Statistical test for the heart failure dataset (Ahmad et al. 2017, PlosOne)

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We perform here a quick statistical exploration of the heart failure dataset originally analysed in Ahmad et al., PlosONE, 2017

To this aim we use an instance of the original data without the time feature and not normalized.

First we load data (Davide, just set your correct path if you want to run the notebook)

```
library(readr)
path_to_data <- "~/Google Drive/chicco_survival/data/"</pre>
filename <- paste(path_to_data,"dataset_edited_without_time.csv",sep="")</pre>
dataset edited without time <- as.data.frame(read csv(filename,))
print(head(dataset_edited_without_time))
##
     gender smoking diabetes blood_pressure anaemia age ejection_fraction
## 1
                             0
                                                          43
                   0
                                              0
                                                       1
                                                                              50
                                                          73
## 2
           1
                   1
                             1
                                              0
                                                       1
                                                                              30
                             0
                                                          70
## 3
                                              1
                                                       0
                                                                              20
           1
                   1
## 4
           1
                   0
                             0
                                              0
                                                       1
                                                          65
                                                                              25
                   0
                             0
                                              0
                                                       0
## 5
           1
                                                          64
                                                                              60
                   0
                             0
## 6
                                              0
                                                       1
                                                         75
                                                                              15
     serum_sodium serum_creatinine platelets creatinine_phosphokinase
##
## 1
               135
                                 1.30
                                         237000
                                                                        358
## 2
               142
                                 1.18
                                          160000
                                                                        231
## 3
               134
                                 1.83
                                         263358
                                                                        582
## 4
               141
                                 1.10
                                          298000
                                                                        305
## 5
               137
                                 1.00
                                         242000
                                                                       1610
## 6
                                 1.20
                                         127000
                                                                        246
     death_event
## 1
## 2
                0
                1
## 4
                0
                0
## 5
                1
```

Now we set *death_event* as a **factor**, since the statistical tests want a factor as the second element of the "~" formula.

```
dataset_edited_without_time$death_event <-
   as.factor(dataset_edited_without_time$death_event)</pre>
```

We proceed now with the computation of the four following statistical comparative tests:

• Wilcoxon rank test (aka Mann-Whitney U test), applied the feature feat versus death_event to detect whether we can reject the null hypothesis that the distribution of the feature feat for the groups of samples tdefined by death_event are the same; here we cannot use the Wilcoxon signed rank test, which needs the samples to be paired. To apply this last method, we should use repeated subsampling.

- Kruskal Wallis test, applied the feature feat versus death_event to detect whether we can reject the null hypothesis that the features feat of the samples grouped accordingly to death_event were selected from populations having the same distribution (it is indeed an extension of the Wilcoxon rank test);
- Chi squared test, applied the feature feat versus death_event to detect whether there is a significant association between the two variables; disclaimer: it should be applied to contingency vectors (counts), thus applying to numerical variables may by inappropriate. Note that we need to set the parameter simulate.p.value = TRUE to avoid warnings due to the small sample size.

plus the additional Shapiro test, which is applied to a single feature to detect whether feat has been extracted from a normal distribution.

All the outputs of the tests are stored on the alltests lists, that we print at the end of the discussion.

```
mycols <- names(dataset_edited_without_time)</pre>
mycols <- mycols[mycols!="death event"]</pre>
alltests <- list()
alltests[["Wilcoxon_rank"]] <-
  alltests[["Kruskal"]] <-</pre>
  alltests[["Chi"]] <-
  alltests[["Shapiro"]] <- list()</pre>
for(thecol in mycols){
  alltests[["Wilcoxon_rank"]][[thecol]] <-</pre>
    wilcox.test(as.formula(paste(thecol, "death_event", sep="~")),
                 data=dataset edited without time)
  alltests[["Kruskal"]][[thecol]] <-
    kruskal.test(as.formula(paste(thecol, "death_event", sep="~")),
                  data=dataset_edited_without_time)
  alltests[["Chi"]][[thecol]] <-</pre>
    chisq.test(x=as.factor(dataset_edited_without_time[,thecol]),
                y=dataset_edited_without_time$death_event,
                simulate.p.value = TRUE)
  alltests[["Shapiro"]][[thecol]] <-
    shapiro.test(dataset_edited_without_time[,thecol])
}
alltests[["Shapiro"]][["death_event"]]<-
  shapiro.test(as.numeric(dataset_edited_without_time$death_event))
```

Discussion

As a rule of thumb, the validity of the tests is assessed by looking at the resulting p-values.

