CSE 252A Computer Vision I Fall 2019 - Homework 5

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Due On: Saturday, December 7, 2019 11:59 pm

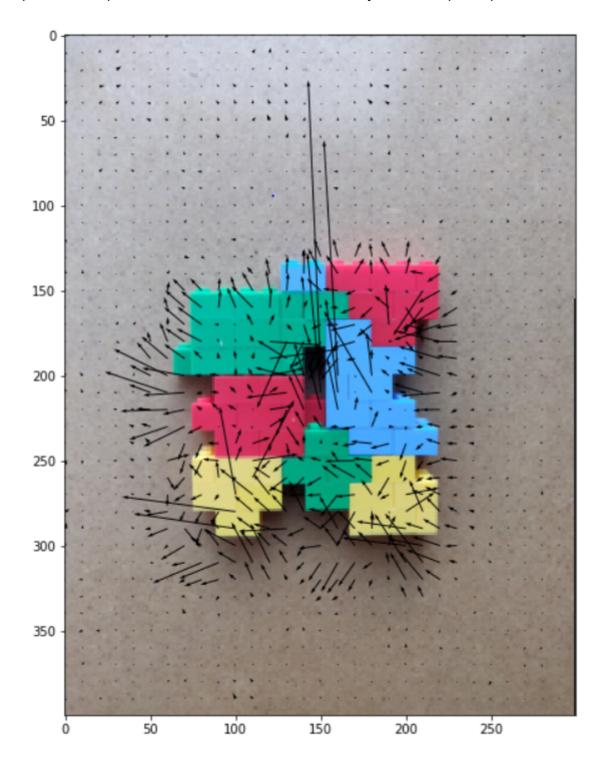
Instructions

- Review the academic integrity and collaboration policies on the course website.
 - This assignment must be completed individually.
- · All solutions must be written in this notebook.
 - Programming aspects of the assignment must be completed using Python in this notebook.
- If you want to modify the skeleton code, you may do so. It has only been provided as a framework for your solution.
- You may use Python packages (such as NumPy and SciPy) for basic linear algebra, but you may not use packages that directly solve the problem.
 - If you are unsure about using a specific package or function, then ask the instructor and/or teaching assistants for clarification.
- You must submit this notebook exported as a PDF. You must also submit this notebook as . ipynb file.
 - Submit both files (. pdf and . ipynb) on Gradescope.
 - You must mark the PDF pages associated with each question in Gradescope. If you fail to do so, we may dock points.
- It is highly recommended that you begin working on this assignment early.
- Late policy: assignments submitted late will receive a 15% grade reduction for each 12 hours late (i.e., 30% per day). Assignments will not be accepted 72 hours after the due date. If you require an extension (for personal reasons only) to a due date, you must request one as far in advance as possible. Extensions requested close to or after the due date will only be granted for clear emergencies or clearly unforeseeable circumstances.

Problem 1: Optical Flow [14 pts]

In this problem, the multi-resolution Lucas-Kanade algorithm for estimating optical flow will be implemented, and the data needed for this problem can be found in the folder 'optical_flow_images'.

An example optical flow output is shown below - this is not a solution, just an example output.



Part 1: Multi-resolution Lucas-Kanade implementation [6 pts]

Implement the Lucas-Kanade method for estimating optical flow. The function 'LucasKanadeMultiScale' needs to be completed. You can implement 'upsample flow' and 'OpticalFlowRefine' as 2 building blocks in order to complete this.

```
In [23]:
          import numpy as np
           import matplotlib.pyplot as plt
          from scipy import interpolate
          from scipy. signal import convolve
           from scipy.ndimage import gaussian_filter, correlate
           import math
          from tqdm import tqdm_notebook
          def grayscale(img):
               Converts RGB image to Grayscale
               gray=np.zeros((img.shape[0], img.shape[1]))
               gray=img[:,:,0]*0.2989+img[:,:,1]*0.5870+img[:,:,2]*0.1140
               return gray
          def plot optical flow(img, U, V, titleStr):
               Plots optical flow given U, V and one of the images
               # Change t if required, affects the number of arrows
               # t should be between 1 and min(U. shape[0], U. shape[1])
               # Subsample U and V to get visually pleasing output
               U1 = U[::t,::t]
               V1 = V[::t,::t]
               # Create meshgrid of subsampled coordinates
               r, c = img. shape[0], img. shape[1]
               cols, rows = np. meshgrid(np. linspace(0, c-1, c), np. linspace(0, r-1, r))
               cols = cols[::t,::t]
               rows = rows[::t,::t]
               # Plot optical flow
               plt. figure (figsize=(10, 10))
               plt.imshow(img)
               plt.quiver(cols, rows, U1, V1)
               plt. title(titleStr)
               plt. show()
           images=[]
          for i in range (1, 5):
               images.append(plt.imread('optical_flow_images/im'+str(i)+'.png')[:,:288,:])
           # each image after converting to gray scale is of size -> 400x288
```

```
[230]:
        # you can use interpolate from scipy
         # You can implement 'upsample_flow' and 'OpticalFlowRefine'
         # as 2 building blocks in order to complete this.
         def upsample_flow(u_prev, v_prev):
             ''' You may implement this method to upsample optical flow from
             previous level
             u_prev, v_prev -> optical flow from prev level
             u, v -> upsampled optical flow to the current level
             YOUR CODE HERE
             x = np. arange (u_prev. shape [0]) *2
             y = np. arange (v prev. shape [1]) *2
             u_interp = interpolate.interp2d(x, y, u_prev. T, kind='linear')
             v_interp = interpolate.interp2d(x, y, v_prev. T, kind='linear')
             x_{new} = np. arange(u_{prev. shape}[0]*2)
             y new = np. arange (u prev. shape [1] *2)
             u = u_interp(x_new, y_new). T
             v = v interp(x new, y new).T
             return u, v
         def OpticalFlowRefine(im1, im2, window, u_prev=None, v_prev=None):
             Inputs: the two images at current level and window size
             u prev, v prev - previous levels optical flow
             Return u, v - optical flow at current level
             u = np. zeros (im1. shape)
             v = np. zeros (im1. shape)
             """ ______
             YOUR CODE HERE
             ______ """
             Iy, Ix = np. gradient(im1)
             Iy = -Iy
             I_X2 = I_X*I_X
             Iy2 = Iy*Iy
             Ixy = Ix*Iy
             radi = window//2
             if u prev.all() == None:
                 u prev = np. zeros like(im1)
                 v prev = np. zeros like(im1)
             else:
                 u prev, v prev = upsample flow(u prev, v prev)
             for row in range (radi, im1. shape [0]-radi):
                 for col in range(radi, im2. shape[1]-radi):
                      d x = int(np. round(u prev[row, col]))
                      d_y = int(np.round(v_prev[row, col]))
                      if (radi \leq col + d_x) and (col + d_x \leq iml. shape[1] - radi) and (col + d_x \leq iml. shape[1] - radi)
                          (radi = row+d_y) and (row+d_y im1. shape[0]-radi):
                          iml_window = iml[row-radi:row+radi+1, col-radi:col+radi+1]
                          im2 window = im2[row-radi+d y:row+radi+d y+1, col-radi+d x:col+radi+d x+1]
                          It_window = im2_window-im1_window
                          Ix_window = Ix[row-radi:row+radi+1, col-radi:col+radi+1]
                          Iy_window = Iy[row-radi:row+radi+1, col-radi:col+radi+1]
                          Ix2 window = Ix2[row-radi:row+radi+1, col-radi:col+radi+1]. sum()
                          Ixy_window = Ixy[row-radi:row+radi+1, col-radi:col+radi+1]. sum()
                          Iy2 window = Iy2[row-radi:row+radi+1, col-radi:col+radi+1]. sum()
                          Ixt = (Ix_window*It_window).sum()
```

```
Iyt = (Iy_window*It_window).sum()

M = np. array([[Ix2_window, Ixy_window], [Ixy_window, Iy2_window]])
    b = np. array([[-Ixt, -Iyt]])
    uv_vec = np. dot(np. linalg. pinv(M), b. T)
    u[row, col] = uv_vec[0]
    v[row, col] = uv_vec[1]

u = u+u_prev
    v = v+v_prev
    return u, v
```

```
[231]:
        def LucasKanadeMultiScale(im1, im2, window, numLevels=2):
            Implement the multi-resolution Lucas kanade algorithm
            Inputs: the two images, window size and number of levels
            if numLevels = 1, then compute optical flow at only the given image level.
            Returns: u, v - the optical flow
            """ _____
            YOUR CODE HERE
            ______ """
            # You can call OpticalFlowRefine iteratively
            img1 layer = []
            img2\_layer = []
            img1_layer.append(im1)
            img2 layer.append(im2)
            for i in range(1, numLevels):
                img1 layer.append(gaussian filter(im1[::2**i,::2**i], sigma = 1))
                img2 layer.append(gaussian filter(im2[::2**i,::2**i], sigma = 1))
            u prev=np.array([None])
            v prev=np.array([None])
            for i in range (numLevels-1, -1, -1):
                u, v = OpticalFlowRefine(img1 layer[i], img2 layer[i], window, u prev, v prev)
                u prev = u
                v_prev = v
            return u, v
```

