

3.

(b)

Max Cut Algorithm	
<pre> Def MaxCut(G(V,E)):   V1 is a subset of V   V2 = V – V1   change recorder = False   while change recorder == False:     change recorder = True      # recorder reset     Go through all nodes in V1 and check if I put it into the other     side will the number of cross edges increase?     If cross edge number increases:       Put the node into V2       Change recorder = False     Go through all nodes in V2 and check if I put it into the other     side will the number of cross edges increase?     If cross edge number increases:       Put the node into V1       Change recorder = False   end while return V1 </pre>	<p><math>O(n)</math></p> <p><math>O(n)</math></p>

Time complexity:

In this algorithm, I set up sets  $V1$  and  $V2$  and check if I put a node from this part to the other part, will it increase the number of cross edges? And this while loop will terminate when all nodes stay in the same place (number of cross edges will no longer increase even I switch every node to the other side). And this process will lead to  $O(n^n)$  time complexity.

Correctness:

In the beginning, I will randomly set up sets  $V1$  and  $V2$  belong to  $V$  and  $V-V1=V2$ . And then make it check if there is any  $u$  belongs to  $V1$  when change to  $V2$  that will increase the number of cross edges then I will move the node  $u$  to the other side. In this procedure, the number of cross edges will increase at least by 1 and not 0. By doing this procedure every time, even though I will spend a lot of time search and compare the edge numbers from each node, in the end of the procedure, I will still reach to value of max number of cross edges. Thus I can return the resulting set either  $V1$  or  $V2$  as the answer.

5.

[illegible]

Runtime Analysis:

$$O(E+V)+O(E+V)+O(1)+O(1)*(O(V+E)+O(E)+O(1)) = O(V+E)$$

Correctness:

From this Algorithm, in the beginning, I will check if all vertices have even degree, in this situation, there must be a solution for euler path in it. And I will pick one of them to be the starter.

And if not, I will also check if there are exactly two vertices have two odd number of edges, in this sense, I can still pick either one to be the start and in the end, the other one would be the end.

If all of them doesn't meet, then this graph contains no euler path.

After I checked that the graph within a solution, I start to use the starter to look around his neighbors, if there are any neighbors around it, I will add the current vertex to the stack and take any of its neighbors and remove the edge between selected neighbor and that vertex and set the neighbor as the current vertex. And if current vertex has no neighbors I will remove the last vertex from the stack and set it as current vertex and add a Euler path edge between the current vertex and previous one. By doing so till the current vertex has no more neighbors and the stack is empty and then return the Euler Path edges (it's a reversed edge).

(b)

K partition problem Algorithm	Runtime
Input S is a set of integers n is number of items in S k is the target value of partition Answer = KPP(S,n,k)	
Def KPP(S, n, k): if n<k: return None	O(1)
SOS = sum of S from S[1] to S[n]	O(n)
sumLeft = [] For i from 0 to k: sumLeft[i] = SOS/k	O(k)
bool res = !(SOS % k) && subsetSum(S,n-1,sumLeft,A,k)	T(n-1)
if (!res): print("kPP isn't possible") return	O(1)
for i from 0 to k: print("Partition", i , " is" ) for j from 0 to n: if A[j] == i + 1 print(" this is ",S[j])	O(k)
	O(n)
	O(1)
Def subsetSum(S, n, sumLeft, A, k): If checkSum(sumLeft,k): Return True	O(k)
If n < 0 : Return False	O(1)
bool res = False for i from 0 to k: if (!res && (sumLeft[i] - S[n]) >=0 ):	O(k)
A[n] = i + 1	O(1)
sumLeft[i] = sumLeft[i] - S[n]	O(1)
res = subsetSum(S,n-1,sumLeft,A,k)	T(n-1)
sumLeft[i] = sumLeft[i] + S[n]	O(1)
return res	

<pre> Def checksum(sumLeft, k):     r = True     for i from 0 to k :         if sumLeft[i] != 0             r = false     return r </pre>	<pre> O(1) O(k) O(1) </pre>
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Runtime analysis:

