# 算法设计与分析 期末复习

#### 20220109

算法设计与分析 期末复习

# 基础知识

- 1. 渐进记号
  - Θ记号
  - O记号
  - $\Omega$ 记号
  - 图例
- 2. 三种情况分析

最好情况分析 (Best Case)

最差情况分析(Worst Case)

平均(期望)情况分析(Average Case)

3. 递归式求解

#### 主定理法

递归树法

和式求解

#### 分治算法

- 1. 归并排序
- 2. 最大子数组
- 3. 逆序计数
- 4. 多项式乘法
- 5. 快速排序与划分
- 6. 随机选择
- 7. 基于比较的排序下界

#### 动态规划

- 1.0-1背包
- 2. 最大子数组
- 3. 最长公共子序列
- 4. 最长公共子串
- 5. 最小编辑距离
- 6. 钢条切割
- 7. 矩阵链乘法

#### 贪心算法

- 1. 部分背包
- 2. 霍夫曼编码
- 3. 活动选择
- 4. 最小生成树
- 5. 单源最短路径

#### 图算法

- 1. 图的基本概念
- 2. 广度优先搜索
- 3. 深度优先搜索
- 4. 环路检测
- 5. 拓扑排序
- 6. 强联通分量

- 7. 最小生成树
- 8. 单源最短路径

处理难问题

- 1. 问题分类
- 2. 证明问题为NPC问题
- 3. NPC问题举例

# 基础知识

# 1.渐进记号

### ⊖记号

定义:

 $\Theta(g(n))=\{f(n)|$ 存在正常量 $c_1,c_2,n_0$ ,使得对所有 $n\geq n_0$ ,有 $0\leq c_1g(n)\leq f(n)\leq c_2g(n)\}$ 表示渐进紧确界

#### **○记号**

定义:

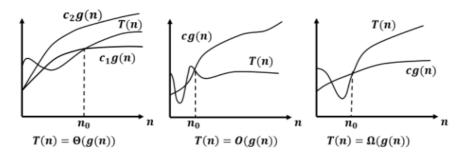
 $O(g(n))=\{f(n)|$ 存在正常量 $c,n_0$ ,使得对所有 $n\geq n_0$ ,有 $0\leq f(n)\leq cg(n)\}$ 表示渐进上界

### $\Omega$ 记号

定义:

 $O(g(n))=\{f(n)|$ 存在正常量 $c,n_0$ ,使得对所有 $n\geq n_0$ ,有 $0\leq cg(n)\leq f(n)\}$ 表示渐进下界

#### 图例



# 2. 三种情况分析

#### 最好情况分析 (Best Case)

• 对于输入n的最短可能运行时间

#### 最差情况分析 (Worst Case)

- 对于输入n的最长可能运行时间
- 通常使用的方法

#### 平均 (期望) 情况分析 (Average Case)

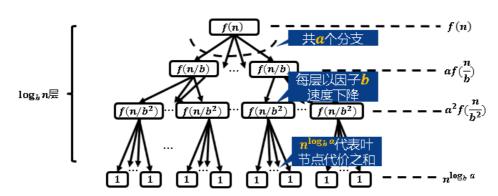
• 对于输入n的所有可能情况的平均运行时间

#### 3. 递归式求解

### 主定理法

对于形如 $T(n) = aT(\frac{n}{b}) + f(n)$ 的递归式, 其解为:

$$T(n) = \Theta(n^{\log_b a}) + \sum_{i=0}^{\log_b n-1} a^i f(rac{n}{b^i})$$



进一步分析:

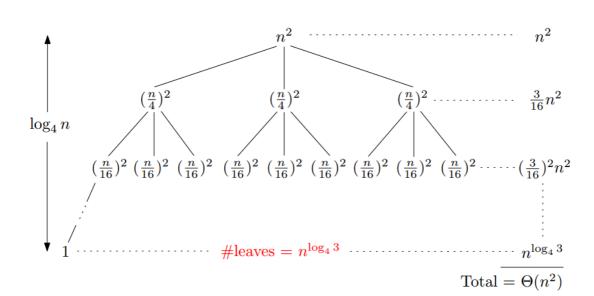
$$T(n) = egin{cases} \Theta(f(n)) &, if \ f(n) = \Omega(n^{\log_b a + \epsilon}) \ \Theta(n^{\log_b a} \log n) &, if \ f(n) = \Theta(n^{\log_b a}) \ \Theta(n^{\log_b a}) &, if \ f(n) = O(n^{\log_b a - \epsilon}) \end{cases}$$

