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# Assignment I for AI2615

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## Problem 1. Generalization of Master Theorem

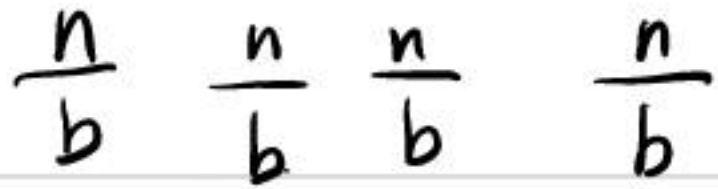
$$T(n) = aT\left(\frac{n}{b}\right) + O(n^d \log^w n)$$

$$\Rightarrow T(n) = \begin{cases} O(n^d) & \text{if } d > \log_b a \\ O(n^d \log n) & \text{if } d = \log_b a \\ O(n^{\log_b a}) & \text{if } d < \log_b a \end{cases}$$

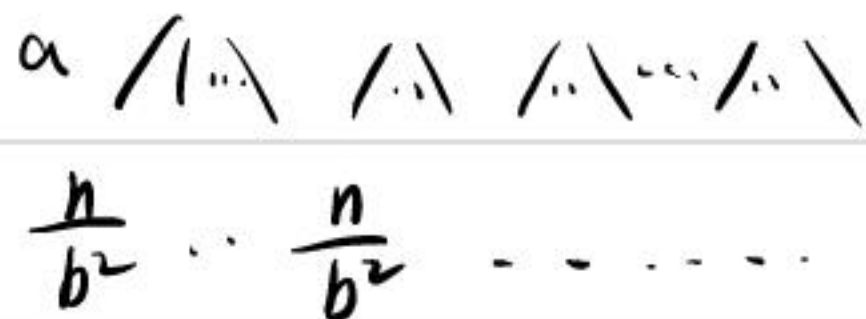
level 0



level 1



$$c a \left(\frac{n}{b}\right)^d \log^w \left(\frac{n}{b}\right)$$



$$c a^2 \left(\frac{n}{b^2}\right)^d \log^w \left(\frac{n}{b^2}\right)$$

level k



$$c \cdot a^k \left(\frac{n}{b^k}\right)^d \log^w \left(\frac{n}{b^k}\right)$$

It reaches the bottom when  $k = \log_b n$

Case (A).  $a < b^d$

$$T(n) = \sum_{k=0}^{\log_b n} a^k \left(\frac{n}{b^k}\right)^d \log^w \left(\frac{n}{b^k}\right) + a^{\lfloor \log_b n \rfloor + 1}$$

$$= n^d \cdot \sum_{k=0}^{\lfloor \log_b n \rfloor} \left(\frac{a}{b^d}\right)^k \log^w \frac{n}{b^k}$$

$$\frac{a}{b^d} < 1 \Rightarrow < n^d \cdot \sum_{k=0}^{\log_b n} \log^w \frac{n}{b^k}$$

$$< n^d \cdot \sum_{k=0}^{\log_b n} \log^w n$$

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$$= n^d \cdot \frac{(\frac{a}{b^d})^{\lfloor \log_b n \rfloor + 1} - 1}{\frac{a}{b^d} - 1} \leq \frac{n^d \cdot \log^w n}{\frac{a}{b^d} - 1}$$

$$= O(n^d \log^w n)$$

Case (B)  $a > b^d$

$$T(n) = \sum_{k=0}^{\log_b n} a^k \left(\frac{n}{b^k}\right)^d \log^w \left(\frac{n}{b^k}\right) + a^{\lfloor \log_b n \rfloor + 1}$$

$$= n^d \cdot \sum_{k=0}^{\log_b n} \left(\frac{a}{b^d}\right)^k \log^w \frac{n}{b^k} + a^{\lfloor \log_b n \rfloor + 1}$$

$$= O(n^{\log_b a})$$

Case (C)  $a = b^d$

$$T(n) = \sum_{k=0}^{\log_b n} a^k \left(\frac{n}{b^k}\right)^d \log^w \left(\frac{n}{b^k}\right) +$$

$$= n^d \cdot \sum_{k=0}^{\log_b n} \left(\frac{a}{b^d}\right)^k \log^w \frac{n}{b^k}$$

