# MAT4006: Introduction to Coding Theory

Lecture 03: Error Correction, Groups, Rings, Fields

Instructor: Zitan Chen Scribe: Siqi Yao

Spring 2025

### 1 Error Correction

## Definition 3.1 (Error Correction)

Let  $\nu$  be a positive integer. We say a code  $C \subseteq A^n$  can correct  $\nu$  errors if there exists a decoder  $\mathcal{D}: A^n \to C$  such that for any  $x \in C$  and  $y \in A^n$  with  $d(x, y) \leq \nu$ , one has  $\mathcal{D}(y) = x$ .

#### Theorem 3.1

A code  $C \subseteq A^n$  can correct  $\nu$  errors if  $d(C) \ge 2\nu + 1$ .

*Proof.* Let  $x \in C$  and  $y \in A^n$  be such that  $d(x, y) \le \nu$ . Let  $x' \in C$ , with  $x' \ne x$ . Then

$$d(x, x') \ge d(C) \ge 2\nu + 1.$$

By the triangle inequality,

$$d(x, x') \le d(x, y) + d(y, x') \le \nu + d(x', y),$$

SO

$$2\nu + 1 \le d(x, x') \le \nu + d(x', y) \Rightarrow \nu + 1 \le d(x', y).$$

Hence,

$$d(x,y) < d(x',y)$$
 for all  $x' \in C$ ,  $x' \neq x$ .

Using the minimum distance decoder, we will decode y to x, thus correcting the errors.  $\Box$ 

#### Theorem 3.2

If a code  $C \subseteq A^n$  can correct  $\nu$  errors, then  $d(C) \ge 2\nu + 1$ .

*Proof.* Let  $x \in C$  be the transmitted codeword.

There exists  $x' \in C$  such that d(x, x') = d(C).

Suppose  $d(C) \leq 2\nu$ , we will construct  $y \in A^n$  such that  $d(x',y) \leq d(x,y)$ , meaning we cannot decode y uniquely to x.

Let  $I \subseteq \{1, ..., n\}$  be the set of positions where x and x' differ:

$$I = \{i \mid x_i \neq x_i'\}, \text{ so that } |I| = d(x, x').$$

Let  $J \subset I$  with  $|J| = \left\lfloor \frac{d(x,x')}{2} \right\rfloor$ .

Define the received word  $y = (y_1, \ldots, y_n)$  as:

$$y_i = \begin{cases} x_i, & i \in J, \\ x_i', & i \in \{1, \dots, n\} \setminus J. \end{cases}$$

A concrete example:

$$x = (x_1, \dots, x_n) = 0000 \underline{11} 111$$
  
 $x' = (x'_1, \dots, x'_n) = 000000 \underline{000}$   
 $y = (y_1, \dots, y_n) = 0000 \underline{11000}$ 

The blue part corresponds to set J while the green part corresponds to set I. Then,

$$d(y,x) = |I \setminus J| = \left\lceil \frac{d(x,x')}{2} \right\rceil, \quad d(y,x') = |J| = \left\lfloor \frac{d(x,x')}{2} \right\rfloor.$$

Hence,  $d(y, x) \ge d(y, x')$  and

$$d(x,y) = \left\lceil \frac{d(x,x')}{2} \right\rceil \le \nu.$$

So y is within  $\nu$  of x but closer to another codeword x', making correct decoding to x impossible.

# 2 Groups

## Definition 3.2 (Group)

A group is a nonempty set G with a binary operation "." satisfying the following axioms:

- 1. Closure: For every  $a, b \in G$ , we have  $a \cdot b \in G$ .
- 2. **Associativity:** For every  $a, b, c \in G$ , we have

$$(a \cdot b) \cdot c = a \cdot (b \cdot c).$$

3. **Identity element:** There exists an element  $1 \in G$  such that

$$1 \cdot a = a \cdot 1 = a$$
 for every  $a \in G$ .

4. Inverse element: For each  $a \in G$ , there exists an element  $a^{-1} \in G$  such that

$$a^{-1} \cdot a = a \cdot a^{-1} = 1.$$

If these properties are satisfied, we say that  $(G, \cdot)$  is a group, or simply that G is a group. Note: If the binary operation is multiplication, then the group doesn't contain 0.

