CS241 SP15 Exam 5: Solution Key

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A VERSION OF THESE QUESTIONS MAY APPEAR IN A FUTURE QUIZ

- 1. (1 point.) Riddle me this! Which one of the following is the odd-one out?
- (A) "There exists a set of process P1,P2,... such that there is a process dependency cycle in the Resource Allocation Graph"
- (B) "Once a process acquires a resource, another process cannot force the original process to release it"
- (C) "The resources can not be shareable between processes at the same time"
- (D) "Once a process acquires a resource, it will retain the resource and wait for the next resource"
- (E) "A process is able to execute but never able to acquire all necessary resources to make progress"

Correct answer: E.

Your answer: E.

1 out of 1 point received

Solution. The odd one out is (Livelock definition) because it is not a Coffman condition for deadlock.

- 2. (1 point.) Which one of the following is true for the Reader Writer Problem?
- (A) Reader must must block if the queue is empty
- (B) A writer must block if the queue is full
- (C) Readers cannot be in the critical section at the same time
- (D) Only one reader and one writer can be in the critical section at the same time
- (E) If there is an active reader the number of active writers must be zero

Correct answer: E.

Your answer: E.

- $3.\ (1\ \mathrm{point.})$ Identify the following definition "The resources can not be shareable between processes at the same time"
- (A) No Pre-emption
- (B) Mutual Exclusion
- (C) Circular Wait
- (D) Hold and Wait
- (E) Livelock

Correct answer: B.

Your answer: B.

4. (1 point.) There are 8 threads and two global arrays: An array of 8 initialized mutex locks, and and array of 8 data values. Each thread concurrently executes the following code. Each thread receives a different starting value of i (valued 0 to 7 inclusive). Which response best describes the following code?

```
int running = 1;
pthread_t threads[8];
double data[8];
pthread_mutex_t mutexlocks[8];
// initialization code not shown
void* threadfunc(void*param){
  int i = (int) param;
  int j = (i+1) & 7;
  while(running) {
    if( i != j ) {
      pthread_mutex_lock( &mutexlocks[i] );
      pthread_mutex_lock( &mutexlocks[j] );
      swap( data, i , j ); // swaps values[i] & values[j]
      pthread_mutex_unlock( &mutexlocks[i] );
      pthread_mutex_unlock( &mutexlocks[j] );
      usleep(1 + rand() & 3); // sleep for a few microseconds
    }
}
```

- (A) Livelock and starvation are possible.
- (B) Circular wait is impossible but all 8 threads can still deadlock
- (C) Circular wait is possible and deadlock is possible once all 8 threads are running.
- (D) Circular wait is possible and it is possible for just 2 threads to deadlock
- (E) Deadlock is impossible because hold-and-wait is not satisfied

Correct answer: C.
Your answer: C.
1 out of 1 point received

- 5. (1 point.) Identify the following definition "There exists a set of process P1,P2,... such that there is a process dependency cycle in the Resource Allocation Graph"
- (A) No Pre-emption
- (B) Mutual Exclusion
- (C) Hold and Wait
- (D) Circular Wait
- (E) Livelock

Correct answer: D. Your answer: D.

6. (1 point.) Which response best describes the following scenario? Alice and Bob race to the toy shed to pick up a bat and ball. If Alice has already picked up the ball, Bob will let go of the bat so that Alice can use it.

- (A) No circular wait
- (B) Example of deadlock
- (C) No hold and wait
- (D) No mutual exclusion
- (E) No pre-emption

Correct answer: E. Your answer: E.

1 out of 1 point received

Solution. Spring 2015 This question was ambiguous and two responses are valid depending on interpretation of Bob's actions: If Alice can force Bob to drop the bat then the correct response would be No pre-emption. If Bob voluntarily drops the bat then the correct answer is No hold and wait. For Spring 2015, this question was removed from grading (any response was awarded a point)

7. (1 point.) Identify the following definition "Once a process acquires a resource, it will retain the resource and wait for the next resource"

- (A) Mutual Exclusion
- (B) Circular Wait
- (C) Hold and Wait
- (D) Livelock
- (E) No Pre-emption

Correct answer: C.