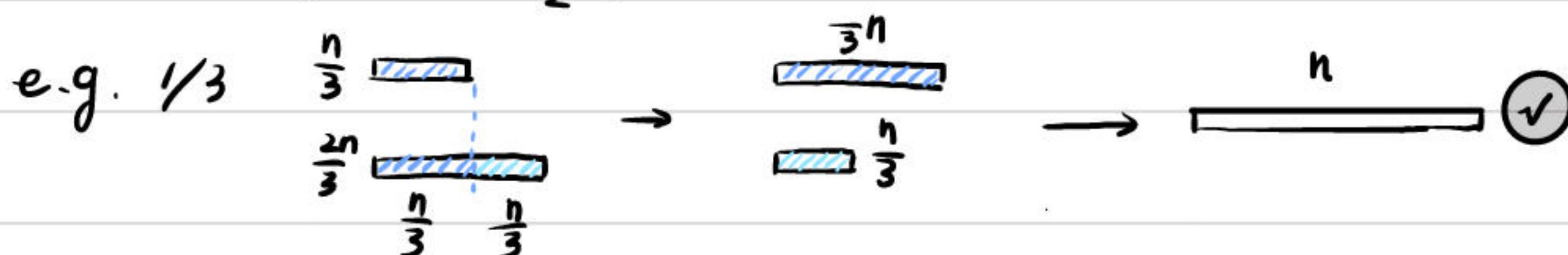
$$= n^d \sum_{k=0}^{\log_b n} \log^w \frac{n}{b^k}$$

$$\leq n^d \log_b n \cdot \log^w n$$

$$= n^d \log^{w+1} n$$

## Problem 2. Merge Sort

(1) Let the rest part of the larger sequence be a new sequence, compare with the sequence just generated. Repeat until no part is left.



(2) Merge Sort by one-third dividing approach:



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```
def Merge_sort ( a[1,...,n] ) :
```

```
    if n==1 return a
```

```
    b = M_sort ( a[1,..., ⌊ $\frac{n}{3}$ ⌋] )
```

```
    c = M_sort ( a[⌊ $\frac{n}{3}$ ⌋+1, n] )
```

```
    return Merge ( b, c )
```

```
def Merge ( b[1,...,n1] , c[1,...,n2] ) :
```

```
    { i=1, j=1, k=1
```

```
      while ( i ≤ n1 and j ≤ n2 ) :
```

```
        { if ( b[i] < c[j] )
```

```
            { d[k] = b[i] , i++ , k++ ; }
```

```
          else { d[k] = c[j] , j++ , k++ ; }
```

```
        } i
```

```
    if ( i > n1 ) : # Because  $\lfloor \frac{n}{3} \rfloor < \lfloor \frac{2n}{3} \rfloor$ , operate once is enough
```