Part 2: Number of levels [2 pts]

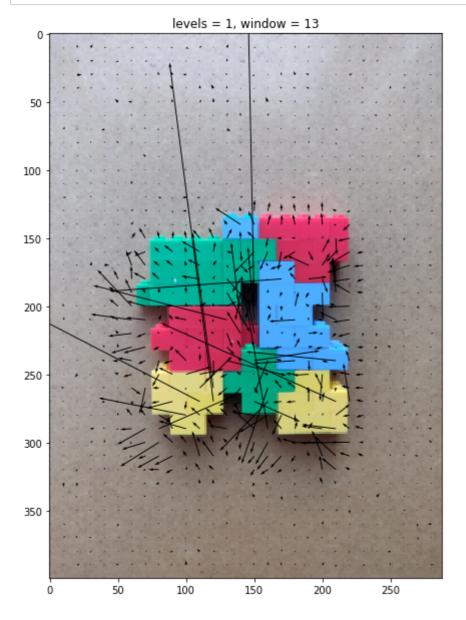
Plot optical flow for the pair of images im1 and im2 for different number of levels mentioned below. Comment on the results and justify.

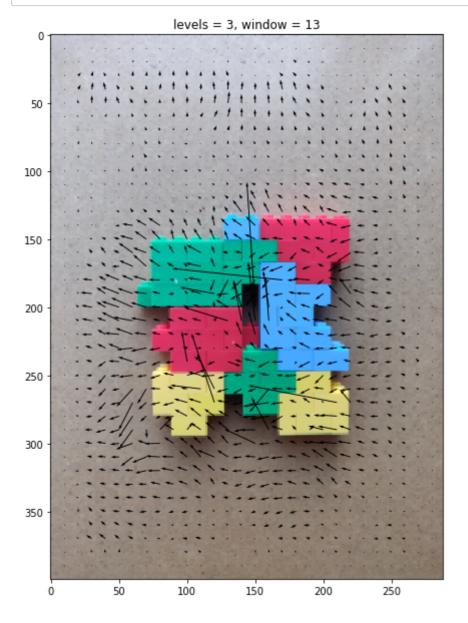
```
(i) window size = 13, numLevels = 1
```

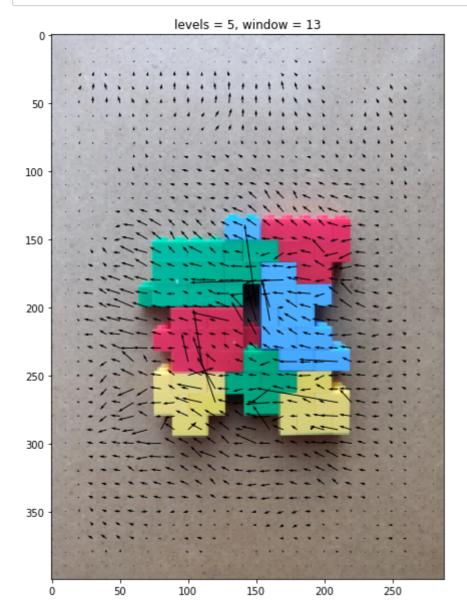
- (ii) window size = 13, numLevels = 3
- (iii) window size = 13, numLevels = 5

So, you are expected to provide 3 outputs here

Note: if numLevels = 1, then it means the optical flow is only computed at the image resolution i.e. no downsampling







Your Comments on the results of Part 2:

By implementing multi-scale-LK method with the same windowSize and different number of sample levels, it can be noticed that the optical flow of the image tends to be more "uniformed".

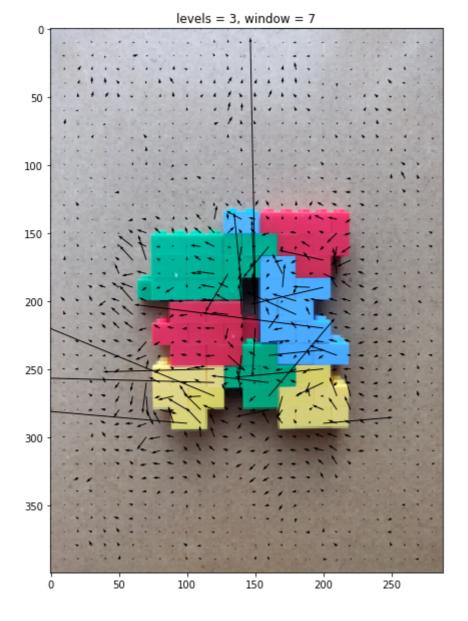
Within a reasonable range of downsize scale of image, the LK performs better with more times that the u,v is downsampled.

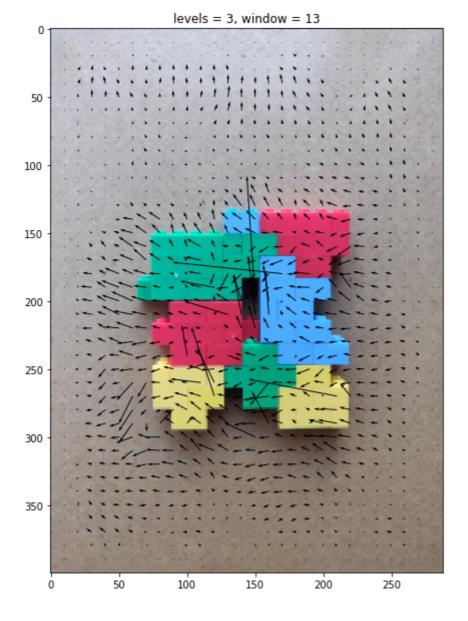
The transformation from image1 and image2 is a simple horizontally movement, the positional relations between each toy brick are remained.

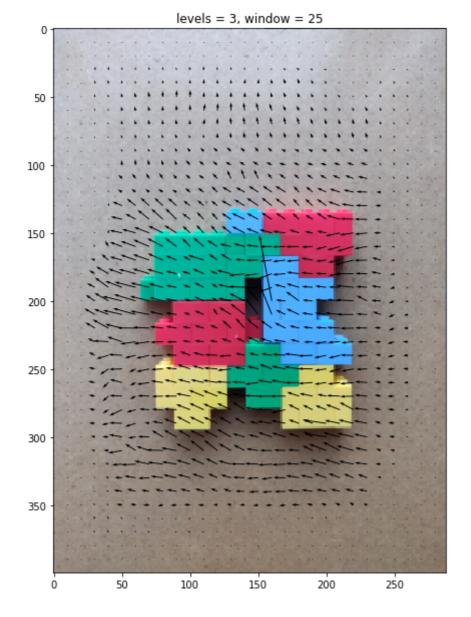
Idealy, the optical flow of image1 to image2 should be presented by a set of parallel arrows. Due to the fact that the refining procedure may be disturbed because of the changing of light condition and other noises on these images, the flow is not as perfect as it should be.

