



# Introduction to Performance Engineering and Performance Center

Northeastern University  
INFO6255



# Different QA Jobs

1. **Manual Tester** – All tests are through the GUI or the application interface.
2. **Automation Tester** – Writes scripts for Automation testing using one of many tools in the market, e.g. Selenium, HP UFT, or other scripting languages.
  - Also may use different languages to write scripts to test the interconnectivity of an application, such as (VB Scripting, Python, and etc.)
3. **Data/Data Base Tester** – All testing is done through writing and executing SQL statements, Stored Procedures or running various scripts for testing.
4. **Performance & Load Tester** – Writing Performance, Load & Stress testing scripts using one of many tools that are in the market. E.g. HP Performance Center, JMeter, and etc.
5. **Security/Cybersecurity Tester** – Performs application security testing, or Cybersecurity testing.

# Performance Engineering

performance engineering解决的问题

Can I deploy my application to other regions?

How much hardware do I need?

Why is my application slow sometimes?

*Why does my application run intermittently?*

*How many more users can I support?*

Can my application support X # of users and maintain a X seconds in response time?

If I patch the Operating System, am I risking performance?

My application is fast...but I have to reboot it periodically!

*What is the variance of my application's performance?*

# Performance Engineering

How can we answer all  
those  
questions?

# What is Performance Engineering?

“**Performance engineering** within systems engineering, encompasses the set of **roles, skills, activities, practices, tools, and deliverables** applied at every phase of the SDLC which ensures that a solution will be designed, implemented, and operationally supported to meet the **non-functional** performance requirements defined for the solution.”

Source: Wikipedia

# Performance Engineering

性能测试的目的

## Questions

What do you want to learn from your system?

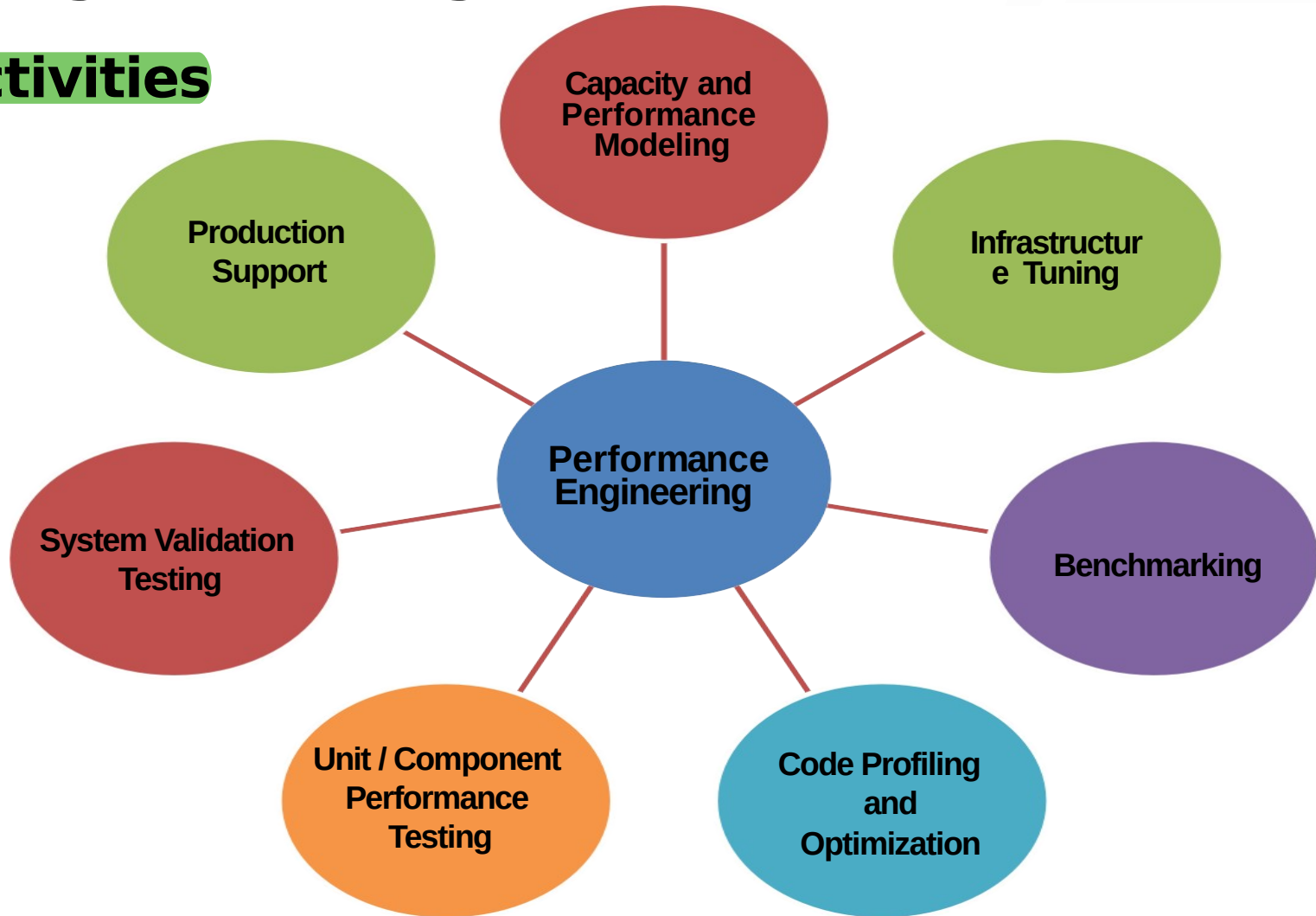
- How fast is it?
- How does it scale?
- Where does it break?

What do you want to prove about your system?

- **Response** times.
- The amount of **Throughput**.
- Can my system **Scale Up** to a specified number of users?

# Performance Engineering

## Activities

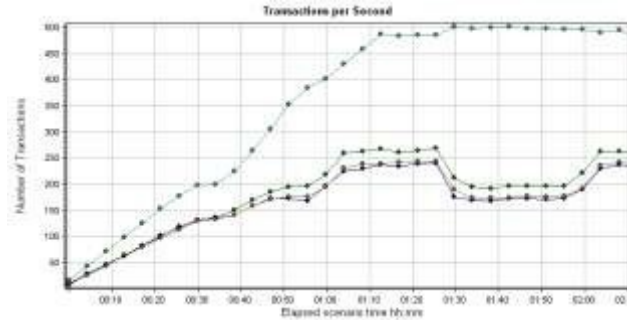
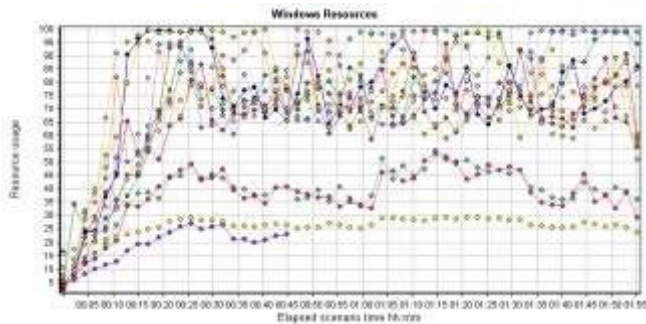
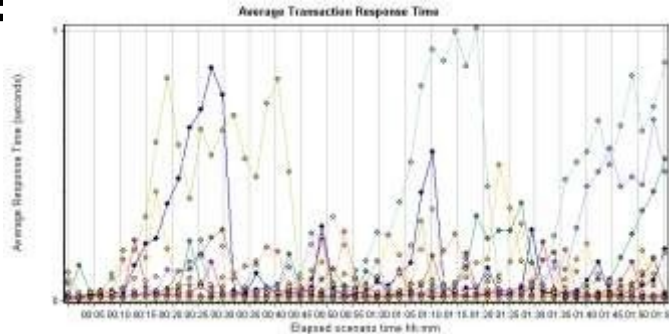


# Performance Engineering

## KPIs

### Key Performance Indicators

- **Responsiveness**
- **Throughput**
- **Stability**
- **Scalability**





# Why Performance Testing?

## Case Studies



**Lloyds Bank's ATMs** unable to do transactions for 3 hrs.



**RBS paid \$175 million** to its customer for IT failures apart from fines.



**HealthCare.gov** couldn't handle the traffic when rolled out.



**Facebook** unavailable for 15 mins because of infrastructure configuration issues.



**LinkedIn, Apple iTunes Store, Mac App Store, and App Store** cannot go down.

# Why Performance Testing?

More Recent Software Performance Failure



**Barclays Bank:** Early this year, **customers of major banks like Barclays, Natwest and Santander could not access their mobile apps for several hours.** The sites were experiencing major traffic as it was payday, causing the system to crash.

人们会发泄自己的不满，让企业陷入困境。

lost users

Lost revenue

**Dissatisfied customers took to social media like Twitter to vent their grievances, further putting the banks in a bad light.**



# System Performance Facts and Stats:

- **73%** of mobile internet users say that they've encountered a website that was **too slow** to load.
- **51%** say that they've encountered a website that **crashed, froze, or received an error**.
- **38%** of say that they've encountered a website that **wasn't available**.
- **47%** of consumers expect a web page to load in **2 seconds or less**.
- **40%** of users **abandon** a website that takes more than **3 seconds** to load.

