mutex 1
```

```
Aquired mutex 1
```

```
Waiting to aquire mutex 2
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Waiting to aquire mutex 2
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Waiting to aquire mutex 2
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Waiting to aquire mutex 2
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```
Waiting to aquire mutex 2
```

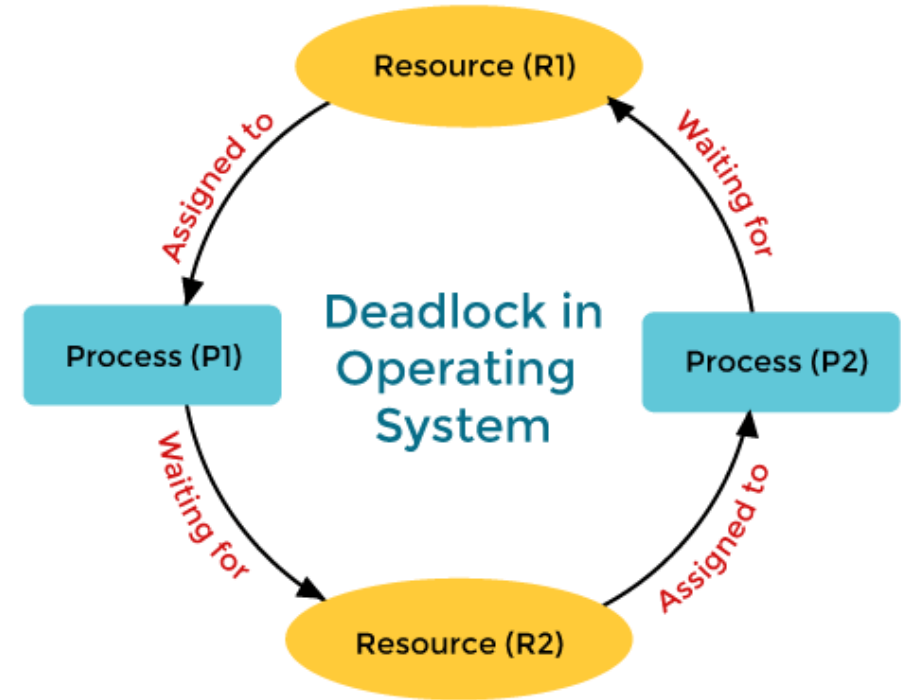
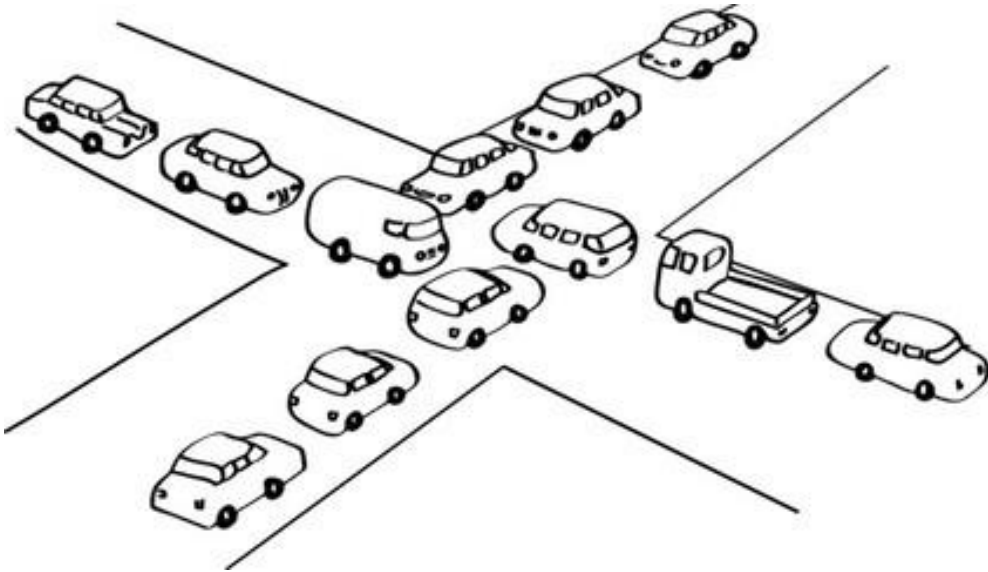
```
Waiting to aquire mutex 2
```

```
Waiting to aquire mutex 2
```

...

...

- Lock programming
  - Dead lock (교착상태)
    - 두 개 이상의 쓰레드가 절대 발생하지 않을 사건에 대해 기다리는 상태



- Dead lock solution



- Dead lock 해결 결과 **이 결과 화면이 나오도록 코드 수정**

```
root@yejin:/home/yejin/TABA/concurrency/exercise_4# ./prevention.out 1000 4
```

...