Part 3: Window size [3 pts]

Plot optical flow for the pair of images im1 and im2 for at least 3 different window sizes which leads to observable difference in the results. Comment on the effect of window size on results and justify. For this part fix the number of levels to be 3.





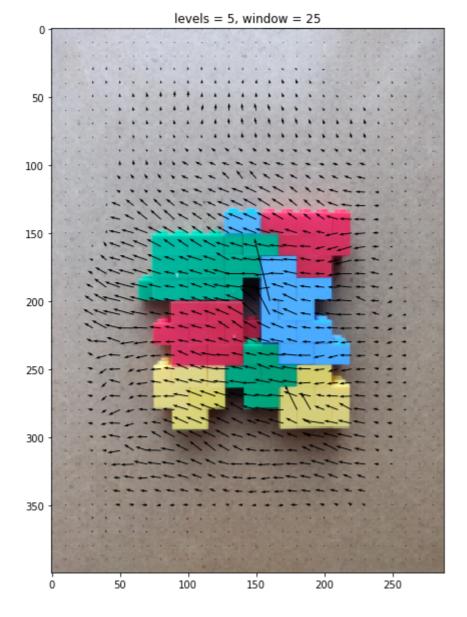


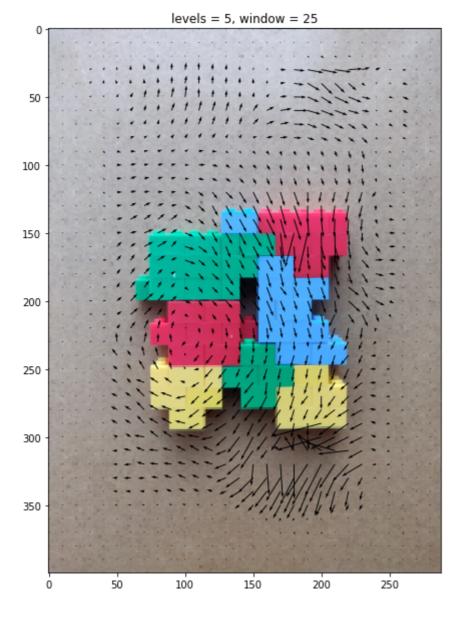
Your Comments on the results of Part 3

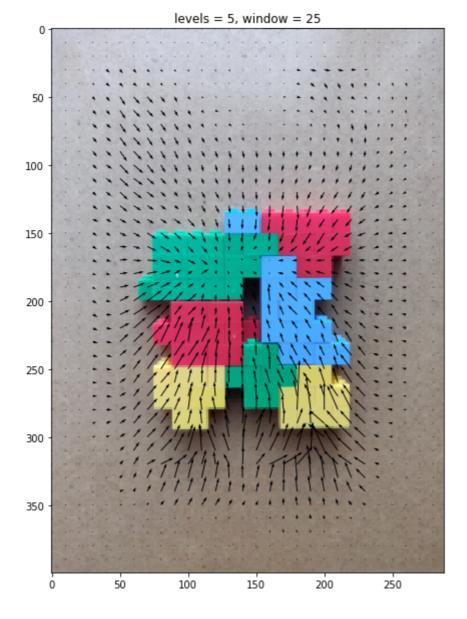
It can be concluded that, within a relatively reasonable range, the larger size of window performs better. Since I_x, I_y, I_t of each window contains more information of the movement of image, and the disturbance from the noise in each window is weaken because of the larger size, the calculation should be more accurate intuitively. Also, make the optical flow, visually, more uniform.

Part 4: All pairs [3 pts]

Find optical flow for the pairs (im1,im2), (im1,im3), (im1,im4) using one good window size and number of levels. Does the optical flow result seem consistent with visual inspection? Comment on the type of motion indicated by results and visual inspection and explain why they might be consistent or inconsistent.







Your Comments on the results of Part 4:

By visually inspection, the transformation from image1 to image2 is a translational motion from right to left, the transformation from image1 to image3 is a clockwise rotation transformation, and the transformation from image1 to image4 is a zoom-in transformation. These visually inspections are consistent with the optical flow results in general, except for some specific optical flow in certain areas are misdirected.

To explain, the general consistency is because of 4 times of downsampling and a large window size, the effect of choosing different window size and number of levels are explained above in part2 and part3.

The inconsistency in some certain areas may be due to the change of brightness of images, or the changeless, undistinguishable background color or the magnified error while upsampling u and v.

Problem 2: Machine Learning [12 pts]

In this problem, you will implement several machine learning solutions for computer vision problems.

Part 1: Initial setup [1 pts]

Follow the directions on https://pytorch.org/get-started/locally/ (https://pytorch.org/get-starte

Note: You will not need GPU support for this assignment so don't worry if you don't have one. Furthermore, installing with GPU support is often more difficult to configure so it is suggested that you install the CPU only version. TA's will not provide any support related to GPU or CUDA.

Run the torch import statements below to verify your instalation.

Download the MNIST data from http://yann.lecun.com/exdb/mnist/ (http://yann.lecun.com/exdb/mnist/).

Download the 4 zipped files, extract them into one folder, and change the variable 'path' in the code below. (Code taken from https://gist.github.com/akesling/5358964 (https://gist.github.com/ak

Plot one random example image corresponding to each label from training data.

```
[155]:
         import os
         import struct
         # Change path as required
         path str = r"C:\Users\yueya\Desktop\CSE252A\HW5\mnist"
         # path = path_str. format (path)
         path = path_str
         def read(dataset = "training", datatype='images'):
             Python function for importing the MNIST data set. It returns an iterator
             of 2-tuples with the first element being the label and the second element
             being a numpy.uint8 2D array of pixel data for the given image.
             if dataset is "training":
                 fname_img = os.path.join(path, 'train-images.idx3-ubyte')
                 fname lbl = os. path. join(path, 'train-labels.idxl-ubyte')
             elif dataset is "testing":
                 fname_img = os. path. join(path, 't10k-images. idx3-ubyte')
                 fname lbl = os. path. join(path, 't10k-labels.idx1-ubyte')
             # Load everything in some numpy arrays
             with open(fname_lbl, 'rb') as flbl:
                 magic, num = struct.unpack(">II", flbl.read(8))
                 1b1 = np. fromfile(f1b1, dtype=np. int8)
             with open(fname_img, 'rb') as fimg:
                 magic, num, rows, cols = struct.unpack(">IIII", fimg.read(16))
                 img = np.fromfile(fimg, dtype=np.uint8).reshape(len(lb1), rows, cols)
             if (datatype=='images'):
                 get data = lambda idx: img[idx]
             elif(datatype=='labels'):
                 get data = lambda idx: lbl[idx]
             # Create an iterator which returns each image in turn
             for i in range (len(lbl)):
                 yield get data(i)
         trainData=np. array(list(read('training', 'images')))
         trainLabels=np. array(list(read('training', 'labels')))
         testData=np. array(list(read('testing', 'images')))
         testLabels=np. array(list(read('testing', 'labels')))
```

```
In [156]: # Understand the shapes of the each variable carying data print(trainData. shape, trainLabels. shape) print(testData. shape, testLabels. shape)
```

```
(60000, 28, 28) (60000,)
(10000, 28, 28) (10000,)
```

```
In [157]:
           # display one image from each label
            # YOUR CODE HERE
            # ======= ""
            label_list = np. zeros((1, 10))
            sample_digits = []
           plt. figure (figsize=(15, 15))
            for i in range(trainLabels.shape[0]):
                if np. sum(label_list) == 10:
                    break
                if label list[0, trainLabels[i]] == 0:
                    label_list[0, trainLabels[i]] = 1
                    digit_sample_img = trainData[i]
                    sample digits.append([digit sample img])
                    plt.subplot(1, 10, trainLabels[i]+1)
                    plt. imshow(digit_sample_img, cmap='gray')
                    plt.xticks([])
                    plt.yticks([])
                else:
                    continue
            plt.show()
```



