

ECEN 758 Data Mining and Analysis

Heaps and Binary Search Trees

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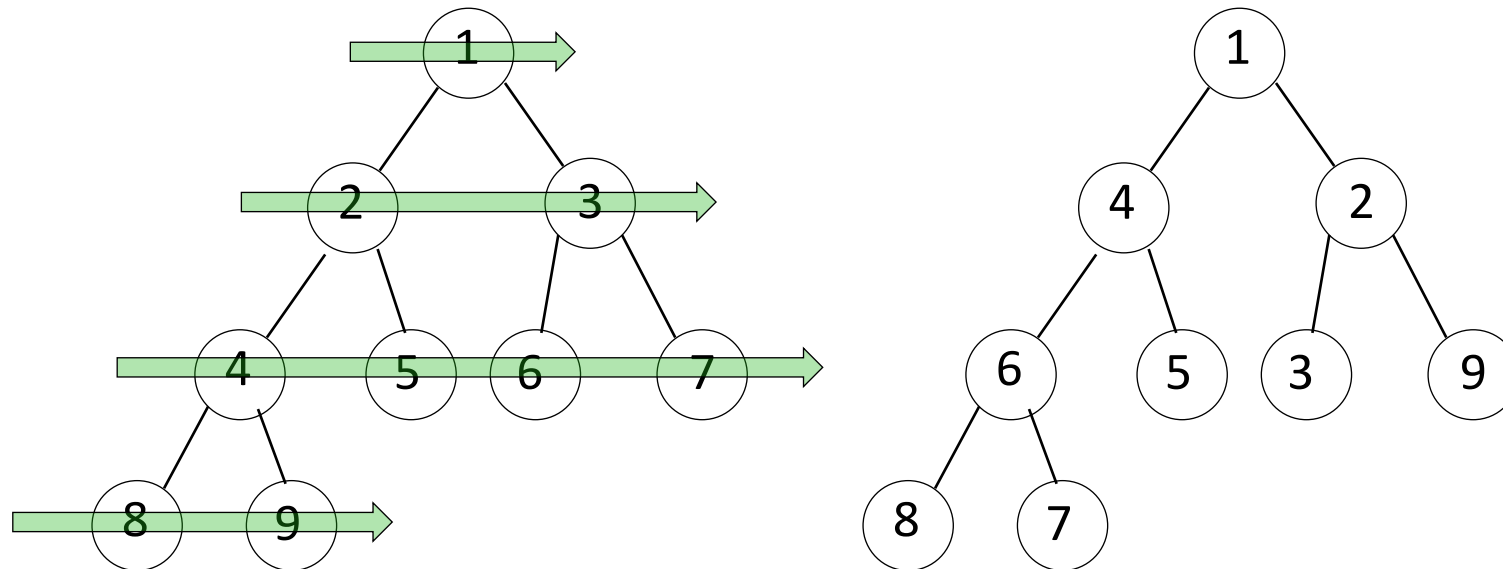
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Heaps

- **Heap (low priority version)**

- Each node has at most two children
- Parents have lower priority than children
- Each depth of is filled in order (top to bottom, left to right)