**If an e-commerce site is making \$100,000 per day, a 1 second page delay could potentially cost you \$2.5 million in lost sales every year.**

# Performance Engineering

## Types of Tests

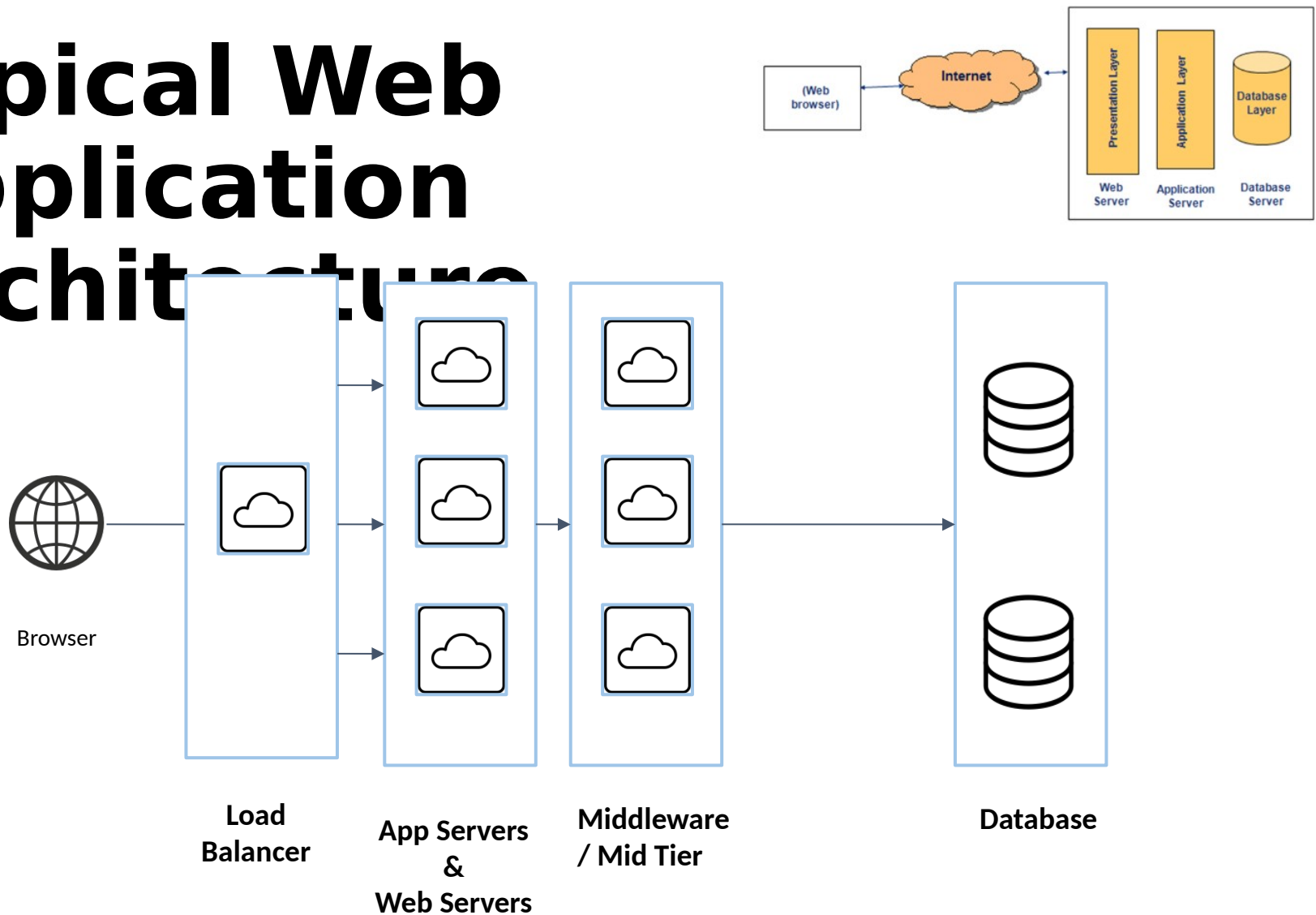
- **Performance testing** - Determines or validates the speed, scalability, and/or stability characteristics of the system or application under test. It is intended to determine the
  - Responsiveness
  - Throughput
  - Reliability
  - Scalabilityof a system under a given workload
- **Load Testing** is asserting how the architecture performs under load with a view to monitoring the response times for key transactions.  
(Anticipated volumes)

# Performance Engineering

## Types of Tests...

- **Stress Testing** is asserting what the upper bounds are for the scalability of the architecture, understanding how it reacts when **stressed**. (Beyond Anticipated volumes).
- **Capacity Testing** is to determine how many users and/or transactions a given system will support and still meet the performance goals
  - **Capacity Testing** measures the products' maximum **capacity**.

# Typical Web Application Architecture



# Web Server Metrics

- **Busy and Idle Threads**

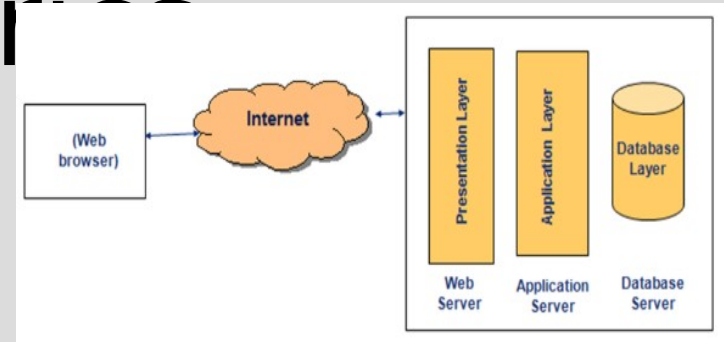
- Worker threads per web server
- Number of web servers
- Long running **threads** because of application performance hotspots

- **Throughput**

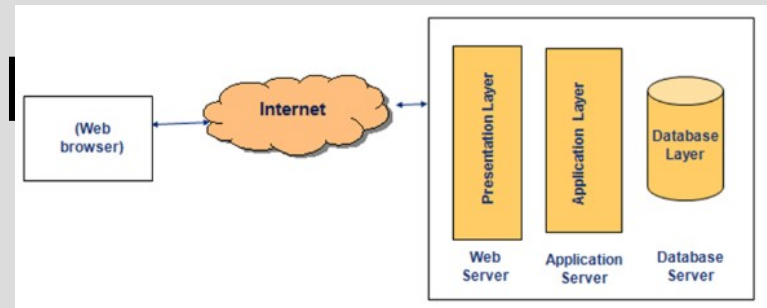
- Number of **transactions / minute**
- When do we need to scale out and add more web servers?

- **Bandwidth Requirements**

- Network bottlenecks
- Average page size



# App Server Met



## •Load Distribution

- Transactions are handled by each JVM engine
- Load balancing
- Number of **Application Servers** needed to handle the load

## •CPU Hotspots

- CPU requirements
- Parts of programming causing **high CPU** requirement
- CPU power



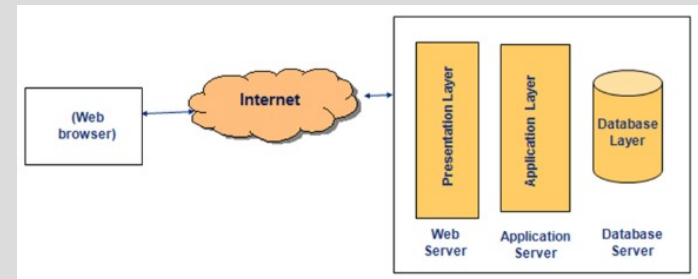
# More App Server Metrics...

- **Worker Threads**

- Number of **worker threads** configured
- Threads blocked by other modules

- **Memory**

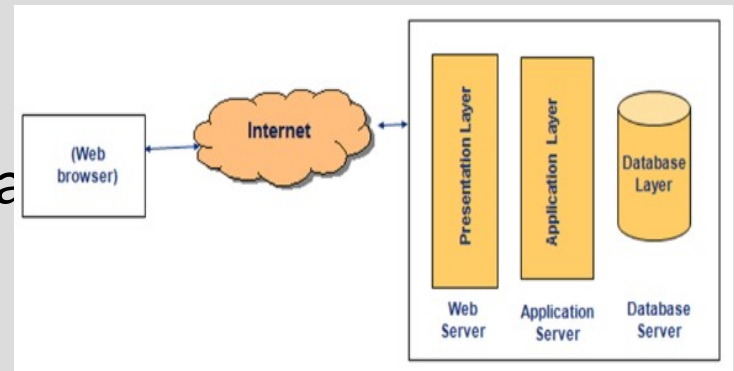
- **Bad memory** patterns
- Memory leaks
- Impact of **Garbage Collection** on CPU and Transaction Throughput



# Host Health Metrics

- CPU, Memory, Disk, I/O

- Physical or virtual resources health
- Log files
- Network interfaces



- Key Processes

- Processes run on the host
- Resource hungry processes
- Management of processes

# 1. Assess Production Readiness

- Predict or estimate the performance characteristics of an application in production

要考虑两个问题

- These predictions are also valuable to the stakeholders who make decisions about:
  - Is the application is ready for release or capable of handling future growth
  - it requires a performance improvement/hardware upgrade prior to release?
- It Provides data for:
  - Indicating the likelihood of **user dissatisfaction** with the performance characteristics of the system.
  - To aid in the prediction of **revenue losses or damaged brand credibility** due to scalability or stability issues.