For subsetSum it's  $T(n) = T(n-1)$  and  $O(k) \Rightarrow O(k \cdot n^2)$

For total KPP it's  $O(k) + O(n) + O(k \cdot n^2) + O(k) \cdot O(n) = O(k \cdot n^2)$

Correctness:

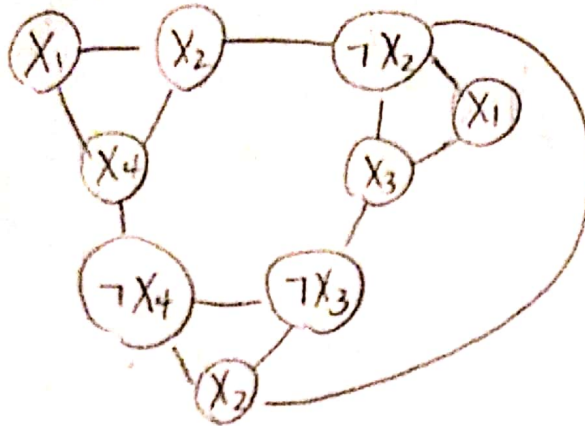
I start by calculating the sum of all elements in the set. If sum is not divisible by  $k$ , we cannot divide the array into  $k$  subsets with equal sum. However, if sum is divisible by  $k$ , we still need to check if  $k$  subsets with sum of elements equal to  $(\text{sum}/k)$  exists or not. We can find this by considering each item in the given array one by one and for each item we include it in the  $i$ 'th subset and recurse for remaining items with remaining sum. We backtrack if solution is not found by including current item in  $i$ 'th subset and try for  $(i+1)$ th subset.

In the end, we will return true and print the subsets when  $k$  subsets each with zero sum are found (termination step). For printing the partitions, I maintain anseperate array  $A[]$  to keep track of subsets elements. If the value of  $A[i]$  is  $k$ , then it means that  $i$ 'th item of  $S$  is part of  $k$ 'th subset.

CSE 417

$$I_n (x_1 \vee x_2 \vee x_4) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (x_2 \vee \neg x_3 \vee \neg x_4)$$

(a)

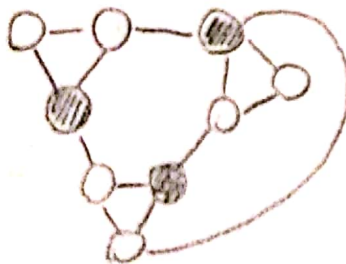


integer  $k = 3$

(b)

$$x_1 = x_2 = x_3 = \text{False}, x_4 = \text{True}$$

independent set



$$\rightarrow \boxed{\neg x_2, x_4, \neg x_3}$$

↓

corresponding assignments

$$\textcircled{1} [\neg x_2, x_4, \neg x_3, x_1]$$

$$\textcircled{2} [\neg x_2, x_4, \neg x_3, \neg x_1]$$

Yes, they are both satisfying assignments.

2.  $(X_1 \vee X_2 \vee X_4) \wedge (X_1 \vee \neg X_2 \vee X_3) \wedge (X_2 \vee \neg X_3 \vee \neg X_4)$

		Variables				Clauses		
		$X_1$	$X_2$	$X_3$	$\neg X_4$	$(X_1 \vee X_2 \vee X_4)$	$(X_1 \vee \neg X_2 \vee X_3)$	$(X_2 \vee \neg X_3 \vee \neg X_4)$
Literals	$W_1 (X_1)$	1	0	0	0	1	1	
	$W_2 (\neg X_1)$	1	0	0	0	0	0	
	$W_3 (X_2)$		1	0	0	1	0	1
	$W_4 (\neg X_2)$		1	0	0	0	1	0
	$W_5 (X_3)$			1	0		1	1
	$W_6 (\neg X_3)$			1	0		0	0
	$W_7 (X_4)$				1	1		0
	$W_8 (\neg X_4)$				1	0		1
slack	$W_9 (S_{11})$					1	0	0
	$W_{10} (S_{12})$					1	0	0
	$W_{11} (S_{21})$						1	0
	$W_{12} (S_{22})$						1	0
	$W_{13} (S_{31})$							1
	$W_{14} (S_{32})$							1
		1	1	1	1	3	3	3

