

Learning to select data for transfer learning with Bayesian Optimization

Sebastian Ruder^{♣♣} Barbara Plank[♡]

[♡]Center for Language and Cognition, University of Groningen, The Netherlands

[♣]Insight Research Centre, National University of Ireland, Galway

^{♣♣}Aylien Ltd., Dublin, Ireland

sebastian@ruder.io, b.plank@rug.nl

Abstract

Domain similarity measures can be used to gauge adaptability and select suitable data for transfer learning, but existing approaches define ad hoc measures that are deemed suitable for respective tasks. Inspired by work on curriculum learning, we propose to *learn* data selection measures using Bayesian Optimization and evaluate them across models, domains and tasks. Our learned measures outperform existing domain similarity measures significantly on three tasks: sentiment analysis, part-of-speech tagging, and parsing. We show the importance of complementing similarity with diversity, and that learned measures are—to some degree—transferable across models, domains, and even tasks.

1 Introduction

Natural Language Processing (NLP) models suffer considerably when applied in the wild. The distribution of the **test data** is typically very **different from the data used during training**, causing a model’s performance to deteriorate substantially. **Domain adaptation** is a prominent approach to transfer learning that can help to bridge this gap; many approaches were suggested so far (Blitzer et al., 2007; Daumé III, 2007; Jiang and Zhai, 2007; Ma et al., 2014; Schnabel and Schütze, 2014). However, **most work focused on one-to-one scenarios**. Only recently research considered using multiple sources. Such studies are rare and typically **rely on specific model transfer approaches** (Mansour, 2009; Wu and Huang, 2016).

Inspired by work on curriculum learning (Benio et al., 2009; Tsvetkov et al., 2016), we instead propose—to the best of our knowledge—the first **model-agnostic data selection approach** to trans-

fer learning. Contrary to **curriculum learning** that aims at speeding up learning (see §6), **we aim at learning to select** the most relevant data from **multiple sources using data metrics**. While several measures have been proposed in the past (Moore and Lewis, 2010; Axelrod et al., 2011; Van Asch and Daelemans, 2010; Plank and van Noord, 2011; Remus, 2012), prior work is limited in studying metrics mostly in isolation, **using only the notion of similarity** (Ben-David et al., 2007) and **focusing on a single task** (see §6). **Our hypothesis is that different tasks or even different domains demand different notions of similarity**. In this paper we go beyond prior work by i) studying a range of similarity metrics, including diversity; and ii) testing the robustness of the learned weights **across models** (e.g., whether a more complex model can be approximated with a simpler surrogate), **domains and tasks** (to delimit the transferability of the learned weights).

The contributions of this work are threefold. First, we present the first **model-independent approach to learn** a data selection measure for transfer learning. It outperforms baselines **across three tasks and multiple domains** and is competitive with state-of-the-art domain adaptation approaches. Second, prior work on transfer learning mostly focused on **similarity**. We demonstrate empirically that **diversity is as important as—and complements—domain similarity** for transfer learning. Finally, we show—for the first time—to **what degree learned measures transfer across models, domains and tasks**.

2 Background: Transfer learning

Transfer learning generally involves the concepts of a domain and a task (Pan and Yang, 2010). A **domain \mathcal{D}** consists of a **feature space \mathcal{X}** and a marginal probability distribution $P(X)$ over \mathcal{X} ,

Model

where $X = \{x_1, \dots, x_n\} \in \mathcal{X}$. For document classification with a bag-of-words, \mathcal{X} is the space of all document vectors, x_i is the i -th document vector, and X is a sample of documents.

Given a domain $\mathcal{D} = \{\mathcal{X}, P(X)\}$, a task \mathcal{T} consists of a label space \mathcal{Y} and a conditional probability distribution $P(Y|X)$ that is typically learned from training data consisting of pairs $\{x_i, y_i\}$, where $x_i \in X$ and $y_i \in Y$.

Finally, given a source domain \mathcal{D}_S , a corresponding source task \mathcal{T}_S , as well as a target domain \mathcal{D}_T and a target task \mathcal{T}_T , transfer learning seeks to facilitate the learning of the target conditional probability distribution $P(Y_T|X_T)$ in \mathcal{D}_T with the information gained from \mathcal{D}_S and \mathcal{T}_S where $\mathcal{D}_S \neq \mathcal{D}_T$ or $\mathcal{T}_S \neq \mathcal{T}_T$. We will focus on the scenario where $\mathcal{D}_S \neq \mathcal{D}_T$ assuming that $\mathcal{T}_S = \mathcal{T}_T$, commonly referred to as domain adaptation. We investigate transfer across tasks in §5.3.

Existing research in domain adaptation has generally focused on the scenario of one-to-one adaptation: Given a set of source domains A and a set of target domains B , a model is evaluated based on its ability to adapt between all pairs (a, b) in the Cartesian product $A \times B$ where $a \in A$ and $b \in B$ (Remus, 2012). However, adaptation between two dissimilar domains is often undesirable, as it may lead to negative transfer (Rosenstein et al., 2005). Only recently, many-to-one adaptation (Mansour, 2009; Wu and Huang, 2016) has received some attention, as it replicates the realistic scenario of multiple source domains where performance on the target domain is the foremost objective.

3 Data selection model

In order to select training data for adaptation for a task \mathcal{T} , existing approaches rank the available n training examples $X = \{x_1, x_2, \dots, x_n\}$ of k source domains $\mathcal{D} = \{\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_k\}$ according to a domain similarity measure \mathcal{S} and choose the top m samples for training their algorithm. While this has been shown to work empirically (Moore and Lewis, 2010; Axelrod et al., 2011; Plank and van Noord, 2011; Van Asch and Daelemans, 2010; Remus, 2012), using a pre-existing metric leaves us unable to adapt to the characteristics of our task \mathcal{T} and target domain \mathcal{D}_T and foregoes additional knowledge that may be gleaned from the interaction of different metrics. For this reason, we propose to learn the following linear domain similarity measure \mathcal{S} as a linear combina-

tion of feature values: n 代表训练样本
 l 代表特征个数

$$\mathcal{S} = \phi(X) \cdot w^\top \quad (1)$$

where $\phi(X) \in \mathbb{R}^{n \times l}$ are the similarity and diversity features further described in §3.2 for each training example, with l being the number of features, while $w \in \mathbb{R}^l$ are the weights learned by Bayesian Optimization.