```
Work_two : 1984
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Aquired mutex 1
Waiting to aquire mutex 2
Aquired mutex 2
Work_two : 1986
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Aquired mutex 1
Waiting to aquire mutex 2
Aquired mutex 2
Work_two : 1988
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Aquired mutex 1
```

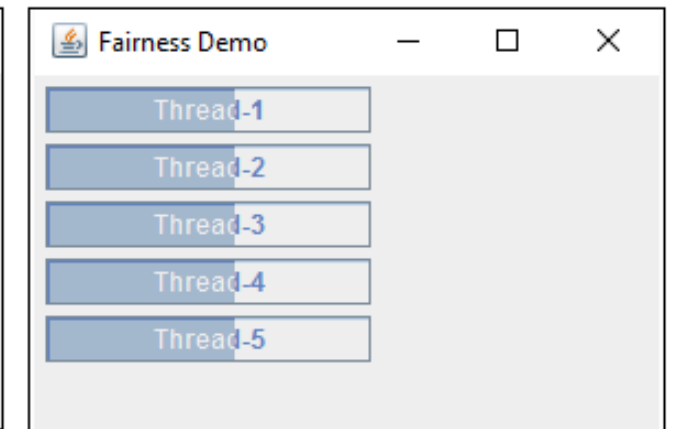
```
Waiting to aquire mutex 2
Aquired mutex 2
Work_two : 1990
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Aquired mutex 1
Waiting to aquire mutex 2
Aquired mutex 2
Work_two : 1992
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Aquired mutex 1
Waiting to aquire mutex 2
Aquired mutex 2
Work_one : 1994
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
```

```
Aquired mutex 1
Waiting to aquire mutex 2
Aquired mutex 2
Work_one : 1996
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Aquired mutex 1
Waiting to aquire mutex 2
Aquired mutex 2
Work_one : 1998
Releasing mutex 2
Released mutex 2
Releasing mutex 1
Releasing mutex 1
Complete
```

# Lock: Starvation

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- Lock programming
  - Starvation



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# 실습 5: Starvation

30

- thread\_starvation.c

```
1 #include <stdlib.h>
2 #include <unistd.h>
3 #include <stdio.h>
4 #include <assert.h>
5 #include <pthread.h>
6
7 int first_count = 0;
8 int second_count = 0;
9 int nthread = 1;
10
11 int max_work_cnt = 1;
12 int work_cnt = 0;
13 int *work_cnt_per_thread;
14
15 pthread_mutex_t first_lock;
16
<n/TABA/concurrency/exercise_5/s/thread_starvation.c\
```

# 실습 5: Starvation

31

- thread\_starvation.c

```
65 int main(int argc, char *argv[])
66 {
67     pthread_t *th;
68     void *value;
69     long i;
70
71     if (argc < 3) {
72         fprintf(stderr, "%s parameter : nthread, max_work_cnt\n", argv[0]);
73         exit(-1);
74     }
75
76     nthread = atoi(argv[1]);
77
78     max_work_cnt = atoi(argv[2]);
79
80     th = malloc(sizeof(pthread_t) * nthread);
81     work_cnt_per_thread = malloc(sizeof(int) * nthread);
82
83     pthread_mutex_init(&first_lock, NULL); // initialize the lock
84
85     for(i = 0; i < nthread; i++) {
86         assert(pthread_create(&th[i], NULL, work_one, (void*) i) == 0);
87     }
88
89     for(i = 0; i < nthread; i++) {
90         assert(pthread_join(th[i], &value) == 0);
91     }
92
93     for(i = 0; i < nthread; i++) {
94         printf("Thread %ld work_cnt : %d\n", i, work_cnt_per_thread[i]);
95     }
96
97     free(th);
98     free(work_cnt_per_thread);
99
100     printf("Complete\n");
101 }
```

70:1 [Bot] /home/yejin/TABA/concurrency/exercise\_5/s/thread\_starvation.c\

- thread\_starvation.c

```
17 static void *work_one(void* num)
18 {
19     int number = (int)num;
20     int answer = 0;
21
22     while(work_cnt < max_work_cnt){
23         pthread_mutex_lock(&first_lock); // lock
24
25         answer = first_count + second_count;
26
27         //printf("Work %d : %d \n", work_cnt, answer);
28
29         first_count++;
30         second_count++;
31
32         work_cnt++;
33         work_cnt_per_thread[number]++;
34
35         pthread_mutex_unlock(&first_lock); // unlock
36         //sleep(1);
37     }
38
39     return NULL;
40 }
41
42 /*
36:18 [ 9%] /home/yejin/TABA/concurrency/exercise_5/s/thread_starvation.c\
```



- 실행파일

```
# gcc starvation.c -lpthread -o starvation.out
```

- 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_5/s# ./thread_starvation.out 5 1000
```

```
Thread 0 work_cnt : 709  
Thread 1 work_cnt : 99  
Thread 2 work_cnt : 124  
Thread 3 work_cnt : 0  
Thread 4 work_cnt : 70  
Complete
```

- Starvation solution



- Starvation 해결 결과

```
root@yejin:/home/yejin/TABA/concurrency/exercise_5/s# ./prevent.out 5 1000  
Thread 0 work_cnt : 201  
Thread 1 work_cnt : 201  
Thread 2 work_cnt : 201  
Thread 3 work_cnt : 201  
Thread 4 work cnt : 200  
Complete
```

↑ 위 결과 화면이 나오도록 코드 수정