# Project6: Banker's Algorithm

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## 1 Introduction

For this project, we will write a program that implements the banker's algorithm discussed in Section 8.6.3. Customers request and release resources from the bank. The banker will grant a request only if it leaves the system in a safe state. A request that leaves the system in an unsafe state will be denied.

We use C language and finish this project on macOS Big Sur operating system.

### 1.1 The Banker

The banker will consider requests from *n* customers for *m* resources types, as outlined in Section 8.6.3. The banker will keep track of the resources using the following data structures:

```
#define NUMBER_OF_CUSTOMERS 5
#define NUMBER_OF_RESOURCES 4

/* the available amount of each resource */
int available[NUMBER_OF_RESOURCES];

/*the maximum demand of each customer */
int maximum[NUMBER_OF_CUSTOMERS][NUMBER_OF_RESOURCES];

/* the amount currently allocated to each customer */
int allocation[NUMBER_OF_CUSTOMERS][NUMBER_OF_RESOURCES];

/* the remaining need of each customer */
int need[NUMBER_OF_CUSTOMERS][NUMBER_OF_RESOURCES];
```

The banker will grant a request if it satisfies the safety algorithm outlined in Section 8.6.3.1.

If a request does not leave the system in a safe state, the banker will deny it. Function prototypes for requesting and releasing resources are as follows:

```
int request_resources(int custome_num, int request[]);
void release_resources(int customer_num, int release[]);
```

The request resources() function should return 0 if successful and -1 if unsuccessful.

## 1.2 Safety Algorithm

The safety algorithm is shown below:

- 1. Let **Work** and **Finish** be vectors of length m and n, respectively. Initialize **Work** = **Available** and **Finish** [i] = **false** for i = 0, 1, ..., n 1.
- 2. Find an index i such that both
- Finish[i] == false
- Need<sub>i</sub> ≤ Work
   If no such *i* exists, go to step 4.
- 3. Work = Work + Allocation<sub>i</sub>
  Finish[/] = true
  Go to step 2.
- 4. If Finish[i] == true for all i, then the system is in a safe state.

This algorithm may require an order of  $m \times n^2$  operations to determine whether a state is safe.

## 1.3 Requirements

We need to implement some commands:

RQ 0 3 1 2 1

Customer 0 is to request the resources (3, 1, 2, 1).

RL 4 1 2 3 1

Customer 4 is to release the resources (1, 2, 3, 1).

\*

Output the values of the different data structures

What's more, we add one command end to end the program.

# 2 Implementation Details and Methods

## 2.1 Top-down Manner

We finish the program in a top-down manner, which is to say:

- First we implement the basic code frame and create the function name.
- Second we realize each function.

## 2.2 Define Macros and Global Variables

In the very first beginning, we need to define some Marcos and Global Variables, which will be used in all the functions.

Four arrays:

- available, to represent the available resources.
- maximum, to represent the maximum need of customers
- allocation, to represent the allocation of resources
- need, to represent the remaining need of customers

```
#define NUMBER_OF_CUSTOMERS 5
#define NUMBER_OF_RESOURCES 4
#define SIZE 100
/* the available amount of each resource */
int available[NUMBER_OF_RESOURCES];
/*the maximum demand of each customer */
int maximum[NUMBER_OF_CUSTOMERS][NUMBER_OF_RESOURCES];
/* the amount currently allocated to each customer */
int allocation[NUMBER_OF_CUSTOMERS][NUMBER_OF_RESOURCES];
/* the remaining need of each customer */
int need[NUMBER_OF_CUSTOMERS][NUMBER_OF_RESOURCES];
```

## 2.3 Input file and Parse

We use two files to include the information:

#### max need.txt:

```
6,4,7,3
4,2,3,2
2,5,3,3
6,3,3,2
5,6,7,5
```

#### available resource.txt:

```
10,10,10,10
```

Parsing file into information is the first thing we will do in **main()**.