We aim to learn weights w in order to optimize the objective function J of the respective task \mathcal{T} on a small number of validation examples of the corresponding target domain \mathcal{D}_T .

3.1 Bayesian Optimization for data selection

As the learned measure \mathcal{S} should be agnostic of the particular objective function J , we cannot use gradient-based methods for optimization. Similar to Tsvetkov et al. (2016), we use Bayesian Optimization (Brochu et al., 2010), which has emerged as an efficient framework to optimize any function. For instance, it has repeatedly found better settings of neural network hyperparameters than domain experts (Snoek et al., 2012).

Given a black-box function $f : \mathbb{X} \rightarrow \mathbb{R}$, Bayesian Optimization aims to find an input $\hat{x} \in \arg \min_{x \in \mathbb{X}} f(x)$ that globally minimizes f . For this, it requires a prior $p(f)$ over the function and an acquisition function $a_{p(f)} : \mathbb{X} \rightarrow \mathbb{R}$ that calculates the utility of any evaluation at any x .

Bayesian Optimization then proceeds iteratively. At iteration t , 1) it finds the most promising input $x_t \in \arg \max_x a_p(x)$ through numerical optimization; 2) it then evaluates the surrogate function $y_t \sim f(x_t) + \mathcal{N}(0, \sigma^2)$ on this input and adds the resulting data point (x_t, y_t) to the set of observations $\mathcal{O}_{t-1} = (x_j, y_j)_{j=1 \dots t-1}$; 3) finally, it updates the prior $p(f|\mathcal{O}_t)$ and the acquisition function $a_{p(f|\mathcal{O}_t)}$.

For data selection, the black-box function f looks as follows: 1) It takes as input a set of weights w that should be evaluated; 2) the training examples of all source domains are then scored and sorted according to Equation 1; 3) the model for the respective task \mathcal{T} is trained on the top n samples; 4) the model is evaluated on the validation set according to the evaluation measure J and the value of J is returned.

Gaussian Processes (GP) are a popular choice for $p(f)$ due to their descriptive power (Rasmussen, 2006). We use GP with Monte Carlo acquisition and Expected Improvement (EI)

(Močkus, 1974) as acquisition function as this combination has been shown to outperform comparable approaches (Snoek et al., 2012).¹

3.2 Features

Existing work on data selection for domain adaptation selects data based on its *similarity* to the target domain. Several measures have been proposed in the literature (Van Asch and Daelemans, 2010; Plank and van Noord, 2011; Remus, 2012), but were so far only used in isolation.

Only selecting training instances with respect to the target domain also fails to account for instances that are richer and better suited for knowledge acquisition. For this reason, we consider—to our knowledge for the first time—whether intrinsic qualities of the training data accounting for *diversity* are of use for domain adaptation in NLP.

Similarity We use a range of similarity metrics. Some metrics might be better suited for some tasks, while different measures might capture complementary information. We thus use the following measures as features for learning a more effective domain similarity metric.

We define similarity features over probability distributions in accordance with existing literature (Plank and van Noord, 2011). Let P be the representation of a source training example, while Q is the corresponding target domain representation. Let further $M = \frac{1}{2}(P + Q)$, i.e. the average distribution between P and Q and let $D_{KL}(P||Q) = \sum_{i=1}^n p_i \log \frac{p_i}{q_i}$, i.e., the KL divergence between the two domains. We do not use D_{KL} as a feature as it is undefined for distributions where some event $q_i \in Q$ has probability 0, which is common for term distributions. Our features are:

- Jensen-Shannon divergence (Lin, 1991): $\frac{1}{2}[D_{KL}(P||M) + D_{KL}(Q||M)]$. Jensen-Shannon divergence is a smoothed, symmetric variant of D_{KL} that has been successfully used for domain adaptation (Plank and van Noord, 2011; Remus, 2012).
- Rényi divergence (Rényi, 1961): $\frac{1}{\alpha-1} \log(\sum_{i=1}^n \frac{p_i^\alpha}{q_i^{\alpha-1}})$. Rényi divergence reduces to D_{KL} if $\alpha = 1$. We set $\alpha = 0.99$ following Van Asch and Daelemans (2010).

¹We also experimented with FABOLAS (Klein et al., 2017), but found its ability to adjust the training set size during optimization to be inconclusive for our relatively small training sets.

- Bhattacharyya distance (Bhattacharyya, 1943): $\ln(\sum_i \sqrt{P_i Q_i})$
- Cosine similarity (Lee, 2001): $\frac{P \cdot Q}{\|P\| \|Q\|}$. We can treat the distributions alternatively as vectors and consider geometrically motivated distance functions such as cosine similarity as well as the following.
- Euclidean distance (Lee, 2001): $\sqrt{\sum_i (P_i - Q_i)^2}$.
- Variational dist. (Lee, 2001): $\sum_i |P_i - Q_i|$.

We consider three different representations for calculating the above domain similarity measures:

- Term distributions (Plank and van Noord, 2011): $t \in \mathbb{R}^{|V|}$ where t_i is the probability of the i -th word in the vocabulary V .
- Topic distributions (Plank and van Noord, 2011): $t \in \mathbb{R}^n$ where t_i is the probability of the i -th topic as determined by an LDA model (Blei et al., 2003) trained on the data and n is the number of topics.
- Word embeddings (Mikolov et al., 2013): $\frac{1}{n} \sum_i v_{w_i} \sqrt{\frac{a}{p(w_i)}}$ where n is the number of words with embeddings in the document, v_{w_i} is the pre-trained embedding of the i -th word, $p(w_i)$ its probability, and a is a smoothing factor used to discount frequent probabilities. A similar weighted sum has recently been shown to outperform supervised approaches for other tasks (Arora et al., 2017). As embeddings may be negative, we use them only with the latter three geometric features above.

Diversity For each training example, we calculate its diversity based on the words in the example. Let p_i and p_j be probabilities of the word types t_i and t_j in the training data and $\cos(v_{t_i}, v_{t_j})$ the cosine similarity between their word embeddings. We employ measures that have been used in the past for measuring diversity (Tsvetkov et al., 2016):

- Number of word types: $\#types$.
- Type-token ratio: $\frac{\#types}{\#tokens}$.
- Entropy (Shannon, 1948): $-\sum_i p_i \ln(p_i)$.
- Simpson’s index (Simpson, 1949): $-\sum_i p_i^2$.
- Rényi entropy (Rényi, 1961): $\frac{1}{\alpha-1} \log(\sum_i p_i^\alpha)$
- Quadratic entropy (Rao, 1982): $\sum_{i,j} \cos(v_{t_i}, v_{t_j}) p_i p_j$.