Some helper functions are given below.

```
[158]:
        # a generator for batches of data
         # yields data (batchsize, 28, 28) and labels (batchsize)
         # if shuffle, it will load batches in a random order
        def DataBatch(data, label, batchsize, shuffle=True):
             n = data. shape[0]
             if shuffle:
                 index = np. random. permutation(n)
             else:
                 index = np. arange(n)
             for i in range(int(np.ceil(n/batchsize))):
                 inds = index[i*batchsize : min(n, (i+1)*batchsize)]
                 yield data[inds], label[inds]
         # tests the accuracy of a classifier
        def test(testData, testLabels, classifier):
             batchsize=50
             correct=0.
             for data, label in DataBatch(testData, testLabels, batchsize, shuffle=False):
                 prediction = classifier(data)
                 correct += np. sum(prediction==label)
             return correct/testData.shape[0]*100
         # a sample classifier
         # given an input it outputs a random class
        class RandomClassifier():
             def init (self, classes=10):
                self. classes=classes
             def call (self, x):
                return np. random. randint (self. classes, size=x. shape[0])
        randomClassifier = RandomClassifier()
        print('Random classifier accuracy: %f' %
               test(testData, testLabels, randomClassifier))
```

Random classifier accuracy: 9.920000

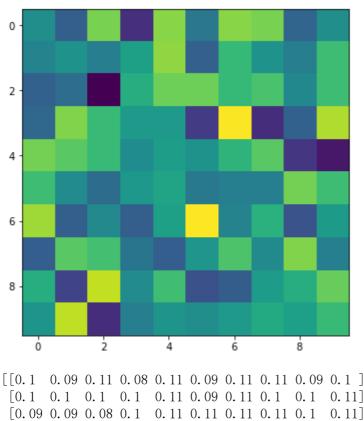
Part 2: Confusion Matrix [2 pts]

Here you will implement a function that computes the confusion matrix for a classifier. The matrix (M) should be nxn where n is the number of classes. Entry M[i,j] should contain the fraction of images of class i that was classified as class j. Can you justify the accuracy given by the random classifier?

```
In [161]: | # Using the tqdm module to visualize run time is suggested
            from tqdm import tqdm
            # It would be a good idea to return the accuracy, along with the confusion
            # matrix, since both can be calculated in one iteration over test data, to
            # save time
            def Confusion(testData, testLabels, classifier):
                M=np. zeros((10, 10))
                batchsize = 50
                acc=0.0
                YOUR CODE HERE
                ______ """
                acc = test(testData, testLabels, classifier)
                for data, label in tqdm(DataBatch(testData, testLabels, batchsize, shuffle=False)):
                    pred = classifier(data)
                    for i in range (batchsize):
                        M[label[i], pred[i]] += 1
                for 1 i in range(M. shape[0]):
                    M[1 i] = M[1 i] / np. sum(M[1 i])
                return M, acc
           def VisualizeConfusion(M):
                plt.figure(figsize=(14, 6))
                plt.imshow(M)
                plt.show()
                print (np. round (M, 2))
           M, acc = Confusion(testData, testLabels, randomClassifier)
           print(acc)
           VisualizeConfusion(M)
```

0it [00:00, ?it/s] 200it [00:00, 20053.57it/s]

10.07



Your Comments on the accuracy & confusion matrix of random classifier:

The accuacy of this random classifier, given 10 classes of hand-written digits from 0 to 9, is around 0.1, which is correct. For a k-class random classifier, the accuracy of each label should be 1/k.

The confusion matrix visualizes the correctness of result of classification. Each row of confusion matrix represents the true value of the label of testData, and each column is the predicted label of each testData. The diagonal of confusion matrix represent the accuacy of a classifier. Which means that, for a high accuacy classifier, the diagonal squares on the graph should be evidently brighter than other areas.

Part 3: K-Nearest Neighbors (KNN) [4 pts]

- Here you will implement a simple knn classifier. The distance metric is Euclidean in pixel space. k refers to the number of neighbors involved in voting on the class, and should be 3. You are allowed to use sklearn.neighbors.KNeighborsClassifier.
- Display confusion matrix and accuracy for your KNN classifier trained on the entire train set. (should be ~97 %)
- After evaluating the classifier on the testset, based on the confusion matrix, mention the number that the number '7' is most often predicted to be, other than '7'.

```
In [165]:
           from sklearn.neighbors import KNeighborsClassifier
           class KNNClassifier():
               def init (self, k=3):
                   # k is the number of neighbors involved in voting
                   YOUR CODE HERE
                   ______ """
                   self.classifier = KNeighborsClassifier(n neighbors=k, weights='distance')
               def train(self, trainData, trainLabels):
                   YOUR CODE HERE
                   ______ """
                   trainData = trainData.reshape(trainData.shape[0], \
                                                 trainData. shape[1]*trainData. shape[2])
                   self. classifier. fit (trainData, trainLabels)
               def call (self, x):
                   # this method should take a batch of images
                   # and return a batch of predictions
                   YOUR CODE HERE
                   x_{flat} = x. reshape(x. shape[0], x. shape[1]*x. shape[2])
                   return self. classifier. predict (x_flat)
           # test your classifier with only the first 100 training examples (use this
           # while debugging)
           # note you should get ~ 65 % accuracy
           knnClassifierX = KNNClassifier()
           knnClassifierX.train(trainData[:100], trainLabels[:100])
           print ('KNN classifier accuracy: %f'%test(testData, testLabels, knnClassifierX))
```

KNN classifier accuracy: 66.940000

```
In [166]: # test your classifier with all the training examples (This may take a while)
knnClassifier = KNNClassifier()
knnClassifier.train(trainData, trainLabels)
```

```
In [167]: # display confusion matrix for your KNN classifier with all the training examples
# (This may take a while)

""" ========

YOUR CODE HERE

========== """

M, acc = Confusion(testData, testLabels, knnClassifier)
print("KNN-Classifier accuracy:", acc)
VisualizeConfusion(M)
```

```
0it [00:00, ?it/s]
               2.99s/it
1it [00:02,
               2.99s/it
2it [00:05,
               2.98s/it]
3it [00:08,
4it [00:11,
               2.96s/it]
5it [00:14,
               2.96s/it]
6it [00:18,
               3.03 \, \text{s/it}
7it [00:21,
               3.06s/it
8it [00:24,
               3.06s/it]
9it [00:27,
               3.06s/it]
10it [00:30,
                3.05 \text{s/it}
11it [00:33,
                3.05 \text{s/it}
12it [00:36,
                3.05 \text{s/it}
13it [00:39,
                3.06s/it
14it [00:42,
                3.06s/it]
15it [00:45,
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17it [00:51,
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22it [01:07,
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24it [01:13,
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25it [01:16,
                3.12s/it]
26it [01:19,
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35it [01:47,
                3.12s/it
36it [01:50,
                3.13s/it]
37it [01:54,
                3.12s/it
38it [01:57,
                3.10s/it
39it [02:00,
                3.11s/it]
40it \[ 02:03,
                3.10s/it]
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                3.08s/it]
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51it [02:37,
                3.10s/it
52it [02:40,
                3.09 \, \text{s/it}
53it [02:43,
                3.08s/it]
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54it [02:46,
55it [02:49,
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                3.08s/it]
58it [02:58,
                3.09s/it
59it [03:01,
                3.09 \, \text{s/it}
60it [03:04,
                3.08s/it
61it [03:08,
                3.09 \, \text{s/it}
62it [03:11,
                3.10s/it
```

63it [03:14,

64it [03:17,

3.08s/it]