$(X_2 = X_3 = \text{False})$   
 $(X_1 = X_4 = \text{True})$

corresponding subset

$[X_1, \neg X_2, \neg X_3]$

$[X_1, X_1, \neg X_3]$

$[X_4, \neg X_2, \neg X_3]$

$[X_4, X_1, \neg X_3]$

corresponding assignments are:

satisfying?

$$[X_1, \neg X_2, \neg X_3] \rightarrow \begin{pmatrix} [X_1, \neg X_2, \neg X_3, X_4] \\ [X_1, \neg X_2, \neg X_3, \neg X_4] \end{pmatrix}$$

✓  
✓

$$[X_1, X_2, \neg X_3] \rightarrow \begin{pmatrix} [X_1, \neg X_3, X_2, X_4] \\ [X_1, \neg X_3, \neg X_2, \neg X_4] \\ [X_1, \neg X_3, X_2, \neg X_4] \\ [X_1, \neg X_3, \neg X_2, X_4] \end{pmatrix}$$

✓  
✓  
✓  
✓

$$[X_4, X_2, X_3] \rightarrow \begin{pmatrix} [X_4, X_2, X_3, X_1] \\ [X_4, X_2, X_3, \neg X_1] \end{pmatrix}$$

✓  
✓

$$[X_4, X_1, \neg X_3] \rightarrow \begin{pmatrix} [X_4, X_1, \neg X_3, X_2] \\ [X_4, X_1, \neg X_3, \neg X_2] \end{pmatrix}$$

✓  
✓

Yes, they are all satisfying.



3. MaxCut problem: known:  $G=(V,E)$ ,  $k$ .

problem: Is there a partition of the vertices into two (nonempty, nonoverlapping) subsets  $V_1$  and  $V_2$  so that  $k$  or more edges have one end in  $V_1$  and the other end in  $V_2$ .

Input: An undirected graph  $G=(V,E)$  and integer  $k$

Output: A cut  $(V_1, V_2)$  where  $V_1 \subset V$ ,  $V_2 \subset V$ ,  $V_1 \neq \emptyset$ ,  $V_2 \neq \emptyset$ ,  $V_1 \cap V_2 = \emptyset$  so that  $|E(V_1, V_2)| \geq k$  where  $E(V_1, V_2) = \{(u,v) \mid u \in V_1, v \in V_2\}$

Complexity	procedure $m(X, h)$
$O(1)$	if $X$ is a well-formed representation of a graph $G=(V,E)$ and an integer $k$
$O(1)$	and $h$ is a well-formed representation of a cut $(V_1, V_2)$
$O(1)$	and $E(V_1, V_2) = \{(u,v) \mid u \in V_1, v \in V_2\}$ , $ E(V_1, V_2)  \geq k$
$O(n^2)$	and $V_1 \subset V$ , $V_2 \subset V$ , $V_1 \neq \emptyset$ , $V_2 \neq \emptyset$ , $V_1 \cap V_2 = \emptyset$ .
$O(n^2)$	and $V_1$ is a vertex set of $G$ , $V_2$ is a vertex set of $G$ .
$O(1)$	then output "Yes"
$O(1)$	else output "I'm not convinced"

3. (i) the certificate is the cut  $(V_1, V_2)$

(ii)  $2 \leq$  the length of the certificate (the cut  $(V_1, V_2)$ )  $\leq |V|$

(iii) By checking the set of edges  $E(V_1, V_2) = \{(u, v) \mid u \in V_1, v \in V_2\}$ ,

① check the number of  $|E(V_1, V_2)| \geq k$  to make sure there are  $k$  or more edges have one end in  $V_1$  and one end in  $V_2$ .

② check  $V_1 \subset V, V_2 \subset V, V_1 \neq \emptyset, V_2 \neq \emptyset, V_1 \cap V_2 = \emptyset, V_1 \cup V_2 = V$

to make sure the subsets  $V_1, V_2$  of  $V$  to be nonempty, nonoverlapping subsets of  $V$ .

By doing this, I can make sure this is a "Yes" instance of MaxCut.