4 Experiments

4.1 Tasks, datasets, and models

We evaluate our approach on three tasks: sentiment analysis, part-of speech (POS) tagging, and dependency parsing. We use the n examples with the highest score as determined by the learned data selection measure for training our models.² We show statistics for all datasets in Table 1.

Sentiment Analysis For sentiment analysis, we evaluate on the Amazon reviews dataset (Blitzer et al., 2006). We use tf-idf-weighted unigram and bigram features and a linear SVM classifier (Blitzer et al., 2007). We set the vocabulary size to 10,000 and the number of training examples $n = 1600$ to conform with existing approaches (Bollegala et al., 2011) and stratify the training set.

POS tagging For POS tagging and parsing, we evaluate on the coarse-grained POS data (12 universal POS) of the SANCL 2012 shared task (Petrov and McDonald, 2012). Each domain—except for WSJ—contains around 2000-5000 labeled sentences and more than 100,000 unlabeled sentences. In the case of WSJ, we use its dev and test data as labeled samples and treat the remaining sections as unlabeled. We set $n = 2000$ for POS tagging and parsing to retain enough examples for the most-similar-domain baseline.

To evaluate the impact of model choice, we compare two models: a Structured Perceptron (in-house implementation with commonly used features pertaining to tags, words, case, prefixes, as well as prefixes and suffixes) trained for 5 iterations with a learning rate of 0.2; and a state-of-the-art Bi-LSTM tagger (Plank et al., 2016) with word and character embeddings as input. We perform early stopping on the validation set with patience of 2 and use otherwise default hyperparameters³ as provided by the authors.

Parsing For parsing, we evaluate the state-of-the-art Bi-LSTM parser by Kiperwasser and Goldberg (2016) with default hyperparameters.⁴ We use the same domains as used for POS tagging, i.e., the dependency parsing data with gold POS as made available in the SANCL 2012 shared task.⁵

²All code is available at <https://github.com/sebastianruder/learn-to-select-data>.

³<https://github.com/bplank/bilstm-aux>

⁴<https://github.com/elikip/bist-parser>

⁵We leave investigating the effect of the adapted taggers on parsing for future work.

\mathcal{T}	Domain	# labeled	# unlabeled
Sentiment	Book	2000	4465
	DVD	2000	3586
	Electronics	2000	5681
	Kitchen	2000	5945
POS/Parsing	Answers	3489	27274
	Emails	4900	1194173
	Newsgroups	2391	1000000
	Reviews	3813	1965350
	Weblogs	2031	524834
	WSJ	2976	30060

Table 1: Number of labeled and unlabeled sentences for each domain in the Amazon Reviews dataset (Blitzer et al., 2006) (above) and the SANCL 2012 dataset (Petrov and McDonald, 2012) for POS tagging and parsing (below).

4.2 Training details

In practice, as feature values occupy different ranges, we have found it helpful to apply z -normalisation similar to Tsvetkov et al. (2016). We moreover constrain the weights w to $[-1, 1]$.

For each dataset, we treat each domain as target domain and all other domains as source domains. Similar to Bousmalis et al. (2016), we chose to use a small number (100) target domain examples as validation set. We optimize each similarity measure using Bayesian Optimization with 300 iterations according to the objective measure J of each task (accuracy for sentiment analysis and POS tagging; LAS for parsing) with respect to the validation set of the corresponding target domain.

Unlabeled data is used in addition to calculate the representation of the target domain and to calculate the source domain representation for the most similar domain baseline. We train an LDA model (Blei et al., 2003) with 50 topics and 10 iterations for topic distribution-based representations and use GloVe embeddings (Pennington et al., 2014) trained on 42B tokens of Common Crawl data⁶ for word embedding-based representations.

For sentiment analysis, we conduct 10 runs of each feature set for every domain and report mean and variance. For POS tagging and parsing, we observe that variance is low and perform one run while retaining random seeds for reproducibility.

⁶<https://nlp.stanford.edu/projects/glove/>

		Target domains			
Feature set		Book	DVD	Electronics	Kitchen
Base	Random	71.17 (\pm 4.41)	70.51 (\pm 3.33)	76.75 (\pm 1.77)	77.94 (\pm 3.72)
	Jensen-Shannon divergence – examples	72.51 (\pm 0.42)	68.21 (\pm 0.34)	76.51 (\pm 0.63)	77.47 (\pm 0.44)
	Jensen-Shannon divergence – domain	75.26 (\pm 1.25)	73.74 (\pm 1.36)	72.60 (\pm 2.19)	80.01 (\pm 1.93)
Learned measures	Similarity (word embeddings)	75.06 (\pm 1.38)	74.96 (\pm 2.12)	80.79 (\pm 1.31)	83.45 (\pm 0.96)
	Similarity (term distributions)	75.39 (\pm 0.98)	76.25 (\pm 0.96)	81.91 (\pm 0.57)	83.39 (\pm 0.84)
	Similarity (topic distributions)	76.04 (\pm 1.10)	75.89 (\pm 0.81)	81.69 (\pm 0.96)	83.09 (\pm 0.95)
	Diversity	76.03 (\pm 1.28)	77.48 (\pm 1.33)	81.15 (\pm 0.67)	83.94 (\pm 0.99)
	Sim (term dists) + sim (topic dists)	75.76 (\pm 1.30)	76.62 (\pm 0.95)	81.73 (\pm 0.63)	83.43 (\pm 0.75)
	Sim (word embeddings) + diversity	75.52 (\pm 0.98)	77.50 (\pm 0.61)	80.97 (\pm 0.83)	84.28 (\pm 1.02)
	Sim (term dists) + diversity	<u>76.20</u> (\pm 1.45)	<u>77.60</u> (\pm 1.01)	82.67 (\pm 0.73)	84.98 (\pm 0.60)
	Sim (topic dists) + diversity	77.16 (\pm 0.77)	79.00 (\pm 0.93)	<u>81.92</u> (\pm 1.32)	<u>84.29</u> (\pm 1.00)
	All source data (6,000 training examples)	70.86 (\pm 0.51)	68.74 (\pm 0.32)	77.39 (\pm 0.32)	73.09 (\pm 0.41)

Table 2: Accuracy scores for data selection for sentiment analysis domain adaptation on the Amazon reviews dataset (Blitzer et al., 2006). Best: bold; second-best: underlined.