当f(n)形式为 $n^k$ 时,可以简化主定理公式:

$$T(n) = aT(rac{n}{b}) + n^k = egin{cases} \Theta(n^k) &, if \ k > \log_b a \ \Theta(n^k \log n) &, if \ k = \log_b a \ \Theta(n^{\log_b a}) &, if \ k > \log_b a \end{cases}$$

#### 递归树法

$$T(n) = \begin{cases} 3T(n/4) + n^2, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases}$$



#### 和式求解

$$egin{aligned} \sum_{i=1}^n i &= O(n^2) \ \sum_{i=1}^n i^2 &= O(\log n^3) \ \sum_{i=1}^n rac{1}{i} &= O(\log n) \end{aligned}$$

# 分治算法

- 分解原问题
- 解决子问题
- 合并问题解
- 1. 归并排序
- 2. 最大子数组
- 3. 逆序计数
- 4. 多项式乘法

```
Input: A(x), B(x)

Output: A(x) \times B(x)

A_0(x) \leftarrow a_0 + a_1 x + \dots + a_{\frac{n}{2}-1} x^{\frac{n}{2}-1};

A_1(x) \leftarrow a_{\frac{n}{2}} + a_{\frac{n}{2}+1} x + \dots + a_n x^{n-\frac{n}{2}};

B_0(x) \leftarrow b_0 + b_1 x + \dots + b_{\frac{n}{2}-1} x^{\frac{n}{2}-1};

B_1(x) \leftarrow b_{\frac{n}{2}} + b_{\frac{n}{2}+1} x + \dots + b_n x^{n-\frac{n}{2}};

Y(x) \leftarrow \text{PolyMulti2}(A_0(x) + A_1(x), B_0(x) + B_1(x)); //T(n/2)

U(x) \leftarrow \text{PolyMulti2}(A_0(x), B_0(x)); //T(n/2)

Z(x) \leftarrow \text{PolyMulti2}(A_1(x), B_1(x)); //T(n/2)

return (U(x) + [Y(x) - U(x) - Z(x)] x^{\frac{n}{2}} + Z(x) x^{2\frac{n}{2}}); //O(n)
```

 $T(n) = O(n \log n)$ 

### 5. 快速排序与划分

Quicksort(A, p, r)

Partition(A, p, r)

```
Input: An array A waiting to be sorted, the range of index p,r
Output: Index of the pivot after partition
x \leftarrow A[r]; //A[r] is the pivot element
i \leftarrow p-1;
for j \leftarrow p to r-1 do

| if A[j] \leq x then
| i \leftarrow i+1; exchange A[i] and A[j]; end
end
exchange A[i+1] and A[r]; //Put pivot in position
return i+1; //q \leftarrow i+1
```

# 6. 随机选择

Randomized - Partition(A, p, r)

```
Input: An array A waiting to be sorted, the range of index p,r
Output: A random index in [p..j]
j \leftarrow \text{random}(p,r);
exchange A[r] and A[j];
Partition(A, p, r);
return j;
```