#### Assign values to maximum:

#### Assign values to available:

```
// initialize array **available** with txt file
  in = fopen("available_resource.txt", "r");
  char ava[SIZE];
  fgets(ava, SIZE, in);
  temp = strdup(ava);
  for(int i=0;i<NUMBER_OF_RESOURCES;i++)
      available[i]=atoi(strsep(&temp, ","));
  fclose(in);</pre>
```

## 2.4 Execute Commands in main()

We need to implement four kinds of commands: RQ, RL, \*, end.

end. This is the simplest command, and also the first command we need to implement.

RQ & RL. Finish the execution of RQ and RL with the help of two functions: request() and release.

If the execution of the functions successes, they will return 0. Otherwise, they will return 1.

The detailed content of request() and release will be shown in the following sections.

```
if(input_line[0]=='R')
        {
            if(input_line[1]=='Q')
            {
                //decode instruction
                temp = strdup(input_line);
                strsep(&temp," ");
                for(int i=0; i<=NUMBER_OF_RESOURCES;++i)</pre>
                    input_instruction[i] = atoi(strsep(&temp, " "));
                request_value = request(input_instruction);
                if(request_value!=0)
                    printf("Request Failed. Please Follow Rules.\n");
                continue;
            }
            else if(input_line[1]=='L')
            {
                temp = strdup(input_line);
                strsep(&temp," ");
                for(int i=0; i<=NUMBER_OF_RESOURCES;++i)</pre>
                    input_instruction[i] = atoi(strsep(&temp, " "));
                release_value = release(input_instruction);
```

\* . This command is to show the status, using the function state\_show() .

```
else if(input_line[0]=='*')
     state_show();
```

Overall codes of main() are shown here.

```
char input_line[SIZE];
   int running = 1;
   while(running)
    {
       printf("banker>");
       fgets(input_line, SIZE, stdin);
       if(strcmp(input_line, "end\n")==0)
       {
           running = 0;
           printf("-----Banker's Algorithm Finished-----
\n");
           continue;
       }
       if(input_line[0]=='R')
       {
           if(input_line[1]=='Q')
           {
               //decode instruction
               temp = strdup(input_line);
               strsep(&temp," ");
```

```
for(int i=0; i<=NUMBER_OF_RESOURCES;++i)</pre>
                input_instruction[i] = atoi(strsep(&temp, " "));
            request_value = request(input_instruction);
            if(request_value!=0)
                printf("Request Failed. Please Follow Rules.\n");
            continue;
        }
        else if(input_line[1]=='L')
        {
            temp = strdup(input_line);
            strsep(&temp," ");
            for(int i=0; i<=NUMBER_OF_RESOURCES;++i)</pre>
                input_instruction[i] = atoi(strsep(&temp, " "));
            release_value = release(input_instruction);
            if(release_value!=0)
                printf("Release Failed. Please Follow Rules.\n");
            continue;
        }
    }
    else if(input_line[0]=='*')
            state_show();
}
```

## 2.5 request()

The function **request()** takes an array as input, which including the following information:

- customer id
- request quantity for resource 1
- request quantity for resource 2
- ...

First, a check of whether the customer id is beyond the maximum is needed:

```
if(consumer_id>=NUMBER_OF_CUSTOMERS)
{
    printf("Request Error: ID Exceed\n");
    return -1;
}
```

**Second**, check whether the need exceeds the maximum need:

```
// basic check
for(int i=1;i<=NUMBER_OF_RESOURCES;++i)
{
    if(a[i]>need[consumer_id][i-1])
    {
        printf("Request Error: Request Exceed Need\n");
        return -1;
    }
    if(a[i]>available[i-1])
    {
        printf("Request Error: Request Exceed Available\n");
        return -1;
    }
}
```

Third, it's time to call safety\_algorithm to check if we can satisfy this need.

The implementation of **safety\_algotihm** will be described in the following section.

```
/* safety algorithm*/
int is_safe = safety_algorithm(a);
if(is_safe!=0)
{
    printf("Request Makes Unsafe State!!!\n");
    return 1;
}
else
{
    printf("Request is satisfied.\n");
}
```

Forth, if the state is safe, we can satisfy the requirements:

```
// after the request, the state should be safe.
for(int i=1;i<=NUMBER_OF_RESOURCES;++i)
{
    available[i-1] -= a[i];
    need[consumer_id][i-1] -= a[i];
    allocation[consumer_id][i-1] += a[i];
}
return 0;</pre>
```

