#### Hard Problems in CS499

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# Set theory

**Problem 6.** A and B are countable sets. Prove that

- 1.  $A \cup B$  is countable
- 2.  $A \times B$  is countable

#### Tall or Width

**Problem 4.** Prove the following strengthening of the **Erd** $\ddot{o}$ s-**Szekeres Lemma**: Let  $\kappa$ ,  $\ell$  be natural numbers. Then every sequence of real numbers of length  $\kappa\ell+1$  contains an nondecreasing subsequence of length  $\kappa+1$  or a decreasing subsequence of length  $\ell+1$ .

## Counting

**Problem 3.** Calculate (i.e. express by a simple formula not containing a sum)

1. 
$$\sum_{k=1}^{n} {k \choose m} \frac{1}{k}$$

2. 
$$\sum_{k=0}^{n} \binom{k}{m} k$$

**Problem 5.** How many functions  $f: \{1, 2, ..., n\} \rightarrow \{1, 2, ..., n\}$  are there that are monotone; that is, for i < j we have  $f(i) \le f(j)$ ?

Problem5可以想像成求非负整数解个数的那个问题。

## 容斥原理

**Problem 4.** Count the permutations with exactly k fixed points. (Remark:  $\pi$  is a permutation of the set  $\{1,2,\ldots,n\}$ . Call an index i with  $\pi(i)=i$  a fixed point of the permutation  $\pi$ .)

*Solution*. First choose the points that are fixed. It will have  $\binom{n}{k}$  possible choices. The rest is counting the number of permutation without a fixed point, which is D(n-k).

In all, the answer is  $\binom{n}{k} \cdot D(n-k)$ .

**Problem 6.** How many ways are there to seat n married couples at a round table with 2n chairs in such a way that the couples never sit next to each other?

Solution.(hint)

 $A_i = \{\text{the ways of seating in which the } i\text{th couple is adjacent.}\}$ 

- $|A_i| = (2n-1)! \cdot 2^1/(2n-1);$
- $|A_i \cap A_j| = (2n-2)! \cdot 2^2/(2n-2)$  for  $i \neq j$ ;
- · · · ;
- $|A_{i1} \cap \cdots \cap A_{ik}| = (2n-k)! \cdot 2^k/(2n-k)$  for different  $A_{ij}$ s.

The final result should be  $(2n)!/(2n) - |A_1 \cup A_2 \cup \cdots \cup A_k|$ . Then by PIE....

### **Generating Function**

**Problem 3.** Let  $a_n$  be the number of ordered triples  $\langle i, j, k \rangle$  of integer numbers such that  $i \geq 0$ ,  $j \geq 1$ ,  $k \geq 1$ , and i + 3j + 3k = n. Find the generating function of the sequence  $(a_0, a_1, a_2, ...)$  and calculate a formula for  $a_n$ .

Solution.

$$(1 + x + x^{2} + x^{3} + \cdots)(x^{3} + x^{6} + x^{9} + \cdots)(x^{3} + x^{6} + x^{9} + \cdots)$$

$$= \frac{1}{1-x} \frac{x^{3}}{1-x^{3}} \frac{x^{3}}{1-x^{3}}$$

$$= \frac{x^{6}(1+x+x^{2})}{(1-x^{3})^{3}} = x^{6}(1+x+x^{2})(1-x^{3})^{-3}.$$

Then use the generalized binomial theorem.

#### Recurrence Function

**Problem 1.** Prove that any natural number  $n \in \mathbb{N}$  can be written as a sum of mutually distinct Fibonacci numbers.

## 函数的渐进式比较

- **Problem 2.** 1. Find two functions f(x) and g(x) such that  $f(x) \neq O(g(x))$  and  $g(x) \neq O(f(x))$ .
  - 2. Furthermore, we say a function  $h : \mathbb{R} \to \mathbb{R}$  is monotonically increasing if it satisfies the property ' $x \le y \Rightarrow h(x) \le h(y)$ '. Find two monotonically increasing functions f(x) and g(x) such that  $f(x) \ne O(g(x))$  and  $g(x) \ne O(f(x))$ .

(Please give the detailed proof that your functions satisfy the requirements.)

Solution.

1. 
$$\begin{cases} f(x) = \sin(x); \\ g(x) = \cos(x). \end{cases}$$

2. 
$$\begin{cases} f(x) = x^{\sin(x)+x}; \\ g(x) = x^{\cos(x)+x}. \end{cases}$$

#### Problem 6.

- a) Show that the product of all primes p with  $m is at most <math>\binom{2m}{m}$ .
- b) Using a), prove the estimate  $\pi(x) = O(\frac{x}{\ln x})$ , where  $\pi(x)$  denote the number of primes not exceeding the number x.