Your answer: C.

 ${f 1}$ out of ${f 1}$ point received

8. (1 point.) The following code is an attempt to implement the READER-WRITER solution by wrapping an existing map (that has no synchronization support e.g. no mutex locks). Read the code very carefully. Which response best describes this implementation? Function names have been shortened for clarity (e.g. pthread_mutex_lock is written as lock). You may assume variables are correctly initialized where appropriate.

```
map_t* map; // assume points to a valid associative map implementation
// cv = condition variable, m = mutex
double read(int key) {
  lock(&m);
  readers++;
  while(writers>0) cond_wait(&cv, &m);
  unlock(&m);
  double r = get(map, key);
  lock(&m);
  readers--;
  cond_broadcast(&cv);
  unlock(&m);
  return r;
}
double write(int key, double score) {
  lock(&m);
  while(readers>0 || writers) cond_wait(&cv, &m);
  writers++;
  unlock(&m);
 put(map, key, score);
  lock(&m);
  writers--;
  cond_broadcast(&cv);
  unlock(&m);
}
```

- (A) Satisfies many readers, exclusive single writer requirements but a writer may suffer starvation
- (B) Suffers from deadlock if both reader and writer are called at the same time
- (C) Multiple writers may call put at the same time
- (D) A reader may call get at the same time as a writer calls put
- (E) None of the other responses are correct

Correct answer: A.

Your answer: A.

1 out of 1 point received

9. (1 point.) What are the correct initial values for the three counting semaphores sA,sB,SC so that the following are thread-safe multithreaded stack push and pop functions? Assume sem_post is never interrupted by a signal and N = maximum capacity of array.

```
void push(double value) {
  sem_wait(&sA);
  sem_wait(&sC);
  stack[ count++ ] = value;
  sem_post(&sC);
  sem_post(&sB);
}

double pop() {
  sem_wait(&sB);
  sem_wait(&sC)
  double result = stack[ --count ];
  sem_post(&sC);
  sem_post(&sA);
  return result;
}
```

- (A) sA(N), sB(0) sC(1)
- (B) sA(N), sB(0) sC(0)
- (C) sA(1), sB(N) sC(N)
- (D) sA(0), sB(N) sC(0)
- (E) sA(0), sB(N) sC(1)

Correct answer: A.

Your answer: A.

1 out of 1 point received

Solution. sA represents the number of free slots, sB represents the number of items, sC is being used to ensure mutual exclusion Spring 2015. The response options for another variant of this question were broken, so this question is removed from scoring (all five responses are scored as correct). The correct answer answer is sA(N), sB(0) sC(1)

10. (1 point.) Which response best describes the following code? You may assume that funcA locks the mutex before the second thread.

```
int x=0;
pthread_cond_t cv1 = PTHREAD_COND_INITIALIZER;
pthread_cond_t cv2 = PTHREAD_COND_INITIALIZER;
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
void* funcA(void* p) {
  pthread_mutex_lock(&m);
  while(iteration < 20) {
      while(0 == x) {
          pthread_cond_signal(&cv2);
          pthread_cond_wait(&cv1, &m);
      }
      x --;
      printf("%d\n",x):
      iteration ++;
   }
}
void* funcB(void* p) {
  sleep(1); // assume funcB looses the race to first lock the mutex
  pthread_mutex_lock(&m);
  while(iteration < 20) {
      while(4==x) {
          pthread_cond_signal(&cv1);
          pthread_cond_wait(&cv2, &m);
      }
      x ++;
      printf("%d\n",x):
      iteration ++;
   }
}
int main() {
 pthread_t tid1,tid2;
 pthread_create(&tid1, NULL, funcA, NULL);
 pthread_create(&tid2, NULL, funcB, NULL);
 pthread_join(tid1,NULL);
 pthread_join(tid2,NULL);
 return 1;
}
 (A) Deadlocks and does not print anything
 (B) Prints the following 20 values (one per line) 1 2 3 4 3 2 1 0 1 2 3 4 3 2 1 0 1 2 3 4
 (C) None of the other responses are correct
 (D) Prints the following 4 values (one per line) 1 2 3 4 and then stops
 (E) Prints the following 20 increasing values (one per line) 1 2 3 4 5 6 7 8 9 10 ...
```

Correct answer: B.