We start with the Shapiro test of normality,

```
dummy <- c()
for(thecol in c(mycols, "death_event")) dummy[thecol] <- alltests[["Shapiro"]][[thecol]]$p.value</pre>
print(dummy)
##
                      gender
                                                                         diabetes
                                               smoking
##
               1.168593e-25
                                          4.581881e-26
                                                                    5.115471e-25
##
             blood_pressure
                                               anaemia
                                                                              age
##
               1.168593e-25
                                          6.209525e-25
                                                                    5.349669e-05
##
          ejection_fraction
                                          serum_sodium
                                                                serum_creatinine
##
               7.215954e-09
                                          9.214858e-10
                                                                     5.392797e-27
```

```
## platelets creatinine_phosphokinase death_event
## 2.883451e-12 7.050459e-28 4.581881e-26
```

The very p-values indicate that all the variables of the dataset can be considered as extracted from a normal distribution.

We move now to the comparison of the death_event target with the other features of the dataset.

For the Wilcoxon rank test (that is, the Mann–Whitney U test) p-values,

```
dummv \leftarrow c()
for(thecol in mycols) dummy[thecol] <- round(alltests[["Wilcoxon rank"]][[thecol]]$p.value,6)</pre>
print(dummy)
##
                       gender
                                                 smoking
                                                                           diabetes
                                                0.828190
##
                    0.941292
                                                                           0.973913
##
              blood_pressure
                                                 anaemia
                                                                                age
##
                    0.171016
                                                0.252970
                                                                           0.000167
##
           ejection_fraction
                                           serum_sodium
                                                                  serum_creatinine
##
                    0.00001
                                                0.000293
                                                                           0.00000
##
                   platelets creatinine_phosphokinase
##
                    0.425559
                                                0.684040
```

we can say that the values of each of the features age, ejection_fraction, serum_sodium and serum_creatinine are extracted from different distributions between the samples in groups death_event=0 and death_event=1, while null hypothesis of same distribution for the two groups of samples cannot be ruled out for gender, smoking, diabetes, blood-pressure, anaemia, platelets and creatinine_phosphokinase.

For the Kruskal Wallis p-values,

```
dummy \leftarrow c()
for(thecol in mycols) dummy[thecol] <- round(alltests[["Kruskal"]][[thecol]]$p.value,6)</pre>
print(dummy)
##
                       gender
                                                 smoking
                                                                           diabetes
##
                    0.940603
                                                0.827500
                                                                           0.973244
##
              blood pressure
                                                 anaemia
                                                                                 age
##
                                                0.252624
                    0.170746
                                                                           0.000166
##
           ejection fraction
                                           serum sodium
                                                                   serum creatinine
##
                    0.00001
                                                0.000292
                                                                           0.00000
##
                   platelets creatinine_phosphokinase
                    0.425142
##
                                                0.683513
```

we can say that for age, ejection_fraction, serum_sodium, serum_creatinine the null hypothesis of same distribution for the two groups of samples death_event=0 and death_event=1 can be ruled out, while the same cannot be stated for gender, smoking, diabetes, blood-pressure, anaemia, platelets and creatinine_phosphokinase. This is consistent with the result of the Wilcoxon rank test, as expected.

For the χ^2 p-values,

0.000500

##

```
dummy \leftarrow c()
for(thecol in mycols) dummy[thecol] <- round(alltests[["Chi"]][[thecol]]$p.value,6)</pre>
print(dummy)
##
                                                                            diabetes
                       gender
                                                 smoking
                                                0.893053
                                                                            1.000000
##
                     1.000000
##
              blood_pressure
                                                 anaemia
##
                     0.203398
                                                0.258371
                                                                            0.002999
##
           ejection_fraction
                                            serum_sodium
                                                                   serum_creatinine
```

0.006997

0.000500

```
## platelets creatinine_phosphokinase
## 0.626687 0.373313
```

again we have the same results of the Wilcoxon rank and Kruskal Wallis tests, that is, only age, ejection_fraction, serum_sodium, serum_creatinine have a significant relation with death_event, while for the other features the null hypothesis of independence cannot be discarded.

Overall, we can conclude that these statistical tests indicate age, ejection_fraction, serum_sodium, serum_creatinine as the four important features of the dataset for discriminating survival as expressed by the death_event variable.

