

ELEN_6885_HW4_Part_4

November 25, 2019

#ELEN 6885 Reinforcement Learning Coding Assignment (Part 4)# There are a lot of official and unofficial tutorials about Tensorflow, and there are also many open-source projects written in Tensorflow. You can refer to those resources according to your interest. In this part of homework 4, only knowledge of Deep Reinforcement Learning and basic programming skills will be needed.

Please put your code into the block marked by

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```
# YOUR CODE STARTS HERE
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```
# YOUR CODE ENDS HERE
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Normally you don't need to edit anything outside of the block. If you do want to edit something, please use a similar manner to mark you edits.

```
[1]: import numpy as np
import tensorflow as tf

# DQN
class DQN:
    def __init__(
        self,
        actions_num,
        state_size,
        learning_rate = 0.001,
        gamma = 0.99,
        epsilon_min = 0.05,
        epsilon_start = 0.9,
        replace_target_iter = 300,
        memory_size = 500,
        batch_size = 2,
        epsilon_increment = None,
    ):
        self.actions_num = actions_num
        self.state_size = state_size
        self.lr = learning_rate
        self.gamma = gamma
        self.epsilon_min = epsilon_min
        self.replace_target_iter = replace_target_iter
        self.memory_size = memory_size
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self.batch_size = batch_size
self.epsilon_increment = epsilon_increment
self.epsilon = epsilon_start if epsilon_increment is not None else self.
↪epsilon_min
self.save_model_path = './weights/DQN_model.ckpt'
self.memory_counter = 0

# learned steps counter
self.steps_counter = 0

# initialize memory [s, a, r, s_, done]
self.memory = np.zeros((self.memory_size, state_size * 2 + 3))

# build target_net and q_net
self.build_net()
t_params = tf.get_collection('target_net_params')
q_params = tf.get_collection('q_net_params')
self.replace_target = [tf.assign(t, q) for t, q in zip(t_params, ↪
↪q_params)]

# gpu setting
config = tf.ConfigProto(log_device_placement=False, ↪
↪allow_soft_placement=True)
config.gpu_options.per_process_gpu_memory_fraction = 0.6
self.sess = tf.Session(config=config)

self.sess.run(tf.global_variables_initializer())

def build_net(self):
    # build q_net
    self.state = tf.placeholder(tf.float32, [None, self.state_size], ↪
↪name='state')
    self.q_target = tf.placeholder(tf.float32, [None, self.actions_num], ↪
↪name='Q_target')
    with tf.variable_scope('q_net'):
        # c_names(collections_names) are the collections to store variables
        c_names, neurons_layer_1, w_initializer, b_initializer = \
            ['q_net_params', tf.GraphKeys.GLOBAL_VARIABLES], 100, \
            tf.random_normal_initializer(0., 0.3), tf.constant_initializer(0.1)

        # layer 1
        with tf.variable_scope('layer_1'):
            w_layer_1 = tf.get_variable('w_layer_1', [self.state_size, ↪
↪neurons_layer_1], initializer=w_initializer, collections=c_names)
            b_layer_1 = tf.get_variable('b_layer_1', [1, neurons_layer_1], ↪
↪initializer=b_initializer, collections=c_names)

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        layer_1 = tf.nn.relu(tf.matmul(self.state, w_layer_1) + b_layer_1)

    # layer 2
    with tf.variable_scope('layer_2'):
        w_layer_2 = tf.get_variable('w_layer_2', [neurons_layer_1, self.
→actions_num], initializer=w_initializer, collections=c_names)
        b_layer_2 = tf.get_variable('b_layer_2', [1, self.actions_num],
→initializer=b_initializer, collections=c_names)
        self.q_value = tf.matmul(layer_1, w_layer_2) + b_layer_2

    with tf.variable_scope('loss'):
        self.loss = tf.reduce_mean(tf.squared_difference(self.q_target, self.
→q_value))
    with tf.variable_scope('train'):