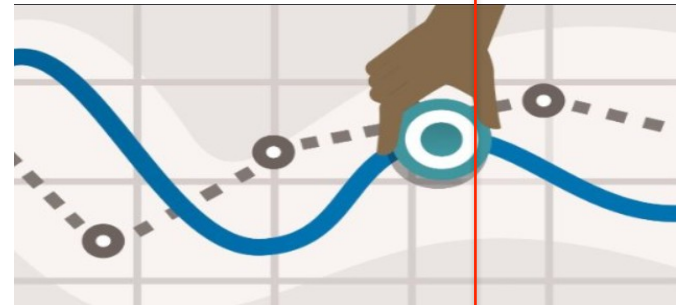
## 2. Assess Infrastructure Requirement

- Evaluating the adequacy of current capacity.
- Determining the acceptability of stability.
- Determining the capacity of the application's infrastructure, as well as determining the future resources required to deliver acceptable application performance.
- Comparing different system configurations to determine which works best for both the application and the business.
- Verifying that the application exhibits the desired performance characteristics, within budgeted resource utilization constraints.

# What is a Baseline?

A baseline is a set of tests that capture the Performance Metrics for the purpose of evaluating the effectiveness of subsequent performance-improving changes to a system or an application.

- It can set the standard for comparison to track future optimization or regression.
- It can help identify changes in performance.
- Its metrics are articulated by using a broad set of Key Performance Indicators, including
  - Response time
  - Processor Capacity
  - Mem Usage
  - Disk Capacity
  - Network Bandwidth



# What is Benchmarking?

Benchmarking is the process of comparing your system's performance against a baseline that you have created internally or against an industry standard endorsed by some other organization

- Benchmark is achieved by working with Industry Specifications or by porting an existing implementation to meet the standards

- Many useful metrics can be shared or communicated:

- Load Time
- # of transactions processed
- Web Pages accessed
- Processor Usage
- Memory Usage
- Search Times



# Performance Engineering

## Methodology



# Performance Engineering

## Tools

为什么使用工具

- You will need **tools** to provide a meaningful performance assessment.
- Even a simple **stopwatch** test will require a stopwatch.
- The more complex your tests get, the better tools you will need to support them.



# Performance Engineering

## Tools

- **Open Source**
  - JMeter, Grinder, openSTA, loadUI
- **Commercial**
  - Silk Performer, VSTS, LoadRunner, Performance Center

# Micro Focus Performance Center

**“MicroFocus Performance Center is a suite of integrated performance testing solutions that can emulate hundreds or thousands of concurrent users to apply production workloads to virtually any environment; identify potential performance bottlenecks; and help diagnose and fix the root cause of the problems.”**

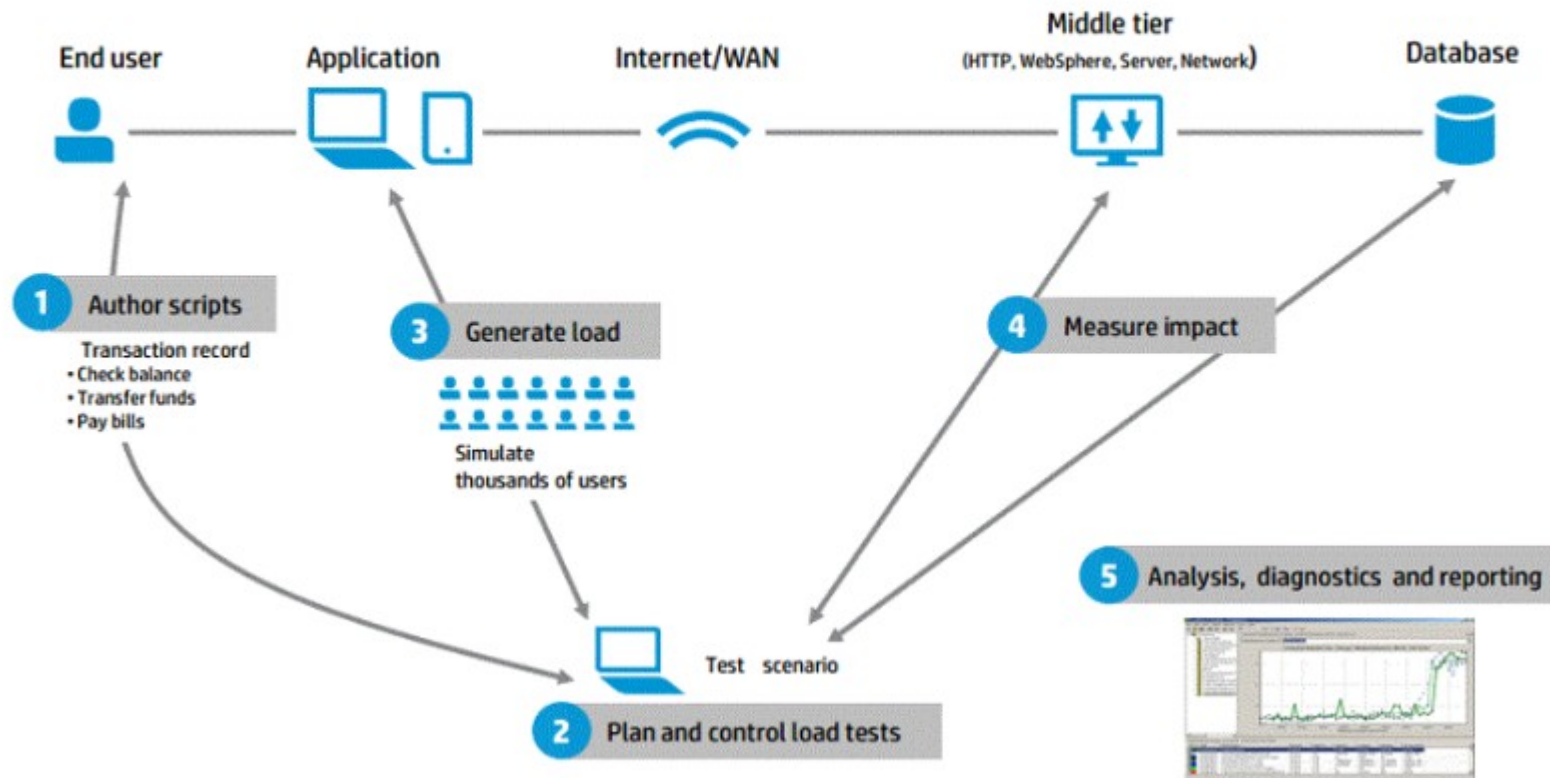


Source: Performance Center Brochure

# Performance Center

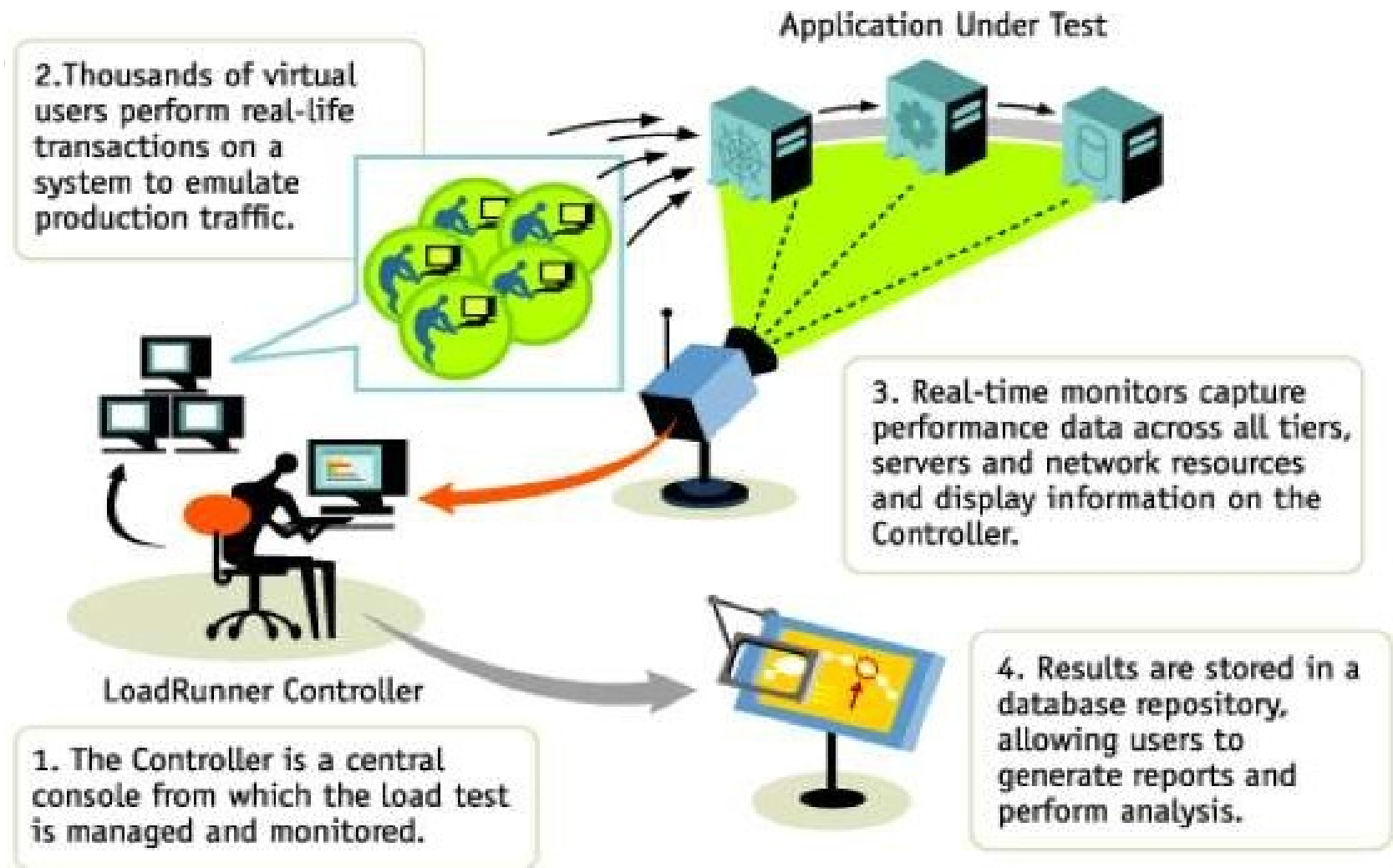
- **LoadRunner**
  - Standalone set of tools to prepare, execute and analyze performance tests
  - Supports a wide variety of protocols
  - Can collect metrics from a wide variety of systems
- **Performance Center**
  - Centralized test environment
  - Web interface
  - Leverages capabilities of LoadRunner

# How Performance Test Runs



# Performance

## How LoadRunner Works



# Performance Center - Protocols

- **Protocols**

- HTTP/HTML WebService, FTP, RMI, LDAP, Citrix, MQ, Ajax ...
- SQL Server, Oracle ...
- Oracle EBS, SAP, Siebel, PeopleSoft ...