# 7.基于比较的排序下界

在最坏情况下,任何基于比较的排序算法都需要做 $\Omega(n\log n)$ 次比较

# 动态规划

- 问题结构分析
- 递推关系建立
- 自底向上计算
- 最优方案追踪

### 1.0-1背包

```
Input: Allowed maximum weight W, intermediate array from Knapsack V

Output: Maximum value of any subset of items \{1, 2, ..., n\} of weight at most W.

K \leftarrow W;

for i \leftarrow n to 1 do

| if keep[i, K] is equal to 1 then
| Output i;
| K \leftarrow K - w[i];
| end
| end
```

```
Let V[0..n, 0..W] and keep[0..n, 0..W] be two new 2-dimension arrays;
for i \leftarrow 0 to W do
 V[0,i] \leftarrow 0;
\mathbf{end}
for i \leftarrow 1 to n do
    for j \leftarrow 0 to W do
        if (w[i] \le w) and (v[i] + V[i-1, w-w[i]] > V[i-1, j]) then
            V[i,j] \leftarrow \max\{V[i-1,j], v[i] + V[i-1,w-w[i]]\};
            keep[i,j] \leftarrow 1;
        end
        else
             V[i,j] \leftarrow V[i-1,j];
            keep[i,j] \leftarrow 0;
        \mathbf{end}
    end
\mathbf{end}
K \leftarrow W;
for i \leftarrow n \ to \ 1 \ do
    if keep[i, K] is equal to 1 then
        Output i;
        K \leftarrow K - w[i];
    end
end
return V[n, W];
```

T(n) = O(nW)

### 2. 最大子数组

# 3. 最长公共子序列

Longest-Commom-Subsequence(X,Y)

```
Input: Two strings X,Y.

Output: Longest common subsequence of X and Y.

m \leftarrow \operatorname{length}(X);

n \leftarrow \operatorname{length}(Y);

Let d[0..m, 0..n] and p[0..m, 0..n] be two new 2-dimension arrays;

//Initializaiton

for i \leftarrow 0 to m do

d[i, 0] \leftarrow 0;

end

for j \leftarrow 0 to n do

d[0, j] \leftarrow 0;

end
```

```
//Dynamic Programming
for i \leftarrow 1 to m do
    for j \leftarrow 1 to n do
        if x_i is equal to y_i then
             d[i,j] \leftarrow d[i-1,j-1] + 1;
            p[i,j] \leftarrow"LU";//"LU" indicates left up arrow.
        \mathbf{end}
        else if d[i-1, j] \ge d[i, j-1] then
             d[i,j] \leftarrow d[i-1,j];
           p[i,j] \leftarrow"U";//"U" indicates up arrow.
        \mathbf{end}
        else
             d[i,j] \leftarrow d[i,j-1];
           p[i,j] \leftarrow "L";//"L" indicates left arrow.
        \mathbf{end}
    \mathbf{end}
end
return d, p;
```

Print - LCS(p, X, i, j)

```
Input: Array p generated from Longest-Common-Subsequence, string X, index i and j.

Output: Output the longest common subsequence of X[1..i] and Y[1..j]. if i is equal to 0 or j is equal to 0 then

| return NULL;
end
if p[i,j] is equal to "LU" then
| Print-LCS(p,X,i-1,j-1);
| print x_i;
end
else if p[i,j] is equal to "U" then
| Print-LCS(p,X,i-1,j);
end
else
| Print-LCS(p,X,i,j-1);
end
```

T(n) = O(mn)

# 4. 最长公共子串

Longest-Commom-Substring(X,Y)

```
Input: Two strings X,Y.

Output: Longest common substring of X and Y.

m \leftarrow \text{length}(X);

n \leftarrow \text{length}(Y);

Let d[0..m, 0..n] be a new 2-dimension array;

l_{max} \leftarrow 0;

p_{max} \leftarrow 0;

//Initializaiton

for i \leftarrow 0 to m do

|d[i, 0] \leftarrow 0;

end

for j \leftarrow 0 to n do

|d[0, j] \leftarrow 0;

end
```

```
//Dynamic Programming
for i \leftarrow 1 to m do
    for j \leftarrow 1 to n do
         if x_i \neq y_j then
          |d[i,j] \leftarrow 0;
         end
         else
             d[i,j] \leftarrow d[i-1,j-1] + 1;
             if d[i,j] > l_{max} then
                 l_{max} \leftarrow d[i,j];
                  p_{max} \leftarrow i;
             \mathbf{end}
         end
    end
end
return l_{max}, p_{max};
```