Your answer: B.

11. (1 point.) Two threads attempt to lock two mutex locks (see pseudo code below) before entering a critical section and become deadlocked. Which response is NOT true? You can assume all locks are released after the critical section.

IHKEAD I:

```
pthread_mutex_lock(m1) // success
pthread_mutex_lock(m2) // blocks
// critical section ...
pthread_mutex_unlock(m2)
pthread_mutex_unlock(m1)

THREAD 2:
pthread_mutex_lock(m2) // success
pthread_mutex_lock(m1) //blocks
// critical section ...
pthread_mutex_unlock(m2)
pthread_mutex_unlock(m1)
```

- (A) The given sequence appears as a circular dependency in the Resource Allocation Graph
- (B) Deadlock can still occur even if you swap the order of the two unlock calls (unlock m1 before m2) in thread 2 code
- (C) If a third thread locks m1 or m2 it will also be deadlocked
- (D) Deadlock can still occur even if you swap the order of the two lock calls (lock m1 before m2) in thread 2 code
- (E) If the first thread had successfully locked both m1, m2 the given code would not deadlock

Correct answer: D.
Your answer: D.

12. (1 point.) This question assumes knowledge of the work4hire dining philosophers problem previously studied in your discussion section. Which response best describes the following proposed solution to the dining philosophers problem?

```
void* work4hire(void*param) {
    CompanyData *company = (CompanyData*)p;
    pthread_mutex_t * a = company->prog_left;
    pthread_mutex_t * b = company->prog_right;

while(running) {
    // Use pointer value to determine lock ordering
    if( a < b) pthread_mutex_lock( a );
    pthread_mutex_lock( b );
    if( b < a) pthread_mutex_lock( a );

    usleep( 1+ rand() % 3); // do work!
    pthread_mutex_unlock( a );
    pthread_mutex_unlock( b );
}
return NULL;
}</pre>
```

- (A) Each thread acquires two mutex locks so deadlock is impossible
- (B) Locks are acquired in the same order so circular wait is impossible
- (C) There is no pre-emption so deadlock is impossible.
- (D) The solution suffers from livelock once all 5 threads are running.
- (E) Each thread acquires two mutex locks so deadlock is possible

Correct answer: B.

Your answer: A.

13. (1 point.) Read the following code very carefully. It represents a multithreaded producer consumer implementation attempt with a queue size of 1. Which response best describes this implementation?

```
double saved;
// Assume counting semaphores {\tt sA} and {\tt sB} are initialized with counts 1 and 1 respectively
void insert(double value) {
   sem_wait(sA);
   saved = value;
   sem_post(sB);
}
double remove() {
   sem_wait(sB);
   double result = saved;
   sem_post(sA);
   return result;
}
```

- (A) The queue will suffer from deadlock if remove is called twice before another thread calls insert
- (B) The queue will suffer from deadlock if insert is called twice before another thread calls remove
- (C) The queue will suffer from livelock if insert and remove are called at the same time
- (D) The queue will suffer from deadlock if insert and remove are called at the same time
- (E) It is possible to remove a value before insert is called

Correct answer: E.

Your answer: C.

14. (1 point.) Review the multi-threaded code below for synchronization errors. The two methods are used to increase or decrease money. The withdraw method should block until there are sufficient funds in the account (money>= amount), Note PTHREAD_COND_INITIALIZER is equivalent to pthread_cond_init, and the argument amount will always contain a positive value.

```
int money = 100; /* Must be positive */
   pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
03
    pthread_cond_t cv = PTHREAD_COND_INITIALIZER;
04
    void deposit(int amount) { /* add money */
05
     pthread_mutex_lock(&m);
06
     money = money + amount;
07
80
     pthread_mutex_unlock(&m);
09
  }
10 // withdraw should block;
11 // it will return once there is sufficient money in the account
   void withdraw(int amount) { /* reduce money */
13
      if(money < amount) pthread_cond_wait(&cv,m);</pre>
14
      else pthread_cond_signal(&cv);
      money = money - amount;
15
16
   }
```

Which one of the following is a true statement about the synchronization used in above functions?