- **Two possible heaps storing the numbers {1,..9}**

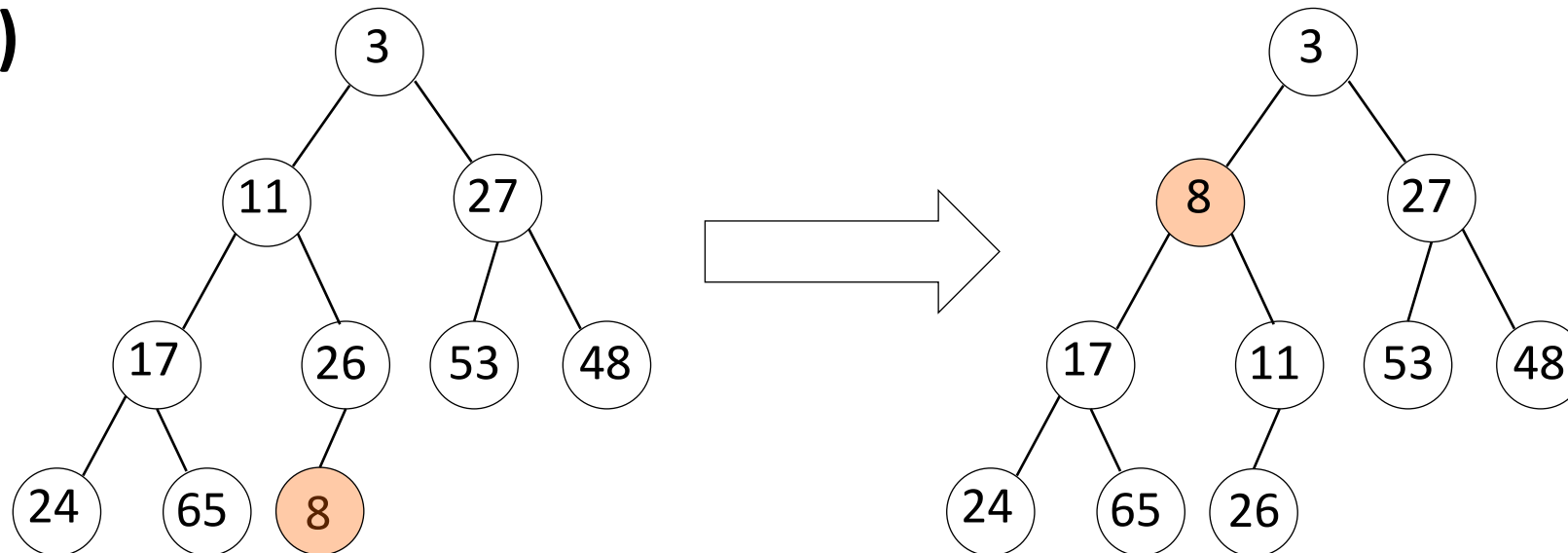


- **Heaps of same size have same topology; balanced binary**

Heap Insertion

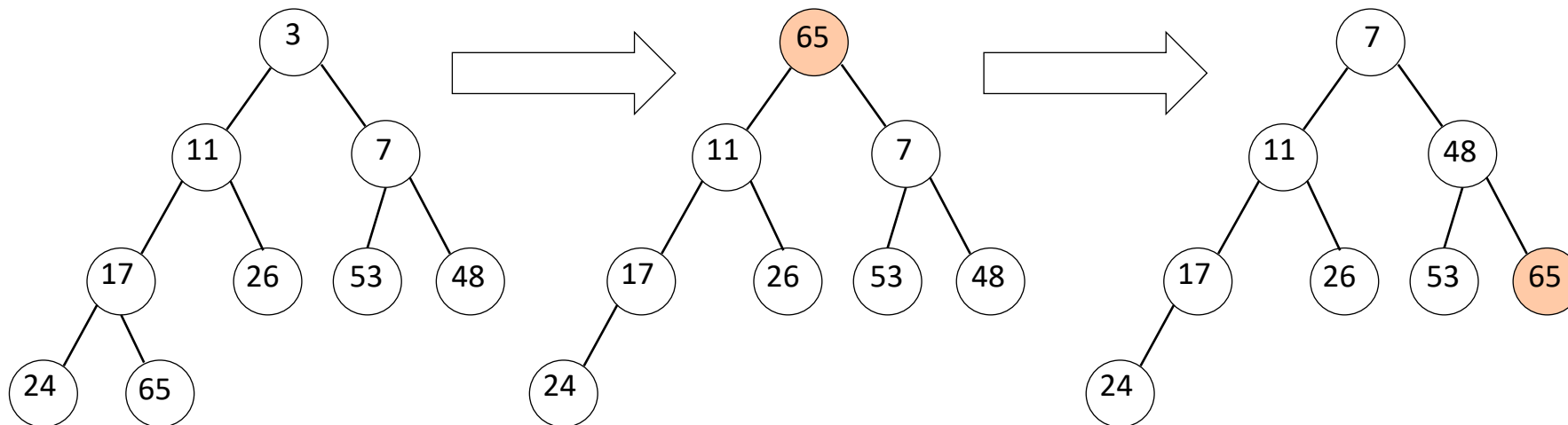
- Insert new item at next free position
- Bubble up by swapping with until a heap is obtained
- Have to do at most h swaps, where $h = O(\log n)$ is tree depth

