# CS406 HW1 Report Kadir Yağız Ebil

## Introduction

Objective: Optimize the performance of Sparse Matrix-Vector Multiplication (SpMV)

- Learn temporal locality, spatial locality.
- Modify the matrix/vector structures such that when the same SpMV routine runs, it produces the same result but faster.

# Compressed Sparse Row (CSR) Format

CSR uses three arrays to store non-zero elements efficently.

- row\_ptrs: Holds index position of values and col\_ids arrays that the NNZ of the current row stars.
- col\_ids: Stores the column index of NNZ.
- values: Stores the values of NNZ.

# Perf Tools Before Optimization

Existing code compiled using optimization flag: g++ hw1.cpp -O3

#### Perf Stat

Perf Stat Command used for searching possible bottlenecks.

Matrix is read from binary file: Number of rows/columns: 10000000 Number of nonzeros: 109999970

Time taken for the original SpMV over 10 iterations: 14.7331 seconds Original statistics: -8.87823e+49 2.07568e+46 -1.95317e+51

Time taken for the modified SpMV over 10 iterations: 14.6297 seconds With optimization statistics: -8.87823e+49 2.07568e+46 -1.95317e+51

Performance counter stats for './a.out':

```
30,494.02 msec task-clock
                                               #
                                                    1.000 CPUs utilized
            26
                    context-switches
                                               #
                                                    0.001 K/sec
                                                    0.000 K/sec
                    cpu-migrations
                                               #
       371,737
                    page-faults
                                                    0.012 M/sec
                                               #
83,710,890,343
                                               #
                                                    2.745 GHz
                    cycles
21,206,535,670
                    instructions
                                                    0.25 insn per cycle
3,424,627,263
                    branches
                                               # 112.305 M/sec
                                                    0.03% of all branches
     1,063,621
                    branch-misses
  30.495098180 seconds time elapsed
  29.070455000 seconds user
   1.423924000 seconds sys
```

Using these results, one can understand that there is a probable **memory bottleneck**. The reasoning behind this is, low number of insn per cycle. 83 billion cycles used but only 21 billion instructions taked place. This means by **%75 of the cycles wasted waiting for data from the memory**.

#### Cache Miss Problem

For further analysis. By combining cache miss rates with CPU cycle and instruction statistics, one can get a clearer picture of where bottlenecks lie, and whether **cache optimization techniques** (like matrix reordering or prefetching) might be effective.

Performance counter stats for './a.out':

```
2,250,798,175 cache-misses # 65.322 % of all cache refs
3,445,720,413 cache-references
7,955,803,842 L1-dcache-loads
4,297,666,720 L1-dcache-load-misses # 54.02% of all L1-dcache hits

27.998642444 seconds time elapsed

26.521643000 seconds user
1.476091000 seconds sys
```

As expected, **cache miss rate is around %65**. Which is pretty high and states that CPU has problems retrieveing data from cache. Needs to use slower RAM for necessary data frequently.

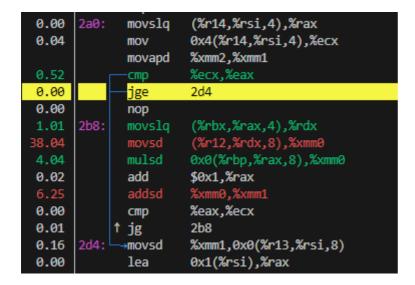
High miss rates, makes one to believe there might be **poor cache locality**. Sparse matrix operations generally have tendency to this result because of **indirect and irregular memory access**.

## Perf Record

For further analysis of bottleneck, perf record-perf report command was initialized.

Movsd (move scalar double-precision floating-point value) instruction is the the most time-consuming regions of code. Movsd, mulsd, addsd, which are part of the matrix-vector multiplication operation (dot products in the inner loop of SpMV).

The fact that this operations are expensive, further improves our idea of **memory bottleneck**, because accessing the operands from memory can take a long time



### movslq and movsd instructions



movslq and movsd instructions in different region

# Solution Ideas

SpMV opertation is seem to be **memory bounded** as we analized in previous section.

