

# Controlling and Formation Mechanism of Oxygen-Containing Groups on Graphite Oxide

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## Supporting Information

**ABSTRACT:** Controllable synthesis of graphite oxide (GO) for targeted surface properties is of great importance for its versatile applications. For this purpose, GO samples were prepared with different amounts of oxidant and characterized by X-ray diffraction, Fourier transform infrared spectroscopy,  $^{13}\text{C}$  cross-polarization with total sideband suppression magic-angle-spinning nuclear magnetic resonance, X-ray photoelectron spectroscopy, Raman spectroscopy, and  $\zeta$ -potential measurement. When the oxidant amount is below a critical value, the epoxy groups are dominant on the GO surfaces, together with a few hydroxyl and carbonyl groups. Further increase in the oxidant amount leads to the formation and development of the carboxyl groups, which eventually reach a saturation level. Meanwhile, the increasing oxygen-containing groups introduce more defects and reduce the crystalline graphene domains on GO. A possible mechanism for the formation of the oxygen-containing groups on GO is proposed, providing a guideline for the manipulation of the GO surface properties.

## 1. INTRODUCTION

Engineering the oxygen-containing groups of graphite oxide (GO) to desirable physicochemical properties has long been actively engaged.<sup>1,2</sup> This is because many applications of GO such as the graphene precursor,<sup>3–6</sup> adsorbent,<sup>7–10</sup> or metal-free catalyst<sup>11–13</sup> are highly dependent on the  $\text{sp}^2/\text{sp}^3$  ratio and the oxygen-containing groups. For instance, the carboxyl groups at the edges of GO and the epoxy groups on the basal planes have a good adsorption performance for  $\text{NH}_3$  molecules.<sup>14</sup> The epoxy groups on GO are active sites for selective oxidation of alcohols to aldehydes, oxidative dehydrogenation of propane to propene, and polymerization of various olefin monomers.<sup>15,16</sup> The carboxyl and epoxy groups on graphene can easily react with functionalized groups such as amino groups and hydroxyl groups and can be used to prepare functionalized graphene and graphene-based composites.<sup>17–20</sup> However, in the case of graphene preparation, the carboxyl groups left at the edges of GO will lower the yield of graphene when subjected to high temperature for reduction. Moreover, the carboxyl groups cannot be effectively or efficiently reduced by the commonly used reductants such as hydrazine and sodium borohydride.<sup>21,22</sup> Therefore, understanding the formation mechanism of oxygen-containing groups on GO to manipulate its properties is very important for its versatile applications.

Brodie's, Staudenmaier's, Hummers', and their modified methods are most frequently used to prepare GO, which involve oxidation of graphite with a mixture of strong oxidant (e.g.,  $\text{KClO}_3$  or  $\text{KMnO}_4$ ) and strong acid (e.g., fuming  $\text{HNO}_3$  or concentrated  $\text{H}_2\text{SO}_4$ ).<sup>23</sup> Many attempts have been made to characterize the oxygen-containing groups on GO and unveil the structure of GO,<sup>24–33</sup> in which there is a widespread consensus that the hydroxyl and epoxy groups are located on the basal planes and the carboxyl and carbonyl groups are at the edges.<sup>30,31b,34,35</sup> However, in these studies, high oxidant/graphite weight ratios of more than 3 led to the fast and full

development of the oxygen-containing groups<sup>26–28,34,36</sup> and, thus, controlling the oxygen-containing groups with difficulty. Furthermore, very little attention has been paid to the relative concentration distribution of the oxygen-containing groups on GO with the oxidation degree. This is limiting the understanding of the formation mechanism of the surface groups which provides a guideline for the manipulation of the surface properties of GO. With this perspective, it is essential to keep the oxidation degree under control during graphite oxidation in favor of observing the formation of the oxygen-containing groups on GO.