Then the function request() has been finished. Here is the full version:

```
/* request resource*/
int request(int *a)
{
   int consumer_id = a[0];
   if(consumer_id>=NUMBER_OF_CUSTOMERS)
   {
     printf("Request Error: ID Exceed\n");
     return -1;
```

```
}
    // basic check
    for(int i=1;i<=NUMBER_OF_RESOURCES;++i)</pre>
    {
        if(a[i]>need[consumer_id][i-1])
        {
            printf("Request Error: Request Exceed Need\n");
            return -1;
        }
        if(a[i]>available[i-1])
        {
            printf("Request Error: Request Exceed Available\n");
            return -1;
        }
    }
    /* safety algorithm*/
    int is_safe = safety_algorithm(a);
    if(!is_safe)
    {
        printf("Request Makes Unsafe State!!!\n");
        return 0;
    }
    else
    {
        printf("Request is satisfied.\n");
    // after the request, the state should be safe.
    for(int i=1;i<=NUMBER_OF_RESOURCES;++i)</pre>
    {
        available[i-1] -= a[i];
        need[consumer_id][i-1] -= a[i];
        allocation[consumer_id][i-1] += a[i];
    return 1;
}
```

## 2.6 safety\_algorithm()

The function **safety\_algorithm()** implements the saftey algorithm, which is shown below:

- 1. Let **Work** and **Finish** be vectors of length m and n, respectively. Initialize **Work** = **Available** and **Finish**[i] = **false** for i = 0, 1, ..., n 1.
- 2. Find an index i such that both
- Finish [*i*] == false
- Need<sub>i</sub> ≤ Work
   If no such *i* exists, go to step 4.
- 3. Work = Work + Allocation<sub>i</sub>
  Finish[/] = true
  Go to step 2.
- 4. If **Finish** [i] ==**true** for all i, then the system is in a safe state.

We just follow the steps of this algorithm to implement.

First, create arrays work and finish and initialize them. Initialize Work = Available and Finish [i] = false for i = 0, 1, ..., n - 1.

```
int work[NUMBER_OF_RESOURCES];
for(int i=0; i<NUMBER_OF_RESOURCES; i++)
    work[i] = available[i]-a[i+1];

int finish[NUMBER_OF_CUSTOMERS];
for(int i=0; i<NUMBER_OF_CUSTOMERS; ++i)
    finish[i]=0;</pre>
```

**Second**, find an index i such that both Finish[i] == false and  $Need_i \leq Work$ . Then we do  $Work = Work + Allocation_i$ , adn set Finish[i] = true, then go to step 2.

```
int all_satisfy;
   int i;
   for(i=0; i<NUMBER_OF_CUSTOMERS; ++i)</pre>
   {
       all_satisfy=0;//refresh
       if(finish[i]==0){
            for(int j=0; j<NUMBER_OF_RESOURCES; ++j)</pre>
                if(i==a[0])
                {
                    if(need[i][j]-a[j+1]<=work[j])</pre>
                         all_satisfy++;
                }
                else if(need[i][j]<=work[j])</pre>
                    all_satisfy++;
            }
            if(all_satisfy==NUMBER_OF_RESOURCES)
               //this customer can be finished
                for(int j=0; j<NUMBER_OF_RESOURCES; ++j)</pre>
                    work[j] += allocation[i][j];
                finish[i]=1;
                i=-1;// back to the start
            }
       }
   }
```

**Third**, we check if **Finish**[i] == **true** for all i. If so, the system is in a safe state.

Wo do checking by adding finish[i] together:

```
all_satisfy = 0;
for(i=0; i<NUMBER_OF_CUSTOMERS; ++i)
    if(finish[i]==1)
       all_satisfy++;
if(all_satisfy==NUMBER_OF_CUSTOMERS)
    return 0; //safe
else
    return -1; //unsafe</pre>
```