- Insert(8)



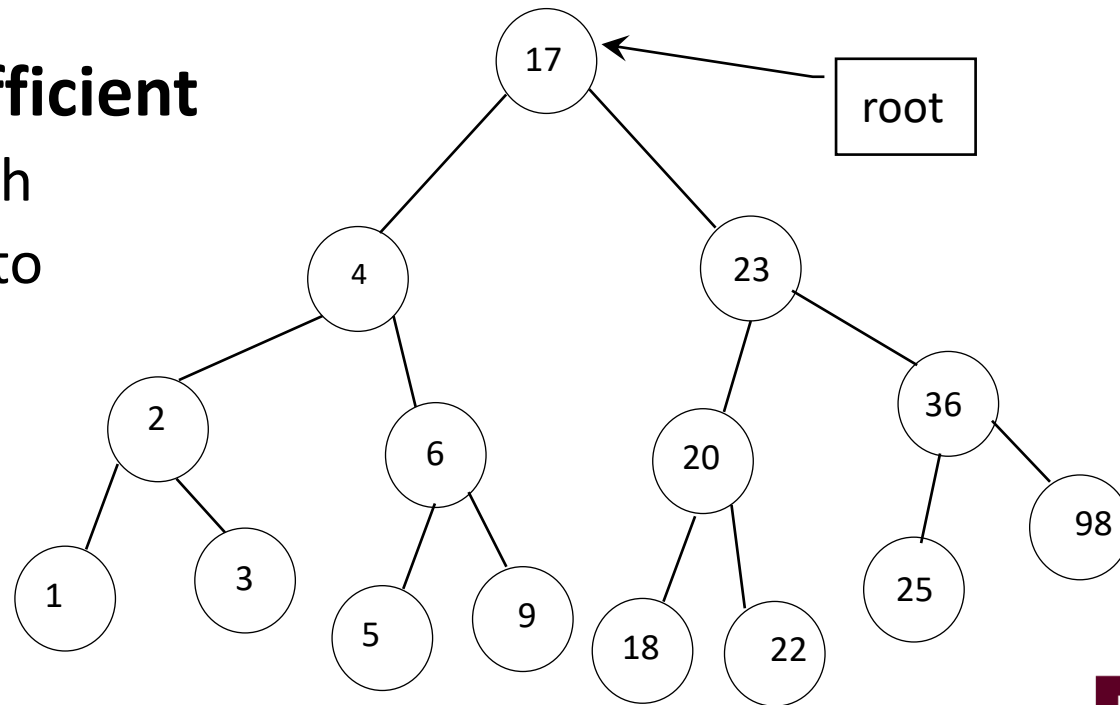
Heap Removal

- **Only the root node is removable**
 - Lowest priority item when implementing a (low)-priority queue
- **Remove root node**
- **Move node in last position to root**
- **Bubble down by swapping with smaller child until heap formed**
 - Takes at most h swaps, where $h = O(\log n)$ is tree depth



Binary Search Trees

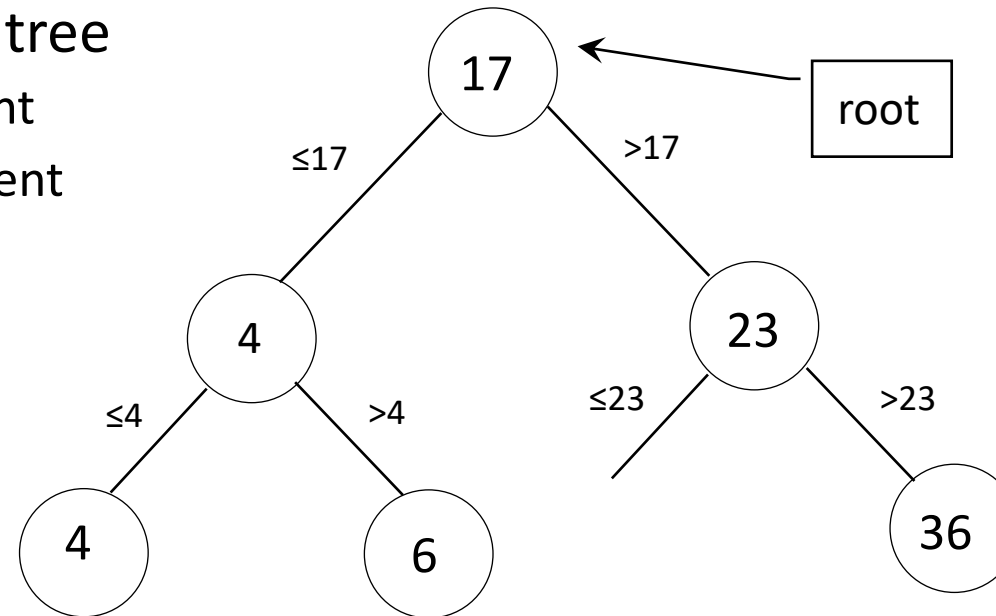
- **Data structure to store and retrieve points in ordered set**
 - e.g. numbers with order of “<”
- **Points do not need to be added in any particular order**
 - No presorting needed
- **Tree like structure is very efficient**
 - Stores $n = 2^h - 1$ items if depth h
 - Computational cost $O(\log n)$ to retrieve any item