First idea is to gather NNZ elements together to **limit high cache miss**.

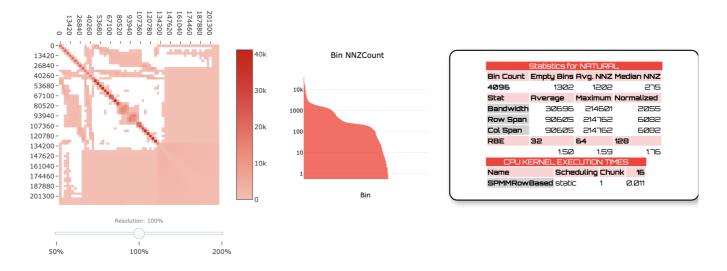
RCM (Reverse Cuthill-McKee) Algorithm

The RCM is a reordering method used to improve **bandwidth** of the matrix.

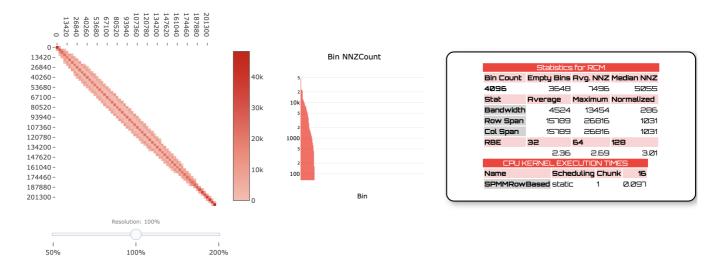
Bandwidth is in context of Sparse Matrix, refers to **distance between non-zero elements of the matrix** when iterating over rows. Large bandwidth matrix formations usually tend to increase cache misses.

#### How RCM Works

RCM reorders the rows and columns of the matrix, so the non-zero elemts are closer to diagonal. This improves **spatial locality**.



## Before the RCM applied



# After the RCM applied

### RCM implementation

First we start creating the graph structure for RCM ordering. Each node is represented by an int and the corresponding value is connected nodes vector.

```
unordered_map<int, vector<int>>> graph;

for (int i = 0; i < n; ++i) {
    for (int idx = row_ptrs[i]; idx < row_ptrs[i + 1]; ++idx) {
        int col = col_ids[idx];
        graph[i].push_back(col);
        graph[col].push_back(i); // Symmetric for undirected graph
    }
}</pre>
```

This part of the RCM code finds the node with minimum number of connections. Number of connections also refferred as **degree of a node**.

By the end of the loop, min\_degree will hold the smallest degree found in the graph, and start\_node will be the identifier of the node with that degree.

```
int min_degree = INT_MAX;
int start_node = -1;
for (const auto& entry : graph) {
    if (entry.second.size() < min_degree) {
        min_degree = entry.second.size();
        start_node = entry.first;
    }
}</pre>
```

This part of the code, used a technique called **breadth-first search (BFS)**. The queue is used to store each node so that nodes can be traversed in the BFS manner.

```
vector<bool> visited(graph.size(), false);
vector<int> ordering;
queue<int> q;
visited[start node] = true;
q.push(start_node);
while (!q.empty()) {
    int node = q.front();
    q.pop();
    ordering.push_back(node);
    vector<int> neighbors = graph[node];
    sort(neighbors.begin(), neighbors.end(), [&](int a, int b) {
        return graph[a].size() < graph[b].size();</pre>
    });
    for (int neighbor: neighbors) {
        if (!visited[neighbor]) {
            visited[neighbor] = true;
            q.push(neighbor);
        }
    }
}
```

This section reverses the order of the nodes. This step is **crucial** for RCM ordering.

```
reverse(ordering.begin(), ordering.end());
```

Unordered map named old\_to\_new is created to map the old node indices to the new node indices based on the reversed ordering.

```
unordered_map<int, int> old_to_new;
for (int i = 0; i < n; ++i) {
    old_to_new[ordering[i]] = i;
}</pre>
```