- **Monitors**

- Windows, Unix ...
- WebLogic, IIS, Apache, SiteScope ...

- **Scripting Language**

- C, Java

# Performance Center

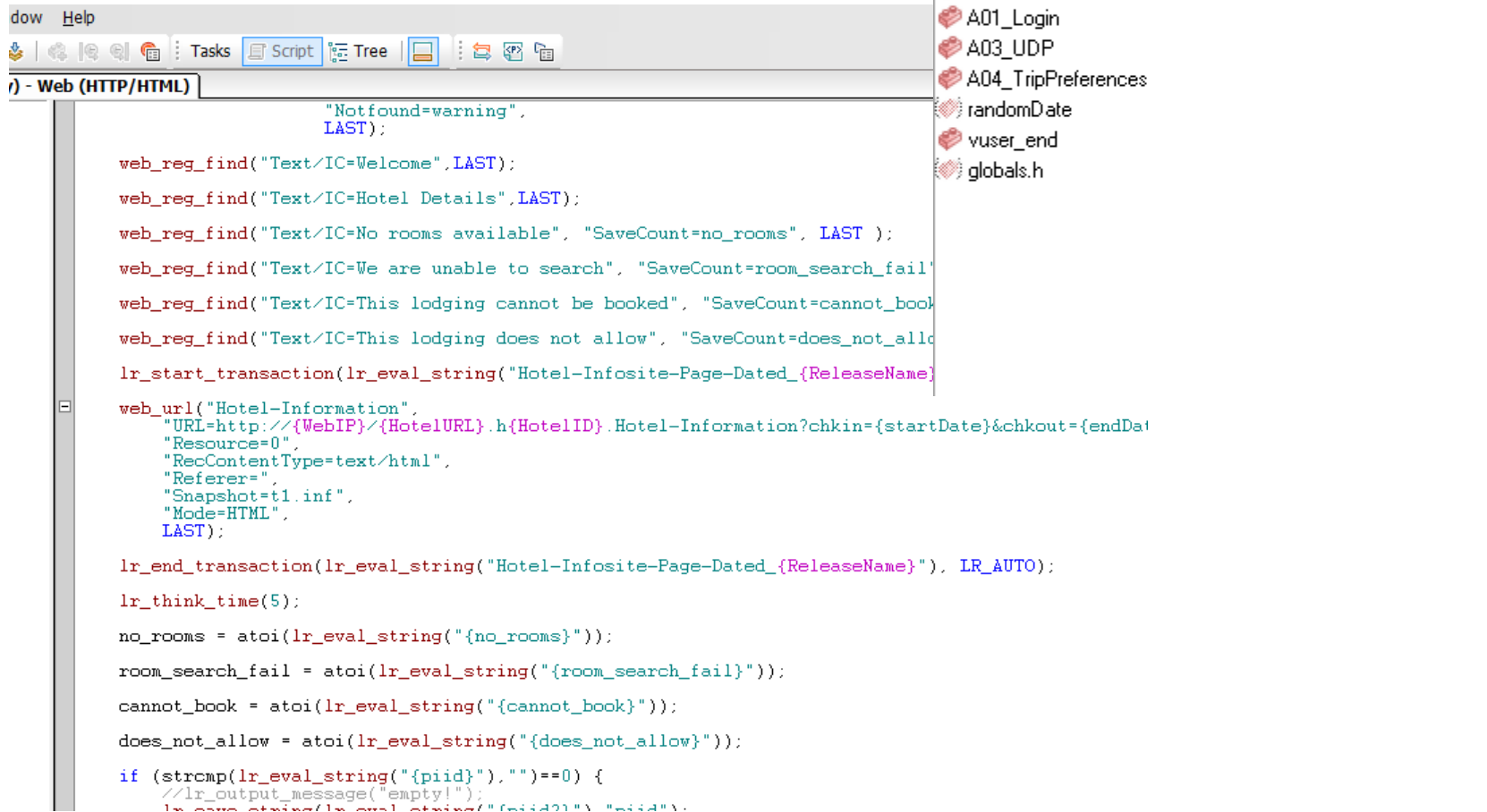
- **Centralized repository** 其中存的是
  - Scripts, test scenarios, results and reports
  - Versioning (new on PC 11)
- **Dedicated Resource Pools** 其中存的是
  - Load generators and controllers
- **User Management**
  - Integrate with AD and LDAP for Authentication
- **Organized by domain and project**
  - Usage limits can be applied per project

# Performance Center

- **Has a Web interface**
  - Create test scenarios
  - Setup system probes
  - Execute and monitor tests
  - View reports
- **Desktop clients**
  - Connect to Performance Center server
  - Script development (VuGen)
  - Analysis

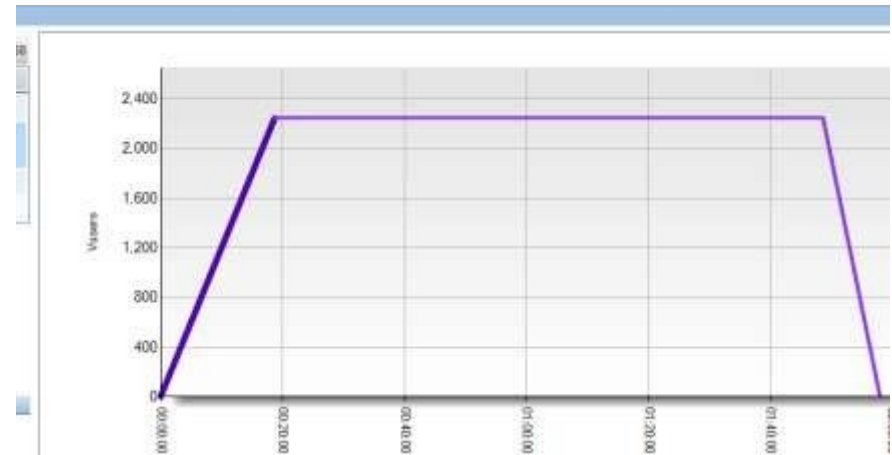


# VuGe n



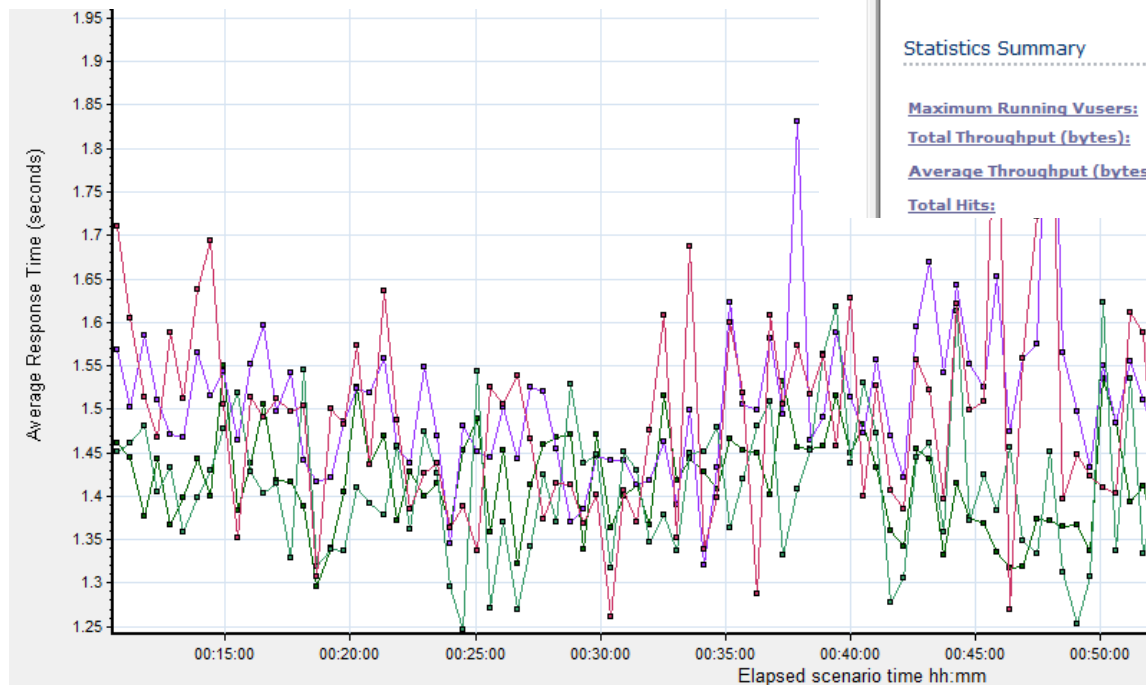
# Web Interface

Global scheduler	
<span>New</span> <span></span> <span></span> <span></span>	
Action	Properties
Initialize	Initialize each Vuser just before it runs
Start Vusers	Start All Vusers <span>gradually</span> 10 Vusers every 00:00:05 (HH:MM:SS)
Duration	Run for 01:30:00 (HH:MM:SS)
Stop Vusers	Stop all Vusers: 20 every 00:00:05 (HH:MM:SS)