```
        { b = d.
```

```
          c = c[j, ..., n2]
```

```
          d = Merge ( b, c ) }
```

```
    else: { b = b[j, ..., n2]
```

```
          c = d
```

```
          d = Merge ( b, c ) }
```

```
    return d ;
```

```
}
```



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(3) Time complexity  $T(n)$

$$\begin{aligned} T(n) &\leq T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + c \cdot n \\ &\leq 2T\left(\frac{2n}{3}\right) + c \cdot n. \end{aligned}$$

From The Master Theorem,  $T(n) = n \log n$

### Problem 3

1. def count\_1D\_pairs (A[m], B[n]):

small  $\longrightarrow$  large

ptrA  
 $\downarrow$

$A = \{a_1, a_2, \dots, a_m\}$

$B = \{b_1, b_2, \dots, b_n\}$

$\uparrow$  ptrB

int ptrA = 1, ptrB = 1, cnt = 0 # Suppose start from A[1].

while ptrA != m:

while (A[ptrA]  $\geq$  B[ptrB]) and (ptrB  $\leq$  n):

ptrB++

cnt = cnt + ptrB

ptrA++

return cnt

2. def count\_2D\_pairs (A[m], B[n]):

if (A ==  $\emptyset$ ) or (B ==  $\emptyset$ ): return 0

# Suppose  $a \in A$  is  $O(1)$  by recording the message when sorting

$S = A \cup B$ , cnt = 0

$S = \text{MergeSort-by-1st-element}(S)$  # from small to large

med =  $S[(m+n)/2]$  # To guarantee a close proportion in expectation



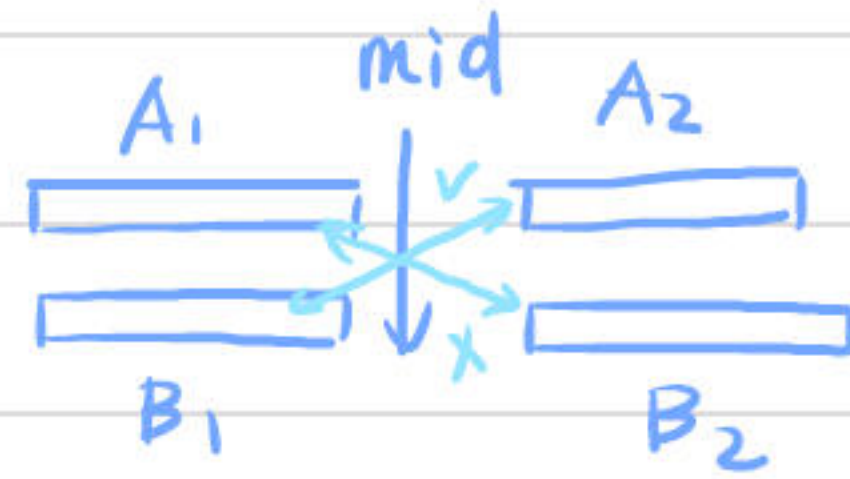
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$$A_1 = \{a \in A \mid a[1] < \text{mid}\}$$

$$B_1 = \{b \in B \mid b[1] < \text{mid}\}$$

$$A_2 = \{a \in A \mid a[1] > \text{mid}\}$$

$$B_2 = \{b \in B \mid b[1] > \text{mid}\}$$



$A\_recurse = \text{squeeze}(A_2)$  # decrease dimension

$B\_recurse = \text{squeeze}(B_1)$

$$\text{cnt} = \text{count\_2D\_pairs}(A_1, B_1) + \text{count\_2D\_pairs}(A_2, B_2) \\ + \text{count\_1D\_pairs}(A\_recurse, B\_recurse)$$

return cnt

2\*. Time complexity

$$T(n) = 2T\left(\frac{n}{2}\right) + O(n \log n)$$

From the Generalization of Master Theorem

$$T(n) = O(n \log^2 n) < O(n^{1.1})$$

3. def count\_dD\_pairs(A[m], B[n], d):

if (A ==  $\emptyset$ ) or (B ==  $\emptyset$ ): return 0

if (d == 1): return count\_1D\_pairs(A, B)

S = A  $\cup$  B, cnt = 0

S = MergeSort\_by\_1st\_element(S)

med = S[(m+n)/2]

A1 = {a  $\in$  A | a[1] < med}

B1 = {b  $\in$  B | b[1] < med}

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```
1. def count_pairs(A, B, d):
2.     if d == 0:
3.         return 0
4.     n = len(A)
5.     m = len(B)
6.     cnt = 0
7.     for i in range(n):
8.         for j in range(m):
9.             if A[i][d] < B[j][d]:
10.                 cnt += 1
11.     return cnt
12.
13. def count_pairs_d(A, B, d):
14.     if d == 0:
15.         return 0
16.     n = len(A)
17.     m = len(B)
18.     cnt = 0
19.     for i in range(n):
20.         for j in range(m):
21.             if A[i][d] < B[j][d]:
22.                 cnt += 1
23.     return cnt
24.
25. def count_pairs_d(A, B, d):
26.     if d == 0:
27.         return 0
28.     n = len(A)
29.     m = len(B)
30.     cnt = 0
31.     for i in range(n):
32.         for j in range(m):
33.             if A[i][d] < B[j][d]:
34.                 cnt += 1
35.     return cnt
```

$$A_2 = \{a \in A \mid a[1] > \text{mid}\}$$

$$B_2 = \{b \in B \mid b[1] > \text{mid}\}$$

$$A_{\text{recurse}} = \text{squeeze}(A_1) \text{ \# decrease dimension}$$

$$B_{\text{recurse}} = \text{squeeze}(B_2)$$

$$\text{cnt} = \text{count\_dD\_pairs}(A_1, B_1, d)$$

$$+ \text{count\_dD\_pairs}(A_2, B_2, d)$$

$$+ \text{count\_dD\_pairs}(A_{\text{recurse}}, B_{\text{recurse}}, d-1)$$

return cnt

$$\begin{cases} T(n, d) = 2T(\frac{n}{2}, d) + T(\frac{n}{2}, d-1) \\ T(n, 1) = n \log n \end{cases}$$

$$\Rightarrow T(n) = n \log^d n$$



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## Problem 4

1.  $x$  is close to the median of  $A$ .

Because at least  $\frac{1}{3}$  of the sequence less than  $x$ .

And at least  $\frac{1}{3}$  of the sequence more than  $x$ .

Proof:

$A$  is divided into 3 pieces equally:  $A_1, A_2, A_3$

$x_1, x_2, x_3$  is corresponding median.

Without loss of generality, we suppose that  $x_1 \leq x_2 \leq x_3$

$\therefore x = x_2$

$\text{Card}(\{d \in A_1 \mid d < x\}) = \lfloor \frac{n}{6} \rfloor$ , they also smaller than  $x$ .

$\text{Card}(\{d \in A_2 \mid d < x\}) = \lfloor \frac{n}{6} \rfloor$ .