4.3 Baselines and features

We compare the learned measures to three baselines: i) a random baseline that randomly selects n training samples from all source domains (*random*); ii) the top n examples selected using Jensen-Shannon divergence (*JS – examples*), which outperformed other measures in previous work (Plank and van Noord, 2011; Remus, 2012); iii) n examples randomly selected from the most similar source domain determined by Jensen-Shannon divergence (*JS – domain*). We additionally compare against training on all available source data (6,000 examples for sentiment analysis; 14,700-17,569 examples for POS tagging and parsing depending on the target domain).

We optimize data selection using Bayesian Optimization with every feature set: similarity features respectively based on i) word embeddings, ii) term distributions, and iii) topic distributions; and iv) diversity features. In addition, we investigate how well different representations help each other by using similarity features with the two best-performing representations, term distributions and topic distributions. Finally, we explore whether diversity and similarity-based features complement each other by in turn using each similarity-based feature set together with diversity features.

5 Results

Sentiment analysis We show results for sentiment analysis in Table 2. First of all, the baselines show that the sentiment review domains are clearly delimited. Adapting between two similar domains such as Book and DVD is more productive than adaptation between dissimilar domains,

e.g. Books and Electronics, as shown in previous work (Blitzer et al., 2007). This explains the strong performance of the most-similar-domain baseline. In contrast, selecting individual examples based on a domain similarity measure performs only as good as chance. Thus, when domains are more clear-cut, selecting from the closest domain is a stronger baseline than selecting from the entire pool of source data.

If we learn a data selection measure using Bayesian Optimization, we are able to outperform the baselines with almost all feature sets. Performance gains are considerable for all domains with individual feature sets (term similarity, word embeddings similarity, diversity and topic similarity), except for Books were improvements for some single feature sets are smaller. Term distributions and topic distributions are the best-performing representations for calculating similarity, with term distributions performing slightly better across all domains. Combining term distribution-based and topic distribution-based features only provides marginal gains over the individual feature sets, demonstrating that most of the information is contained in the similarity features rather than the representations.

Diversity features perform comparatively to the best similarity features and outperform them on two domains. Furthermore, the combination of diversity and similarity features yields another sizable gain of around 1 percentage point for almost all domains over the best similarity features, which shows that diversity and similarity features capture complementary information. Term distribution and topic distribution-based similarity features in

Trg domains → Task → Feat ↓ Model →		Answers			Emails			Newsgroups			Reviews			Weblogs			WSJ		
		POS	B	Pars	POS	B	Pars	POS	B	Pars	POS	B	Pars	POS	B	Pars	POS	B	Pars
				BIST			BIST			BIST			BIST			BIST			BIST
Base	Random	91.34	92.55	81.02	91.80	93.25	79.09	92.50	93.26	80.61	92.08	92.12	82.30	92.76	93.03	82.39	91.08	92.54	78.31
	JS – examples	92.42	93.16	82.80	91.75	93.77	80.53	92.96	<u>94.29</u>	83.25	92.77	93.32	84.35	94.33	94.92	85.36	92.85	94.08	82.43
	JS – domain	90.84	91.13	80.37	91.64	93.16	79.93	92.23	92.67	81.77	92.27	92.67	82.11	93.19	94.34	83.44	91.20	92.99	80.61
Learned measures	W2v sim	92.53	93.22	82.74	92.94	94.14	81.18	93.41	94.09	81.62	93.51	93.30	82.98	94.41	94.83	84.30	93.02	<u>94.66</u>	81.57
	Term sim	93.13	93.43	83.79	92.96	94.04	81.09	93.58	94.55	82.68	<u>93.53</u>	93.73	84.66	94.42	95.09	84.85	93.44	94.11	82.57
	Topic sim	92.50	93.16	82.87	92.70	94.48	<u>81.43</u>	93.97	94.09	82.07	93.21	93.22	83.98	94.42	93.71	84.98	93.09	94.02	82.90
	Diversity	92.33	92.58	83.01	<u>93.08</u>	93.56	80.93	94.37	93.97	83.98	93.33	93.05	83.92	<u>94.62</u>	94.94	<u>85.84</u>	93.33	93.44	82.80
	Term+topic sim	92.80	93.69	82.87	92.70	92.28	81.13	93.57	93.76	82.97	93.56	<u>93.61</u>	<u>84.65</u>	94.41	94.23	84.43	93.07	94.68	82.43
	W2v sim+div	92.76	92.38	82.34	93.51	<u>94.19</u>	80.77	93.96	94.10	84.26	93.45	93.39	84.47	94.36	94.95	85.53	93.32	93.20	82.32
	Term sim+div	92.73	93.46	<u>83.72</u>	92.90	93.81	81.60	<u>94.03</u>	93.47	82.80	93.47	93.29	84.62	94.76	<u>95.06</u>	85.44	93.32	93.68	<u>82.87</u>
	Topic sim+div	<u>92.93</u>	<u>93.62</u>	82.60	92.62	93.93	80.83	93.85	94.06	<u>84.04</u>	93.16	93.59	84.45	94.42	94.45	85.89	<u>93.38</u>	94.23	82.33
	All source data	94.30	95.16	86.34	94.34	95.90	85.57	95.40	95.90	87.18	94.90	95.03	87.51	95.53	95.79	88.23	94.19	95.64	85.20

Table 3: Results for data selection for part-of-speech tagging and parsing domain adaptation on the SANCL 2012 shared task dataset (Petrov and McDonald, 2012). POS: Part-of-speech tagging. Pars: Parsing. POS tagging models: Structured Perceptron (P); Bi-LSTM tagger (B) (Plank et al., 2016). Parsing model: Bi-LSTM parser (BIST) (Kiperwasser and Goldberg, 2016). Evaluation metrics: Accuracy (POS tagging); Labeled Attachment Score (parsing). Best: bold; second-best: underlined.

conjunction with diversity features finally yield the best performance, outperforming the baselines by 2-6 points in absolute accuracy.

Finally, we compare data selection to training on all available source data (in this setup, 6,000 instances). The result complements the findings of the most-similar baseline: as domains are dissimilar, training on all available sources is detrimental.