In this work, a modified Hummers' method was used to prepare GO by reacting graphite with a mixture of  $\text{KMnO}_4$  and  $\text{H}_2\text{SO}_4$ . Considering the easy oxidation of the intermediate oxygen-containing groups, i.e., the ketone groups, we tuned the oxidation degree of GO by changing the weight ratio of  $\text{KMnO}_4$ /graphite (i.e., 6/3, 7/3, 10/3, and 15/3). The as-synthesized GO samples with the different oxidation degrees were characterized by X-ray diffraction (XRD), Fourier transform infrared spectroscopy (FTIR),  $^{13}\text{C}$  cross-polarization with total sideband suppression magic-angle-spinning nuclear magnetic resonance ( $^{13}\text{C}$  CPTOSS/MAS NMR), X-ray photoelectron spectroscopy (XPS), Raman spectroscopy, and zeta ( $\zeta$ ) potential measurement, based on which the type and contents of the oxygen-containing groups on the GO samples were determined and the surface property was investigated. Accordingly, a possible mechanism for the formation and transformation of the oxygen-containing groups was proposed.

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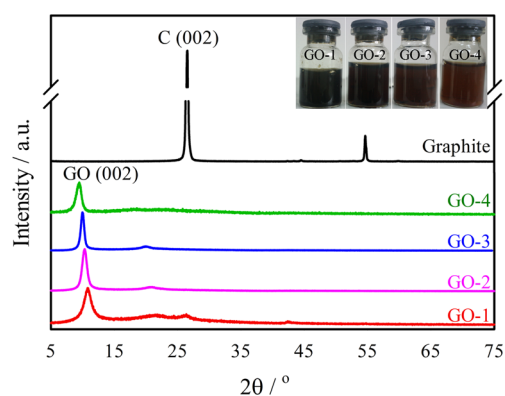
## 2. EXPERIMENTAL SECTION

**2.1. Preparation of GO.** GO was synthesized by a modified Hummers' method.<sup>37</sup> First, graphite (12 g) was pretreated with concentrated  $\text{H}_2\text{SO}_4$  (50 mL),  $\text{K}_2\text{S}_2\text{O}_8$  (10 g), and  $\text{P}_2\text{O}_5$  (10 g), and was subsequently washed and dried. The pretreated graphite (3 g), together with a specified amount of  $\text{KMnO}_4$  (i.e., 6, 7, 10, and 15 g) was added to concentrated  $\text{H}_2\text{SO}_4$  (115 mL) under agitation at 0 °C, and the as-obtained mixture was transferred to a water bath of 35 °C for 2 h, which was then dispersed in a 600 mL ice–water mixture. Finally, the mixture was treated with 30%  $\text{H}_2\text{O}_2$  (12 mL), filtered, washed with 10% HCl aqueous solution (2 L) and then water until the filtrate was neutral, and dried in a vacuum oven at 35 °C for more than 2 days. The GO products prepared with  $\text{KMnO}_4$ /graphite weight ratios of 6/3, 7/3, 10/3, and 15/3 were designated as GO-1, GO-2, GO-3, and GO-4, respectively.

**2.2. Characterization.** The graphite and prepared GO samples were characterized with XRD (Rigaku D/Max2550VB/PC, Cu K $\alpha$  radiation). The oxygen-containing groups on GO were identified with FTIR on a Magna-IR 550 (Nicolet, USA) where the samples were prepared in potassium bromide pellets, and with  $^{13}\text{C}$  CPTOSS/MAS NMR at 11.5 T using a Bruker AVANCE 500 MHz spectrometer with 4 mm zirconia magic angle spinning rotors where the spectra were recorded with spinning at 125 MHz, 12  $\mu\text{s}$  and 1 ms contact time. The chemical states and the elemental compositions of GO were studied with XPS (Kratos XSAM-800) using Al K $\alpha$  ( $h\nu$  1486.6 eV) X-ray as the excitation source. The Raman spectra using excitation wavelength 514 nm were measured with an inVia Raman microscope (Renishaw, U.K.). The  $\zeta$  potentials of the GO samples were determined with a Zetasizer Nanoseries Nano-ZS (Malvern) instrument.

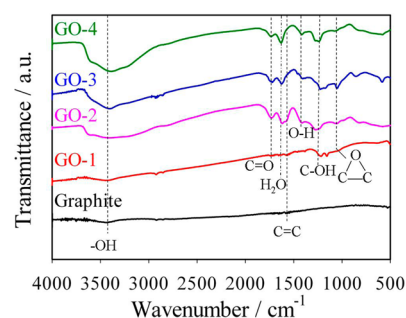
## 3. RESULTS AND DISCUSSION

The XRD patterns of graphite and the GO samples prepared by using different  $\text{KMnO}_4$ /graphite weight ratios are shown in



**Figure 1.** XRD patterns of graphite, GO-1, GO-2, GO-3, and GO-4. (inset) Photos of GO-1, GO-2, GO-3, and GO-4 aqueous dispersions at a concentration of 0.25 mg/mL.