Storing in a Binary Search Tree

- **Storing an ordered set, e.g., {17, 4, 6, 23, 36, 4} with $>$ order**

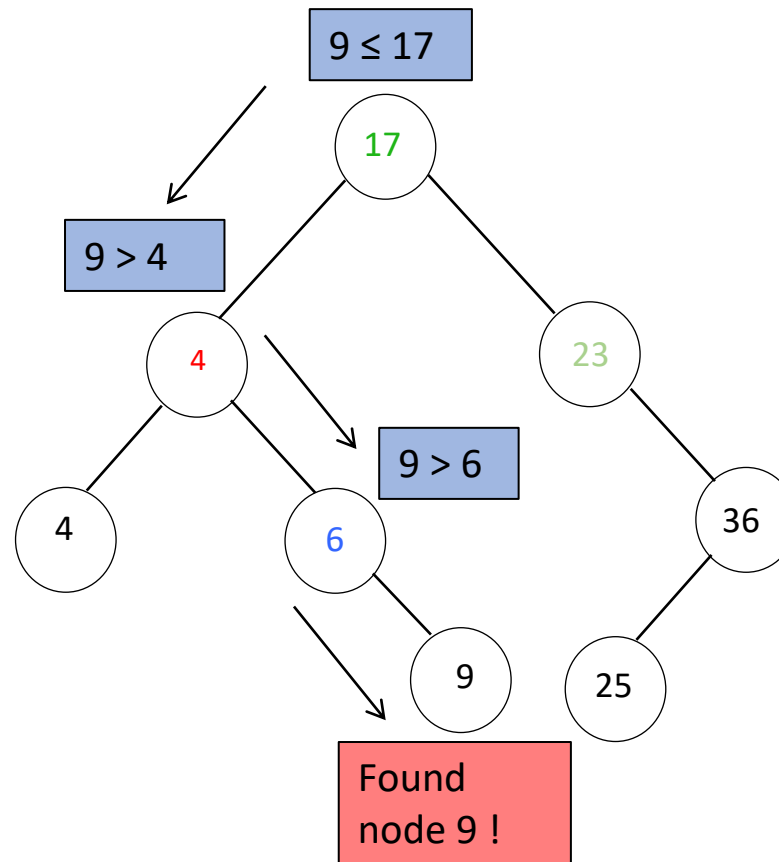
- Store first element at root: 17
- Pass further elements down tree
 - To left child if element \leq parent
 - To right child if element $>$ parent



- Tree is depth $O(\log m)$ for m items
- Computational complexity to add, delete or retrieve item is $O(\log m)$
- Binary search easy: go left/right until found, $O(\log m)$ steps
- **Need to rebalance:** maintain bounded depth (i.e. approx. symmetry)

Search on a Binary Tree

- **Search for some node (and whatever information attached)**
 - say node 9
- **Start at root**
- **Iterate until found:**
 - Branch left if $9 \leq \text{node}$
 - Branch right if $9 > \text{node}$



References

- **Binary trees in general:**

- Goodrich: [Data Structures and Algorithms in Python](#). Ch. 8
 - TAMU Library, online
- These notes describe insertion and search; BST also provide for deletion, rebalance and other operations.