For completeness, we print out all the tests' outputs.

```
print(alltests)
```

```
## $Shapiro
## $Shapiro$gender
##
##
    Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.60343, p-value < 2.2e-16
##
##
##
  $Shapiro$smoking
##
##
    Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.58814, p-value < 2.2e-16
##
##
##
  $Shapiro$diabetes
##
    Shapiro-Wilk normality test
##
##
## data: dataset_edited_without_time[, thecol]
## W = 0.62665, p-value < 2.2e-16
##
##
## $Shapiro$blood_pressure
##
##
    Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.60343, p-value < 2.2e-16
##
##
## $Shapiro$anaemia
##
##
    Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.62961, p-value < 2.2e-16
##
##
```

```
## $Shapiro$age
##
##
    Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.97547, p-value = 5.35e-05
##
## $Shapiro$ejection_fraction
##
##
   Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.94732, p-value = 7.216e-09
##
##
## $Shapiro$serum_sodium
##
##
  Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.93903, p-value = 9.215e-10
##
##
## $Shapiro$serum_creatinine
##
## Shapiro-Wilk normality test
## data: dataset_edited_without_time[, thecol]
## W = 0.55147, p-value < 2.2e-16
##
##
## $Shapiro$platelets
##
## Shapiro-Wilk normality test
## data: dataset_edited_without_time[, thecol]
## W = 0.91151, p-value = 2.883e-12
##
##
## $Shapiro$creatinine_phosphokinase
##
## Shapiro-Wilk normality test
##
## data: dataset_edited_without_time[, thecol]
## W = 0.51426, p-value < 2.2e-16
##
##
## $Shapiro$death_event
## Shapiro-Wilk normality test
## data: as.numeric(dataset_edited_without_time$death_event)
## W = 0.58814, p-value < 2.2e-16
```

```
##
##
##
## $Chi
## $Chi$gender
##
  Pearson's Chi-squared test with simulated p-value (based on 2000
  replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 0.0055707, df = NA, p-value = 1
##
##
## $Chi$smoking
##
   Pearson's Chi-squared test with simulated p-value (based on 2000
##
   replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 0.047644, df = NA, p-value = 0.8931
##
##
## $Chi$diabetes
## Pearson's Chi-squared test with simulated p-value (based on 2000
##
   replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 0.0011287, df = NA, p-value = 1
##
##
## $Chi$blood_pressure
## Pearson's Chi-squared test with simulated p-value (based on 2000
##
   replicates)
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 1.8827, df = NA, p-value = 0.2034
##
##
## $Chi$anaemia
##
## Pearson's Chi-squared test with simulated p-value (based on 2000
## replicates)
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 1.3131, df = NA, p-value = 0.2584
##
##
## $Chi$age
##
## Pearson's Chi-squared test with simulated p-value (based on 2000
## replicates)
##
```

```
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 69.147, df = NA, p-value = 0.002999
##
##
## $Chi$ejection_fraction
##
## Pearson's Chi-squared test with simulated p-value (based on 2000
## replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 65.332, df = NA, p-value = 0.0004998
##
##
## $Chi$serum_sodium
##
   Pearson's Chi-squared test with simulated p-value (based on 2000
##
  replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 45.801, df = NA, p-value = 0.006997
##
##
## $Chi$serum_creatinine
##
## Pearson's Chi-squared test with simulated p-value (based on 2000
##
  replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 92.428, df = NA, p-value = 0.0004998
##
##
## $Chi$platelets
##
## Pearson's Chi-squared test with simulated p-value (based on 2000
## replicates)
##
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 172.08, df = NA, p-value = 0.6267
##
##
## $Chi$creatinine_phosphokinase
##
## Pearson's Chi-squared test with simulated p-value (based on 2000
## replicates)
## data: as.factor(dataset_edited_without_time[, thecol]) and dataset_edited_without_time$death_event
## X-squared = 209.84, df = NA, p-value = 0.3733
##
##
##
## $Kruskal
## $Kruskal$gender
##
## Kruskal-Wallis rank sum test
```

```
##
## data: gender by death_event
## Kruskal-Wallis chi-squared = 0.0055521, df = 1, p-value = 0.9406
##
##
## $Kruskal$smoking
##
  Kruskal-Wallis rank sum test