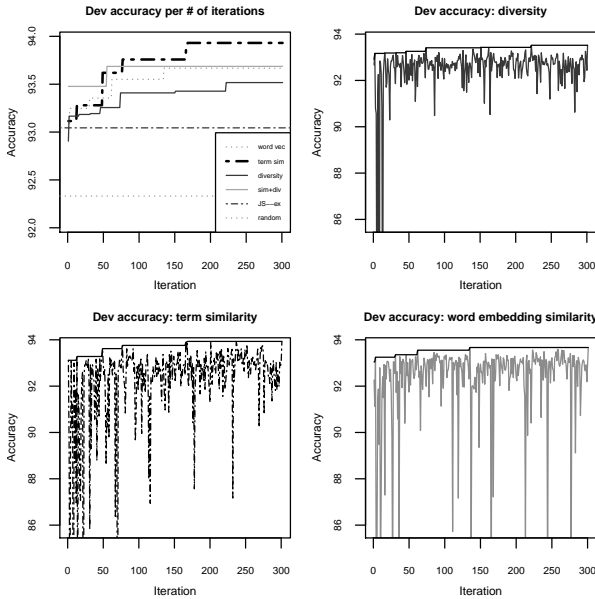


Figure 1: Dev accuracy curves of Bayes Optimization for POS tagging on the Reviews domain. Best dev acc for different feature sets (top-left). Best dev acc vs. exploration (top-right, bottom).

POS tagging Results for POS tagging are given in Table 3. Using Bayesian Optimization, we are able to outperform the baselines with almost all feature sets, except for a few cases (e.g., diver-

sity and word embeddings similarity, topic and term distributions). Overall term distribution-based similarity emerges as the most powerful individual feature. Combining it with diversity does not prove as beneficial as in the sentiment analysis case, however, often yields the second-best results.

Notice that for POS tagging/parsing, in contrast to sentiment analysis, the most-similar domain baseline is not effective, it often performs only as good as chance, or even hurts. In contrast, the baseline that selects instances (*JS – examples*) rather than a domain performs better. This makes sense as in SA topically closer domains express sentiment in more similar ways, while for POS tagging having more varied training instances is intuitively more beneficial. In fact, when inspecting the domain distribution of our approach, we find that the best SA model chooses more instances from the closest domain, while for POS tagging instances are more balanced across domains. This suggests that the Web treebank domains are less clear-cut. In fact, training a model on all sources, which is considerably more and varied data (in this setup, 14-17.5k training instances) is beneficial. This is in line with findings in machine translation (Mirkin and Besacier, 2014), which show that similarity-based selection works best if domains are very different. Results are thus less pronounced for POS tagging, and we leave experimenting with larger n for future work.

To gain some insight into the optimization procedure, Figure 1 shows the development accuracy for the Structured Perceptron for an example domain. The top-right and bottom graphs show the hypothesis space exploration of Bayesian Optimization for different single feature sets, while the

Feature set $\downarrow \mathcal{M}_S \rightarrow$	Target domains											
	Answers		Emails		Newsgroups		Reviews		Weblogs		WSJ	
	B	P _{proxy}	B	P _{proxy}	B	P _{proxy}	B	P _{proxy}	B	P _{proxy}	B	P _{proxy}
Term similarity	93.43	93.67	94.04	93.88	94.55	93.77	93.73	93.54	95.09	95.06	94.11	94.30
Diversity	92.58	<u>93.19</u>	93.56	94.40	93.97	94.96	93.05	<u>93.52</u>	94.94	94.91	93.44	94.14
Term similarity+diversity	93.46	<u>93.18</u>	<u>93.81</u>	<u>94.29</u>	93.47	94.28	93.29	<u>93.35</u>	<u>95.06</u>	94.67	93.68	93.92

Table 4: Accuracy scores for cross-model transfer of learned data selection weights for part-of-speech tagging from Structured Perceptron (P_{proxy}) to Bi-LSTM tagger (B) (Plank et al., 2016) on the SANCL 2012 shared task dataset (Petrov and McDonald, 2012). Data selection weights are learned using model \mathcal{M}_S ; Bi-LSTM tagger (B) is then trained using the learned weights. Better than baselines: underlined.

top-left graph displays the overall best dev accuracy for different features. We observe again that term similarity is among the best feature sets and results in a larger explored space (more variance), in contrast to the diversity features whose development accuracy increases less and results in an overall less explored space. Exploration plots for other features/models looks similar.

Parsing The results for parsing are given in Table 3. Diversity features are stronger than for POS tagging and outperform the baselines for all except the Reviews domain. Similarly to POS tagging, term distribution-based similarity as well as its combination with diversity features yield the best results across most domains.

5.1 Transfer across models

In addition, we are interested how well the metric learned for one target domain transfers to other settings. We first investigate its ability to transfer to another model. In practice, a metric can be learned using a model that is cheap to evaluate and serves as proxy for a state-of-the-art model, in a way similar to uptraining (Petrov et al., 2010). For this, we employ the data selection features learned using the Structured Perceptron model for POS tagging and use them to select data for the Bi-LSTM tagger. The results in Table 4 indicate that cross-model transfer is indeed possible, with most transferred feature sets achieving similar results or even outperforming features learned with the Bi-LSTM. In particular, transferred diversity significantly outperforms its in-model equivalent. This is encouraging, as it allows to learn a data selection metric using less complex models.

5.2 Transfer across domains

We explore whether data selection parameters learned for one target domain transfer to other target domains. For each domain, we use the weights

Feature	\mathcal{D}_S	Target domains			
		B	D	E	K
Sim	B	75.39	75.22	80.74	80.41
Sim	D	75.30	76.25	82.68	82.29
Sim	E	74.55	76.65	81.91	82.23
Sim	K	73.64	76.66	81.09	83.39
Div	B	76.03	75.16	80.16	80.01
Div	D	75.68	77.48	65.74	72.48
Div	E	74.69	76.60	81.15	81.97
Div	K	75.03	76.23	80.71	83.94
Sim+div	B	76.20	64.81	65.06	79.87
Sim+div	D	74.17	77.60	83.26	85.19
Sim+div	E	74.14	79.32	82.67	84.53
Sim+div	K	75.54	76.11	78.72	84.98
SDAMS	-	78.29	79.13	84.06	86.29

Table 5: Accuracy scores for cross-domain transfer of learned data selection weights on Amazon reviews (Blitzer et al., 2006). \mathcal{D}_S : target domain used for learning metric S . B: Book. D: DVD. E: Electronics. K: Kitchen. Sim: term distribution-based similarity. Div: diversity. Best per feature set: bold. In-domain results: gray. SDAMS (Wu and Huang, 2016) listed as comparison.

with the highest performance on the validation set and use them for data selection with the remaining domains as target domains. We conduct 10 runs for the best-performing feature sets for sentiment analysis and report the average accuracy scores in Table 5 (for POS tagging, see Table 6).