Groups		
<span>Select Scripts</span> <span></span> <span></span> <span></span> <span></span> <span></span> <span></span> <span></span> <span></span>		
Total Vusers: 2250		LG Distribution: <span>Assign all to each group</span>
Vusers-100%	Group Name	Script Name
7	expweb_flights_search	ExpWeb_Flights_S
7	expweb_home	ExpWeb_Home
3	expweb_home_search	ExpWeb_Home_Ses
30	expweb_hotel_information	ExpWeb_Hotel_Info
4	expweb_hotel_information_urgencyajaxcall	ExpWeb_Hotel_Info
3	expweb_hotel_launch_page	ExpWeb_Hotel_Lau
2	expweb_hotel_trippreferences	ExpWeb_Hotel_Trip
2	expweb_isworking	ExpWeb_isWorking
12	expweb_navigation_feed_page	ExpWeb_Navigation
5	expweb_package_shopping	ExpWeb_Package_

# Analysis



Legend

Col	Scal	Measurement	Minimum	Average	Maximum	Std. Deviation
1		Hotel-Infosite-Page-Dated_trunk-trunk.ci.462289_	0.717	1.427	3.152	0.299
1		Hotel-Infosite-Page-Dated_trunk-trunk.ci.462289_	0.715	1.51	4.258	0.348
1		Hotel-Infosite-Page-Dateless_trunk-trunk.ci.462289_	0.73	1.426	2.864	0.3

Summary Report | Running Vusers | Transaction Summary | Transactions per Second

## Analysis Summary

**Project Name:** Hotels\_AllProjects  
**Test Name:** Hotel\_Infosite\_Comparison\_Short  
**Test Description:**  
**Run Time:** 5/15/2012 1:21:35 PM  
**Duration:** 1 hour, 17 minutes and 43 seconds.  
**User Notes:**

**Statistics Summary**

**Maximum Running Vusers:** 645  
**Total Throughput (bytes):** 4,661,186,133  
**Average Throughput (bytes/second):** 1,294,774  
**Total Hits:** 16,231

Session Explorer

- Result.Ira
  - Reports
    - Summary Report
    - High level report (for single run)
  - Graphs
    - Running Vusers
    - Transaction Summary
    - Transactions per Second
    - Average Transaction Response Time
    - Transaction Response Time (Percentile)
    - % Processor Time
    - % Disc Time
    - Available MBytes

# Advantages of Performance Center

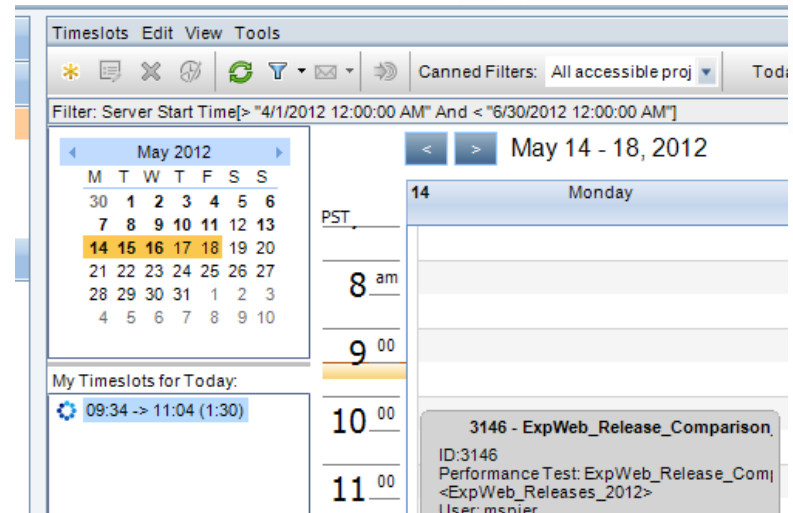
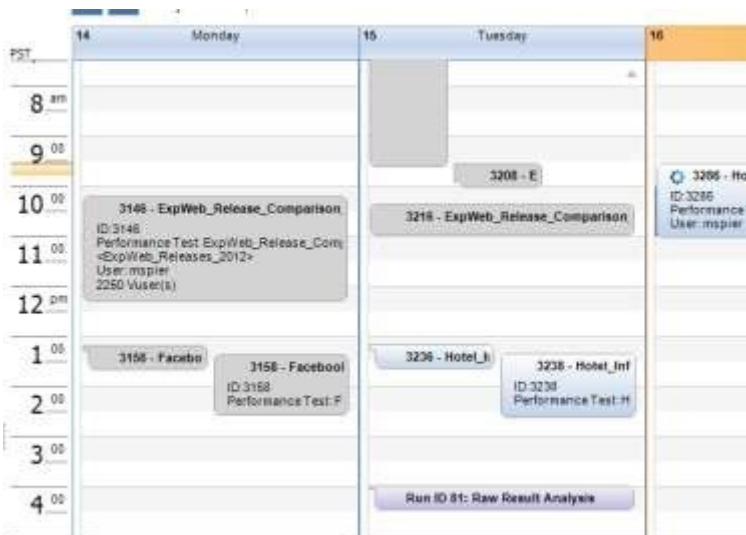
## 1. Environments

- Multiple organizations inside the same company
- Geographically dispersed teams (Global development)
- Supports wide variety of technologies
- Supports Agile development
- Continuous delivery (Weekly releases)
- Pressure to reduce costs

# Efficient Use of Resources

## How?

- **Sharing resources**



**Timeslot reservation enables resources to be shared without creating an environment management nightmare.**

**Resource pools and per project limits enable a fair share of resources to be distributed per team.**

## Efficient Use of Resources

How?

- Test scheduling

**The ability of scheduling tests to execute in the future enable teams to use the precious resources even when no one is around**

The screenshot shows a 'Select Timeslot' dialog box for a test named 'ExpWeb\_Release\_Comparison\_Short'. It includes fields for 'Run duration' (set to 30 minutes), a 'Use VUDs' checkbox, and buttons for 'Calculate Availability' and 'Requested Resources'. Below these is a table with columns: ID, Created By, Start Time, Remain..., Vusers, Hosts, Linked..., Remark, and Name. The first row shows a newly created timeslot with ID 'NEW', created by 'mspier' at '5/16/2012 9:48:05 AM', with a duration of '02:30:00', 2250 vusers, 3 hosts, and a remark 'Create NEW timeslot'. At the bottom, there is a 'Post-run action' dropdown set to 'Collate and analyze' and buttons for 'Run', 'Cancel', and 'Help'.

ID	Created By	Start Time	Remain...	Vusers	Hosts	Linked...	Remark	Name
NEW	mspier	5/16/2012 9:48:05 AM	02:30:00	2250	3	Yes	Create NEW timeslot	ExpWeb

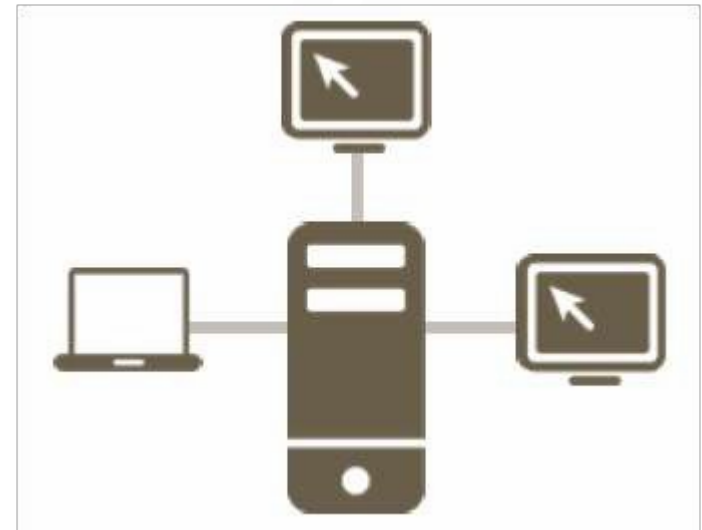
*24/7 test coverage*

## Efficient Use of Resources

### How?

- Leveraging software licenses

*License costs would be higher if each team had their own set of controller and virtual user licenses*



**T  
h  
e  
r  
e  
  
i  
s**

## Distributed Testing Capabilities

Why?