Finally, we have the full implementation of the safety algorithm:

```
int safety_algorithm(int *a)
{
    int work[NUMBER_OF_RESOURCES];
    for(int i=0; i<NUMBER_OF_RESOURCES; i++)</pre>
        work[i] = available[i]-a[i+1];
    int finish[NUMBER_OF_CUSTOMERS];
    for(int i=0; i<NUMBER_OF_CUSTOMERS; ++i)</pre>
        finish[i]=0;
    int all_satisfy;
    int i;
    for(i=0; i<NUMBER_OF_CUSTOMERS; ++i)</pre>
    {
        all_satisfy=0;//refresh
        if(finish[i]==0){
             for(int j=0; j<NUMBER_OF_RESOURCES; ++j)</pre>
             {
                 if(i==a[0])
                 {
                     if(need[i][j]-a[j+1]<=work[j])
                          all_satisfy++;
                 else if(need[i][j]<=work[j])</pre>
```

```
all_satisfy++;
            }
            if(all_satisfy==NUMBER_OF_RESOURCES)
               //this customer can be finished
                for(int j=0; j<NUMBER_OF_RESOURCES; ++j)</pre>
                    work[j] += allocation[i][j];
                finish[i]=1;
                i=-1;// back to the start
            }
        }
    }
    all_satisfy = 0;
    for(i=0; i<NUMBER_OF_CUSTOMERS; ++i)
        if(finish[i]==1)
            all_satisfy++;
    if(all_satisfy==NUMBER_OF_CUSTOMERS)
        return 0; //safe
    else
        return -1; //unsafe
}
```

## 2.7 release()

The function **release()** release the resources of the customers.

First, it will check whether the customer id exceeds the maximum.

```
int consumer_id = a[0];
  if(consumer_id>=NUMBER_OF_CUSTOMERS)
  {
    printf("Request Error: ID Exceed\n");
    return -1;
}
```

**Second**, it will check whether releasing is legal, which prevents the wrong releasing.

```
// basic check
for(int i=1;i<=NUMBER_OF_RESOURCES;++i)
{
    if(a[i]>allocation[consumer_id][i-1])
    {
       printf("Release Error: Release Exceed Allocation\n");
       return -1;
    }
}
```

Third, after checking, it will release the resources:

```
// no exceed
  for(int i=1;i<=NUMBER_OF_RESOURCES; ++i)
  {
    allocation[consumer_id][i-1] -= a[i];
  }
  return 0;</pre>
```

For the reference, codes below show the full implementation of release() .

```
/* release resource*/
int release(int *a)
{
    int consumer_id = a[0];
    if(consumer_id>=NUMBER_OF_CUSTOMERS)
    {
        printf("Request Error: ID Exceed\n");
        return -1;
    }
    // basic check
    for(int i=1;i<=NUMBER_OF_RESOURCES;++i)</pre>
```

```
{
    if(a[i]>allocation[consumer_id][i-1])
    {
        printf("Release Error: Release Exceed Allocation\n");
        return -1;
    }
}
// no exceed
for(int i=1;i<=NUMBER_OF_RESOURCES; ++i)
{
    allocation[consumer_id][i-1] -= a[i];
}
return 0;
}</pre>
```

## 2.8 state\_show()

The function **state\_show()** displays the arrays we create.

To implement this, just do **printf()**.

```
printf("resource[%d]=%d ", j, maximum[i][j]);
      printf("\n");
   printf("\n");
   printf("-----\n");
   for(i=0;i<NUMBER_OF_CUSTOMERS;++i)</pre>
   {
      printf("custom[%d] ", i);
      for(j=0; j<NUMBER_OF_RESOURCES;++j)</pre>
          printf("resource[%d]=%d ", j, allocation[i][j]);
      printf("\n");
   }
   printf("\n");
   printf("-----\n");
   for(i=0;i<NUMBER_OF_CUSTOMERS;++i)</pre>
   {
      printf("custom[%d] ", i);
      for(j=0; j<NUMBER_OF_RESOURCES;++j)</pre>
          printf("resource[%d]=%d ", j, need[i][j]);
      printf("\n");
   }
   printf("\n");
   printf("-----\n");
}
```

Our function achieves the effect like this:

```
| Tesource(a) = for esource(1) = for esource(2) = for esource(3) = for esource(3) = for esource(4) = for es
```

# 3 Program Results

## 3.1 RQ

We do some requests, and show the results.

After some requests, the more requests are making the state unsafe.