- (A) None of the ofter responses are correct
- (B) The withdraw method contains no synchronization errors
- (C) pthread_cond_signal should be wrapped inside a while loop
- (D) The withdraw method must call pthread_mutex_lock the mutex after pthread_cond_wait returns
- (E) The deposit method needs to call pthread_cond_wait

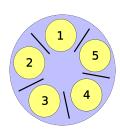
Correct answer: A.
Your answer: A.

1 out of 1 point received

Solution. The above code is horribly broken. The deposit call should call broadcast after incrementing money, but before unlocking the mutex The withdraw function should lock the mutex for the whole function and use while(money<amount) not if and the signal call is unnecessary.

15. (1 point.)

Five philosophers are invited to sit at a circular table. Each philosopher's brain has only two states: Either thinking or hungry! Around the table I place five chopsticks (one chopstick between each philosopher). A philosopher can eat once they are exclusively holding the two chopsticks that are nearest to them. If a philosopher is unable to pick up the other chopstick after 60 seconds, then they will put their first chopstick down and think for 60 seconds before trying again. Unfortunately being too smart they all use the timers on their smart-watches and all attempt to pick up one chopstick on their left at the same time, then wait for another chopstick, give up, release their first chopstick, and try again, and again and again for ever... This scenarios is best described as



- (A) Starvation due to livelock
- (B) Starvation due to deadlock
- (C) Deadlock due to pre-emption
- (D) A solution to the Dining Philosophers problem
- (E) Deadlock due to hold and wait

Correct answer: A. Your answer: A.

16. (1 point.) In this example, which Coffman condition is NOT satisfied? Before leaving the bus depot, the bus driver requires two resources: a route and a bus. Once all routes are assigned, a driver may drive a bus on a route that already has one bus assigned.

- (A) Mutual Exclusion
- (B) None of the other responses are correct
- (C) Circular Wait
- (D) No Pre-emption
- (E) Hold and Wait

Correct answer: A. Your answer: A.

17. (1 point.) Which response best describes the following scenario? Alice and Bob race to the toy shed to pick up a bat and ball. Both Alice and Bob will only pick up the bat if they can also pick up the ball at the same time.

- (A) No mutual exclusion
- (B) No pre-emption
- (C) No circular wait
- (D) Example of livelock
- (E) No hold and wait

Correct answer: E.

Your answer: E.

18. (1 point.) Which response best describes the additional code required to implement the following barrier? Every 2^{nd} thread to call barrier unblocks the previous thread and both threads continue. Assume the mutex lock (m) is correctly initialized and the counting semaphore (sem) is initialized with a count of 0.

```
int count;

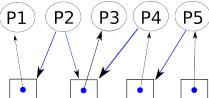
void barrier() {
    pthread_mutex_lock(m);
    int result = (++count) & 1; // 1,0,1,0,1...
    ?? Missing code ??
}

(A) pthread_mutex_unlock(m); if( result ) sem_wait(sem); else sem_post(sem);
(B) sem_wait(sem); pthread_mutex_unlock(m); if( result ) { sem_post(sem); }
(C) if( result ) sem_post(sem); else sem_wait(sem); pthread_mutex_unlock(m);
(D) if( result ) sem_wait(sem); else sem_post(sem); pthread_mutex_unlock(m);
(E) None of the other responses are correct.

Correct answer: A.
Your answer: C.
O out of 1 point received
```

Solution. Semaphores are not condition variables; sem_wait/sem_past does not unlock the mutex. If you unlock the mutex after sem_wait then deadlock will occur. For example The first thread has the mutex and is blocked inside sem_wait. The second thread (that should call sem_post) is unable to proceed because it cannot acquire the mutex.

19. (1 point.) Use the Resource Allocation Graph below to determine deadlock in the following system. You may assume a process is able to finish if it is not waiting for any resources and that it releases all resources upon completion.