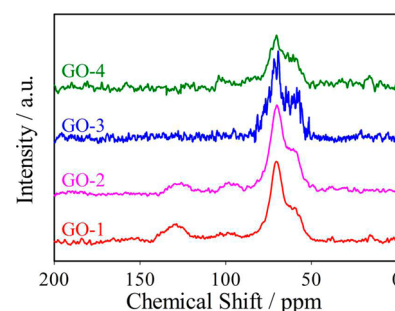
Figure 1. With the increase of the  $\text{KMnO}_4$ /graphite weight ratio from 6/3, 7/3, 10/3, to 15/3, the (002) peaks of graphite centering at  $26.50^\circ$  disappear gradually, while the (002) peaks of GO for all four GO samples are observed around  $10^\circ$ .<sup>27,36</sup> Specifically, the characteristic diffraction peaks of both GO and graphite are observed on GO-1, whereas no evident characteristic diffraction peak of graphite exists on the other samples, indicating that the oxidation does not complete until the



**Figure 2.** FTIR spectra of graphite, GO-1, GO-2, GO-3, and GO-4.

**Table 1.** FTIR Data of Graphite, GO-1, GO-2, GO-3, and GO-4

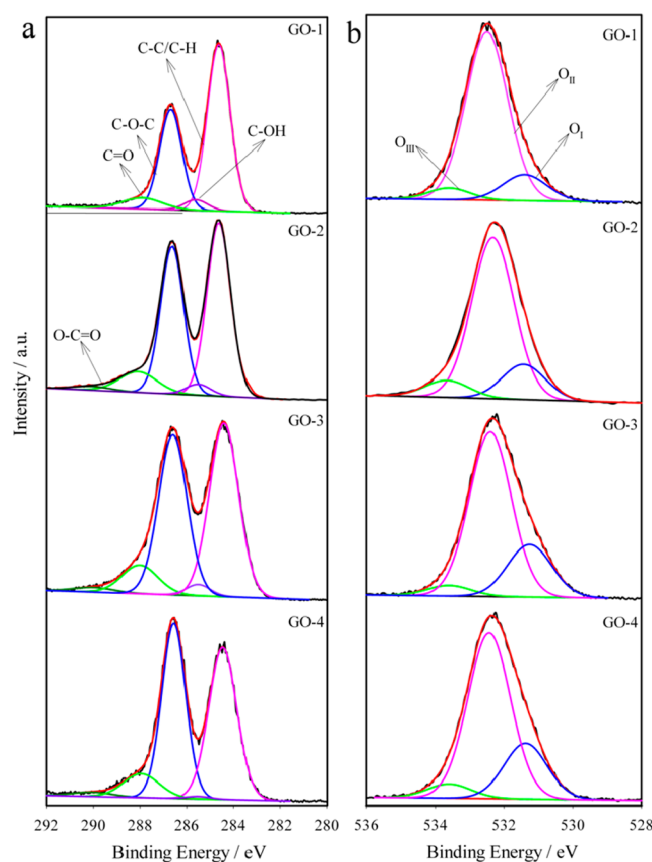
assignment	graphite	GO-1	GO-2	GO-3	GO-4
–OH str vibr	3433	3419	3409	3405	3409
C=O str vibr	–	1720	1723	1720	1735
H <sub>2</sub> O def vibr	–	1637	1619	1624	1622
C=C str vibr	1565	1579	1583	–	–
O–H str vibr of COOH	–	–	1414	1406	1407
C–OH str vibr	–	1217	1223	1224	1223
C–O–C str vibr	–	1157	1055	1052	1097



**Figure 3.**  $^{13}\text{C}$  CPTOSS/MAS NMR spectra of GO-1, GO-2, GO-3, and GO-4.

$\text{KMnO}_4$ /graphite weight ratio is increased to 7/3. Moreover, the (002) peak of GO shifts from  $10.90$  to  $8.96^\circ$  and the corresponding interplanar distance ( $d_{002}$ ) increases from 8.11 to 9.86 nm as the weight ratio of  $\text{KMnO}_4$ /graphite is increased. The expansion of the interlayer distance of GO samples is due to the generation of oxygen-containing groups and the presence of adsorbed water between the hydrophilic graphene oxide layers.<sup>28</sup> These results indicate that a high weight ratio of  $\text{KMnO}_4$ /graphite facilitates the intercalation and oxidation of graphite. The different oxidation degrees of GO-1 to GO-4 are indicated by the colors of their aqueous dispersions, which change from black–brown to brown, as seen in the inset of Figure 1.