The status is:

```
banker>*
                 -Available Resource-
resource[0]=3 resource[1]=3 resource[2]=3 resource[3]=3
                     -Maximum-
            resource[0]=6 resource[1]=4 resource[2]=7 resource[3]=3
custom[0]
custom[1]
            resource[0]=4 resource[1]=2 resource[2]=3 resource[3]=2
custom[2]
            resource[0]=2 resource[1]=5 resource[2]=3 resource[3]=3
custom[3]
            resource[0]=6 resource[1]=3 resource[2]=3 resource[3]=2
custom[4]
            resource[0]=5 resource[1]=6 resource[2]=7 resource[3]=5
                     -Allocation-
custom[0]
            resource[0]=1 resource[1]=1 resource[2]=1 resource[3]=1
            resource[0]=1 resource[1]=1 resource[2]=1 resource[3]=1
custom[1]
custom[2]
            resource[0]=1 resource[1]=1 resource[2]=1 resource[3]=1
            resource[0]=2 resource[1]=2 resource[2]=2 resource[3]=2
custom[3]
            resource[0]=2 resource[1]=2 resource[2]=2 resource[3]=2
custom[4]
                       -Need-
custom[0]
            resource[0]=5 resource[1]=3 resource[2]=6 resource[3]=2
custom[1]
            resource[0]=3 resource[1]=1 resource[2]=2 resource[3]=1
custom[2]
            resource[0]=1 resource[1]=4 resource[2]=2 resource[3]=2
custom[3]
            resource[0]=4 resource[1]=1 resource[2]=1 resource[3]=0
custom[4]
            resource[0]=3 resource[1]=4 resource[2]=5 resource[3]=3
                       -End-
```

### 3.2 RL

We do some release, and show the status:

```
banker>RL 4 2 2 2 2
banker>RL 1 1 1 1 1
banker>STAT
banker>*
                -Available Resource-
resource[0]=3 resource[1]=3 resource[2]=3 resource[3]=3
                     -Maximum-
custom[0]
            resource[0]=6 resource[1]=4 resource[2]=7 resource[3]=3
custom[1]
            resource[0]=4 resource[1]=2 resource[2]=3 resource[3]=2
custom[2]
            resource[0]=2 resource[1]=5 resource[2]=3 resource[3]=3
custom[3]
            resource[0]=6 resource[1]=3 resource[2]=3 resource[3]=2
custom[4]
            resource[0]=5 resource[1]=6 resource[2]=7 resource[3]=5
                     -Allocation-
custom[0]
            resource[0]=1 resource[1]=1 resource[2]=1 resource[3]=1
custom[1]
            resource[0]=0 resource[1]=0 resource[2]=0 resource[3]=0
custom[2]
            resource[0]=1 resource[1]=1 resource[2]=1 resource[3]=1
            resource[0]=2 resource[1]=2 resource[2]=2 resource[3]=2
custom[3]
custom[4]
            resource[0]=0 resource[1]=0 resource[2]=0 resource[3]=0
                      –Need–-
custom[0]
            resource[0]=5 resource[1]=3 resource[2]=6 resource[3]=2
            resource[0]=3 resource[1]=1 resource[2]=2 resource[3]=1
custom[1]
custom[2]
            resource[0]=1 resource[1]=4 resource[2]=2 resource[3]=2
custom[3]
            resource[0]=4 resource[1]=1 resource[2]=1 resource[3]=0
            resource[0]=3 resource[1]=4 resource[2]=5 resource[3]=3
custom[4]
                       -End-
```

### 3.3 \* command

We use \* to display the status, though we have shown in the previous parts.

### 3.4 end

Enter end, and finish the execution of the program.

# 4 Conclusion and Thoughts

In project 6, we use **C** program language to implement the banker's algorithm, an algorithm performing **deadlock avoidance** to avoid entering the unsafe state. By implementing the algorithm, we clearly know the process of the bankers' algorithm and the safety algorithm.

The whole program needs no more than 300 lines to fulfill, while it's very interesting to have a programming purpose and implement a toy project which has the basic functions to show us the execution of the algorithm.

In conclusion, we go deeper into the banker's algorithm which is introduced in OS lectures and practise our programming skills. It's great enjoyment.