## Definition 3.3 (Abelian Group)

A group is called **commutative** or **abelian** if

$$a \cdot b = b \cdot a$$
 for every  $a, b \in G$ .

### Power

For an element  $a \in G$  and a positive integer n, the notation

 $a^n$ 

stands for

$$\underbrace{a \cdot a \cdot \cdots \cdot a}_{n \text{ times}}$$
.

Also define

$$a^{-n}$$
 as the power  $(a^{-1})^n$ , and  $a^0 = 1 \in G$ .

### Two main notational conventions for groups:

Group Type	Operation	Identity	Power	Inverse	Remark
Multiplicative Group	•	1	$a^n$	$a^{-1}$	$ab = a \cdot b$
Additive Group	+	0	na	-a	a - b = a + (-b)

## Examples

 $(\mathbb{Z},+)$  is a group.

Let

$$n\mathbb{Z} = \{ni \mid i \in \mathbb{Z}\} \triangleq (n).$$

Then

$$(n\mathbb{Z}, +)$$
 is a group.

# 3 Rings

## Definition 3.4 (Ring)

A ring is a nonempty set R with two binary operations  $\cdot$  and + satisfying:

- 1. (R, +) is an abelian group.
- 2. Associativity of :: For every  $a, b, c \in R$ ,

$$(a \cdot b) \cdot c = a \cdot (b \cdot c).$$

3. **Distributivity:** For every  $a, b, c \in R$ ,

$$(a+b) \cdot c = a \cdot c + b \cdot c$$
 and  $a \cdot (b+c) = a \cdot b + a \cdot c$ .

I.e., multiplication is distributive with respect to addition.

4. Closure under multiplication: For every  $a, b \in R$ ,  $a \cdot b \in R$ .

### Conventions

When writing expressions, the multiplication  $\cdot$  takes precedence over the addition +.

$$(a+b) \cdot c = a \cdot c + b \cdot c$$

and

$$a \cdot (b+c) = a \cdot b + a \cdot c.$$

The identity element with respect to + is called the **zero element**.

## Definition 3.5 (Ring with Identity)

A ring with identity is a ring R in which the multiplication operation  $\cdot$  has an identity element, i.e., there exists  $1 \in R$  such that

$$1 \cdot a = a \cdot 1 = a$$
 for every  $a \in R$ .

## Definition 3.6 (Commutative Ring)

A commutative ring is a ring in which the multiplication operation  $\cdot$  is commutative, i.e.,

$$a \cdot b = b \cdot a$$
 for every  $a, b \in R$ .

## 4 Fields

## Definition 3.7 (Field)

A field is a commutative ring in which the nonzero elements  $F^* := F \setminus \{0\}$  form a group with respect to multiplication.

Note: This implies  $F^*$  has the multiplicative inverse

#### Lemma 3.3

Let F be a field and  $a, b \in F$ . Then:

- (i)  $0 \cdot a = 0$
- (ii) ab = 0 implies a = 0 or b = 0
- (iii)  $(-1) \cdot a = -a$

*Proof.* (i) 
$$0 \cdot a = (0+0) \cdot a = 0 \cdot a + 0 \cdot a$$

$$\Rightarrow 0 = 0 \cdot a.$$

(ii) Given ab = 0, if  $a \neq 0$ , then

$$a^{-1} \cdot ab = a^{-1} \cdot 0 = 0 \cdot a^{-1} = 0,$$
  
 $\Rightarrow 1 \cdot b = 0, b = 0$ 

Similarly, if  $b \neq 0$ , we have a = 0.

(iii) 
$$(-1) \cdot a + a = (-1) \cdot a + 1 \cdot a = (-1+1) \cdot a = 0 \cdot a = 0$$
  
 $\Rightarrow (-1) \cdot a = -a.$ 

# Examples

- $(\mathbb{Z}, +, \cdot)$  is a ring, called the ring of integers, **not a field**.
- $(\mathbb{Z}^*, \cdot)$  is not a group.
- $\mathbb{R}, \mathbb{C}, \mathbb{Q}$  are fields.

# Remark

A field containing finite elements is called a **finite field**.