 $3.09 \, \text{s/it}$

```
65it [03:20,
                3.09s/it]
66it [03:23,
                3.10s/it]
67it [03:26,
                3.10s/it
68it [03:29,
                3.09s/it
69it [03:32,
                3.11s/it]
70it [03:35,
                3.11s/it]
71it [03:39,
                3.09 \, \text{s/it}
72it [03:42,
                3.09s/it
73it [03:45,
                3.10s/it]
74it [03:48,
                3.09 \, \text{s/it}
75it [03:51,
                3.11s/it]
76it [03:54,
                3.10s/it
77it [03:57,
                3.10s/it]
78it [04:00,
                3.10s/it]
79it [04:03,
                3.10s/it]
80it [04:06,
                3.11s/it]
81it [04:10,
                3.11s/it]
82it [04:13,
                3.10s/it
83it \[ 04:16,
                3.09s/it]
84it \[ 04:19,
                3.10s/it
85it [04:22,
                3.09s/it
86it [04:25,
                3.09s/it]
87it [04:28,
                3.08s/it]
88it [04:31,
                3.08s/it]
89it [04:34,
                3.09 \, \text{s/it}
90it \[ 04:37,
                3.07 \text{s/it}
91it [04:40,
                3.06s/it]
92it [04:43,
                3.06s/it
93it [04:46,
                3.06s/it]
94it [04:50,
                3.07 \, \text{s/it}
95it [04:53,
                3.06s/it]
96it [04:56,
                3.05 \text{s/it}
97it [04:59,
                3.05s/it]
98it [05:02,
                3.06s/it]
99it [05:05,
                3.07s/it]
100it [05:08,
                 3.08s/it]
101it [05:11,
                 3.05 \text{s/it}
102it [05:14,
                 3.04 \text{s/it}
103it [05:17,
                 3.05 \text{s/it}
104it [05:20,
                 3.08s/it
105it [05:23,
                 3.08s/it
106it [05:26,
                 3.08s/it
107it [05:29,
                  3.08s/it]
108it [05:33,
                 3.10s/it]
109it [05:36,
                 3.12s/it]
110it [05:39,
                 3.12s/it]
111it [05:42,
                 3.07 \, \text{s/it}
112it [05:45,
                 3.05 \text{s/it}
113it [05:48,
                 3.08s/it]
114it [05:51,
                  3.09s/it]
115it [05:54,
                  3.08s/it]
116it [05:57,
                 3.07 \, \text{s/it}
117it [06:00,
                 3.05s/it]
118it [06:03,
                 3.05 \text{s/it}
119it [06:06,
                 3.06s/it
120it [06:09,
                 3.06s/it]
121it [06:12,
                  3.06s/it]
122it [06:15,
                  3.05 \text{s/it}
123it [06:19,
                  3.06s/it]
124it [06:22,
                 3.05 \text{s/it}
125it [06:24,
                  3.02s/it
126it [06:28,
                 3.03 \, \text{s/it}
127it [06:31,
                 3.04 \text{s/it}
128it [06:34,
                 3.04 \text{s/it}
```

129it [06:37,

130it [06:40,

3.04s/it]

3.05 s/it

```
131it [06:43,
                  3.07 \, \text{s/it}
132it [06:46,
                  3.08s/it
133it [06:49,
                  3.08s/it
134it [06:52,
                  3.09s/it
135it [06:55,
                  3.07 \, \text{s/it}
136it [06:58,
                  3.06s/it]
137it [07:01,
                  3.06s/it]
138it [07:04,
                  3.05 \text{s/it}
139it [07:07,
                  3.04 \text{s/it}
140it [07:10,
                  3.06s/it
141it [07:14,
                  3.08s/it
142it [07:17,
                  3.08s/it]
143it [07:20,
                  3.08s/it
144it [07:23,
                  3.06s/it
145it [07:26,
                  3.05 \text{s/it}
146it [07:29,
                  3.06s/it]
147it [07:32,
                  3.03 \, \text{s/it}
148it [07:35,
                  3.00 \, \text{s/it}
149it [07:38,
                  3.00s/it]
150it [07:41,
                  3.01s/it]
151it [07:44,
                  3.03 \, \text{s/it}
152it [07:47,
                  3.02s/it]
153it [07:50,
                  3.06s/it]
154it [07:53,
                  3.06s/it
155it [07:56,
                  3.05 s/it
156it [07:59,
                  3.06s/it]
157it [08:02,
                  3.07 \, \text{s/it}
158it [08:05,
                  3.06s/it
159it [08:08,
                  3.05s/it]
160it [08:11,
                  3.05 s/it
161it [08:14,
                  3.06s/it
162it [08:18,
                  3.08s/it
163it [08:21,
                  3.11s/it]
164it [08:24,
                  3.10s/it]
165it [08:27,
                  3.08s/it]
166it [08:30,
                  3.06s/it]
167it [08:33,
                  3.06s/it
168it [08:36,
                  3.07 \, \text{s/it}
169it [08:39,
                  3.07 \, \text{s/it}
170it [08:42,
                  3.07 \, \text{s/it}
171it [08:45,
                  3.07 \, \text{s/it}
172it [08:48,
                  3.10s/it
173it [08:51,
                  3.09 \, \text{s/it}
174it [08:55,
                  3.09 \, \text{s/it}
175it [08:58,
                  3.08s/it
176it [09:01,
                  3.07s/it]
177it [09:04,
                  3.10s/it
178it [09:07,
                  3.09 \, \text{s/it}
179it [09:10,
                  3.11s/it]
180it [09:13,
                  3.11s/it]
181it [09:16,
                  3.10s/it]
182it [09:19,
                  3.12s/it]
183it [09:22,
                  3.10s/it]
184it [09:26,
                  3.09 \, \text{s/it}
185it [09:29,
                  3.09s/it
186it [09:32,
                  3.10s/it]
187it [09:35,
                  3.09 \, \text{s/it}
188it [09:38,
                  3.09s/it
189it [09:41,
                  3.07 \, \text{s/it}
190it [09:44,
                  3.08s/it]
191it [09:47,
                  3.09s/it
192it [09:50,
                  3.10s/it]
193it [09:53,
                  3.10s/it]
194it [09:56,
                  3.10s/it
```

195it [10:00,

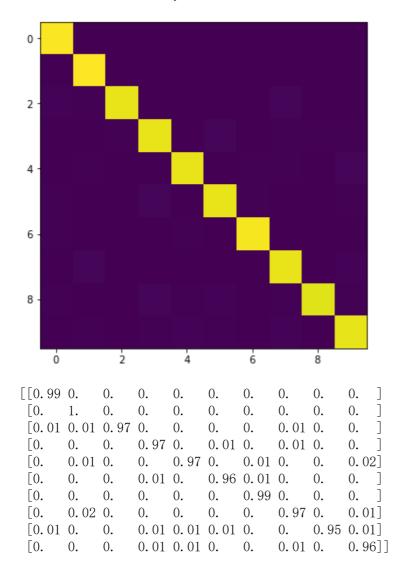
196it [10:03,

3.10s/it

3.17 s/it

```
197it [10:06, 3.17s/it]
198it [10:09, 3.13s/it]
199it [10:12, 3.12s/it]
200it [10:15, 3.12s/it]
```

KNN-Classifier accuracy: 97.17



Answer

"1" is the number that number '7' is most often predicted to be, other than '7'.

The probability of mispredicting "7" to be "1" is 0.02.