- Limited number of performance engineers
- Increasing number of requests for early and frequent performance tests
- Test earlier in the process
- Execute small and more specialized tests



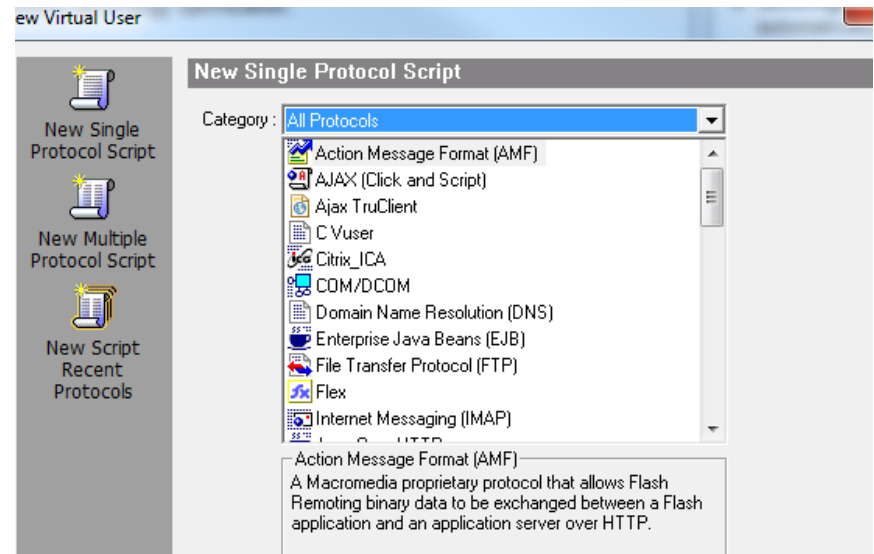
## Distributed Testing Capabilities

How?

- Enabling non-performance engineering teams to participate

*A simple web interface enables non- performance engineering teams to execute and analyze simple tests*

**Support for a wide variety of protocols enable specialized tests such as database specific, web services, etc**

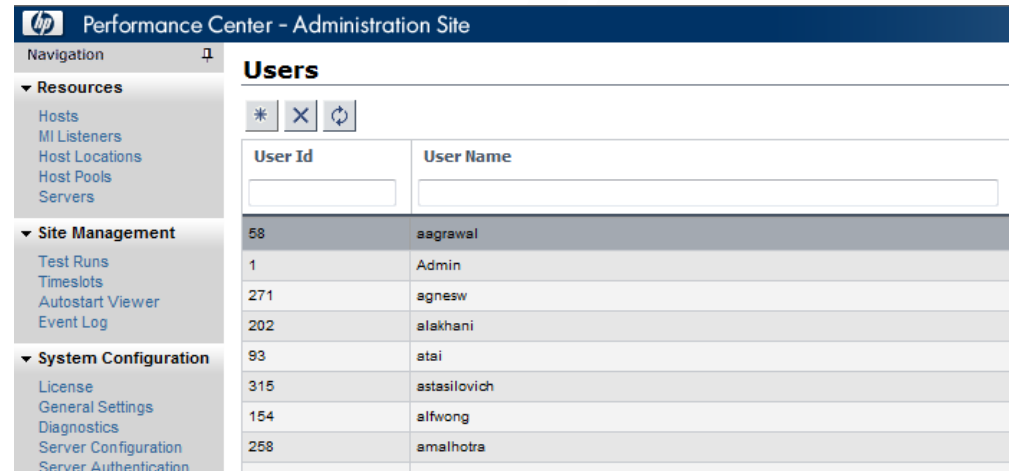


## Administration?

### How?

- Single load test tool

*Central administration makes it easy to add new users, create new projects and set up new resource pools.*



The screenshot shows the HP Performance Center Administration Site. On the left is a navigation menu with categories: Resources (Hosts, MI Listeners, Host Locations, Host Pools, Servers), Site Management (Test Runs, Timeslots, Autostart Viewer, Event Log), and System Configuration (License, General Settings, Diagnostics, Server Configuration, Server Authentication). The main area is titled 'Users' and contains a table of user accounts. Above the table are input fields for 'User Id' and 'User Name', and buttons for adding, deleting, and refreshing the list.

User Id	User Name
58	sagrawal
1	Admin
271	agnesw
202	alakhani
93	atai
315	astasilovich
154	alfwong
258	amalhota
..	

**Integration with LDAP/AD  
avoids the hassle of  
remembering another User Id  
& Password.**

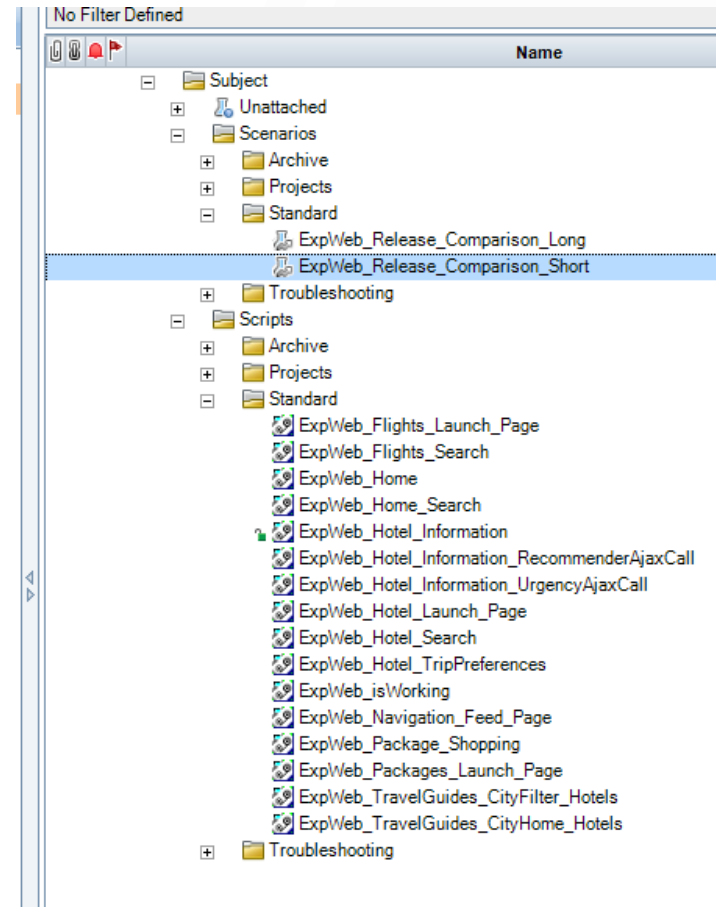
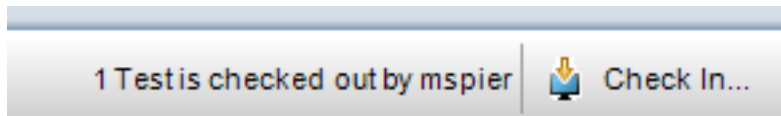
*Less than ½ FTE is necessary to support the tool.*

## • Re-Use Test Scripts

### • How?

- A Central Repository for Test Artifacts

*A central repository for scripts and scenarios with version control makes it easy to find and reuse test artifacts*



*A single location for results and reports helps keeping track and comparing executions*

# Creating Scripts in Jmeter

The screenshot displays the Apache JMeter interface. On the left, the 'Test Plan' tree is expanded, showing a sequence of steps: '01\_Open', 'Recording Controller', and a series of numbered steps from '01\_Launch URL' to '10\_Logout', each preceded by a 'Think Time' action. The right-hand pane is titled 'User Defined Variables' and contains a configuration section with 'Name: User Defined Variables' and an empty 'Comments' field. Below this is a table of user-defined variables.

Name:	Value
host	http://chairside2-perf-tac.intranet.fmcna.com/chairsi...
scheme	http
User	z0221238
Password	Welcome1
Clinic	6044
Shift	1

# Typical Load Testing results

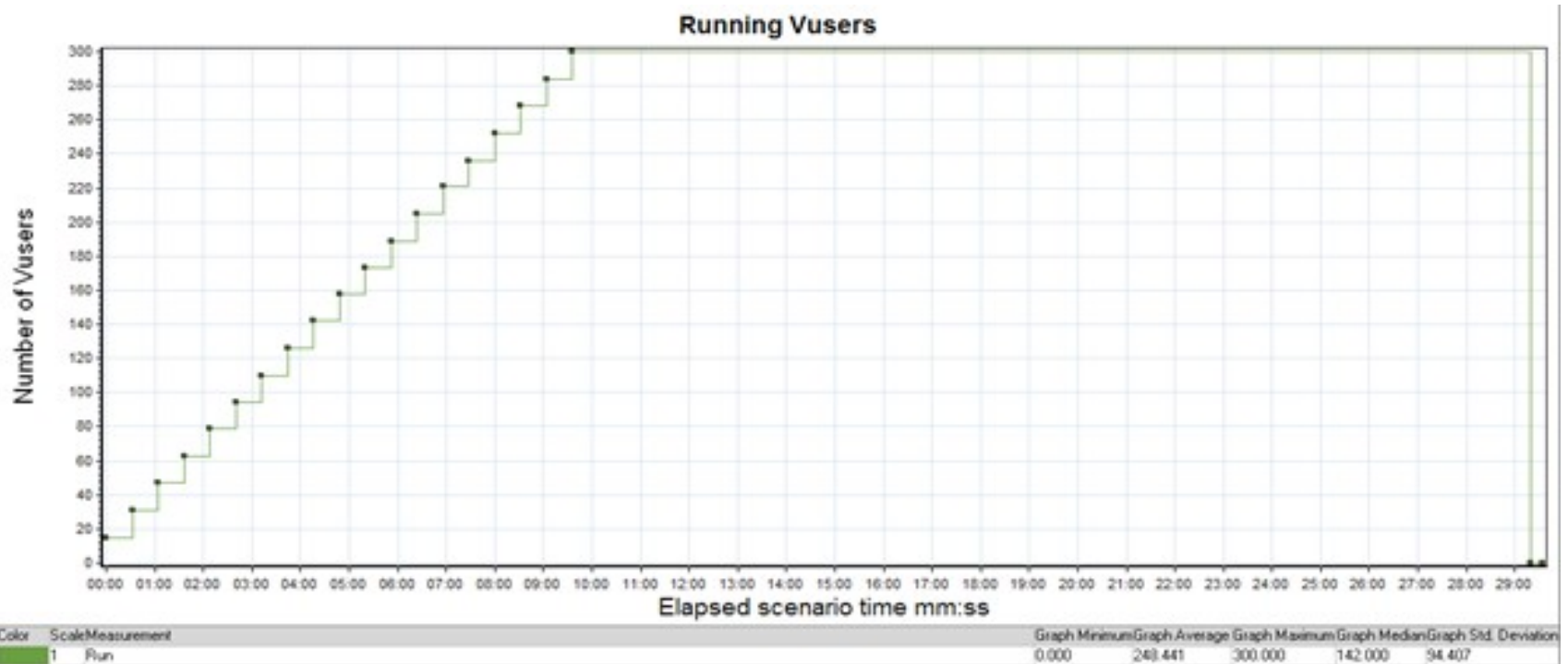
**Summary:**

Test Date	07/23/2018
Test Start Time	10:17:12 AM
Test End Time	10:46:45 AM
Test Duration	29 Minutes and 33 Seconds
Maximum Running Vusers:	300
Total Vusers	300
Total Throughput (bytes):	2,496,746,560
Total Hits:	54,984
Total Number of Transactions:	54,983

# Concurrency

Concurrency means multiple computations are happening at the same time.