##
## data: smoking by death_event
## Kruskal-Wallis chi-squared = 0.047485, df = 1, p-value = 0.8275
##
##
## $Kruskal$diabetes
##
##
   Kruskal-Wallis rank sum test
##
## data: diabetes by death_event
## Kruskal-Wallis chi-squared = 0.0011249, df = 1, p-value = 0.9732
##
## $Kruskal$blood_pressure
##
## Kruskal-Wallis rank sum test
##
## data: blood_pressure by death_event
## Kruskal-Wallis chi-squared = 1.8764, df = 1, p-value = 0.1707
##
## $Kruskal$anaemia
##
##
  Kruskal-Wallis rank sum test
##
## data: anaemia by death_event
## Kruskal-Wallis chi-squared = 1.3087, df = 1, p-value = 0.2526
##
##
## $Kruskal$age
##
## Kruskal-Wallis rank sum test
## data: age by death_event
## Kruskal-Wallis chi-squared = 14.178, df = 1, p-value = 0.0001663
##
## $Kruskal$ejection_fraction
##
   Kruskal-Wallis rank sum test
##
##
## data: ejection_fraction by death_event
## Kruskal-Wallis chi-squared = 24.523, df = 1, p-value = 7.341e-07
##
##
## $Kruskal$serum sodium
```

```
##
## Kruskal-Wallis rank sum test
##
## data: serum_sodium by death_event
## Kruskal-Wallis chi-squared = 13.121, df = 1, p-value = 0.0002919
##
## $Kruskal$serum_creatinine
##
##
  Kruskal-Wallis rank sum test
## data: serum_creatinine by death_event
## Kruskal-Wallis chi-squared = 40.935, df = 1, p-value = 1.574e-10
##
##
## $Kruskal$platelets
##
## Kruskal-Wallis rank sum test
##
## data: platelets by death_event
## Kruskal-Wallis chi-squared = 0.63606, df = 1, p-value = 0.4251
##
## $Kruskal$creatinine_phosphokinase
##
## Kruskal-Wallis rank sum test
##
## data: creatinine_phosphokinase by death_event
## Kruskal-Wallis chi-squared = 0.1662, df = 1, p-value = 0.6835
##
##
##
## $Wilcoxon_rank
## $Wilcoxon_rank$gender
## Wilcoxon rank sum test with continuity correction
##
## data: gender by death_event
## W = 9787, p-value = 0.9413
## alternative hypothesis: true location shift is not equal to 0
##
##
## $Wilcoxon_rank$smoking
##
## Wilcoxon rank sum test with continuity correction
##
## data: smoking by death_event
## W = 9867, p-value = 0.8282
## alternative hypothesis: true location shift is not equal to 0
##
## $Wilcoxon_rank$diabetes
##
## Wilcoxon rank sum test with continuity correction
```

```
##
## data: diabetes by death_event
## W = 9764, p-value = 0.9739
\#\# alternative hypothesis: true location shift is not equal to 0
##
## $Wilcoxon_rank$blood_pressure
##
## Wilcoxon rank sum test with continuity correction
##
## data: blood_pressure by death_event
## W = 8953.5, p-value = 0.171
## alternative hypothesis: true location shift is not equal to 0
##
##
## $Wilcoxon_rank$anaemia
##
## Wilcoxon rank sum test with continuity correction
##
## data: anaemia by death_event
## W = 9059, p-value = 0.253
\#\# alternative hypothesis: true location shift is not equal to 0
##
##
## $Wilcoxon_rank$age
## Wilcoxon rank sum test with continuity correction
## data: age by death_event
## W = 7121, p-value = 0.0001668
## alternative hypothesis: true location shift is not equal to 0
##
##
## $Wilcoxon_rank$ejection_fraction
## Wilcoxon rank sum test with continuity correction
## data: ejection_fraction by death_event
## W = 13176, p-value = 7.368e-07
## alternative hypothesis: true location shift is not equal to 0
##
##
## $Wilcoxon_rank$serum_sodium
##
## Wilcoxon rank sum test with continuity correction
##
## data: serum_sodium by death_event
## W = 12262, p-value = 0.0002928
## alternative hypothesis: true location shift is not equal to 0
##
## $Wilcoxon_rank$serum_creatinine
##
## Wilcoxon rank sum test with continuity correction
```

```
##
## data: serum_creatinine by death_event
## W = 5298, p-value = 1.581e-10
\#\# alternative hypothesis: true location shift is not equal to 0
##
## $Wilcoxon_rank$platelets
##
## Wilcoxon rank sum test with continuity correction
##
## data: platelets by death_event
## W = 10300, p-value = 0.4256
## alternative hypothesis: true location shift is not equal to 0
##
##
## $Wilcoxon_rank$creatinine_phosphokinase
##
## Wilcoxon rank sum test with continuity correction
##
## data: creatinine_phosphokinase by death_event
## W = 9460, p-value = 0.684
\#\# alternative hypothesis: true location shift is not equal to 0
```