Part 4: Principal Component Analysis (PCA) K-Nearest Neighbors (KNN) [5 pts]

Here you will implement a simple KNN classifer in PCA space (for k=3 and 25 principal components). You should implement PCA yourself using svd (you may not use sklearn.decomposition.PCA or any other package that directly implements PCA transformations

Is the testing time for PCA KNN classifier more or less than that for KNN classifier? Comment on why it differs if it does.

```
In [168]:
           class PCAKNNClassifer():
               def __init__(self, components=25, k=3):
                   # components = number of principal components
                   # k is the number of neighbors involved in voting
                   YOUR CODE HERE
                    ______ """
                   self.components = components
                   self.k = k
                   self.classifier = KNeighborsClassifier(n_neighbors=self.k)
               def train(self, trainData, trainLabels):
                    """ _____
                   YOUR CODE HERE
                    train flat = trainData.reshape(trainData.shape[0],-1)
                   train_cov = np. cov(train_flat. T)
                   u, s, v = np. linalg. svd(train cov)
                   self.eigvalue = u[:,0:self.components]
                   train fin = np. dot(train flat, self. eigvalue)
                   self. classifier. fit (train fin, trainLabels)
               def __call__(self, x):
                   # this method should take a batch of images
                   # and return a batch of predictions
                    """ _____
                   YOUR CODE HERE
                    ______ """
                   x flat = x. reshape(x. shape[0], -1)
                   train_fin = np. dot(x_flat, self. eigvalue)
                   return self. classifier.predict(train_fin)
            # test your classifier with only the first 100 training examples (use this
           # while debugging)
           pcaknnClassiferX = PCAKNNClassifer()
           pcaknnClassiferX.train(trainData[:100], trainLabels[:100])
           print ('KNN classifier accuracy: %f'%test(testData, testLabels, pcaknnClassiferX))
```

KNN classifier accuracy: 66.160000

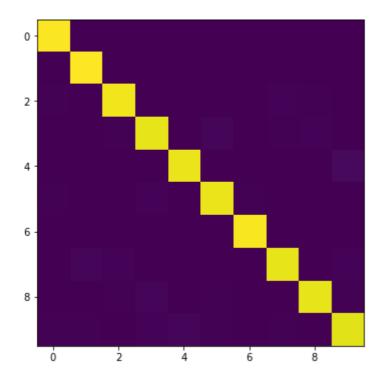
```
In [169]: # test your classifier with all the training examples
pcaknnClassifer = PCAKNNClassifer()
pcaknnClassifer.train(trainData, trainLabels)
```

```
0it [00:00, ?it/s]
2it [00:00, 13.55it/s]
4it [00:00, 14.18it/s]
6it [00:00, 14.63it/s]
8it [00:00, 14.89it/s]
10it [00:00, 13.92it/s]
12it [00:00, 13.64it/s]
14it [00:00, 13.89it/s]
16it [00:01, 14.60it/s]
18it [00:01, 14.18it/s]
20it [00:01, 14.31it/s]
22it [00:01, 14.60it/s]
24it [00:01, 14.09it/s]
26it [00:01, 13.28it/s]
28it [00:01, 13.66it/s]
30it [00:02, 13.77it/s]
32it [00:02, 13.29it/s]
34it [00:02, 12.54it/s]
36it [00:02, 12.47it/s]
38it [00:02, 13.02it/s]
40it [00:02, 12.65it/s]
42it [00:03, 13.14it/s]
44it [00:03, 13.50it/s]
46it [00:03, 13.57it/s]
48it [00:03, 13.79it/s]
50it [00:03, 14.33it/s]
52it [00:03, 14.74it/s]
54it [00:03, 14.74it/s]
56it [00:04, 14.68it/s]
58it [00:04, 14.57it/s]
60it [00:04, 14.49it/s]
62it [00:04, 14.92it/s]
64it [00:04, 14.58it/s]
66it [00:04, 14.86it/s]
68it [00:04, 15.23it/s]
70it [00:04, 15.87it/s]
72it [00:05, 14.99it/s]
74it [00:05, 15.58it/s]
76it [00:05, 14.61it/s]
78it [00:05, 13.18it/s]
80it [00:05, 13.00it/s]
82it [00:05, 13.43it/s]
84it [00:05, 13.55it/s]
86it [00:06, 13.67it/s]
88it [00:06, 13.52it/s]
90it [00:06, 12.40it/s]
92it [00:06, 12.63it/s]
94it [00:06, 12.82it/s]
96it [00:06, 12.93it/s]
98it [00:07, 12.59it/s]
100it [00:07, 12.96it/s]
102it [00:07, 13.72it/s]
104it [00:07, 13.32it/s]
106it [00:07, 13.63it/s]
109it [00:07, 14.58it/s]
111it [00:07, 15.73it/s]
113it [00:08, 14.48it/s]
115it [00:08, 13.37it/s]
117it [00:08, 13.05it/s]
119it [00:08, 12.87it/s]
121it [00:08, 13.31it/s]
123it [00:08, 14.36it/s]
```

125it [00:08, 15.55it/s] 127it [00:09, 16.47it/s] 129it [00:09, 15.99it/s]

131it	[00:09,	16.28it/s]
133it	[00:09,	13.80it/s]
135it	[00:09,	14.31it/s]
137it	[00:09,	14.82it/s]
139it	[00:09,	15.07it/s]
141it	[00:10,	15.45 it/s
143it	[00:10,	15.85it/s]
145it	[00:10,	14.78it/s]
147it	[00:10,	14.87it/s]
149it	[00:10,	14.80it/s]
151it	[00:10,	14.85it/s]
153it	[00:10,	14.85it/s]
155it	[00:10,	15.33it/s]
157it	[00:11,	15.83it/s]
159it	[00:11,	16.69it/s]
161it	[00:11,	16.91it/s]
163it	[00:11,	16.76it/s]
165it	[00:11,	16.30it/s]
167it	[00:11,	15.02it/s]
169it	[00:11,	14.97it/s]
171it	[00:11,	15.85it/s]
173it	[00:12,	16.06it/s]
176it	[00:12,	17.88it/s]
179it	[00:12,	19.11it/s]
181it	[00:12,	18.12it/s]
183it	[00:12,	16.62it/s]
185it	[00:12,	16.28it/s]
187it	[00:12,	15.53it/s]
189it	[00:13,	14.81it/s]
191it	[00:13,	13.99it/s]
193it	[00:13,	13.63it/s]
195it	[00:13,	13.00it/s]
197it	[00:13,	13.05it/s]
199it	[00:13,	12. 32it/s]
200it	[00:13,	14.38it/s]

PCA-KNN classifier accuracy: 97.31



```
[[0.99 0.
                          0.
[0.
             0.
                   0.
                          0.
                                0.
                                      0.
                                                  0.
 [0.
             0.98 0.
                          0.
                                0.
                                      0.
                                            0.01 0.
                                            0.01 0.01 0.
[0.
       0.
             0.
                   0.96 0.
                                0.01 0.
 Γ0.
       0.
             0.
                   0.
                          0.97 0.
                                      0.
                                            0.
 [0.010.
                   0.01 0.
                                0.97 0.01 0.
 Γ0.
       0.
             0.
                   0.
                          0.
                                0.
                                      0.99 0.
                                                  0.
 [0.
       0.02 0.01 0.
                         0.
                                0.
                                      0.
                                            0.96 0.
                                                        0.01
 [0.
       0.
             0.
                   0.02 0.
                                0.01 0.
                                            0.
                                                  0.96 0.
[0.
       0.01 0.
                   0.01 0.01 0.
                                     0.
                                            0.
                                                  0.
                                                        0.95]]
```

Comments:

PCA-KNN classifier is obviously faster than KNN classifier. This is because the dimension of training data and test data are reduced from 28×28 to 25, which saves a lot of calculation and memory. It can also be implied that, because the accuray of PCA-KNN classifier remains the same as KNN-classifier, a 25-dimension vector is able to contain enough info of a 28×28 hand-written digit image.

Problem 3: Deep learning [14 pts]

Below is some helper code to train your deep networks.