 $Print-LCSubstring(X, l_{\max}, p_{\max})$ 

```
Input: String X, l_{max} and p_{max} are generated from Longest-Common-Substring.

Output: Output the longest common substring of X[1..i] and Y[1..i].

if l_{max} is equal to \theta then

| return NULL;

end

for i \leftarrow (p_{max} - l_{max} + 1) to p_{max} do

| print x_i;

end
```

```
T(n) = O(mn)
```

#### 5. 最小编辑距离

Minimum - Edit - Distance(X, Y)

```
Input: Two strings X,Y.

Output: Minimum edit distance of X and Y.

m \leftarrow \operatorname{length}(X);
n \leftarrow \operatorname{length}(Y);
Let d[0..m, 0..n] and p[0..m, 0..n] be two new 2-dimension arrays;

//Initializaiton

for i \leftarrow 0 to m do

d[i, 0] \leftarrow i;
p[i, 0] \leftarrow \text{"U"};

end

for j \leftarrow 0 to n do

d[0, j] \leftarrow j;
p[0, j] \leftarrow \text{"L"};
end
```

```
//Dynamic Programming
for i \leftarrow 1 to m do
    for j \leftarrow 1 to n do
        if x_i is not equal to y_i then
         c \leftarrow 1;
        \mathbf{end}
         else
         | c \leftarrow 0;
        \mathbf{end}
        if d[i-1, j-1] + c \le d[i-1][j] + 1 and
          d[i-1, j-1] + c \le d[i][j-1] + 1 then
             d[i, j] \leftarrow d[i - 1, j - 1] + c;
            p[i,j] \leftarrow "LU";//"LU" indicates left up arrow.
        \mathbf{end}
        else if d[i, j-1] + 1 < d[i-1][j] + 1 and
          d[i, j-1] + 1 < d[i-1, j-1] + c then
            d[i,j] \leftarrow d[i,j-1] + 1;
           p[i,j] \leftarrow \text{``L''};//\text{``L''} \text{ indicates up arrow.}
        end
        else
             d[i,j] \leftarrow d[i-1,j] + 1;
            p[i,j] \leftarrow \text{"U"};//\text{"U"} \text{ indicates left arrow.}
        end
    end
\mathbf{end}
return d, p;
```

Print - MED(p, X, i, j)

```
Input: Array p generated from Minimum-Edit-Distance, string X, index
        i and j.
Output: Output the sequence of operations.
if i is equal to 0 and j is equal to 0 then
\mid return NULL;
end
if p[i,j] is equal to "LU" then
   Print-MED(p, X, i-1, j-1);
   if x_i is equal to y_j then print "Do nothing"
   end
   else
    | print "Substitue x_i with y_j;
   end
else if p[i,j] is equal to "U" then
   Print-MED(p, X, i - 1, j);
   print "Delete x_i";
end
else
   Print-MED(p, X, i, j - 1);
   print "Insert y_i"
end
```

T(n) = O(mn)

### 6. 钢条切割

### 7.矩阵链乘法

```
Let m[1..n, 1..n] and s[1..n, 1..n] be two 2-dimension arrays;
for i \leftarrow 1 to n do
m[i,i] \leftarrow 0;
\mathbf{end}
for l \leftarrow 2 to n do
    for i \leftarrow 1 to n - l + 1 do
         j \leftarrow i + l - 1;
         m[i,j] \leftarrow \infty;
         for k \leftarrow i \ to \ j-1 \ \mathbf{do}
              q \leftarrow m[i,k] + m[k+1,j] + p[i-1] * p[k] * p[j];
              if q < m[i, j] then
                  m[i,j] \leftarrow q;
                 s[i,j] \leftarrow k;
              \mathbf{end}
         \mathbf{end}
    end
return m[1, n] and s;
```