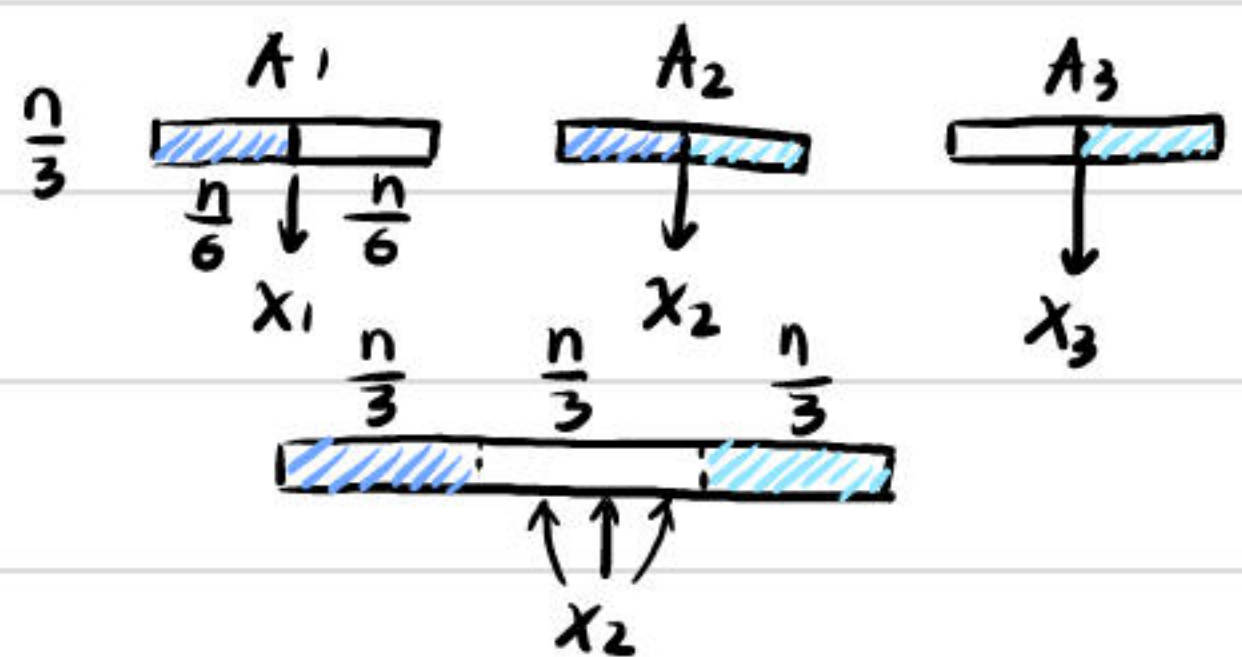
$\therefore \text{Card}(\{d \in A \mid d < x\}) \geq \lfloor \frac{n}{6} \rfloor + \lfloor \frac{n}{6} \rfloor \approx \frac{n}{3}$

Also,  $\text{Card}(\{d \in A \mid d > x\}) \approx \frac{n}{3}$

To sum up, it can be

seen that  $x$  is close

to the median of  $A$ .



2. Runtime =  $O(n)$

def median\_of\_medians( $A, k$ ):

$A_1 = A[1, \dots, \lfloor \frac{n}{3} \rfloor]$

$A_2 = A[\lfloor \frac{n}{3} \rfloor + 1, \dots, \lfloor \frac{2n}{3} \rfloor]$



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$$A_3 = A[\lfloor \frac{2n}{3} \rfloor + 1, \dots, n]$$

$$A_1 = \text{merge\_sort}(A_1)$$

$$A_2 = \text{merge\_sort}(A_2)$$

$$A_3 = \text{merge\_sort}(A_3)$$

$$x_1 = A_1[\text{len}(A_1)/2]$$

$$x_2 = A_2[\text{len}(A_2)/2]$$

$$x_3 = A_3[\text{len}(A_3)/2]$$

#  $x$  is the median of  $x_1, x_2, x_3$

$$x = \text{merge\_sort}([x_1, x_2, x_3])[\frac{3}{2}]$$

# use  $x$  as the pivot

$B = []$ ,  $C = []$  # initialization

for  $i$  in  $\text{len}(A)$ :

if  $A[i] < x$ :  $B.append(A[i])$

if  $A[i] > x$ :  $C.append(A[i])$

if  $\text{len}(B) = k-1$ : return  $x$

if  $\text{len}(B) > k-1$ : return  $\text{median\_of\_medians}(B, k)$

if  $\text{len}(B) < k-1$ : return  $\text{median\_of\_medians}(C, k-\text{len}(B)-1)$

## Runtime Analysis

Sorting very small list takes linear time:

$$T(n) \leq T(\frac{n}{3}) + O(n) \leq O(n)$$



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## Problem 5

1. 10h (at least)

2. Problem            1            2            3            4            5

Difficulty            4            3            5            3            1

3. Discussed with Xinyan Chen, Qi Chen.

And get inspirations from TA Zichen Zhu, Yihang Qiu.