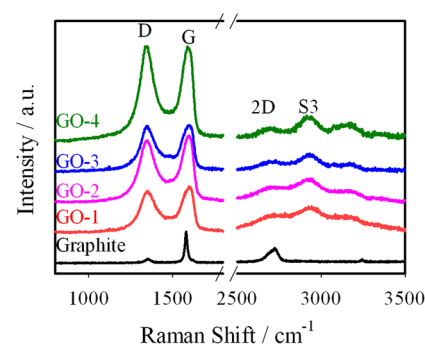
Figure 2 shows the FTIR spectra of GO-1, GO-2, GO-3, and GO-4, and Table 1 summarizes the peak positions and their assignments. GO-1, which was prepared with a  $\text{KMnO}_4$ /graphite weight ratio of 6/3, has infrared absorption peaks centering at 1157, 1217, 1579, 1637, 1720, and  $3419\text{ cm}^{-1}$ , which can be assigned to the C–O stretching vibration of the epoxy groups, the C–OH stretching vibration, the C=C bond stretching vibration, the adsorbed  $\text{H}_2\text{O}$  deformation vibration, the C=O stretching vibration, and the –OH stretching vibration of the adsorbed water and the hydroxyl groups, respectively.<sup>14a,38,39</sup> As a comparison, graphite has only two



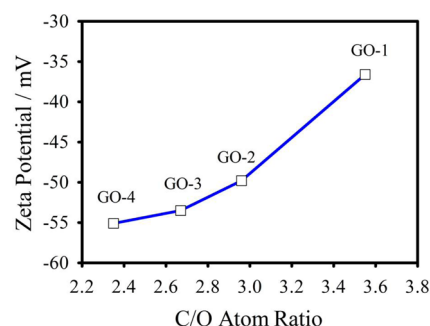
**Figure 4.** (a) C 1s and (b) O 1s XPS spectra of GO-1, GO-2, GO-3, and GO-4.

weak absorption peaks due to C=C bonds, absorbed water and hydroxyl groups. The O–H stretching vibration of the carboxyl groups around  $1400\text{ cm}^{-1}$  is not observed on GO-1,<sup>32,38,39</sup> and the absorption peak of C=O around  $1720\text{ cm}^{-1}$  is very weak, indicating that the carboxyl groups have not been developed because of the insufficient oxidant. However, the peak at  $1414\text{ cm}^{-1}$  owing to the O–H stretching vibrations of the carboxyl groups appears on GO-2, and the peak at  $1720\text{ cm}^{-1}$  becomes stronger. This indicates that the carboxyl groups have been formed after the increase of the  $\text{KMnO}_4$ /graphite weight ratio to 7/3. This fact also manifests that the carboxyl groups are formed in the last stage of oxidation when enough oxidant is used. It is worth noting that on GO-1 and GO-2 there exist unoxidized aromatic domains, as revealed by the peak centering at  $1565\text{--}1583\text{ cm}^{-1}$  which is assigned to the stretching of C=C bonds.<sup>32,39</sup> However, this peak disappears on GO-3 and GO-4, indicating that the surface of graphene is sufficiently oxidized.

Figure 3 shows the  $^{13}\text{C}$  CPTOSS/MAS NMR spectra of the GO samples. The three main peaks in GO centering around 60, 70, and 130 ppm are assigned to the epoxy, hydroxyl, and C=C bonds, respectively.<sup>26,40,41</sup> The peak intensities of the