Part 1: Training with PyTorch [2 pts]

Below is some helper code to train your deep networks. Complete the train function for DNN below. You should write down the training operations in this function. That means, for a batch of data you have to initialize the gradients, forward propagate the data, compute error, do back propagation and finally update the parameters. This function will be used in the following questions with different networks. You can look at

https://pytorch.org/tutorials/beginner/pytorch_with_examples.html (https://pytorch.org/tutorials/beginner/pytorch_with_examples.html) for reference.

```
[193]: | # base class for your deep neural networks. It implements the training loop (train_net).
         # You will need to implement the "__init__()" function to define the networks
         # structures and "forward()", to propagate your data, in the following problems.
         import torch. nn. init
         import torch. optim as optim
         from torch. autograd import Variable
         from torch.nn.parameter import Parameter
         from tqdm import tqdm
         from scipy. stats import truncnorm
         class DNN (nn. Module):
             def __init__(self):
                 super(DNN, self). init ()
                 pass
             def forward(self, x):
                 raise NotImplementedError
             def train net(self, trainData, trainLabels, epochs=1, batchSize=50):
                 criterion = nn. CrossEntropyLoss()
                 optimizer = torch.optim.Adam(self.parameters(), 1r = 3e-4)
                 for epoch in range (epochs):
                     self.train() # set netowrk in training mode
                     for i, (data, labels) in enumerate (DataBatch (trainData, trainLabels, batchSize, shu
         ffle=True)):
                         data = Variable(torch.FloatTensor(data))
                         labels = Variable(torch.LongTensor(labels))
                         # YOUR CODE HERE--
                         # Train the model using the optimizer and the batch data
                         optimizer.zero grad()
                         loss = criterion(self. forward(data), labels)
                         loss. backward()
                         optimizer.step()
                         #----End of your code, don't change anything else here----
                     self.eval() # set network in evaluation mode
                     print ('Epoch:%d Accuracy: %f'%(epoch+1, test(testData, testLabels, self)))
             def __call__(self, x):
                 inputs = Variable(torch.FloatTensor(x))
                 prediction = self.forward(inputs)
                 return np. argmax (prediction. data. cpu(). numpy(), 1)
         # helper function to get weight variable
         def weight variable(shape):
             initial = torch. Tensor(truncnorm.rvs(-1/0.01, 1/0.01, scale=0.01, size=shape))
             return Parameter(initial, requires grad=True)
         # helper function to get bias variable
         def bias variable(shape):
             initial = torch. Tensor (np. ones (shape) *0.1)
             return Parameter(initial, requires grad=True)
```

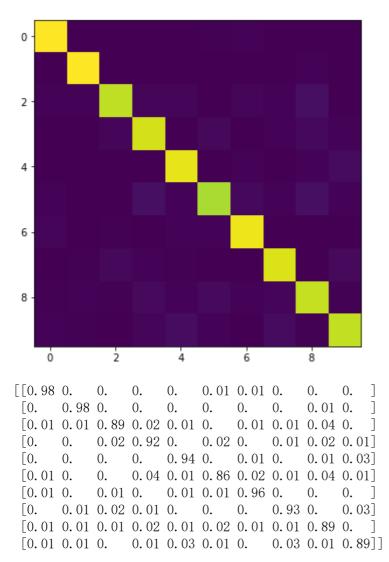
```
[194]:
         # example linear classifier - input connected to output
         # you can take this as an example to learn how to extend DNN class
         class LinearClassifier(DNN):
             def __init__(self, in_features=28*28, classes=10):
                 super(LinearClassifier, self). __init__()
                 # in_features=28*28
                 self.weight1 = weight_variable((classes, in_features))
                 self.bias1 = bias variable((classes))
             def forward(self, x):
                 # linear operation
                 y_pred = torch.addmm(self.bias1, x.view(list(x.size())[0], -1), self.weight1.t())
                 return y pred
         trainData=np. array(list(read('training', 'images')))
         trainData=np. float32(np. expand dims(trainData, -1))/255
         trainData=trainData.transpose((0,3,1,2))
         trainLabels=np. int32(np. array(list(read('training', 'labels'))))
         testData=np. array(list(read('testing', 'images')))
         testData=np. float32(np. expand dims(testData, -1))/255
         testData=testData. transpose ((0, 3, 1, 2))
         testLabels=np. int32(np. array(list(read('testing', 'labels'))))
```

In [195]: # test the example linear classifier (note you should get around 90% accuracy # for 10 epochs and batchsize 50) linearClassifier = LinearClassifier() linearClassifier.train_net(trainData, trainLabels, epochs=10)

Epoch:1 Accuracy: 89.150000
Epoch:2 Accuracy: 90.750000
Epoch:3 Accuracy: 91.330000
Epoch:4 Accuracy: 91.510000
Epoch:5 Accuracy: 91.780000
Epoch:6 Accuracy: 92.050000
Epoch:7 Accuracy: 92.120000
Epoch:8 Accuracy: 92.270000
Epoch:9 Accuracy: 92.370000
Epoch:10 Accuracy: 92.430000

```
0it [00:00, ?it/s]
200it [00:00, 4664.51it/s]
```

Linear Classifier accuracy: 92.43



Part 2: Single Layer Perceptron [2 pts]

The simple linear classifier implemented in the cell already performs quite well. Plot the filter weights corresponding to each output class (weights, not biases) as images. (Normalize weights to lie between 0 and 1 and use color maps like 'inferno' or 'plasma' for good results). Comment on what the weights look like and why that may be so.

```
In [197]: | # Plot filter weights corresponding to each class, you may have to reshape them to make sense o
            ut of them
            # linearClassifier. weightl. data will give you the first layer weights
            weight = linearClassifier.weight1.data
            plt. figure (figsize=(15, 15))
            for i, i_weight in enumerate(weight):
                temp = i_weight.reshape(28, 28)
                temp = (temp-temp.min())/(temp.max()-temp.min())
                plt. subplot (1, 10, i+1)
                plt. imshow(temp, cmap='inferno')
                plt. xticks([])
                plt.yticks([])
            plt.show()
```

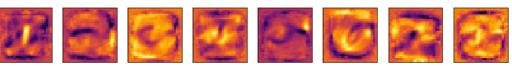




















Comments on weights

The weights of simple linear classification are shown above. We may notics that each weight looks like a 0~9 number. It is more obvious for the shape of weight "0","2","3", "6","7","8",'9'.

During training process, because the number of classes equals to the number of labels, and the label is the hand-written digit, so that each class from the classifier only need to learn the shape of a single number. However, for that the hand writing of the same number by different person varis, the weight of each number after training does not look exactly the same as what we see in daily life. And the imput image will be weighted by these ten weights, the pair with the most similar shape will be the predicted label.

Part 3: Multi Layer Perceptron (MLP) [5 pts]

Here you will implement an MLP. The MLP should consist of 2 layers (matrix multiplication and bias offset) that map to the following feature dimensions:

- 28x28 -> hidden (100)
- hidden -> classes
- The hidden layer should be followed with a ReLU nonlinearity. The final layer should not have a nonlinearity applied as we desire the raw logits output.
- The final output of the computation graph should be stored in self.y as that will be used in the training.

Display the confusion matrix and accuracy after training. Note: You should get ~ 97 % accuracy for 10 epochs and batch size 50.

