### Computer Vision 2019 HW4 report 108061613 黃雅琳

### Part 1. Transfer Learning in CNN PyTorch

Q1-1. Please report the validation accuracy of a pretrained Alexnet used as a feature extractor in the two-class classification problem. (5 pts)

### ps. Only 4096x2 layer would be finetuned

1. 限制其他層做 back propagation,清除最後一層,改為 binary classifier。

```
model_conv = models.alexnet(pretrained=True)
for param in model_conv.parameters():
    param.requires_grad = False

# Parameters of newly constructed modules have requires_grad=True by default
model_conv.classifier = nn.Sequential(*[model_conv.classifier[i] for i in range(6)]) # remove the last
addition_fc = nn.Linear(4096, 2) # the layer to be stacked
model_conv.classifier = nn.Sequential(model_conv.classifier,addition_fc)
model_conv = model_conv.to(device)
```

Fig1: model setting of Q1-1

#### 2. Check the structure:

```
AlexNet(
  (features): Sequential(
    (0): Conv2d(3, 64, kernel_size=(11, 11), stride=(4, (1): ReLU(inplace=True)
    (2): MaxPool2d(kernel_size=3, stride=2, padding=0,
    (3): Conv2d(64, 192, kernel_size=(5, 5), stride=(1,
    (4): ReLU(inplace=True)
(5): MaxPool2d(kernel_size=3, stride=2, padding=0,
    (6): Conv2d(192, 384, kernel_size=(3, 3), stride=(1
(7): ReLU(inplace=True)
    (8): Conv2d(384, 256, kernel_size=(3, 3), stride=(1 (9): ReLU(inplace=True)
    (10): Conv2d(256, 256, kernel_size=(3, 3), stride=(
    (11): ReLU(inplace=True)
    (12): MaxPool2d(kernel_size=3, stride=2, padding=0,
  (avgpool): AdaptiveAvgPool2d(output_size=(6, 6))
  (classifier): Sequential(
    (0): Dropout(p=0.5, inplace=False)
    (1): Linear(in_features=9216, out_features=4096, bi
    (2): ReLU(inplace=True)
    (3): Dropout(p=0.5, inplace=False)
(4): Linear(in_features=4096, out_features=4096, bi
    (5): ReLU(inplace=True)
    (6): Linear(in_features=4096, out_features=1000, bi
```

Fig2: model structure of pretrained Alexnet

AlexNet(
(features): Sequential(
(0): Conv2d(3, 64, kernel\_size=(11, 11), stride:
(1): RetU(inplace=True)
(2): MaxPool2d(kernel\_size=3, stride=2, padding:
(3): Conv2d(64, 192, kernel\_size=(5, 5), stride:
(4): RetU(inplace=True)
(5): MaxPool2d(kernel\_size=3, stride=2, padding:
(6): Conv2d(192, 384, kernel\_size=(3, 3), stride:
(7): RetU(inplace=True)
(8): Conv2d(384, 256, kernel\_size=(3, 3), stride:
(9): RetU(inplace=True)
(10): Conv2d(256, 256, kernel\_size=(3, 3), stride:
(11): RetU(inplace=True)
(12): MaxPool2d(kernel\_size=3, stride=2, padding:
)
(avgpool): AdaptiveAvgPool2d(output\_size=(6, 6))
(classifier): Sequential(
(0): Sequential(
(0): Sequential(
(0): Sequential(
(0): Dropout(p=0.5, inplace=False)
(1): Linear(in\_features=9216, out\_features=406:
(2): RetU(inplace=True)
(3): Dropout(p=0.5, inplace=False)
(4): Linear(in\_features=4096, out\_features=406:
(5): RetU(inplace=True)
)
(1): Linear(in\_features=4096, out\_features=2, bi:
)

Fig3: model structure of pretrained Alexnet set the output equals to 2

3. Best validation Acuuracy = 0.810000

### Q1-2 Please report the validation accuracy of a pretrained Alexneta fter it is finetuned in the two-class classification problem. (5 pts)

ps. Please try to finetune every layer

1. 每層都會做 back propagation (param.required grad=(default:True))

```
## Alexnet
model_ft = models.alexnet(pretrained=True)
model_ft.classifier = nn.Sequential(*[model_ft.classifier[i] for i in range(6)]) # remove the last laj
addition_fc = nn.Linear(4096, 2) # the layer to be stacked
model_ft.classifier = nn.Sequential(model_ft.classifier,addition_fc)
#model_ft = nn.Sequential(model_ft,addition_fc)
print(model_ft)
##
model_ft = model_ft.to(device)
```

Fig4: model setting of Q1-2

**2.** Best validation accuracy = 0.885000

### Q1-3 Please report the validation accuracy of a non-pretrained Alexnet after it is trained in the two-class classification problem. (5 pts)