**Figure 5.** Raman spectra of graphite, GO-1, GO-2, GO-3, and GO-4.



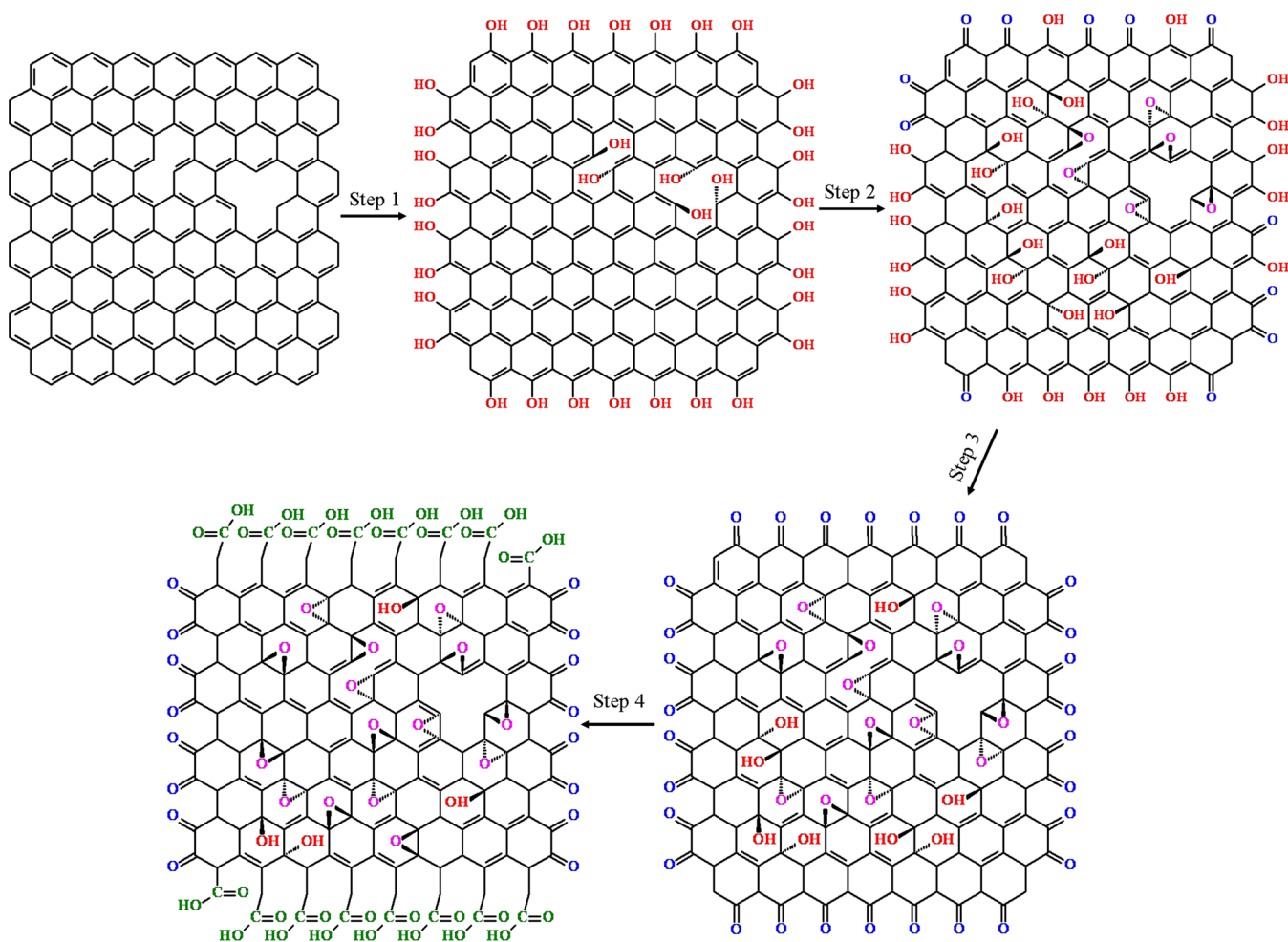
**Figure 6.**  $\zeta$  potentials of GO-1, GO-2, GO-3, and GO-4 aqueous dispersions as a function of C/O atom ratio.

hydroxyl groups are most significant owing to the cross-polarization by the proton in the hydroxyl groups.<sup>26</sup> With the increase of the degree of oxidation, the peak intensity of the hydroxyl groups becomes weaker, while that of epoxy groups becomes stronger. Simultaneously, the peaks of C=C bonds gradually disappear for GO-3 and GO-4; this observation is consistent with the FTIR characterization. It indicates that part of the hydroxyl groups may condense to the epoxy groups by dehydration reaction and/or be further oxidized to other oxygen-containing groups. However, no bands attributed to the carbonyl and carboxyl groups in all GO samples are observed under NMR detection, which is because of the low concentrations of these groups.<sup>40</sup>