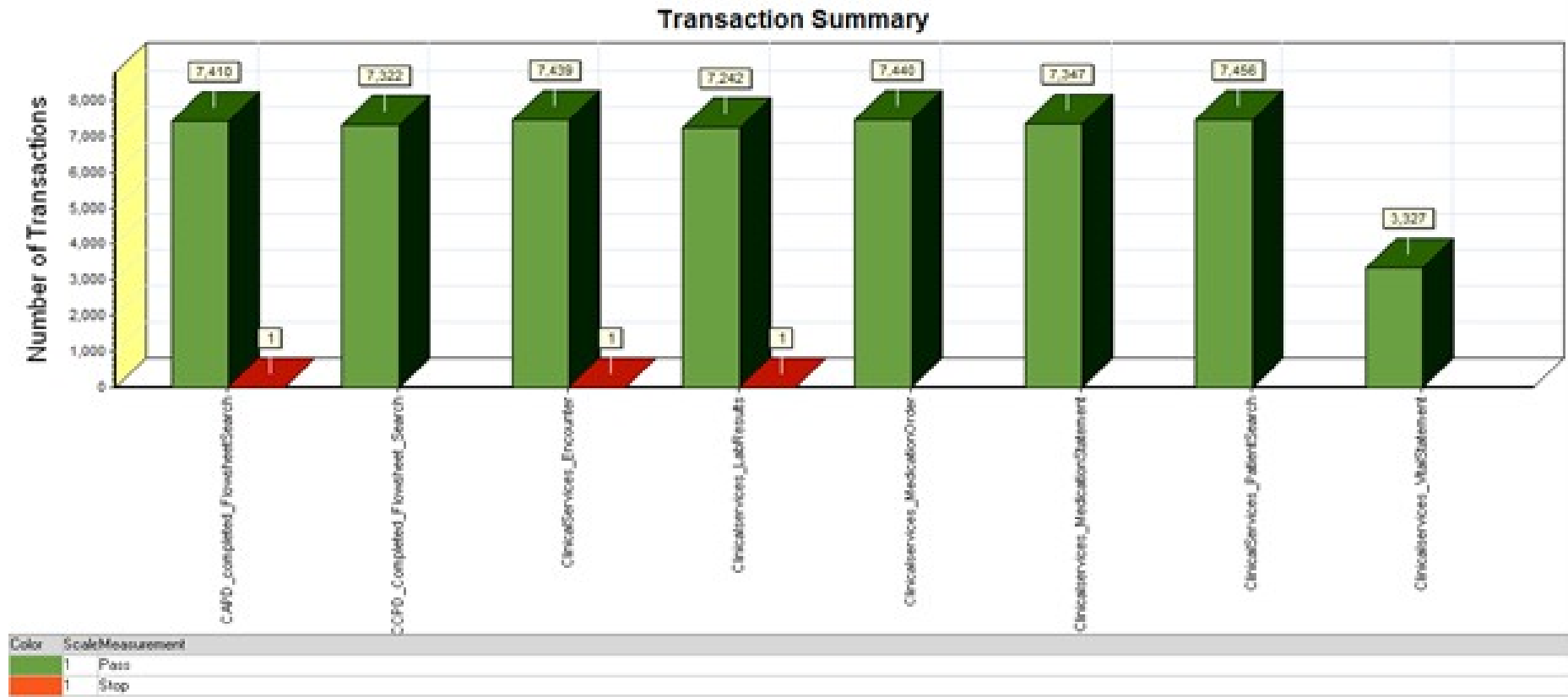
- **Concurrency:** in this test we achieved the maximum concurrency of 300 users.



# Transaction Summary

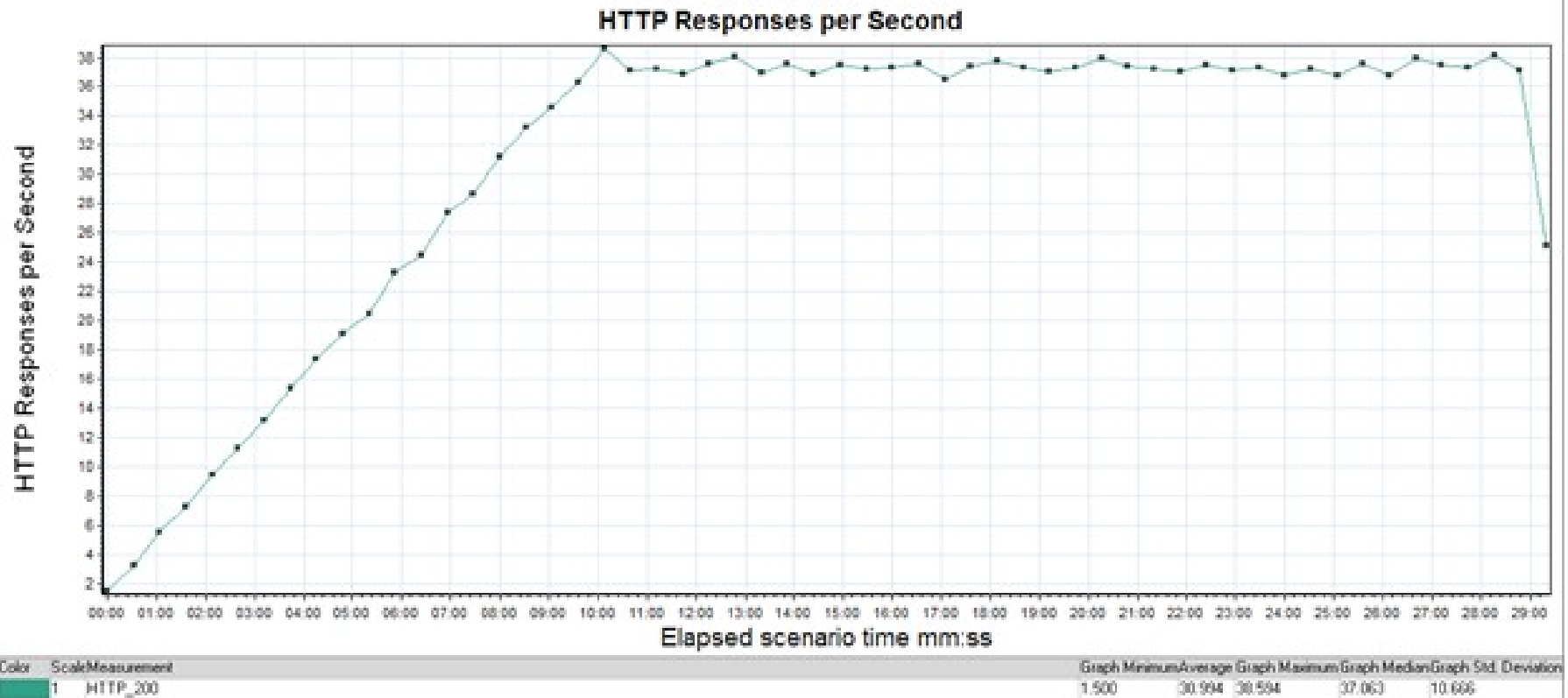
	7/23/2018 10:17:12 AM-10:46:45 AM 29 min and 33 Sec 300 Users				
Transaction Name	Avg	Std. Dev	90 Per	Pass	Fail
CAPD_completed_FlowsheetSearch	0.084	0.038	0.11	7,410	0
CCPD_Completed_Flowsheet_Search	0.079	0.071	0.1	7,322	0
ClinicalServices_Encounter	0.028	0.014	0.037	7,439	0
Clinicalservices_LabResults	0.18	0.1	0.244	7,242	0
Clinicalservices_MedicationOrder	0.019	0.013	0.029	7,440	0
Clinicalservices_MedicationStatement	0.126	0.079	0.187	7,347	0
ClinicalServices_PatientSearch	0.017	0.094	0.011	7,456	0
Clinicalservices_VitalStatement	0.124	0.098	0.18	3,327	0

# Transaction Summary





# HTTP Response



# Top 15 BEST Performance Testing Tools

- WebLOAD
- LoadUI NG Pro
- SmartMeter.io
- LoadView
- Apache JMeter
- LoadRunner (Performance Center)
- Appvance
- NeoLoad
- LoadComplete
- Loadster
- LoadImpact
- Rational Performance Tester
- Testing Anywhere
- OpenSTA
- QEngine (ManageEngine)
- Loadstorm
- CloudTest
- Httpperf
- WAPT