The transfer of the weights learned with Bayesian Optimization is quite robust in most cases. Feature sets like Similarity or Diversity trained on Books outperform the strong JS – \mathcal{D} baseline in all 6 cases, for Electronics and Kitchen in 4/6 cases (off-diagonals for box 2 and 3 in Table 5). In some cases, the transferred weights even outperform the data selection metric learned for the respective domain, such as on D→E with sim and sim+div features and by almost 2 pp on E→D.

Feature set	\mathcal{D}_S	Target domains					
		Answers (A)	Emails (E)	Newsgroups (N)	Reviews (R)	Weblogs (W)	WSJ
Term similarity	A	93.13	91.60	93.94	93.63	94.26	92.42
Term similarity	E	92.35	92.96	93.42	93.63	93.75	92.24
Term similarity	N	92.48	92.28	93.58	93.35	93.95	93.00
Term similarity	R	92.06	92.18	93.38	93.53	94.26	91.88
Term similarity	W	92.69	92.12	93.65	93.12	94.42	92.63
Term similarity	WSJ	92.50	92.51	93.53	93.00	94.29	93.44
Diversity	A	92.33	92.14	93.46	92.00	94.01	92.56
Diversity	E	92.11	93.08	93.81	92.67	94.16	93.13
Diversity	N	92.67	92.22	94.37	92.44	94.05	92.96
Diversity	R	92.65	92.72	93.67	93.33	94.18	93.28
Diversity	W	92.19	92.31	93.31	92.20	94.62	92.04
Diversity	WSJ	92.26	92.31	93.75	92.70	94.32	93.33
Term similarity+diversity	A	92.73	92.63	93.16	92.58	93.88	92.23
Term similarity+diversity	E	92.55	92.90	93.78	92.73	93.54	92.57
Term similarity+diversity	N	92.47	92.27	94.03	92.63	94.30	93.14
Term similarity+diversity	R	92.80	93.11	93.92	93.47	93.79	92.99
Term similarity+diversity	W	92.61	92.45	93.44	93.52	94.76	93.26
Term similarity+diversity	WSJ	91.82	92.37	93.52	92.63	94.17	93.32

Table 6: Accuracy scores for cross-domain transfer of learned data selection weights for part-of-speech tagging with the Structured Perceptron model on the SANCL 2012 shared task dataset (Petrov and McDonald, 2012). \mathcal{D}_S : target domain used for learning metric \mathcal{S} . Best: bold. In-domain results: gray.

Transferred similarity+diversity features mostly achieve higher performance than other feature sets, but the higher number of parameters runs the risk of overfitting to the domain as can be observed with two instances of negative transfer with sim+div features.

As a reference, we also list the performance of the state-of-the-art multi-domain adaptation approach (Wu and Huang, 2016), which shows that task-independent data selection is in fact competitive with a task-specific, heuristic state-of-the-art domain adaptation approach. In fact our *transferred* similarity+diversity feature (E→D) outperforms the state-of-the-art (Wu and Huang, 2016) on DVD. This is encouraging as previous work (Remus, 2012) has shown that data selection and domain adaptation can be complementary.

5.3 Transfer across tasks

We finally investigate whether data selection is task-specific or whether a metric learned on one task can be transferred to another one. For each feature set, we use the learned weights for each domain in the source task (for sentiment analysis, we use the best weights on the validation set; for POS tagging, we use the Structured Perceptron model) and run experiments with them for all domains in the target task.⁷ We report the averaged accuracy

⁷E.g., for SA→POS, for each feature set, we obtain one set of weights for each of 4 SA domains, which we use to

Feature set	\mathcal{T}_S	Target tasks		
		POS	Pars	SA
Sim	POS	<u>93.51</u>	83.11	74.19
Sim	Pars	92.78	<u>83.27</u>	72.79
Sim	SA	86.13	67.33	<u>79.23</u>
Div	POS	<u>93.51</u>	83.11	69.78
Div	Pars	<u>93.02</u>	<u>83.41</u>	68.45
Div	SA	90.52	74.68	<u>79.65</u>
Sim+div	POS	<u>93.54</u>	<u>83.24</u>	69.79
Sim+div	Pars	<u>93.11</u>	<u>83.51</u>	72.27
Sim+div	SA	89.80	75.17	<u>80.36</u>

Table 7: Results of cross-task transfer of learned data selection weights. \mathcal{T}_S : task used for learning metric \mathcal{S} . POS: Part-of-speech tagging. Pars: Parsing. SA: sentiment analysis. Accuracy scores for SA and POS; LAS Attachment Score for parsing. Models: Structured Perceptron (POS tagging); Bi-LSTM parser (Kiperwasser and Goldberg, 2016) (Pars). Same features as in Table 5. In-task results: gray. Better than base: underlined.

scores for transfer across all tasks in Table 7.

Transfer is productive between related tasks, i.e. POS tagging and parsing results are similar to those obtained with data selection learned for the particular task. We observe large drops in performance for transfer between unrelated tasks, such

select data for the 6 POS domains, yielding $4 \cdot 6 = 24$ results.

as sentiment analysis and POS tagging, which is expected since these are very different tasks. Between related tasks, the combination of similarity and diversity features achieves the most robust transfer and outperforms the baselines in both cases. This suggests that even in the absence of target task data, we only require data of a related task to learn a successful data selection measure.

6 Related work

Most prior work on data selection for transfer learning focuses on phrase-based machine translation. Typically language models are leveraged via perplexity or cross-entropy scoring to select target data (Moore and Lewis, 2010; Axelrod et al., 2011; Duh et al., 2013; Mirkin and Besacier, 2014). A recent study investigates data selection for neural machine translation (van der Wees et al., 2017). Perplexity was also used to select training data for dependency parsing (Søgaard, 2011), but has been found to be less suitable for tasks such as sentiment analysis (Ruder et al., 2017). In general, there are fewer studies on data selection for other tasks, e.g., constituent parsing (McClosky et al., 2010), dependency parsing (Plank and van Noord, 2011; Søgaard, 2011) and sentiment analysis (Remus, 2012). Work on predicting task accuracy is related, but can be seen as complementary (Ravi et al., 2008; Van Asch and Daelemans, 2010).