To quantitatively indicate the surface states of the GO samples, the C 1s and O 1s XPS spectra are fitted with Gaussian curves as shown in Figure 4. The C/O atomic ratios for GO-1, GO-2, GO-3, and GO-4 calculated from the corresponding peak areas of the XPS are determined as 3.55, 2.96, 2.67, and 2.35, respectively, showing quantitatively the elevated oxidation degree of the GO with the increased amount of oxidant. As shown in Figure 4a and Table S1 in the Supporting Information, for a low  $\text{KMnO}_4$ /graphite weight ratio of 6/3, there exist four distinct Gaussian peaks in GO-1 centering at 284.6, 285.5, 286.6, and 280.0 eV, corresponding to C–C/C–H, C–OH, C–O–C, and C=O, respectively.<sup>42,43</sup>

**Table 2.** Chemical States of C and O Atoms on GO-1, GO-2, GO-3, and GO-4 with Their Relative Atomic Concentrations (atom %)

samples	C–C/C–H	C–OH	C–O–C	C=O	O=C–O	O <sub>I</sub>	O <sub>II</sub>	O <sub>III</sub>
GO-1	56.2	3.8	33.4	6.6	–	13.1	81.4	5.5
GO-2	47.3	3.4	39.5	8.5	1.3	16.7	75.1	8.2
GO-3	45.7	2.4	41.1	9.2	1.7	23.8	71.4	4.8
GO-4	44.7	0.6	43.9	9.0	1.8	23.7	70.2	6.1



**Figure 7.** Diagram of the formation of the oxygen-containing groups on GO.

While for high  $\text{KMnO}_4$ /graphite weight ratios of 7/3, 10/3, and 15/3 (i.e., GO-2, GO-3, and GO-4), besides C–C/C–H (284.6/284.4/284.5), C–OH (285.5), C–O–C (286.6), and C=O (288.0) peaks, there is another peak centering at 290.1 eV corresponding to O=C–O. These observations agree well with the results of FTIR that the carboxyl groups are developed only with high  $\text{KMnO}_4$ /graphite weight ratios.

Table 2 shows the relative concentrations of the various oxygen-containing groups of the GO samples estimated from their respective fitting peak areas. From GO-1 to GO-4, the relative atomic concentration of the hydroxyl groups decreases, while those of the epoxy, carbonyl, and carboxyl groups increase with increased  $\text{KMnO}_4$ /graphite weight ratios, which is in line with the results of NMR and an indication of the conversion of the hydroxyl groups to the epoxy, carbonyl, and carboxyl groups. It is worth noting that the amounts of the carbonyl and carboxyl groups almost remain unchanged as the  $\text{KMnO}_4$ /graphite weight ratio is higher than 7/3, as revealed by the equal carbonyl and carboxyl group concentrations on GO-3 and GO-4. This is further confirmed by the O 1s XPS spectra of GO-1, GO-2, GO-3, and GO-4. As shown in Figure 4b, the  $\text{O}_I$  peak at 531.3/531.4 eV is assigned to oxygen atoms from C=O in carboxyl or carbonyl groups, the  $\text{O}_{II}$  peak at 532.4/532.5 eV is assigned to C–O in epoxy, hydroxyl, or carboxyl groups, and the  $\text{O}_{III}$  peak at 533.6 eV is assigned to oxygen atoms in water and/or chemisorbed oxygen species.<sup>14a</sup> In agreement with the results of C 1s XPS spectra, the relative concentration

of oxygen atoms from C=O on these four GO samples first increases and then remains unchanged. The presence of the saturation values of carbonyl and carboxyl groups from C 1s and O 1s XPS spectra provides a good indication of complete oxidation of the edges that form carbonyl and carboxyl groups.