# Rational Performance Tester

- Rational Performance Tester(RPT) is a performance and load testing tool developed by IBM Corporation:
  - No coding involved
  - Scheduled and event based testing
  - Real time reporting for immediate performance problem identification
  - Run with large multi users tests
  - Accurate user profile workloads
  - Automated test data variation
  - Automatic identification of dynamic server responses
  - Rendered HTML view of web pages visited during test recordings
  - Environment and Platform support
  - Entrust security protocol support
  - Java code insertion for customization

# References:

<https://msdn.microsoft.com/en-us/library/bb924356.aspx>

<http://www.gallop.net/blog/2-classic-cases-where-performance-testing-failures-plague-large-organisations/>

<http://www.techrepublic.com/article/outages-on-facebook-linkedin-paypal-and-other-sites-might-point-to-bgp-failures/>

<https://blogs.akamai.com/2015/06/performance-matters-more-than-ever.html>

<https://blog.kissmetrics.com/loading-time/>

# References:

<https://www.dynatrace.com/blog/key-performance-metrics-load-tests-beyond-response-time-part/>

# Software Monitoring

- Software Monitoring Types:
  - Application Monitoring
  - Network Monitoring
  - Performance Monitoring
  - And more...(Performance Monitoring, DB Monitoring and etc..)



# What is Application Monitoring?



- Application monitoring is a process that ensures that a software **application** processes and performs in an expected manner and scope.
- Also known as Application Performance Monitoring (**APM**)
- An '**Alert**' is sent to the particular distribution groups upon detecting any unexpected errors or abnormalities.

# What is Application Monitoring?



- This technique routinely **identifies, measures and evaluates** the performance of an **application** and provides the means to isolate and rectify any abnormalities.
- **Dedicated teams** monitor and receive alerts based on criteria set in the monitoring tool.

Based on the alerts, processes are followed to address the issue and restore the services.



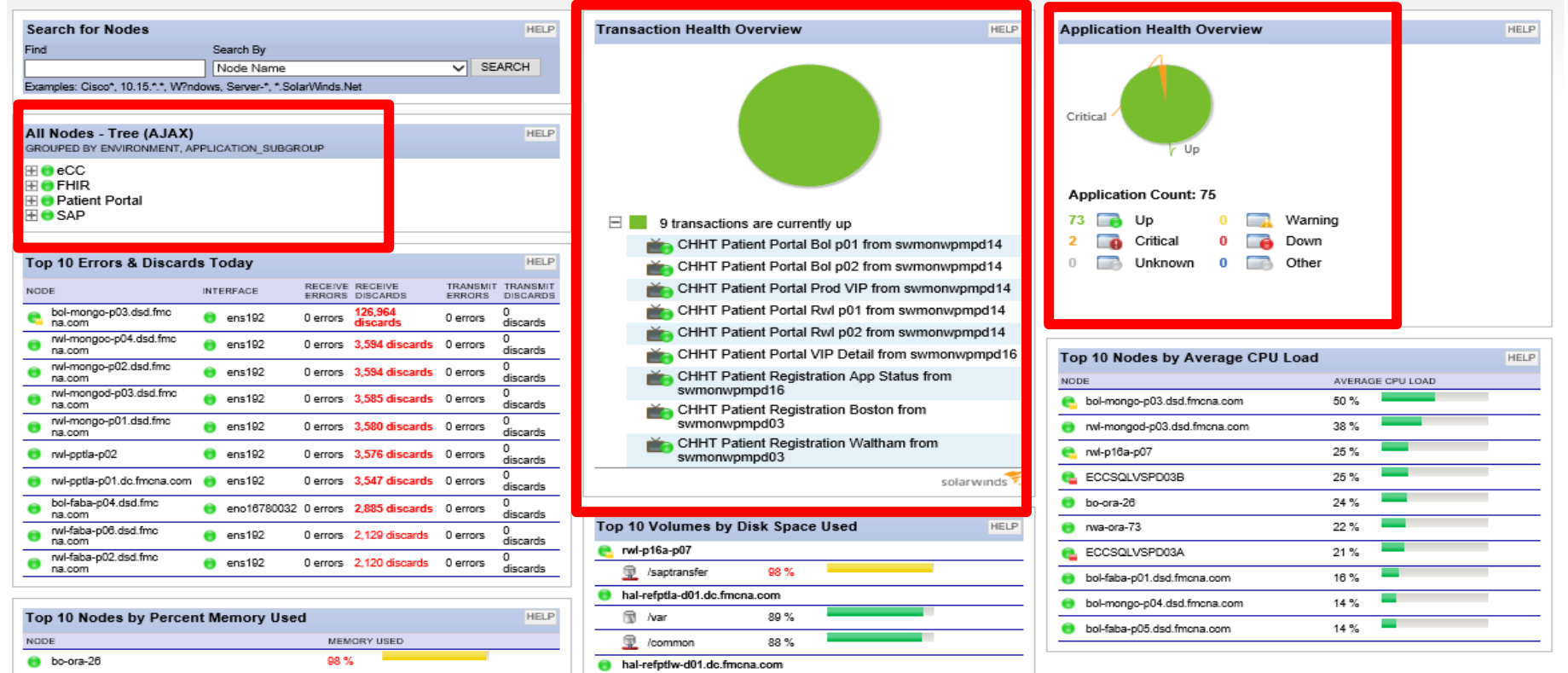
# Application Monitoring Tools

- App Dynamics
- Splunk
- Amazon CloudWatch
- Solarwinds
- New Relic APM
- Coradient
- Riverbed SteelCentral
- Dell Foglight
- IDERA Precise
- Nastel

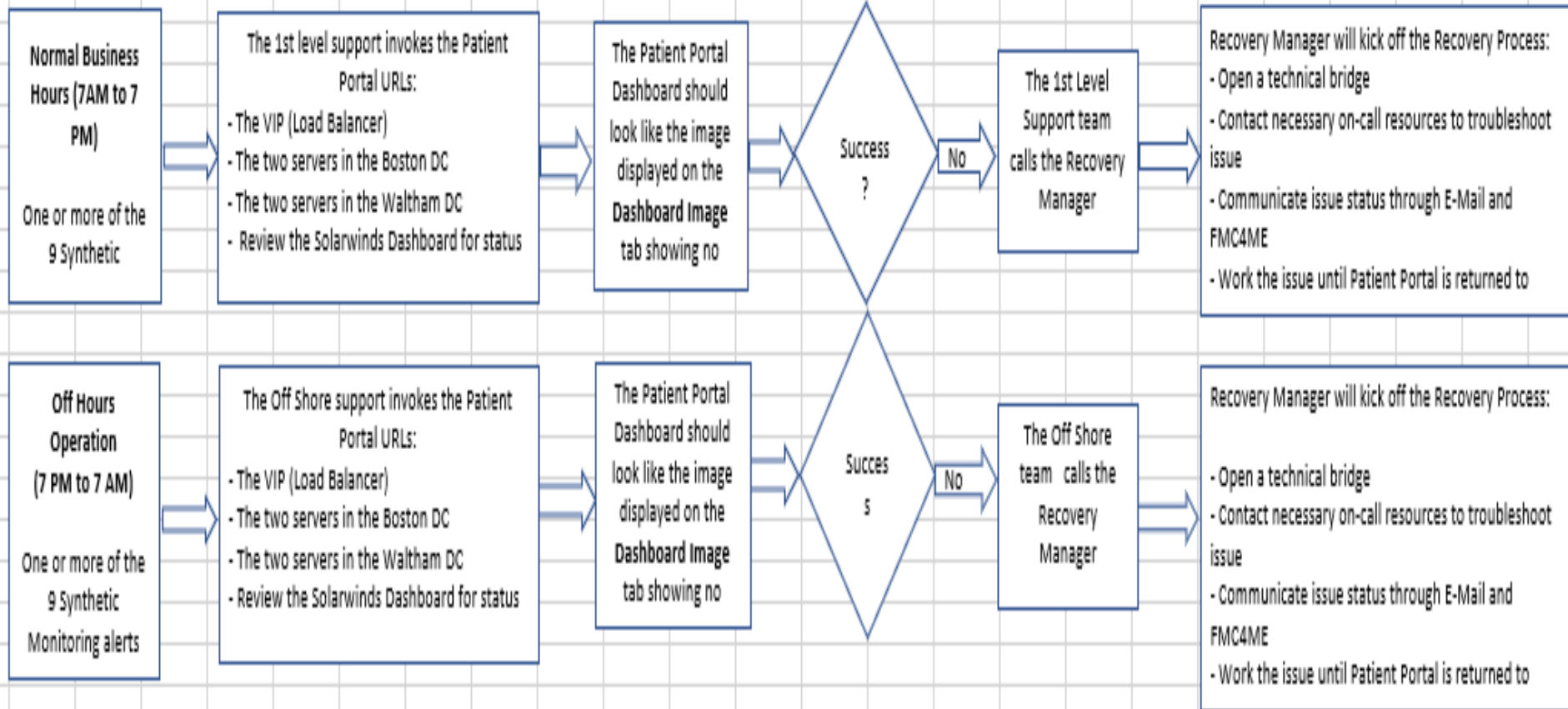


# Solarwinds Dashboard

## APP - Patient Portal



# Application Monitoring Process Flow





Questions?