

# TOPOLOGY BASICS

This course is about geometry, a field that studies the concrete drawing of an abstract graph on some space (e.g.  $\mathbb{R}^2$  and  $\mathbb{R}^3$ ). Besides the apparent connection to graph theory, our topics fundamentally rely upon the notion of topology. For instance, the concept of a "face" or "boundary" could not be stated rigorously without knowledge of topology, not to mention the proof of Euler's Formula. However, topology is such a deep field that we don't expect deriving all the results from first principle. ~~Instead~~ Instead, we axiomise some obvious statements from topology, and prove ~~a~~ a few lemmas based on the axioms. The point here is not setting up the

notes in a completely rigorous way, but rather giving the reader a taste of topological ideas and convincing them the possibility of formalisation if they wish to do so.

## ~~In Topology~~

def. open set.

A set  $A \subseteq S$  is open if  $\forall a \in A, \exists r > 0$  such that the neighbourhood  $U(a, r) \subseteq A$ .

def. closed set.

A set  $A \subseteq S$  is closed if  $S \setminus A$  is open. Equivalently,  $A \subseteq S$  is closed if the limit of any convergent sequence in  $A$  is still in  $A$ . (why?)

Remark. In the definitions above we use the notion of "neighbourhood", thus  $U(a, r)$  also the notion of distance. For this course we will always consider  $S = \mathbb{R}^n$  ( $n=2$  mostly) equipped with Euclidean distance, so ~~these defs fit in our need~~ these defs fit in our need.

But we ~~will~~ remind the reader that, in pure topological sense, there are other definitions of open/closed sets which ~~don't~~ require distance at all! Such defs are too general for our purpose.

def. compact set: both bounded and closed.

Theorem 1. A set  $A \subseteq \mathbb{R}^n$  is compact  
 $\iff$  For any family  $\mathcal{F} = \{F_i\}$ ,  
 $F_i \subseteq \mathbb{R}^n$  open, and  $\bigcup_{F \in \mathcal{F}} F \supseteq A$ ,  
we could find a finite subfamily "cover of A"  
 $\mathcal{F}' \subseteq \mathcal{F} : \bigcup_{F \in \mathcal{F}'} F \supseteq A$ .

Now we are prepared for the more "geometry" side of topology.

def. arc and Jordan curve.

Let  $f: [0,1] \rightarrow \mathbb{R}^n$  be a continuous

~~function~~ function.

(1) If  $f$  is injective, then we call its image an arc.  $f(0)$  and  $f(1)$  are the endpoints.

(2) If  $f(0) = f(1)$  and  $f$  is injective on  $[0,1)$ , then we call its image a Jordan curve.

We shall denote  $e := e \setminus \text{endpoints of } e$  for arc  $e$ .

~~The definition is really natural for~~  
~~don't want to deal with discontinuous~~

(Just a side note: the definition could be rephrased in concise topological terms "an arc is homeomorphic to  $[0,1]$ ; a Jordan curve is homeomorphic to a unit circle".)

(denoted  $a \sim a'$ )  
We call  $a, a' \in A \subseteq \mathbb{R}^n$  connected if  $\exists$  an arc  $e^A$  whose endpoints are  $a$  and  $a'$ . Clearly,  $\sim$  is an equivalence relation, thus classifying  $A$  into one or more equivalence classes.

We call each of these classes a region. If  $A$  is open, then so are its regions. (why?)

def boundary.

The boundary of region  $R$ , denoted  $\partial R$ , is all the points  $x \in \mathbb{R}^n$  s.t.  
 $\forall r > 0, U(x, r) \cap R \neq \emptyset \wedge U(x, r) \cap (\mathbb{R}^n \setminus R) \neq \emptyset$ .

i.e. lies exactly upon the "separation" of  $R$  and  $\mathbb{R}^n \setminus R$ .

At this point, we don't have much tools except some basic definitions and a well-known theorem. But these are sufficient for the core definitions of this course: plane and planar graphs.

Exercise. Show that if the endpoints of an arc  $e$  lie in different regions, say  $R_1$  and  $R_2$ , then  $e \cap \partial R_1 \neq \emptyset$ ,  $e \cap \partial R_2 \neq \emptyset$ .