Raman spectra of graphite, GO-1, GO-2, GO-3, and GO-4 are shown in Figure 5. For the graphite, the presence of the D band indicates that there exist defects on it, which are prone to be attacked by oxidant. Furthermore, the Raman D/G and 2D/S<sub>3</sub> intensity ratios ( $I_D/I_G$  and  $I_{S3}/I_{2D}$ ) are usually used to evaluate the average sizes of crystalline  $\text{sp}^2$  domains and defect densities in graphene sheets.<sup>44,45</sup> The  $I_D/I_G$  ratios are 0.88, 0.92, 1.00, and 1.02, and  $I_{S3}/I_{2D}$  ratios are 1.27, 1.35, 1.75, and 1.82 for GO-1, GO-2, GO-3, and GO-4, respectively. The increase of the  $I_D/I_G$  and  $I_{S3}/I_{2D}$  ratios from GO-1, GO-2, GO-3, to GO-4 suggests that increasing the oxygen-containing groups introduces more defects and reduces the crystalline graphene domains on the GO.<sup>46</sup>

Figure 6 shows the  $\zeta$  potentials of GO-1, GO-2, GO-3, and GO-4 aqueous dispersions, which are all negative because of the ionization of the oxygen-containing groups. GO-2, GO-3, and GO-4 are more negative and hence more stable in aqueous dispersion, which is because of the increased oxidation degree, especially the increased carboxyl group concentration on GO. Because the carboxyl groups are unlikely to be completely reduced by hydrazine or  $\text{NaBH}_4$ , they will remain in the reduced products and prevent the aggregation of graphene



layers.<sup>21</sup> When producing graphene from GO-1, a surfactant should be used to stabilize the reduced graphene in solution.

According to the above investigation, we propose the formation mechanism of the oxygen-containing groups shown in Figure 7. First, oxidation attack is first on the carbon atoms at the edges or defects of graphene layers, which forms the hydroxyl groups. With the progress of oxidation, more hydroxyl groups are generated on the basal planes. Simultaneously, the formed hydroxyl groups at the edges are further oxidized to the carbonyl groups in the forms of the ketone and/or quinone groups, and the neighboring hydroxyl groups on the basal planes condense to the epoxy groups by dehydration in the strong acid environment. Subsequently, the ketone groups at the edges are further oxidized to the carboxyl groups with the six-member carbon ring opening in the presence of excess  $\text{KMnO}_4$  and concentrated  $\text{H}_2\text{SO}_4$ , which is relatively slower compared with the formation of the carbonyl groups. After all the edges of graphite are oxidized, the carbonyl and carboxyl groups reach their saturation and their concentrations remain unchanged. In general, the electron donating groups (i.e., hydroxyl and epoxy) form first, while the electron withdrawing groups (i.e., the carbonyl and carboxyl groups) appear later. It is important to note that the carboxyl groups do not develop when a small amount of oxidant is used, because the oxidant is consumed by the precedent fast oxidation process. This is a strong indication of the sequential, step-by-step mechanism of graphene oxidation. Parallel to the sequential oxidation is the dehydration that forms the epoxy groups once the neighboring hydroxyl groups emerge. Moreover, the increase of the oxygen-containing groups on GO promotes the formation of more relatively small  $\text{sp}^2$  carbon clusters and defects.

#### 4. CONCLUSION

In this work, we demonstrate how the oxygen-containing groups on GO change with the amount of oxidant in the modified Hummers method. Oxidation first takes places at the edges or defects of graphene layers and produces the hydroxyl groups, immediately after which further oxidation of the hydroxyl groups to the carbonyl groups and dehydration of the hydroxyl groups to the epoxy groups take place in parallel. Though the concentrations of the carbonyl and epoxy groups will increase with the amount of oxidant, the carboxyl groups will not form until the dosing of oxidant is above a critical amount. Above this critical value, the ketone groups will be oxidized to the carboxyl groups, while the quinone groups are inactive and remain at a constant concentration. Further increasing the amount of oxidant above this critical value will produce more carboxyl groups, but when all the ketone groups are oxidized, no more carboxyl groups will be formed. Hereafter, except for the dehydration of the hydroxyl groups to form the epoxy groups, no appreciable oxidation occurs and the carboxyl groups, like the quinone groups, remain at a constant concentration. Meanwhile, the defects increase and the crystalline graphene domains reduce on GO with the increasing oxygen-containing groups. From a practical point of view, the preparation of GO is favorable at room temperature. Higher temperature brings safety problems, whereas lower temperature requires longer reaction time. Therefore, tuning the surface chemistry by adjusting the dosing of oxidation is facile and preferable. Our results provide the basis for manipulating the oxygen-containing groups on GO for its target applications.

#### ■ ASSOCIATED CONTENT

##### Supporting Information

Table of XPS data which lists chemical states of C and O atoms on GO-1, GO-2, GO-3, and GO-4 with their binding energies and fwhm's. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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##### Notes

The authors declare no competing financial interest.

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