• If the  $|E(V_1, V_2)| < k$  or  $V_1 \not\subset V$  or  $V_2 \not\subset V$  or  $V_1 = \emptyset$  or  $V_2 = \emptyset$  or  $V_1 \cap V_2 \neq \emptyset$  then it won't output "Yes".

If the "no" instance appears, at this time, if it meet the criteria to be "Yes" instance, then there shouldn't be a "no" instance,  $\Rightarrow \Leftarrow$ .

On the other hand, if it doesn't meet the criteria then it will be a "no" instance.

Thus, there is no way for a no instance to fool the verifier to say "Yes".

Q.E.D

3. (iv) MaxCut instances :  $\left\{ \begin{array}{l} k=q \\ G=(V, E) \end{array} \right.$   $V_1 \cap V_2 \subseteq V$   
 $E(V_1, V_2) = \{(u, v) \mid u \in V_1, v \in V_2\}$

length of instances : number of vertices and edges  $= n + m$   
 $\subseteq E$

length of the hint :  $g$ , where  $2 \leq g \leq n$ . (where  $0 < m < n^2$ )

( $g, m$  is bounded by  $n$ )  
 Asyptotically, as a function of length of MaxCut instance  $n$

In the line of  $V_1 \subset V, V_2 \subset V, V_1 \neq \emptyset, V_2 \neq \emptyset, V_1 \cap V_2 = \emptyset$   
 this will take me  $O(n^2) + O(n^2) + O(1) + O(1) + O(n^2) = O(n^2)$

and In the line of  $|E(V_1, V_2)| \geq k$

this will take me  $O(1)$  time

so, totally it would  $O(n^2)$  time

thus, I find a verifier that can examine

the solution to be feasible or not in polynomial time,

$\Rightarrow$  It's a NP problem

QED

#### 4. K-Partition Problem

Problem: Is it possible to partition a sequence of positive integers into  $k$  groups having equal sums

Input: a sequence of positive integers  $(w_1, w_2, \dots, w_n)$

output: an  $n$ -vector  $h$  each of whose entries is integer in the range  $1, \dots, k$

procedure  $V(x, h)$

if:  
 $x$  is sequence of integers  $(w_1, w_2, \dots, w_n)$  and an integer  $k$   
and

$h$  is an  $n$ -vector whose each entries is positive integer  
in the range  $1, \dots, k$

and

$$\sum_{i \in h^{-1}(j)} w_i = \frac{1}{k} \sum_{i=1}^n w_i \quad (1 \leq j \leq k)$$

and

$$h^{-1}(j) = \{i \mid h[i] = j\} \quad (1 \leq j \leq k)$$

then

Output "Yes"

else:

output "I'm unconvinced"



4. (a) (i) the "hint" is the  $n$ -vector  $h$

(ii) the length of  $h$  is  $n$

(iii) the verifier will check all entries of  $h$  to be positive and check the summation of both sides to be the same

$$\left( \sum_{i \in h^{-1}[j]} w_i \right) = \frac{1}{k} \sum_{i=1}^n w_i$$

and check  $h^{-1}[j] = \{i \mid h[i] = j\}$

then verifier will output "Yes"

For those instances who is not all entries to be positive integer or the summation of both side not the same

$$\left( \sum_{i \in h^{-1}[j]} w_i \right) \neq \frac{1}{k} \sum_{i=1}^n w_i$$

or  $h^{-1}[j] \neq \{i \mid h[i] = j\}$ .

then it will fail to output "Yes"

(iv) length of "hint" is  $k$

length of instances is  $n$

the runtime of the verifier would be  $O(nk)$

( $k$  groups having equal sum)

( $w_1, w_2, \dots, w_n$ )

Runtime  $O(k)$  to check each entry of  $h$  to be positive integer

Runtime  $O(k)$  to check the summations match

Runtime  $O(nk)$  to check  $\sum_{i \in h^{-1}[j]} w_i = \frac{1}{k} \sum_{i=1}^n w_i$

verify in polynomial time

$\Rightarrow$  It's a NP problem

#

4. (C) Show that  $2\text{-PP} \leq_p \text{KNAP}$  (right now  $k=2$ )