        self._train_op = tf.train.AdamOptimizer(self.lr).minimize(self.loss)

    # build target_net
    self.state_t = tf.placeholder(tf.float32, [None, self.state_size],
→name='state_t')    # input
    with tf.variable_scope('target_net'):
        # c_names(collections_names) are the collections to store variables
        c_names = ['target_net_params', tf.GraphKeys.GLOBAL_VARIABLES]

    # layer 1
    with tf.variable_scope('layer_1'):
        w_layer_1 = tf.get_variable('w_layer_1', [self.state_size,
→neurons_layer_1], initializer=w_initializer, collections=c_names)
        b_layer_1 = tf.get_variable('b_layer_1', [1, neurons_layer_1],
→initializer=b_initializer, collections=c_names)
        layer_1 = tf.nn.relu(tf.matmul(self.state_t, w_layer_1) + b_layer_1)

    # layer 2

    #####
    # YOUR CODE STARTS HERE

    with tf.variable_scope('layer_2'):
        w_layer_2 = tf.get_variable('w_layer_2', [neurons_layer_1, self.
→actions_num], initializer=w_initializer, collections=c_names)
        b_layer_2 = tf.get_variable('b_layer_2', [1, self.actions_num],
→initializer=b_initializer, collections=c_names)
        self.q_next = tf.matmul(layer_1, w_layer_2) + b_layer_2

    # YOUR CODE ENDS HERE
    #####

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def store_transition(self, s, a, r, s_, done):
    s=s.reshape(-1)
    s_=s_.reshape(-1)
    transition = np.hstack((s, [a, r], s_, done))

    # replace the old memory with new observations
    index = self.memory_counter % self.memory_size
    self.memory[index, :] = transition

    self.memory_counter += 1

def choose_action(self, observation):
    # to have batch dimension when fed into tf placeholder
    observation = observation[np.newaxis, :]
    # epsilon-greedy
    if np.random.uniform() > self.epsilon:
        action_values = self.sess.run(self.q_value, feed_dict={self.state:
↪observation})
        action = np.argmax(action_values)
    else:
        action = np.random.randint(0, self.actions_num)
    return action

def learn(self):
    # replace target parameters every once a while
    if self.steps_counter % self.replace_target_iter == 0:
        self.sess.run(self.replace_target)

    # sample a batch from the memory
    if self.memory_counter > self.memory_size:
        sample_index = np.random.choice(self.memory_size, size=self.batch_size)
    else:
        sample_index = np.random.choice(self.memory_counter, size=self.batch_size)
    batch_memory = self.memory[sample_index, :]

    q_next, q_value = self.sess.run(
        [self.q_next, self.q_value],
        feed_dict={
            self.state_t: batch_memory[:, -self.state_size-1:-1], # fixed params
            self.state: batch_memory[:, :self.state_size], # newest params
        })

    # calculate q_target
    q_target = q_value.copy()

    # only change the action-values of this batch, because we only calculate
↪loss on the batch observations

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batch_index = np.arange(self.batch_size, dtype=np.int32)
act_index = batch_memory[:, self.state_size].astype(int)
reward = batch_memory[:, self.state_size + 1]
done = batch_memory[:, -1]
#####
# YOUR CODE STARTS HERE

for batch in batch_index:
    q_target[batch, act_index[batch]] = reward[batch] + (0 if done[batch]
↪else (self.gamma * np.max(q_next[batch,:],axis=0)))

    # YOUR CODE ENDS HERE
    #####

    # train q_net
    _, self.cost = self.sess.run([self._train_op, self.loss],
                                  feed_dict={self.state: batch_memory[:, :self.
↪state_size],
                                             self.q_target: q_target})

    # change epsilon
    self.epsilon = self.epsilon - self.epsilon_increment if self.epsilon > self.
↪epsilon_min else self.epsilon_min
    self.steps_counter += 1

def store(self):
    saver = tf.train.Saver()
    saver.save(self.sess, self.save_model_path)

def restore(self):
    saver = tf.train.Saver()
    saver.restore(self.sess, self.save_model_path)

