

## Problem 1

1. Heapsort is not stable: Consider the array of key-value pairs  $[(0, a), (1, a), (1, b)]$ . This array already represents a min-heap. One call to `deleteMin` will return  $(0, a)$  and the heap will be updated to  $[(1, b), (1, a)]$ . Next calls to `deleteMin` return  $(1, b)$  and then  $(1, a)$ . Therefore the output of Heapsort is  $[(0, a), (1, b), (1, a)]$  and Heapsort is not stable.
2. QuickSort1 is not stable: Consider the array of key-value pairs  $[(2, a), (1, a), (1, b)]$ . QuickSort1 chooses  $(2, a)$  as a pivot. The call to partition will only execute the final swap, leading to an array  $[(1, b), (1, a), (2, a)]$  after partitioning. This array is sorted but switched the order of elements of key 1.

## Problem 2

**Solution 1:** Partition the array  $A = [B_0|B_1|\dots]$  in blocks of size  $\ell := \lceil \log(n) \rceil$ , i.e. first block is  $B_0 = A[0, \dots, \ell - 1]$ , second block is  $B_1 = A[\ell, \dots, 2\ell - 1], \dots$ . In general,  $i$ -th block  $B_i$  is  $A[i\ell, \dots, (i+1)\ell - 1]$  and there are  $\Theta(n/\log n)$  such blocks. Note that the last block may have less than  $\ell$  elements if  $n$  is not a multiple of  $\ell$ .

The hypothesis  $A[i] \geq A[i - j]$  for all  $j \geq \log n$  guarantees that the elements of a block  $B_i$  are all greater than or equal to any element of  $B_j$  as long as  $i \geq j + 2$ . Our algorithm starts by sorting the array  $[B_0|B_1]$  and cut the sorted array in two blocks  $[B'_0|B'_1]$  of size  $\ell$ .

We assert that smallest  $\ell$  elements of  $A$  are to be found in  $B'_0$  in order. Indeed, these  $\ell$  smallest elements can not be found in  $B_2, B_3, \dots$  because all the  $\ell$  elements of  $B_0$  are smaller than any element of  $B_2, B_3, \dots$ . So the smallest  $\ell$  elements are in  $[B_0|B_1]$ , and therefore in  $B'_0$  after sorting.

Then we recursively call our algorithm on the subarray  $[B'_1|B_2|B_3|\dots]$ . The important thing is that this subarray still satisfies the property “the elements of a block  $B_i$  are all greater or equal to any element of  $B_j$  as long as  $i \geq j + 2$ ”. Indeed, the elements of  $B'_1$  are smaller than any element in  $B_3, B_4, \dots$  because  $B'_1$  is made of elements of  $B_0$  and  $B_1$ .

Let us look at the cost of our algorithm. Sorting two consecutive blocks of size  $\ell$  takes  $O(\log n \log \log n)$ . The cost of our sorting algorithm on an array of  $r$  blocks is  $T(r) = O(\log n \log \log n) + T(r - 1)$ . Since the number of blocks is  $\Theta(n/\log n)$ , the overall time complexity is  $O(n \log \log n)$ .

**Solution 2:** If we consider every  $\ell = \lceil \log(n) \rceil$ -th element, that is  $A[0], A[\ell], A[2\ell], \dots$ , the elements will be in sorted order. Similarly for  $A[1], A[1 + \ell], A[1 + 2\ell], \dots$  or in general  $A[i], A[i + \ell], A[i + 2\ell], \dots$  for  $i < \ell$ . Therefore, we can first obtain  $\ell$  sorted sequences, each consisting of  $\Theta(n/\log n)$  elements. Now we can do a  $\ell$ -merge similar to Assignment 2 to get the sorted array. That is, insert the smallest element from each sequence into a min heap, extract the minimum say  $m$ , and insert the next smallest element of the sequence where  $m$  comes from into the heap.

Notice that heap size is always  $O(\log n)$ . Therefore heap operations takes only  $O(\log \log n)$  time and the overall complexity is  $O(n \log \log n)$ .

### Problem 3

1. a)  $i := 0$   
b) **while** ( $i < n$ ) **do**  
c)     **if** ( $A[i] == i$ ) **then**  
d)          $i := i+1$   
e)     **else**  
f)          $\text{Swap}(A[A[i]], A[i])$

2. At the beginning of each loop iteration  $A[0], A[1], \dots, A[i-1] = 0, 1, \dots, i-1$ . Each iteration of the loop either increment  $i$  or increases by at least one the number of array elements that are in correct position. Thus, the number of iterations is between  $n$  and  $2n$ , giving a running time in  $\Theta(n)$ .