Plot the filter weights corresponding to the mapping from the inputs to the first 10 hidden layer outputs (out of 100). Do the weights look similar to the weights plotted in the previous problem? Why or why not?

```
[198]:
        class MLPClassifier(DNN):
                 __init__(self, in_features=28*28, classes=10, hidden=100):
            def
                YOUR CODE HERE
                raise NotImplementedError
                super(MLPClassifier, self). __init__()
                self.feat weight1 = weight variable((in features, hidden))
                self.feat bias1 = bias variable((hidden))
                self.feat_weight2 = weight_variable((hidden, classes))
                self.feat_bias2 = bias_variable((classes))
            def forward(self, x):
                 """ _____
                YOUR CODE HERE
                  28x28 -> hidden (100)
                  hidden → classes
        #
        #
                  The hidden layer should be followed with a ReLU nonlinearity.
        #
                  The final layer should not have a nonlinearity applied as we desire the raw logits ou
        tput.
                  The final output of the computation graph should be stored in self.y as that will be
         used in the training.
                temp1 = torch.addmm(self.feat_bias1, x.view(-1, 28*28), self.feat_weight1)
                temp2 = F. relu(temp1)
                Output = torch. addmm(self. feat bias2, temp2, self. feat weight2)
                return Output
        mlpClassifier = MLPClassifier()
        mlpClassifier.train net(trainData, trainLabels, epochs=10, batchSize=50)
```

Epoch:1 Accuracy: 91.560000
Epoch:2 Accuracy: 92.880000
Epoch:3 Accuracy: 93.880000
Epoch:4 Accuracy: 94.630000
Epoch:5 Accuracy: 95.430000
Epoch:6 Accuracy: 96.030000
Epoch:7 Accuracy: 96.170000
Epoch:8 Accuracy: 96.400000
Epoch:9 Accuracy: 96.620000
Epoch:10 Accuracy: 96.890000

```
[199]:
         # Plot confusion matrix
         M, acc = Confusion(testData, testLabels, mlpClassifier)
         print("MLP Classifier accuracy: ", acc)
         VisualizeConfusion(M)
         0it [00:00, ?it/s]
         200it [00:00, 2673.80it/s]
         MLP Classifier accuracy: 96.89
          0
          2 ·
          4
          6
          8
         [[0.98 0.
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                                  0.
                                             0.01 0.
                                                        0.
                      0.
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          ٢٥.
                 0.99 0.
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          [0.
                 0.
                                 0.96 0.
                                                             0.02]
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                            0.01 0.
                                       0.96 0.01 0.
                                                        0.01 0.
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                                                              0.017
          [0.
                 0.
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                            0.01 0.
                                       0.
                                             0.01 0.
```

```
In [251]: # Plot filter weights
    weight1 = mlpClassifier.feat_weight1.data
    plt.figure(figsize=(15, 15))
    for i in range(10):
        temp = weight1[:, i]
        weight_temp = (temp-temp.min())/(temp.max()-temp.min())
        plt.subplot(1, 10, i+1)
        plt.imshow(weight_temp.reshape((28, 28)), cmap='inferno')
        plt.xticks([])
        plt.yticks([])
        plt.show()
```

0.



[0.

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0.01 0.01 0.



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0.





0.96]]









Comments on weights:

From the plots of weights shown above, it can be concluded that the weights in this MLP classifier do not look like any number. This is because the first layer is defined to have 100 neuron nodes. Each node does not tend to learn the feature of a whole training image, rather, feature of a partial training image. So that each weight cannnot be distinguished as a number

Part 3: Convolutional Neural Network (CNN) [5 pts]

Here you will implement a CNN with the following architecture:

- n=5
- ReLU(Conv(kernel size=5x5, stride=2, output features=n))
- ReLU(Conv(kernel size=5x5, stride=2, output features=n*2))
- ReLU(Linear(hidden units = 64))
- Linear(output features=classes)

So, 2 convolutional layers, followed by 1 fully connected hidden layer and then the output layer

Display the confusion matrix and accuracy after training. You should get around ~ 98 % accuracy for 10 epochs and batch size 50.

Note: You are not allowed to use torch.nn.Conv2d() and torch.nn.Linear(), Using these will lead to deduction of points. Use the declared conv2d(), weight_variable() and bias_variable() functions. Although, in practice, when you move forward after this class you will use torch.nn.Conv2d() which makes life easier and hides all the operations underneath.

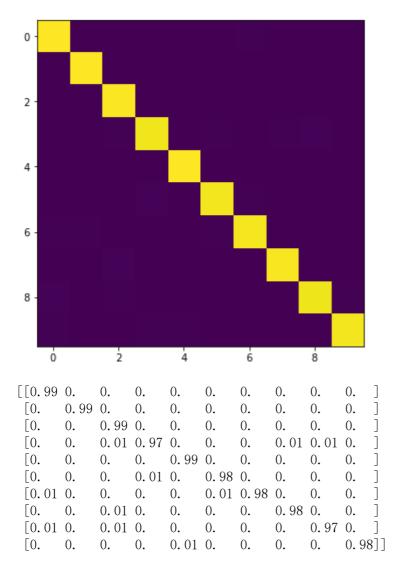
```
[201]:
        def conv2d(x, W, stride):
             # x: input
            # W: weights (out, in, kH, kW)
              print("x. shape: ", x. shape)
         #
              print("W. shape:", W. shape)
              print("stride:", stride)
            return F. conv2d(x, W, stride=stride, padding=2)
        # Defining a Convolutional Neural Network
        class CNNClassifier(DNN):
            def init (self, classes=10, n=5):
                 super(CNNClassifier, self).__init ()
                 YOUR CODE HERE
                 self. weight c1 = weight variable([n, 1, 5, 5])
                 self.bias_c1 = bias_variable([14, 14])
                 self.weight_c2 = weight_variable([n*2, n, 5, 5])
                 self. bias c2 = bias variable([7, 7])
                 self.weight_lin = weight_variable([64,7*7*2*n])
                 self.bias lin = bias variable([64])
                 self.weight output = weight variable([classes, 64])
                 self.bias output = bias variable([classes])
            def forward(self, x):
                 YOUR CODE HERE
         #
                   ReLU( Conv(kernel size=5x5, stride=2, output features=n) )
                   ReLU( Conv(kernel_size=5x5, stride=2, output features=n*2) )
        #
                   ReLU( Linear(hidden units = 64) )
         #
                   Linear (output_features=classes)
                C1 = F. relu(conv2d(x.view(-1, 1, 28, 28), self.weight_c1, stride = 2) + self.bias_c1)
                   print (C1. shape)
         #
                   print(self.weight cl. shape)
         #
                  print (self. weight c2. shape)
                C2 = F.relu(conv2d(C1, self.weight_c2, stride = 2) + self.bias_c2)
                L1 = F. relu(torch. addmm(self. bias_lin, C2. view(list(C2. size())[0], -1), \
                                            self.weight_lin.t()))
                Output = torch.addmm(self.bias_output, L1.view(list(L1.size())[0],-1), \
                                      self.weight output.t())
                return Output
        cnnClassifier = CNNClassifier()
        cnnClassifier.train net(trainData, trainLabels, epochs=10)
        Epoch: 1 Accuracy: 91.520000
```

Epoch: 2 Accuracy: 94.070000
Epoch: 3 Accuracy: 95.760000
Epoch: 4 Accuracy: 96.410000
Epoch: 5 Accuracy: 97.110000
Epoch: 6 Accuracy: 97.700000
Epoch: 7 Accuracy: 97.700000
Epoch: 8 Accuracy: 97.780000
Epoch: 9 Accuracy: 97.950000
Epoch: 10 Accuracy: 98.240000

```
In [202]: # Plot Confusion matrix
M, acc = Confusion(testData, testLabels, cnnClassifier)
print("CNN Classifier accuracy: ", acc)
VisualizeConfusion(M)
```

```
Oit [00:00, ?it/s]
53it [00:00, 521.01it/s]
94it [00:00, 480.63it/s]
141it [00:00, 476.29it/s]
187it [00:00, 470.22it/s]
200it [00:00, 459.94it/s]
```

CNN Classifier accuracy: 98.2400000000001



- Note that the MLP/ConvNet approaches lead to an accuracy a little higher than the K-NN approach.
- In general, Neural net approaches lead to significant increase in accuracy, but in this case since the problem is not too hard, the increase in accuracy is not very high.
- However, this is still quite significant considering the fact that the ConvNets we've used are relatively simple while the accuracy achieved using K-NN is with a search over 60,000 training images for every test image.
- You can look at the performance of various machine learning methods on this problem at http://yann.lecun.com/exdb/mnist/ (http://yann.lecun.com/exdb/mnist/)
- You can learn more about neural nets/ pytorch at https://pytorch.org/tutorials/beginner/deep_learning_60min_blitz.html)
 (https://pytorch.org/tutorials/beginner/deep_learning_60min_blitz.html)
- You can play with a demo of neural network created by Daniel Smilkov and Shan Carter at https://playground.tensorflow.org/ (https://playground.tensorflow.org/ (https://playground.tensorflow.org/)