```

```

[2]: import gym
# cart pole gym environment
env = gym.make("CartPole-v0")
env._max_episode_steps = 500
# state and action space
print(env.action_space)
print(env.observation_space)
# observation
env.reset()
# state, reward, done, info
print(env.step(1))

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Discrete(2)
Box(4,)
(array([ 0.01000824,  0.2117136 ,  0.02151308, -0.25150414]), 1.0, False, {})

```

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[3]: # play the game and train the network
np.set_printoptions(threshold=np.inf)
episode_length_set = []
tf.reset_default_graph()
total_time_steps = 100000

RL = DQN(actions_num = 2, gamma = 0.99,
          state_size = 4, epsilon_start = 1,
          learning_rate = 1e-3, epsilon_min = 0.01,
          replace_target_iter = 100, memory_size = 5000,
          epsilon_increment = 0.00001,)

new_state = env.reset()
done = False
episode_length_counter = 0
for step in range(total_time_steps):
    #####
    # YOUR CODE STARTS HERE

    if done:
        done = False
        new_state = env.reset()
        episode_length_set.append(episode_length_counter)
        print(step, episode_length_counter)
        episode_length_counter = 0
        continue
    else:
        # take action
        action = RL.choose_action(new_state)
        old_state = new_state
        new_state, reward, done, info = env.step(action)
        RL.store_transition(old_state, action, reward, new_state, done)

    # YOUR CODE ENDS HERE
    #####

    if step > 200:
        RL.learn()
        episode_length_counter += 1
        if episode_length_counter == 500:
            RL.store()
# RL.store()

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32608 24
32652 43
32691 38
32704 12
32748 43
32881 132
32995 113
33148 152
33248 99
33272 23
33328 55
33353 24
33510 156
33528 17
33713 184
33844 130
33867 22
33932 64
34074 141
34105 30
34127 21
34212 84
34282 69
34422 139
34551 128
34596 44
34658 61
34762 103
34826 63
34916 89

34992 75
35018 25
35158 139
35295 136
35344 48
35357 12
35457 99
35531 73
35631 99
35769 137
35866 96
35962 95
36104 141
36169 64
36243 73
36311 67
36373 61
36482 108
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36688 74
36713 24
36831 117
36904 72
36957 52
37008 50
37120 111
37169 48
37196 26
37236 39
37369 132
37451 81
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37503 27
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37646 68
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37826 30
37870 43
37934 63
38011 76
38131 119
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38342 29
38368 25
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38805 250
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38999 53
39041 41
39086 44
39117 30
39143 25
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39201 36
39310 108
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39779 111
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40884 195
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41128 53
41209 80
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41800 66
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41934 52
41957 22
42021 63
42047 25
42071 23
42132 60
42235 102
42438 202
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42596 110
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43058 141
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45112 81
45132 19
45261 128
45493 231
45512 18
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45899 171
45945 45
46148 202
46354 205
46400 45
46501 100
46515 13
46662 146
46807 144
46940 132
47124 183
47267 142
47369 101
47560 190
47612 51
47641 28
47734 92
47887 152
47937 49
48083 145

48234 150
48398 163
48695 296
48904 208
49053 148
49261 207
49325 63
49667 341
49784 116
49876 91
49962 85
50128 165
50210 81
50324 113
50442 117
50470 27
50661 190
50781 119
50864 82
50918 53
51143 224
51335 191
51365 29
51513 147
51796 282
51929 132
52194 264
52287 92
52334 46
52708 373
52973 264
53196 222
53429 232
53830 400
53982 151
54030 47
54383 352
54687 303
54753 65
55179 425
55250 70
55415 164
55647 231
55855 207
56061 205
56286 224
56606 319
56912 305

57413 500
57694 280
57932 237
58389 456
58582 192
58646 63
58920 273
59338 417
59768 429
60269 500
60334 64
60693 358
61062 368
61144 81
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61620 270
62121 500
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62727 498
63085 357
63360 274
63575 214
63857 281
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64880 363
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65092 174
65536 443
65850 313
65914 63
66415 500
66757 341
67006 248
67164 157
67391 226
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68727 200
68891 163
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69786 229