- (A) 2 processes are or will be deadlocked
- (B) 4 processes are or will be deadlocked
- (C) No process is or will be deadlocked
- (D) 3 processes are or will be deadlocked
- (E) 5 processes are or will be deadlocked

Correct answer: C. Your answer: C.

20. (1 point.) Which response best describes the following implementation attempt at a barrier? The barrier is required to block until the 10th thread has called barrier.

```
pthread_mutex_t m = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t cv = PTHREAD_COND_INITIALIZER;
int count;

void barrier() {
   pthread_mutex_lock(&m);
   while(count < 10) pthread_cond_wait(&cv, &m);
   count ++;
   if(count ==10) pthread_cond_broadcast(&cv);
   pthread_mutex_unlock(&m);
}</pre>
```

- (A) All threads will be blocked indefinitely
- (B) The implementation is correct and uses condition variables efficiently
- (C) The implementation is correct but inefficient
- (D) Threads will continue when the 9th thread calls barrier.
- (E) The first thread will continue once another thread calls barrier

Correct answer: A. Your answer: A.

21. (1 point.) Identify the following definition "A process is not deadlocked but is never able to acquire all necessary resources to make progress"

- (A) Mutual Exclusion
- (B) Hold and Wait
- (C) Livelock
- (D) Circular Wait
- (E) No Pre-emption

Correct answer: C.

Your answer: C.

 ${f 1}$ out of ${f 1}$ point received

22. (1 point.) Which response best describes the following scenario? Alice owns markers and is willing to share them while she is drawing. Bob owns paper and is willing to share to share it while he is drawing. Chris owns pencils and paper. Alice and Bob both want to draw with markers on the paper at the same time.

- (A) No pre-emption
- (B) No mutual exclusion
- (C) No circular wait
- (D) Example of livelock
- (E) No hold and wait

Correct answer: B. Your answer: B.

23. (1 point.) This question assumes knowledge of the work4hire dining philosophers problem previously studied in your discussion section. Which response best describes the following proposed solution to the dining philosophers problem? Assume all mutex locks are correctly initialized.

```
void* work4hire(void*param) {
        CompanyData *company = (CompanyData*)p;
        pthread_mutex_t * a = company->prog_left;
       pthread_mutex_t * b = company->prog_right;
       while(running) {
        pthread_mutex_lock( a );
        while( 1 ) {
             int lock2 = pthread_mutex_trylock( b ); // nonzero if failed
             if( 0 == lock2) { // Success - we can do some work
               usleep( 1+ rand() % 3); // do work!
               pthread_mutex_unlock( b );
             } else {
               usleep(1); // Wait a bit and try again
         }
        pthread_mutex_unlock( a );
       }
       return NULL;
  }
```

- (A) Locks are acquired in the same order so circular wait is impossible
- (B) No deadlock but the solution suffers from livelock (i.e. starvation is still possible).
- (C) Each thread acquires two mutex locks so deadlock is impossible
- (D) There is no pre-emption so deadlock is impossible.
- (E) Each thread acquires two mutex locks so deadlock is possible

Correct answer: B.

Your answer: B.

24. (1 point.) Identify the following definition "Once a process acquires a resource, another process cannot force the original process to release it"

- (A) No Pre-emption
- (B) Circular Wait
- (C) Mutual Exclusion
- (D) Livelock
- (E) Hold and Wait

Correct answer: A. Your answer: A.

 ${f 1}$ out of ${f 1}$ point received

Summary of answers:

Question	Correct Answer	Your Answer	Points
1	E	E	1
2	E	E	1
3	В	В	1
4	С	C	1
5	D	D	1
6	E	E	1
7	С	C	1
8	A	A	1
9	A	A	1
10	В	В	1
11	D	D	1
12	В	A	0
13	E	C	0
14	A	A	1
15	A	A	1
16	A	A	1
17	E	${f E}$	1
18	A	\mathbf{C}	0
19	С	C	1
20	A	A	1
21	С	С	1
22	В	В	1
23	В	В	1
24	A	A	1
Total			21