### ps. Alexnet is trained from scratch

Setting : pretrained = False

```
## Alexnet
model_np = models.alexnet(pretrained=False)
model_np.classifier = nn.Sequential(*[model_np.classifier[i] for i in range(6)]) # remove the last lag
addition_np = nn.Linear(4096, 2) # the layer to be stacked
model_np.classifier = nn.Sequential(model_np.classifier,addition_fc)

print(model_np)
##
model_np = model_np.to(device)

criterion = nn.CrossEntropyLoss()

# Observe that all parameters are being optimized
optimizer_np = optim.SGD(model_np.parameters(), lr=0.001, momentum=0.9)

# step size could be
exp_lr_scheduler = lr_scheduler.StepLR(optimizer_np, step_size=5, gamma=0.1)
```

Fig5: model setting of Q1-3

2. Best validation accuracy = 0.742500

	Alexnet as	Initial validation Accu	Best validation
			Accu.
Case 1	Pretrained Feature	0.775	0.810
	Extractor		
Case 2	Pretrained and fintuned all	0.625	0.885
	layers		
Case 3	Non-pretrained, but trained	0.5	0.743
	by our data all layers		

比較一開始的Initail validation accuracy,只使用alexnet as feature extracture有比較好的結果,但最後於25個epoch結束後,表現最好的卻是finetuned all layers的pretrained alexnet。這是因為一開始的alexnet 還在利用我們的data結果尋找對的weight,故一開始weight 較不穩定→ case 2的initial Accr會較case 1差。然而,因為model feature extract有被我們的圖片訓練過,故最後反而會抓到更符合我們所需的feature。反之,case 1只能抓到以前用來預訓練Alexnet所認為重要的feature,故accuracy略低case 2一點也是正常的。

而case 3 和 case 2都是作為訓練整個model的設定,卻有不同的結果的原因是因為,要將一個深的模型訓練好,需要大量的數據跟時間去抓出node之間的weight,而case 3是從頭開始訓練,case 2 則是站在預訓練的結果基礎上,去找更好的weight,故能夠更快獲得好的結果。

不過,我認為並不是每個case都適合全層訓練,以大型模型像是Bert來講,要訓練這種大型模型會吃掉很多資源,並不是每個使用者都有辦法對其作finetune,反而會選擇使用第一種方法,利用pretrianed好的經典或是目前最好的模型作為feature extractor,再加入其他分類模型/分類器。

Q1-5. Please try to correct the data augmentation strategy in order to let the entire face of each image be seen and report the validation accuracy of a pre-trained Alexnetas a feature extractor in the two-class classification problem. (5 pts)

### 1. Augmentation:

(1) 檢查原本 data\_transform 的augmentation setting 會對data有甚麼影響:



Fig6: original augmentation strategy

Fig7: the Imgage result under original augmentation strategy

能看出會隨機crop到圖的一塊,故臉部並沒有包含在feature裡面。

(2)

Fig8 : my augmentation strategy

Fig9: the Imgage result under my augmentation strategy

RandomResizedCrop(224) → Resize(256) + CentorCrop(224)

- : 觀察dataset 裡的照片,大部分的人臉都在影像中央,故使用CentorCrop較為合適。 ColorJitter(contrast=0.25,brightness=0.25)
- :給training data增加一點對比度跟亮度的些微變化,因為test data的亮度、對比度不一定一樣。

RandomRotation(degree=15): 隨機旋轉影像

2. Model: only last layer is trainable, the upper layers worked as feature extractor

```
Alexhlet(
(features): Sequential(
(0): Conv2d(3, 64, kernel_size=(11, 11), stride=(4, 4), padding=(2, 2))
(1): ReLU(inplace=True)
(2): MaxPool2d(kernel_size=3, stride=2, padding=0, dilation=1, ceil_mode=False)
(3): Conv2d(64, 192, kernel_size=(5, 5), stride=(1, 1), padding=(2, 2))
(4): ReLU(inplace=True)
(5): MaxPool2d(kernel_size=3, stride=2, padding=0, dilation=1, ceil_mode=False)
(6): Conv2d(192, 384, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(7): ReLU(inplace=True)
(8): Conv2d(384, 256, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(9): ReLU(inplace=True)
(10): Conv2d(256, 256, kernel_size=(3, 3), stride=(1, 1), padding=(1, 1))
(11): ReLU(inplace=True)
(12): MaxPool2d(kernel_size=3, stride=2, padding=0, dilation=1, ceil_mode=False)
)
(classifier): Sequential(
(0): Sequential(
(0): Sequential(
(0): Sequential(
(0): Dropout(p=0.5, inplace=False)
(1): Linear(in_features=9216, out_features=4096, bias=True)
(2): ReLU(inplace=True)
(3): Dropout(p=0.5, inplace=False)
(4): Linear(in_features=4096, out_features=4096, bias=True)
(5): ReLU(inplace=True)
)
(1): Linear(in_features=4096, out_features=2, bias=True)
)
```

Fig 10: model of un-pretrained alexnet, the structure is as same as pretrained one.