 $T(n) = O(n^3)$ 

# 贪心算法

- 提出贪心策略
- 证明策略正确

一个常见的方法是:假设最优方案为X,而贪心算法得到的结果为Y。若X与Y不同,则能够在保证X不变差的情况下将X转化为Y。

#### 1.部分背包

```
Input: Value array v and weight array w of n items, capacity of
         knapsack K.
Output: Solution of maximum value.
Let r[1..n], x[1..n] be two new arrays;
for i \leftarrow 1 to n do
   r[i] \leftarrow v[i]/w[i];
    x[i] \leftarrow 0;
end
Sort the items in decreasing order of their ratios r, rename the items if
 necessary so that the sorted order of items is \langle 1, 2, ..., n \rangle;
while K > 0 and j \le n do
    j \leftarrow j + 1;
    if K > w[j] then
        x[j] \leftarrow 1;
       K \leftarrow K - w[j];
    end
    else
       x[j] \leftarrow k/w[j];
     break;
    \mathbf{end}
end
return x;
```

### 2. 霍夫曼编码

```
Input: An alphabet A with frequency distribution.

Output: Huffman tree.

n \leftarrow |A|;

Q \leftarrow a new Priority Queue of A;

for i \leftarrow 1 to n-1 do

| // \text{Why } n-1 ?|

z \leftarrow a new node;

z.left \leftarrow \text{Extract-Min}(Q);

z.right \leftarrow \text{Extract-Min}(Q);

z.freq \leftarrow z.left.freq + z.right.freq;

Insert(Q, z);

end

return Extract-Min(Q);
```

 $T(n) = O(n \log n)$ 

### 3.活动选择

```
Input: a set of activities A = a_1, a_2 \dots, a_n
Output: the largest subset of A that do not overlap
Sort activities in increasing order of finishing time;
P = a_1; // insert the activity with earliest finishing time
k = 1; // index to the last activity in A
for i \leftarrow 2 to n do

if s[i] \geq f[k] then

| // i starts after k finishes - no overlap
| P \leftarrow P \cup a_i;
| k \leftarrow i;
| end
end
return P;
```

时间复杂度主要来自排序算法

$$T(n) = O(n \log n)$$

带权重的活动选择——动态规划

```
Input: a set of activities A = a_1, a_2, \dots, a_n

Output: the max weight of any subset of mutually compatible activities

Sort activities by finishing time and renumber so that f_1 \leq f_2 \leq \dots \leq f_n;

Compute p[1], p[2], \dots, p[n] via binary search;

OPT[0] \leftarrow 0;

for j = 1 to n do

| OPT[j] \leftarrow \max\{OPT[j-1], w_j + OPT[p[j]]\};

end

return OPT[n];
```

$$T(n) = O(n \log n)$$

- 4. 最小生成树
- 5. 单源最短路径

### 图算法

1. 图的基本概念

### 2.广度优先搜索

• color[u]: the color of each vertex visited

WHITE: undiscovered

GRAY: discovered but not finished processing

BLACK: finished processing

• pred[u]: the predecessor pointer

pointing back to the vertex from which u was discovered

• d[u]: the distance from the source to vertex u

```
Input: A graph G
Output: None

//Initialize

for u in V do

| color[u] \leftarrow WHITE; // undiscovered
| pred[u] \leftarrow NULL; // no predecessor

end

for u in V do

| // start a new tree

| if color[u] is equal to WHITE then

| BFSVisit(u);
| end

end
```

# BFSVisit(s)

```
Input: A vertex s
Output: None
color[s] \leftarrow \text{GRAY}, d[s] \leftarrow 0;
Q \leftarrow \emptyset, Enqueue(Q, s);
while Q \neq \emptyset do
     u \leftarrow \text{Dequeue}(Q);
     for v \in Adj[u] do
          if color[v] \leftarrow WHITE then
               color[v] \leftarrow GRAY;
               d[v] \leftarrow d[u] + 1;
               pred[v] \leftarrow u;
               Enqueue(Q, v);
          \mathbf{end}
     \mathbf{end}
     color[u] \leftarrow \text{BLACK};
end
```