*More details (not required for full marks):* The only possible modifications made to  $A$  are to swap two elements. Thus,  $A[0, \dots, n-1]$  remains a permutation of  $0, \dots, n-1$  throughout the execution.

The first time the loop iterates, we have  $i = 0$ , so the loop invariant

$$A[0], A[1], \dots, A[i-1] = 0, 1, \dots, i-1 \quad (1)$$

holds trivially. Induction on the number of times the loop iterates shows that (1) holds throughout execution. On the one hand, if line d) is executed, then  $A[i] = i$  and upon incrementing  $i$ , invariant (1) still holds. On the other hand, after line f) is executed, we get  $A[j] = j$  for  $j = A[i]$ . Since  $A[j] \neq j$  for  $j \in \{i, A[i]\}$  beforehand, we have increased by at least one the number of array elements in correct position.

### Problem 4

This algorithm was explained in class: it corresponds to solution 4, slide 18 of module 2. There are three steps :

- i. Perform an in-place heapify so that  $A[0, \dots, n-1]$  is a min-heap.

*Running time:*  $O(n)$

- ii. Perform  $k$  **deleteMin** (or equivalently **extractMin**) operations to obtain the  $k$  smallest integers in the array. Using the implementation **heapDeleteMax** of slide 14 of module 2 for Algorithm **deleteMin**, we obtain the minimum in position  $A[n-1]$ , the second minimum in position  $A[n-2]$ ,  $\dots$ , and the  $k$ -th minimum in position  $A[n-k]$ .

*Running time:*  $O(k \log n)$  which is  $O(n)$  if  $k \in O(n/\log n)$ .

- iii. Reverse the order of the elements in the array by interchanging  $A[i]$  and  $A[n-1-i]$  for  $i = 0, 1, \dots, \lfloor (n-1)/2 \rfloor$ .

*Running time:*  $O(n)$ .

## Problem 5

1. Each player is weak or strong, but the two cases where all players are either all weak or all strong are excluded. The total number of possible outcomes is thus  $2^n - 2$ . Each contest has exactly 3 possible outcomes, so if an algorithm performs at most  $k$  contests, the number of different answers the algorithm could return is at most  $3^k$ . So we must have  $3^k \geq 2^n - 2$ ; solving for the integer  $k$  yields the lower bound of  $\lceil \log_3(2^n - 2) \rceil$  contests.
2. Using the algorithm of part 3, we need 3 contests when  $n = 4$ . This matches the bound

$$\lceil \log_3(2^4 - 2) \rceil = 3.$$

3. Perform exactly  $n - 1$  contests between the pairs for players  $P_1$  and  $P_i$  for  $2 \leq i \leq n$ .  $P_1$  is weak if and only if all contest outcomes result in either a tie or loss for  $P_1$ , with at least one loss because there is at least one strong player; the weak players are those that tied  $P_1$  and the strong players are those that won against  $P_1$ .

Similarly,  $P_1$  is strong if and only if all contest outcomes result in either a tie or win for  $P_1$ , with at least one win; the strong players are those that tied  $P_1$  and the weak players are those that lost against  $P_1$ .

The algorithm takes  $(n - 1) \in O(n)$  contests. Observe that for  $n \geq 2$

$$\lceil \log_3(2^n - 2) \rceil \geq \lceil \log_3(2^{n-1}) \rceil = (n - 1) \log_3(2)$$

so the lower bound from part 1 is  $\Omega(n)$ . Therefore this algorithm is asymptotically optimal in the number of contests.

## Problem 6

Algorithm is based on Counting Sort and has three steps:

- i. Count the number of occurrences of each key through a linear scan of the array and store the result in an array  $C$  of size  $k$  (similar to the first step of counting sort).  
This takes  $O(n)$  time.
- ii. Next, update  $C$  to store the number of items smaller or equal to each key; this can be done by a linear scan of  $C$  (similar to the second step of counting sort).  
This takes  $O(k)$  time.
- iii. The number of items in the range  $[a; b]$  is equal to  $C[b]$  (*i.e.* the number of items smaller or equal to  $b$ ) minus  $C[a - 1]$  (*i.e.* the number of items smaller than  $a$ ).  
This takes  $O(1)$  time.