这一题很难想啊。第一小问的答案就不放了,看到了自己想想,第二小问的解答:

First proof: Combing a), w.l.o.g. assume n is even and n = 2m. It is obvious that

$$B \le \sum_{i=0}^{2m} \binom{2m}{i} = 4^m$$

With a) we have  $\prod_{m (p is prime, as above). It follows that$ 

$$\sum_{m$$

Then count the number of primes between m and 2m, i.e. the number of  $p \in (m, 2m]$ ,

$$\pi(2m) - \pi(m) = \sum_{m$$

For any given x, there exists  $k \ge 1$  such that  $x \in (2^{k-1}, 2^k]$ . Finally with the above analysis

$$\pi(x) \le \pi(2^k) = \sum_{i=1}^k \left( \pi(2^i) - \pi(2^{i-1}) \right) = O\left(\sum_{i=1}^k \frac{2^j}{j}\right) = O\left(\frac{2^k}{k}\right) = O\left(\frac{x}{\ln x}\right).$$

#### 图同构

**Problem 3.** How many graphs on the vertex set  $\{1, 2, ..., 2n\}$  are isomorphic to the graph consisting of n vertex-disjoint edges (i.e. with edge set  $\{\{1,2\},\{3,4\},...,\{2n-1,2n\}\}$ ?

Solution. 
$$\frac{(2n\cdot(2n-1))((2n-2)\cdot(2n-3))\cdots(2\cdot1)}{2^n\cdot n!} = (2n-1)(2n-3)\cdots 5\cdot 3.$$

#### 思路:

- 先2n选2, 再2n-2选2, 再...
- 最后要除以n!, 因为之前的选法其实做了一个组合。

**Problem 6.** Given a sequence  $(d_1, d_2, \ldots, d_n)$  of positive integers (where  $n \ge 1$ ):

- (i) There exists a tree with score  $(d_1, d_2, \ldots, d_n)$ .
- (ii)  $\sum_{i=1}^{n} d_i = 2n 2$ .

Prove that (i) and (ii) are equivalent.

1到2很简单,2到1需要用一下归纳法。注意: 归纳法从n+1去掉一个叶节点构造出n的树,而不是从n加一个点变成n+1的树。后者没有证明到generality。

具体过程如下。

Solution.

- 1.  $(i) \Rightarrow (ii)$  is obvious.
- 2. To prove  $(ii) \Rightarrow (i)$ :

By induction on the number n.

For n=1,2 the implication holds trivially, so let n>2. Suppose the implication holds for any n-1 long positive sequence  $(d_1,d_2,\ldots,d_{n-1})$  with  $\sum_{i=1}^{n-1} d_i = 2(n-1)-2$ .

For the induction step, consider an length n positive sequence  $\ell = (d_1, d_2, \dots, d_n)$  with  $\sum_{i=1}^{n} d_i = 2n - 2$ :

Since the sum of the  $d_i$  is smaller than 2n, there exists an i with  $d_i = 1$ . w.l.o.g. we assume  $d_1 = 1$ . With a similar argument we can also conclude that there must exist some index j such that  $d_j \ge 2$ . We take  $k = \min\{j \mid d_j \ge 2\}$ .

Now the sequence  $\ell = (d_1, d_2, \dots, d_k, \dots, d_n) = (1, d_2, \dots, d_k - 1 + 1, \dots, d_n)$ , we can derive a new sequence  $\ell' = (d_2, \dots, d_k - 1, \dots, d_n)$ . Obviously  $\ell'$  is a n-1 length sequence (all positive) with the summation to be 2n-2-1-1=2(n-1)-2. Then according to the induction hypothesis, there exists a tree  $\mathcal{T}'$  which corresponds to  $\ell'$ .

Then  $\mathcal{T} = (V(\mathcal{T}') \cup \{v_1\}, E(\mathcal{T}') \cup \{v_1, v_k\})$  is the tree which witnesses the validity of the sequence  $\ell$ .

### 树的同构

TODO: 再把这一题看一下

**Problem 7.** Let  $N_k$  denote the number of spanning trees of  $K_n$  in which the vertex n has degree k, k = 1, 2, ..., n - 1 (recall that we assume  $V(K_n) = \{1, 2, ..., n\}$ ).

- *i)* Prove that  $(n-1-k)N_k = k(n-1)N_{k+1}$ .
- ii) Using i), derive  $N_k = \binom{n-2}{k-1}(n-1)^{n-1-k}$ .
- iii) Prove Cayley's formula from ii).