$$T(n) = O(V + E)$$

#### 3. 深度优先搜索

• color[u]: the color of each vertex visited

WHITE: undiscovered

GRAY: discovered but not finished processing

BLACK: finished processing

• pred[u]: the predecessor pointer

pointing back to the vertex from which u was discovered

• d[u]: the discovery time

a counter indicating when vertex u is discovered

• f[u]: the finishing time

# DFS(G)

```
Input: A graph G
Output: None

for u in V do

\begin{array}{c} color[u] \leftarrow \text{WHITE};//\text{undiscovered} \\ pred[u] \leftarrow \text{NULL};//\text{no predecessor} \\ \text{end} \\ time \leftarrow 0; \\ \text{for } u \text{ in } V \text{ do} \\ \hline \begin{array}{c} //\text{start a new tree} \\ \text{if } color[u] \text{ is equal to } WHITE \text{ then} \\ \hline \end{array} \\ \begin{array}{c} DFSVisit(u); \\ \text{end} \\ \end{array}
```

# DFSVisit(u)

```
Input: A vertex u
Output: None
color[u] \leftarrow GRAY; //u is discovered
d[u] \leftarrow + + time; //u's discovery time
for v \in Adj(u) do

| //Visit undiscovered vertex
| if color[v] is equal to WHITE then
| pred[v] \leftarrow u;
| DFSVisit(v);
| end
end
color[u] \leftarrow BLACK; //u has finished
f[u] \leftarrow + + time; //u's finish time
```

$$T(n) = O(V + E)$$

- 4. 环路检测
- 5. 拓扑排序

```
Input: A graph G
Output: None
Initialize Q to be an empty queue;
for u \in V do
   if u.in\_degree is equal to 0 then
       //Find all starting vertices
      Enqueue(Q, u);
   end
\mathbf{end}
while Q is not empty do
   u \leftarrow \text{Dequeue}(Q);
   Output u;
   for v \in Adj(u) do
       //remove u's outgoing edges
       v.in\_degree \leftarrow v.in\_degree - 1;
       if v.in_degree is equal to 0 then
          Enqueue(Q, v);
       end
   end
end
```

出队顺序即为输出顺序

$$T(n) = O(V + E)$$

### 6. 强联通分量

```
Input: A directed graph G
Output: The set of strongly connected components R
R \leftarrow \{\}; // \text{ set of SCCs}
G^R \leftarrow \text{reverse graph of } G;
L^R \leftarrow \text{DFS-b}(G^R); // \text{ Perform DFS}
L \leftarrow \text{reverse order of } L^R;
for u \in L do

| if color[u] is equal to WHITE then

| Lscc \leftarrow \text{DFSVisit}(G,u); // \text{ Perform DFS starting at } u
| R \leftarrow R \cup \text{Set}(Lscc);
| end
| end
| return R;
```

$$T(n) = O(V + E)$$

连通图是有向无环图

### 7. 最小生成树

• Prim算法

```
Input: A graph G, a matrix w representing the weights between vertices
          in G, the algorithm will start at root vertex r
Output: None
Let color[1...|V|], key[1...|V|], pred[1...|V|] be new arrays;
for u \in V do
| color[u] \leftarrow \text{WHITE}, key[u] \leftarrow +\infty; // \text{Initialize}
end
key[r] \leftarrow 0, pred[r] \leftarrow \text{NULL}; // \text{Start at root vertex}
Q \leftarrow \text{new PriQueue(V);// put vertices in } Q
while Q is nonempty do
    u \leftarrow Q.\text{Extract-Min();// lightest edge}
    for v \in adj[u] do
        if (color[v] \leftarrow WHITE)\&\&(w[u,v] < key[v]) then
             key[v] \leftarrow w[u,v];// new lightest edge
             Q.Decrease-Key(v, key[v]);
            pred[v] \leftarrow u;
        \mathbf{end}
    end
    color[u] \leftarrow \text{BLACK};
\mathbf{end}
```

结果由**pred**[]表示

key[]之和为选中的边权重之和

$$T(n) = O(E \log V)$$

• Kruskal算法

```
Input: A graph G, a matrix w representing the weights between vertices
          in G
Output: MST of G
Sort E in increasing order by weight w_i // O(|E| \log |E|)
// After sorting E = \langle \{u_1, v_1\}, \{u_2, v_2\}, ..., \{u_{|E|}, v_{|E|}\} \rangle
A \leftarrow \{\};
for u \in V do
| Create-Set(u);//O(|V|)
\mathbf{end}
for e_i \in E do
   // O(|E| \log |V|)
    if Find\text{-}Set(u_i) \neq Find\text{-}Set(v_i) then
        add \{u_i, v_i\} to A;
        Union(u_i, v_i);
    \mathbf{end}
end
return A;
```