(proof) Given a set of  $n$  positive integers  $N = \{s_1, s_2, \dots, s_n\}$

create the following instance of 0-1 knapsack:

$$\begin{aligned} \bullet a_j &= s_j & \bullet b &= \frac{1}{2} \sum_{j \in N} s_j \\ \bullet c_j &= s_j & \bullet k &= \frac{1}{2} \sum_{j \in N} s_j \end{aligned}$$

That is, the 0-1 knapsack instance is to decide if the following problem is feasible:

$$\sum_{j \in N} s_j x_j \geq \frac{1}{2} \sum_{j \in N} s_j \quad \text{--- (1)}$$

$$\sum_{j \in N} s_j x_j \leq \frac{1}{2} \sum_{j \in N} s_j \quad \text{--- (2)}$$

$$x_j \in \{0, 1\}$$

Given  $N$ , we need to make  $2(n-1)$  addition and 2 division operations, and store  $(2n+2)$  numbers to represent the resulting 0-1 knapsack instance. Clearly, the time to carry this out is polynomially bounded in  $n$ .

Right now, Let's move on to show the correctness of Reduction.

\* Show that  $N$  is a "yes" instance of 2-Partition if and only if the reduction produces a "yes" instances of 0-1 knapsack

(look at the back)

① Correctness of the Reduction: "Only If" Direction

Now suppose that the 0-1 knapsack problem instance resulting from the reduction is a "yes" instance and let  $\hat{x}$  be a feasible solution:

Since  $\sum_{j \in N} S_j \hat{x}_j \geq k$  and  $\sum_{j \in N} S_j \hat{x}_j \leq b = k$ , it must be the case that  $\sum_{j \in N} S_j \hat{x}_j = k = \frac{1}{2} \sum_{j \in N} a_j$ . Therefore  $S = \{j \in N : \hat{x}_j = 1\}$  gives

us a partition of  $N$  s.t.  $\sum_{j \in S} S_j = \sum_{j \in N \setminus S} S_j$ .

② Correctness of the Reduction "If Direction"

Suppose that  $N$  is a "yes" instance of 2-partition problem. This implies that there exists at least one subsets

$S \subset N$ , such that

$$\sum_{j \in S} S_j = \sum_{j \in N \setminus S} S_j = \frac{1}{2} \sum_{j \in N} S_j = b = k$$

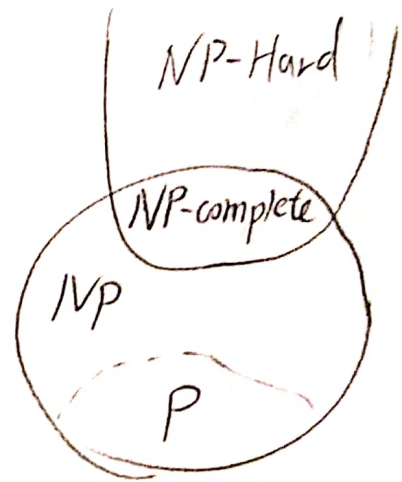
Thus, the solution  $x_j = 1$  for all  $j \in S$  and  $x_j = 0 \forall j \notin S$  is feasible for (1) and (2). Therefore, the reduction maps "yes" instances of 2-PP to "yes" instances of 0-1 knapsack.

③ Since  $N$  is a yes instance of 2-PP if and only if the reduction produces a "yes" instance of 0-1 knapsack, and since the reduction is polynomial, it follows that 2-PP is polynomially reducible to 0-1 knapsack.  $\Rightarrow 2\text{-PP} \leq_p 0\text{-1 knapsack}$

QED



4. (d) Suppose there is no polynomial time algorithm for KNAP. Even though in 4C I showed that  $2-P \leq_p KNAP$ , I still cannot imply that there is no polynomial time algorithm for 2-P. Because if I say 2-P is polynomial reducible to a NP problem, it doesn't contradict to the hypothesis of 2-P being able to polynomial reducible to a P problem. Thus, it is still possible for 2-P to have a polynomial time algorithm for it.



↑  
relation of P, NP

NP complete, NP Hard