#### Solution.

- i) Both sides of the equality count the number of pairs spanning trees  $(T, T^*)$ , where  $deg_T(n) = k$ ,  $deg_{T^*}(n) = k + 1$ , and  $T^*$  arises from T by the following operation: pick an edge  $\{i, j\} \in E(T)$  with  $i \neq n \neq j$ , delete it, and add either the edge  $\{i, n\}$  or the edge  $\{j, n\}$ , depending on which of these edges connects the two components of  $T \{i, j\}$ .
  - From one T we can get n-1-k different  $T^*$ : the number of different edges in T which are not connected to n at the beginning;
  - And one  $T^*$  can be obtained from k(n-1) different T: pick any vertex  $v \in \{1, 2, ..., n-1\}$ . If one deletes all edges incident to n in a spanning tree from  $N_{k+1}$ , neighbours of n (denoted by  $\ell_1, \ell_2, ..., \ell_{k+1}$ ) will lie in exactly k+1 different components. Suppose v lies in the last component, namely  $C_{k+1}$ . Add an edge between v and some ith leaf  $\ell_i$  ( $i \in \{1, 2, ..., k\}$ ) of n and remove the original edge  $(n, \ell_i)$  simultaneously, one will get a different T. In all, there are n-1 ways to pick v and v ways to pick v and v

### 概率论

**Problem 4.** We have 27 fair coins and one <u>counterfeit coin</u> (28 coins in all), which looks like a fair coin but is a bit heavier. Show that one needs at least 4 weighings to determine the counterfeit coin. We have no calibrated weights, and in one weighing we can only find out which of two groups of some k coins each is heavier, assuming that if both groups consist of fair coins only the result is an equilibrium.

Solution. Each weighting has 3 possible outcomes, and hence 3 weightings can only distinguish one among 3<sup>3</sup> possibilities.

## 概率方法

- **Problem 5.** 1. Prove that, for every integer n, there exists a coloring of the edges of the complete graph  $K_n$  by two colors so that the total number of monochromatic copies of  $K_4$  is at most  $\binom{n}{4}2^{-5}$ .
  - 2. Give a randomized algorithm for finding a coloring with at most  $\binom{n}{4}2^{-5}$  monochromatic (i.e. single-color) copies of  $K_4$  that runs in expected time polynomial in n.

#### 思路:

- 第一问用概率方法做
- 第二问类似于las vegas 算法。
- 第二问的解答:
  - 2. Color each edge independently and uniformly. Let  $p = Pr(X \le \binom{n}{4}2^{-5})$  where X is the number of chromatic  $K_4$ .

$${\binom{n}{4}}2^{-5} = \mathbf{E}(X)$$

$$= \sum_{i \le \binom{n}{4}}2^{-5} i \cdot Pr(X=i) + \sum_{i > \binom{n}{4}}2^{-5} i \cdot Pr(X=i)$$

$$\geq p + (1-p)\left(\binom{n}{4}2^{-5} + 1\right)$$

which implies  $p \ge \frac{32}{\binom{n}{4}}$ . The expected number of sampling before finding a suitable coloring is  $1/p = \frac{\binom{n}{4}}{32}$ . For each sampling, the time needs to count the number of chromatic  $K_4$  is bounded by  $\binom{n}{4}$  which is also polynomial. Thus the expected running time of this algorithm is polynomial.

**Problem 6.** Use the Lovasz local lemma to show that if

$$4\binom{k}{2}\binom{n}{k-2}2^{1-\binom{k}{2}} \le 1$$

then it is possible to color the edges of  $K_n$  with two colors so that it has no monochromatic (i.e. single color)  $K_k$  subgraph.

Solution.  $E_i$ : the i-th  $K_k$  is monochromatic.  $Pr(E_i) = 2^{1-\binom{k}{2}}$ . Consider the dependency graph, for any different  $E_i$  and  $E_j$ , they are adjacent if the corresponding  $K_k$  share at least one edge. Thus the degree of the dependency graph is bounded by  $\binom{k}{2}\binom{n}{k-2}$ .

According to the Lovasz local lemma, it is possible that none of the  $E_i$  happens under the given inequality.

这一题套一下lovasz local lemma就行,关键在于怎么设计p和d。

#### 随机图

**Problem 7.** What is the expected number of trees with k vertices in  $G \in \mathcal{G}(n, p)$ ?

Solution. By Cayley's formula and the linearity of expectation, it is  $\binom{n}{k} k^{k-2} p^{k-1}$ 

**Problem 8.** Show that if almost all  $G \in \mathcal{G}(n, p)$  have a graph property  $\mathcal{P}_1$  and almost all  $G \in \mathcal{G}(n, p)$  have a graph property  $\mathcal{P}_2$ , then almost all  $G \in \mathcal{G}(n, p)$  have both properties.

**Problem 1.** Show that, for constant  $p \in (0,1)$ , almost no graph in  $\mathcal{G}(n,p)$  has a separating complete subgraph.

[Hint]

- 1. Recall the property  $P_{i,j}$  from the slides
- 2. You may need to recall the definitions:

**Problem 2.** Consider G(n, p) with  $p = \frac{1}{3n}$ .

Use the second moment method to show that with high probability there exists a simple path of length 10.

这一题求期望的时候要分类讨论一下。