In the last section we return to the CSR format that we previously used. However this time we used **new orders** so the shape of the matrix will be different with this CSR values due to our new ordering.

```
int* new_row_ptrs_rcm = new int[n + 1];
    vector<int> new_col_ids_rcm(nnz);
    vector<double> new_values_rcm(nnz);
    new_row_ptrs_rcm[0] = 0;
    int new_nnz_rcm = 0;
    for (int i = 0; i < n; ++i) {
        int old_row = ordering[i];
        for (int idx = row_ptrs[old_row]; idx < row_ptrs[old_row + 1];</pre>
++idx) {
            int old col = col ids[idx];
            int new_col = old_to_new[old_col];
            new col ids rcm[new nnz rcm] = new col;
            new_values_rcm[new_nnz_rcm] = values[idx];
            ++new_nnz_rcm;
        new_row_ptrs_rcm[i + 1] = new_nnz_rcm;
    }
```

Hopefull after RCM, cache miss problem will be reduced due to increase in spatial locality.

### Perf Stat For Modified(RCM)

There is the stats for RCM ordered SpMV:

Matrix is read from binary file: Number of rows/columns: 10000000 Number of nonzeros: 109999970

Time taken for the original SpMV over 10 iterations: 13.2501 seconds Original statistics: -8.87823e+49 2.07568e+46 -1.95317e+51

Applied RCM ordering to the matrix. Time taken for the modified SpMV over 10 iterations: 1.2458 seconds With optimization statistics: -8.87823e+49 2.07568e+46 -1.95317e+51

Performance counter stats for './a.out':

```
1.000 CPUs utilized
     92,384.07 msec task-clock
                                               #
                                                    0.002 K/sec
                    context-switches
                                               #
           213
                                                    0.000 K/sec
             5
                    cpu-migrations
                                               #
                                                    0.017 M/sec
     1,544,647
                    page-faults
                                               #
266,710,459,471
                                                    2.887 GHz
                    cycles
```

```
145,660,379,788 instructions # 0.55 insn per cycle
22,780,235,112 branches # 246.582 M/sec
155,845,222 branch-misses # 0.68% of all branches

92.392919170 seconds time elapsed

88.567350000 seconds user
3.815972000 seconds sys
```

As expected, insn per cycle metric now show that we are loseing significantly less time for data accesses. Which decreased our time to 1.2-1.3 secs.

Matrix is read from binary file: Number of rows/columns: 10000000 Number of nonzeros: 109999970

Time taken for the original SpMV over 10 iterations: 15.3155 seconds Original statistics: -8.87823e+49 2.07568e+46 -1.95317e+51

Applied RCM ordering to the matrix. Time taken for the modified SpMV over 10 iterations: 1.31391 seconds With optimization statistics: -8.87823e+49 2.07568e+46 -1.95317e+51

Performance counter stats for './a.out':

```
50,159,033,761 L1-dcache-loads
4,298,480,994 L1-dcache-load-misses # 8.57% of all L1-dcache
hits

100.420498425 seconds time elapsed

95.390245000 seconds user
5.023696000 seconds sys
```

**Cache miss rate** is again incredibly improved according to first mesurements of L1 cache which was around %50.

The RCM applied results showed around %10 L1-cache miss.

# Further Explanation And Ideas

In this report, successfully, solved a memory bounded problem and implemented a better solution for SpMV. Resulst allign with our hypothesis.

Prefetching was not possible due to restrictions to modify. New orderings could be found faster using prefetch inside RCM section. However it was not necessary because time was only measureing the SpMV part of the code.

There are other orderings for sparse matrix rather than RCM. In the source code there are some implementations for further analysis of this possibilites. However, neither **Block**, **Nested Dissection** or **RABBIT** worked as well as RCM.

There is a possible development for RCM, which introduces priority queue. **RCM2** shows that implementation of RCM. Which in my case didn't go as planned. Resulted with 2.5 secs which is higher than expected. This may be caused beacuse of lack of implementation and research time.

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