Many domain similarity metrics have been proposed. Blitzer et al. (2007) show that proxy \mathcal{A} distance can be used to measure the adaptability between two domains in order to determine examples for annotation. Van Asch and Daelemans (2010) find that Rényi divergence outperforms other metrics in predicting POS tagging accuracy, while Plank and van Noord (2011) observe that topic distribution-based representations with Jensen-Shannon divergence perform best for data selection for parsing. Remus (2012) apply Jensen-Shannon divergence to select training examples for sentiment analysis. Finally, Wu and Huang (2016) propose a similarity metric based on a sentiment graph. We test previously explored similarity metrics and complement them with diversity.

Very recently interest emerged in *curriculum learning* (Bengio et al., 2009). It is inspired by human active learning by providing easier examples at initial learning stages (e.g., by curriculum strategies such as growing vocabulary size). Curriculum learning employs a range of data metrics,

but aims at altering the order in which the entire training data is selected, rather than *selecting* data. In contrast to us, curriculum learning is mostly aimed at speeding up the learning, while we focus on learning metrics for transfer learning. Other related work in this direction include using Reinforcement Learning to learn what data to select during neural network training (Fan et al., 2017).

There is a long history of research in adaptive data selection, with early approaches grounded in information theory using a Bayesian learning framework (MacKay, 1992). It has also been studied extensively as active learning (El-Gamal, 1991). Curriculum learning is related to active learning (Settles, 2012), whose view is different: active learning aims at finding the most difficult instances to label, examples typically close to the decision boundary. Confidence-based measures are prominent, but as such are less widely applicable than our model-agnostic approach.

The approach most similar to ours is by Tsvetkov et al. (2016) who use Bayesian Optimization to learn a curriculum for training word embeddings. Rather than ordering data (in their case, paragraphs), we use Bayesian Optimization for learning to *select* relevant training instances that are useful for transfer learning in order to prevent negative transfer (Rosenstein et al., 2005). To the best of our knowledge there is no prior work that uses this strategy for transfer learning.

7 Conclusion

We propose to use Bayesian Optimization to learn data selection measures for transfer learning. Our results outperform existing domain similarity metrics on three tasks (sentiment analysis, POS tagging and parsing), and are competitive with a state-of-the-art domain adaptation approach. More importantly, we present the first study on the transferability of such measures, showing promising results to port them across models, domains and related tasks.

Acknowledgments

We thank the anonymous reviewers for their valuable feedback. Sebastian is supported by Irish Research Council Grant Number EBPPG/2014/30 and Science Foundation Ireland Grant Number SFI/12/RC/2289. Barbara is supported by NVIDIA corporation and the Computing Center of the University of Groningen.

References

- Sanjeev Arora, Yingyu Liang, and Tengyu Ma. 2017. A Simple But Tough-to-Beat Baseline for Sentence Embeddings. In *ICLR 2017*.
- Amittai Axelrod, Xiaodong He, and Jianfeng Gao. 2011. [Domain adaptation via pseudo in-domain data selection](#). In *Proceedings of the 2011 Conference on Empirical Methods in Natural Language Processing*. ACM.
- Shai Ben-David, John Blitzer, Koby Crammer, and Fernando Pereira. 2007. Analysis of representations for domain adaptation. *Advances in Neural Information Processing Systems*, 19:137–144.
- Y. Bengio, J. Louradour, R. Collobert, and J. Weston. 2009. Curriculum learning. In *International Conference on Machine Learning, ICML*.
- Anil Bhattacharya. 1943. On a measure of divergence between two statistical population defined by their population distributions. *Bulletin Calcutta Mathematical Society* 35.99-109 (1943): 28., 35(99-109):28.
- David M. Blei, Andrew Y. Ng, and Michael I. Jordan. 2003. [Latent Dirichlet Allocation](#). *Journal of Machine Learning Research*, 3(4-5):993–1022.
- John Blitzer, Mark Dredze, and Fernando Pereira. 2007. [Biographies, bollywood, boom-boxes and blenders: Domain adaptation for sentiment classification](#). *Annual Meeting-Association for Computational Linguistics*, 45(1):440.
- John Blitzer, Ryan McDonald, and Fernando Pereira. 2006. [Domain Adaptation with Structural Correspondence Learning](#). *Proceedings of the 2006 Conference on Empirical Methods in Natural Language Processing (EMNLP '06)*, pages 120–128.
- Danushka Bollegala, David Weir, and John Carroll. 2011. Using Multiple Sources to Construct a Sentiment Sensitive Thesaurus for Cross-Domain Sentiment Classification. In *Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies-Volume 1*, pages 132–141.
- Konstantinos Bousmalis, George Trigeorgis, Nathan Silberman, Dilip Krishnan, and Dumitru Erhan. 2016. [Domain Separation Networks](#). *NIPS*.
- E Brochu, V M Cora, and N De Freitas. 2010. [A tutorial on Bayesian optimization of expensive cost functions, with application to active user modeling and hierarchical reinforcement learning](#). In *CoRR*.
- Hal Daumé III. 2007. [Frustratingly Easy Domain Adaptation](#). *Association for Computational Linguistic (ACL)*, (June):256–263.
- Kevin Duh, Graham Neubig, Katsuhito Sudoh, and Hajime Tsukada. 2013. [Adaptation Data Selection using Neural Language Models: Experiments in Machine Translation](#). *ACL-2013*, (1):678–683.
- M. A El-Gamal. 1991. The role of priors in active Bayesian learning in the sequential statistical decision framework. In *Maximum Entropy and Bayesian Methods*, pages 33–38. Springer Netherlands.
- Yang Fan, Fei Tian, Tao Qin, Jiang Bian, and Tie-Yan Liu. 2017. [Learning What Data to Learn](#). In *Workshop track - ICLR 2017*.
- Jing Jiang and ChengXiang Zhai. 2007. [Instance Weighting for Domain Adaptation in NLP](#). *Proceedings of the 45th Annual Meeting of the Association of Computational Linguistics*, (October):264–271.
- Eliyahu Kiperwasser and Yoav Goldberg. 2016. [Simple and Accurate Dependency Parsing Using Bidirectional LSTM Feature Representations](#). *Transactions of the Association for Computational Linguistics*, 4:313–327.
- Aaron Klein, Stefan Falkner, and Frank Hutter. 2017. [Fast Bayesian Optimization of Machine Learning Hyperparameters on Large Datasets](#). In *Proceedings of the 20th International Conference on Artificial Intelligence and Statistics (AISTATS 2017)*.
- Lillian Lee. 2001. On the Effectiveness of the Skew Divergence for Statistical Language Analysis. *AISTATS (Artificial Intelligence and Statistics)*, pages 65–72.
- J Lin. 1991. Divergence Measures Based on the Shannon Entropy. *IEEE Transactions on Information theory*, 37(1):145–151.
- Ji Ma, Yue Zhang, and Jingbo Zhu. 2014. [Tagging The Web: Building A Robust Web Tagger with Neural Network](#). *Proceedings of the 52nd Annual Meeting of the Association for Computational Linguistics (Volume 1: Long Papers)*, pages 144–154.
- David J. C. MacKay. 1992. [Information-Based Objective Functions for Active Data Selection](#). *Neural Computation*, 4(4):590–604.
- Yishay Mansour. 2009. [Domain Adaptation with Multiple Sources](#). *Neural Information Processing Systems Conference (NIPS 2009)*.
- David McClosky, Eugene Charniak, and Mark Johnson. 2010. Automatic domain adaptation for parsing. In *Human Language Technologies: The 2010 Annual Conference of the North American Chapter of the Association for Computational Linguistics*, pages 28–36. Association for Computational Linguistics.
- Tomas Mikolov, Kai Chen, Greg Corrado, and Jeffrey Dean. 2013. [Distributed Representations of Words and Phrases and their Compositionality](#). *NIPS*.
- Shachar Mirkin and Laurent Besacier. 2014. Data selection for compact adapted smt models. In *Eleventh Conference of the Association for Machine Translation in the Americas (AMTA)*.