3. Best validation accuracy =0.8800

Q1-6. Please try to correct the data augmentation strategy in order to let the entire face of each image be seen and report the validation accuracy of a pretrained Alexnet after it is fine-tunedin the two-class classification problem. (5 pts)

- 1. Augmentation: 同 Q1-5: augmentation
- 2. Model: all the layer in the pretrained Alexnet are trainable
- 3. Best validation accuracy =0.887500

### Q1-7. Please discuss the results of Q1-5 & Q1-6. (5pts)

	Alexnet as	Data	Init. Val. Accu.	Best Val. Accu
		augmentation		
Case 1	Pretrained	(default)	0.775	0.810
	Feature Extractor			
Case 2	Pretrained and	(default)	0.625	0.885
	fintuned all			
	layers			
Case 4	Case 1+	Му	0.74	0.88
Case 5	Case 2 +	augmentation	0.7625	0.8875
		strategy		

gnd: heavy\_makeup





gnd: heavy\_makeup



gnd: heavy\_makeup





Left: picture of default augmentation strategy Right: picture of my augmentation strategy

觀察左側,能發現原本的augmentation strategy可能 會擷取到沒有人臉特徵的部分,故我更改了切割圖 片的的位置,並且引入一些其他augmentation 的技 巧。

大部分的人臉都

We W		
Before	After	原因
Random-	Resize(256)	觀察dataset 裡的照片,
resizedcrop	+	在影像中央,故使用Cer
(224)	CentorCrop(224)	· 適。

resizedcrop	+	在影像中央,故使用CentorCrop較為合
(224)	CentorCrop(224)	適。
-	ColorJitter	給training data增加一點對比度跟亮度的
	(contrast=0.25,brightness=0.25)	些微變化,因為test data的亮度、對比度
		不一定一樣。
-	RandomRotation(degree=15)	小幅度隨機旋轉影像

如此確認擷取到的影像是在人臉五官的部分後,希望model學到的確實是臉上的 feature而不是背景。

以結果來看的話,加入我的augmentation strategy後,accuracy確實提升!

而且,同Q1-4的結論,做full-layer finetune的模型之最終預測準確率會高於以 pretrained model作為feature extractor的結果。

# Q1-8. Please try to achieve validation accuracy higher than 89.5% using a CNN other than Alexnet& ResNet-18 in the fine-tuning case. (20pts)

ps. Please use the correct data augmentation strategy to achieve the best results.

在此題,我嘗試使用了一些網路上常用的 CNN structure 和兩種經典模型 (shuffleNet, modbileNet),並以不同的 optimizer 跟參數去做調整。

最後,我使用了 mobilenet\_v2 作為我的 pretrained model, 使用 Adam 作為我的 optimizer (參數: lr=1e-3 )

Training complete in 10m 46s Best val Acc: 0.907500

Fig 11. Best Validation Accuracy of the pretrained model I chose

### Part 2. Semantic Segmentation

Q2-1 Please try to "eliminate" the skip-connection so the output of convolution layers of FCN8s will be directly upsampledfor 32x. Please report pixel accuracy and mIOUbefore and after. (10 pts)

### Before eliminating the skip-connection (x3,x4):

```
The highest mIOU is 0.4231790899422671 and is achieved at epoch-17
The highest pixel accuracy is 0.8459854125976562 and is achieved at epoch-17
```

### After eliminating the skip-connection (x3,x4):

```
The highest mIOU is 0.41727945199707206 and is achieved at epoch-20
The highest pixel accuracy is 0.83629150390625 and is achieved at epoch-17
```

### Q2-2. Please discuss the results of Q2-1. (10 pts)

### ps. Is skip connection quantitatively beneficial?

Skip connection 是為了解決當Network層數增加後導致的梯度消散跟梯度爆炸的問題,又有一說是能透過打破網路的對稱性,改善權重矩陣的退化[註一]。故如試驗結果,在將skip-connection拿掉之後, mIOU和pixel accuracy都下降了,這就是因為在反向傳播時,會進行微分的動作,可能得到小於一的數字。而多層數的連乘會導致很多維度的數字變小,信息表達能力嚴重不足。而在影像中,skip-connectin 能夠恢復空間的訊息,透過融合deep layer的semantic information,和shollow layer的appearance,提高分割性能。故skip connection現在已經廣泛的運用在深的網路之中。skip connection除了可以連接上下層,於Dense Net更是實現了跨層連接。所以可以說,skip connection is quantitively beneficial.

[註一]Orhan A E, Pitkow X. Skip connections eliminate singularities[J]. arXiv preprint arXiv:1701.09175, 2017.

## Q2-3. Please try to further reduce the number of classes from 11 to 3 and report the pixel accuracy & mIOUof FCN8s. (10 pts)

The highest mIOU is 0.47511925782787917 and is achieved at epoch-11
The highest pixel accuracy is 0.32386505126953125 and is achieved at epoch-13

## Q2-4. Please discuss the results of Q2-3. Was mIOU increased when the number of classes reduce? Please explain why! (10 pts)

在將class number 數量由11減少到3之後,mIOU增加了  $(0.423 \rightarrow 0.475)$ ,這是 因為要分的種類變少了,相較於原本的11類,可能原本有些介於模糊地帶的 data,之前沒有成功被識別出來,但在三種種類裡,卻會成功被歸類在某一類 別裡。

而且類別減少,代表單一類別的training data量變多,他能夠學習的數據也就更多,有可能學到重要的資訊。