## PLANARITY

For the moment, we shall make a little twist of the concept of graphs:

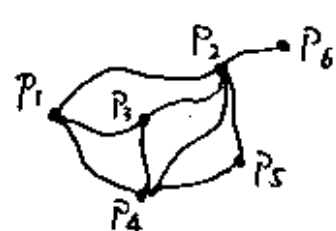
def plane graph.

a plane graph is a pair  $(V, E)$  where

(1)  $V \subseteq \mathbb{R}^2$  is a finite set of points.

(2)  $E \subseteq \mathbb{R}^2$  is a finite set of arcs ~~that~~ whose endpoints are always in  $V$ . Also, we require that  $\forall e, e' \in E$ ,  $e \cap e' = \emptyset$  and  $|e \cap e'| \leq 1$   
no crossing      no multi-edge

So strictly speaking, a plane graph is not a graph, because they are ~~two~~ different types of objects. However if we inspect the above definition carefully, we see that there's always an ~~abstract~~ "abstract" graph behind the scenes. Hence we don't actually distinguish a plane graph or ~~the~~ its corresponding abstract graph.



a plane graph



( $\{P_1, \dots, P_6\}$ ,  
 $\{P_1P_2, P_1P_3, P_1P_4, P_2P_3, P_2P_4, \dots\}$ )  
 its corresponding  
 abstract graph

Though a plane graph does correspond to an abstract graph, the converse is not necessarily true (and we shall prove that sections later). The reason is that a plane graph imposes the strong restriction that no two edges could cross in the plane, while a general graph doesn't care about ~~the~~ the ~~graph~~ concrete graphical representation.

def. Planar graph.

An abstract graph  $G$  is planar if we could find a plane graph  $G'$  that  $G$  corresponds to  $G'$ .

If  $G$  is planar, then we call the  $G'$  above an embedding of  $G$ .  
 not unique.

Proposition 2.

In the definition of plane graph, we could impose an even stronger condition without changing the meaning:  
 "all the arcs are required to be ~~a~~ finite consecutive line segments".



proof. The intuitive idea is to cut the <sup>(edges)</sup> arcs fine enough and straighten pieces so that no edges would cross. To make the argument rigorous, we appeal to Theorem 1.

For each edge  $e$  and  $x \in e$ , define  $d(x)$  to be the minimum distance between  $x$  and all other edges. Clearly  $d(x) > 0$ . Now we draw a square with side length  $d(x)$ , centered at  $x$ . So we collect a family of squares  $\mathcal{F}$  after going through all  $x \in e$ .



Obviously  $\mathcal{F}$  covers  $e$ ,  
So by Theorem 1 we could  
find a finite subfamily  $\mathcal{F}'$   
that covers  $e$  as well.

Since by our choice of squares,  
 $(\bigcup_{F \in \mathcal{F}'} F) \cap e' = \emptyset$  for all  $e' \neq e$ ,  
it's always safe to walk along  
the sides of these squares without  
crossing other edges. This gives us  
the desired "finite linearization"  
of edge  $e$ . ■



def. face.

For a plane graph  $G = (V, E)$ , the  
set  $\mathbb{R}^2 \setminus G$  (which means  $\mathbb{R}^2 \setminus (V \cup E)$ )  
is open, <sup>(why?)</sup> whose regions are called  
the faces of  $G$ . We use  $F(G)$  to  
denote the set of faces of  $G$ .



Proposition 3

A plane graph  $G$  <sup>called "outer face"</sup> always has one  
unbounded face. All other faces (if  
any) are bounded.

proof. Since  $G$  is finite, and ~~any~~ <sup>any</sup> are is bounded (why?),  
there exists a "bounding box" that contain  
the entire  $G$ . The exterior of the box  
~~is not in  $\mathbb{R}^2 \setminus G$~~  <sup>clearly belongs to the</sup>  
same equivalence class in  $\mathbb{R}^2 \setminus G$ ,  
so  $\mathbb{R}^2 \setminus G$  has at least one unbounded  
region, ~~hence~~ <sup>i.e.</sup>  $G$  has at least one  
unbounded face. As two faces must  
be disjoint, the rest of the faces must  
reside in the bounding box, thus bounded. ■