- Jonas Moćkus. 1974. On bayesian methods for seeking the extremum. In *Optimization Techniques IFIP Technical Conference Novosibirsk*.
- Robert C Moore and William D Lewis. 2010. Intelligent Selection of Language Model Training Data. *ACL-2010: 48th Annual Meeting of the Association for Computational Linguistics*, (July):220–224.
- Sinno Jialin Pan and Qiang Yang. 2010. A survey on transfer learning. *IEEE Transactions on Knowledge and Data Engineering*, 22(10):1345–1359.
- Jeffrey Pennington, Richard Socher, and Christopher D. Manning. 2014. [Glove: Global Vectors for Word Representation](#). *Proceedings of the 2014 Conference on Empirical Methods in Natural Language Processing*, pages 1532–1543.
- Slav Petrov, Pi-Chuan Chang, Michael Ringgaard, and Hiyen Alshawi. 2010. Uptraining for accurate deterministic question parsing. In *Proceedings of the 2010 Conference on Empirical Methods in Natural Language Processing*, pages 705–713. Association for Computational Linguistics.
- Slav Petrov and Ryan McDonald. 2012. [Overview of the 2012 shared task on parsing the web](#). *Notes of the First Workshop on Syntactic Analysis of Non-Canonical Language (SANCL)*, 59.
- Barbara Plank and Gertjan van Noord. 2011. Effective Measures of Domain Similarity for Parsing. *Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies*, 1:1566–1576.
- Barbara Plank, Anders Søgaard, and Yoav Goldberg. 2016. [Multilingual Part-of-Speech Tagging with Bidirectional Long Short-Term Memory Models and Auxiliary Loss](#). In *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics*.
- C. Radhakrishna Rao. 1982. Diversity and dissimilarity coefficients: a unified approach. *Theoretical population biology*, 21(1):24–43.
- Carl Edward Rasmussen. 2006. *Gaussian processes for machine learning*. MIT Press.
- Sujith Ravi, Kevin Knight, and Radu Soricut. 2008. Automatic prediction of parser accuracy. In *Proceedings of the Conference on Empirical Methods in Natural Language Processing*, pages 887–896. Association for Computational Linguistics.
- Robert Remus. 2012. Domain adaptation using Domain Similarity- and Domain Complexity-based Instance Selection for Cross-Domain Sentiment Analysis. In *IEEE ICDM SENTIRE-2012*.
- Alfréd Rényi. 1961. [On measures of entropy and information](#). In *Proceedings of the fourth Berkeley symposium on mathematical statistics and probability*, volume 1.
- Michael T. Rosenstein, Zvika Marx, Leslie Pack Kaelbling, and Thomas G. Dietterich. 2005. To Transfer or Not To Transfer. *NIPS Workshop on Inductive Transfer*.
- Sebastian Ruder, Parsa Ghaffari, and John G. Breslin. 2017. [Data Selection Strategies for Multi-Domain Sentiment Analysis](#). In *arXiv preprint arXiv:1702.02426*.
- Tobias Schnabel and Hinrich Schütze. 2014. [FLORS: Fast and Simple Domain Adaptation for Part-of-Speech Tagging](#). *TACL*, 2:15–26.
- Burr Settles. 2012. *Active learning literature survey*. Morgan and Claypool.
- Claude E Shannon. 1948. [A mathematical theory of communication](#). *The Bell System Technical Journal*, 27(July 1928):379–423.
- Edward H. Simpson. 1949. Measurement of diversity. *Nature*.
- Jasper Snoek, Hugo Larochelle, and Ryan P. Adams. 2012. [Practical Bayesian Optimization of Machine Learning Algorithms](#). *Neural Information Processing Systems Conference (NIPS 2012)*.
- Andres Søgaard. 2011. [Data Point Selection for Cross-Language Adaptation of Dependency Parsers](#). *Proceedings of the 49th Annual Meeting of the Association for Computational Linguistics: Human Language Technologies (HLT ’11): Short Papers*, pages 682–686.
- Yulia Tsvetkov, Manaal Faruqui, Wang Ling, and Chris Dyer. 2016. [Learning the Curriculum with Bayesian Optimization for Task-Specific Word Representation Learning](#). In *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (ACL 2016)*.
- Vincent Van Asch and Walter Daelemans. 2010. [Using Domain Similarity for Performance Estimation](#). *Computational Linguistics*, (July):31–36.
- Marlies van der Wees, Arianna Bisazza, and Christof Monz. 2017. Dynamic data selection for neural machine translation. In *Proceedings of the 2017 Conference on Empirical Methods in Natural Language Processing*.
- Fangzhao Wu and Yongfeng Huang. 2016. Sentiment Domain Adaptation with Multiple Sources. *Proceedings of the 54th Annual Meeting of the Association for Computational Linguistics (ACL 2016)*, pages 301–310.