 $T(n) = O(E \log V)$ 

#### 8. 单源最短路径

• BFS

适用于无权图

• Dijkstra算法

要求所有边权重为非负值

```
Input: A graph G, a matrix w representing the weights between vertices
          in G, source vertex s
Output: None
for u \in V do
|d[u] \leftarrow \infty, color[u] \leftarrow \text{WHITE}; // \text{Initialize}
end
d[s] \leftarrow 0;
pred[s] \leftarrow \text{NULL};
Q \leftarrow queue with all vertices;
while Non-Empty(Q) do
    // Process all vertices
    u \leftarrow \text{Extract-Min}(Q); // \text{ Find new vertex}
    for v \in Adj[u] do
        if d[u] + w(u, v) < d[v] then
             // If estimate improves
             d[v] \leftarrow d[u] + w(u,v); // \text{ relax}
             Decrease-Key(Q, v, d[v]);
             pred[v] \leftarrow u;
        \mathbf{end}
    end
    color[u] \leftarrow BLACK;
```

```
T(n) = O(E \log V)
```

• Bellman-Ford算法

Relax(u,v)

```
Input: Update estimation of u according to distance of v
Output: None
if d[u] + w(u, v) < d[v] then
d[v] \leftarrow d[u] + w(u, v);
pred[v] \leftarrow u;
end
```

Bellman - Ford(G, w, s)

```
Input: A directed graph G, weights w, and the source vertex s
Output: Return FALSE if G contains negative cycle, return TRUE if
           shortest paths from s to any other vertices obtained.
for u \in V do
  d[u] \leftarrow \infty, pred[u] \leftarrow \text{NIL}; // \text{Initialize}
\mathbf{end}
for i \leftarrow 1 to |V| - 1 do
    for e \in E do
    | RELAX(u, v, w);
   end
end
for e \in E do
    if d[v] > d[u] + w(u, v) then
    \mid return FALSE;
   \mathbf{end}
end
return TRUE;
```

$$T(n) = O(EV)$$

# 处理难问题

# 1. 问题分类

• P 在多项式时间内可以解决的问题,即可以在 $O(n^k)$ 内解决

NP

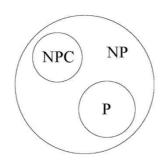
在多项式时间内可以被证明的问题

• NPC

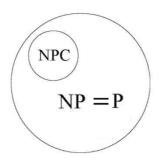
NP完全问题

#### 说明:

- $P \subset NP$
- 如果 $NP \neq P$



• 如果NP = P



### 2.证明问题为NPC问题

- 证明Y∈NP
- 寻找一个已知的NPC问题X, 并证明X≤p Y.

如何证明**X≤p Y**?

使用Y的结果解决X

- 对问题X的任意一个输入x,将其映射为Y的一个输入f(x)。
- 。 证明问题X在输入x的条件下返回"yes"当且仅当问题Y在输入f(x)的条件下返回"yes".

### 3. NPC问题举例

• SAT问题 (布尔可满足性问题)

某一个布尔表达式是不是"可满足"的问题

"可满足"的意思是存在一组"真值赋值"使得布尔表达式为真

### 3-SAT问题

某个具有特殊形式的布尔表达式是否可满足的问题 特殊形式指"3合取范式"或"3-CNF"

• DCLIQUE (团问题)

寻找图中规模最大的团

团:完全子图

• Decision Vertex Cover (DVC) (顶点覆盖问题)

寻找图的最小顶点覆盖

顶点覆盖V:原图的每一条都有至少一个端点在V内

• Decision Independent Set (DIS) (独立集问题)

寻找图的最大独立集

独立集V:其内任意一对顶点之间都没有边相连