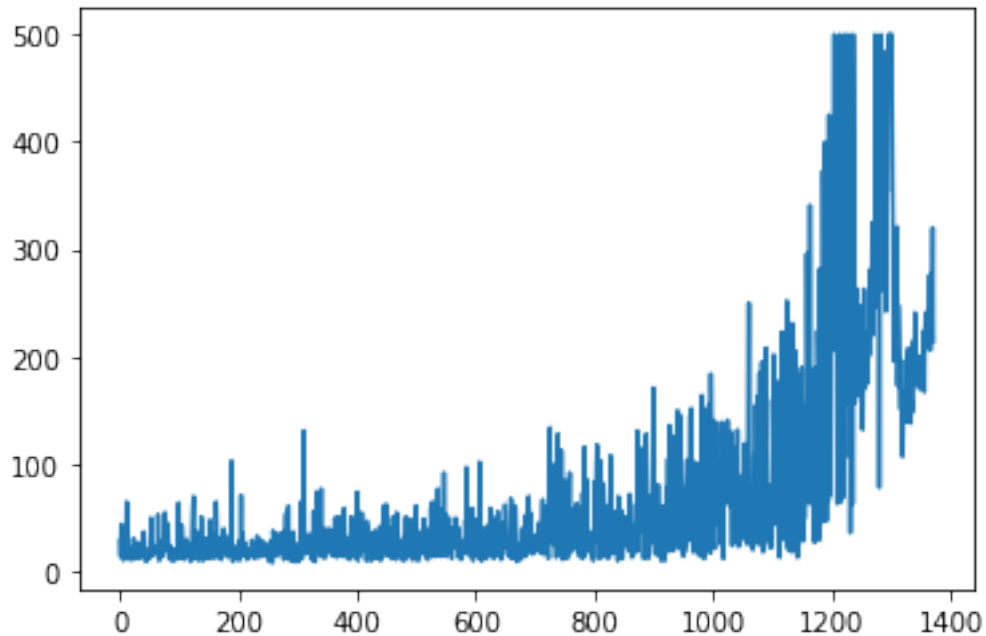
69919 132
70101 181
70365 263
70536 170
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70903 182
71109 205
71310 200
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71900 223
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73470 325
73691 220
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74250 296
74496 245
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75267 269
75596 328
75887 290
76289 401
76715 425
77184 468
77263 78
77661 397
78066 404
78567 500
78873 305
79249 375
79735 485
80133 397
80395 261
80722 326
81088 365
81331 242
81641 309
81998 356
82354 355
82830 475
83331 500
83832 500
84301 468
84802 500

85303 500
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86221 416
86544 322
86741 196
86947 205
87186 238
87387 200
87674 286
87899 224
88221 321
88395 173
88644 248
88871 226
89070 198
89262 191
89414 151
89612 197
89778 165
89886 107
90012 125
90181 168
90321 139
90484 162
90631 146
90771 139
90932 160
91089 156
91291 201
91500 208
91666 165
91868 201
92021 152
92160 138
92319 158
92468 148
92619 150
92777 157
92993 215
93184 190
93426 241
93607 180
93815 207
93991 175
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94374 201
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94719 170

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94890 170
95070 179
95245 174
95426 180
95615 188
95792 176
95993 200
96218 224
96386 167
96605 218
96847 241
97063 215
97282 218
97491 208
97718 226
97935 216
98165 229
98442 276
98649 206
98881 231
99143 261
99393 249
99714 320
99929 214
```

```
[5]: from matplotlib import pyplot as plt
     plt.plot(episode_length_set)
```

```
[5]: [<matplotlib.lines.Line2D at 0x639194a10>]
```



```
[6]: # test our network
tf.reset_default_graph()
RL = DQN(actions_num = 2, gamma = 1,
        state_size = 4, epsilon_start = 1,
        learning_rate = 1e-3, epsilon_min = 0,
        replace_target_iter = 100, memory_size = 5000,
        epsilon_increment = None,)
# load saved parameters
RL.restore()
# run 100 trails and print how long can the agent hold the cart pole for each
# → trail
for i in range(100):
    #####
    # YOUR CODE STARTS HERE

    RL.epsilon = 0
    new_state = env.reset()
    done = False
    episode_length_counter = 0
    for step in range(500):
        if(done):
            print(step, episode_length_counter)
            break
        action = RL.choose_action(new_state)
        new_state, reward, done, info = env.step(action)
        episode_length_counter += 1
```

```
if(episode_length_counter == 500):
    print(step, episode_length_counter)

# YOUR CODE ENDS HERE
#####
```

INFO:tensorflow:Restoring parameters from ./weights/DQN_model.ckpt

```
499 500
499 500
499 500
491 491
499 500
424 424
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436 436
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416 416
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370 370
424 424
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447 447
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394 394
374 374
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435 435
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499 500
```

[illegible]

499 500
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499 500
465 465
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499 500
382 382
483 483
499 500
432 432
499 500

You may find that the episode length doesn't stably improve as more training time is given. You can read chapter 3.2 of this paper <https://arxiv.org/pdf/1711.07478.pdf> if you are interested.