# Package 'BHERM'

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Description BHERM is a Bayesian hierarchical model framework to incorporate PK data into dose-response model for single-agent trial. It consists a lower layer of Dose-PK model and a upper layer of PK-Response model. 5 commonly PK-Response models are implemented: Logistic, Poisson, Negative Binomial, Emax models (for count data) and Cox Proportional-Hazards model (for time-to-event data). It can model two PD responses (safety and efficacy) simultaneously and allow for different PK parameters for safety and efficacy.
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BHERM-package

Bayesian Hierarchical Exposure Response Modeling

## **Description**

BHERM is a Bayesian hierarchical model framework to incorporate PK data into dose-response model for single-agent trial. It consists a lower layer of Dose-PK model and a upper layer of PK-Response model. 5 commonly PK-Response models are implemented: Logistic, Poisson, Negative Binomial, Emax models (for count data) and Cox Proportional-Hazards model (for time-to-event data). It can model two PD responses (safety and efficacy) simultaneously and allow for different PK parameters for safety and efficacy.

#### **Details**

See details section in BHERM function.

#### Author(s)

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**BHERM** 

main function for BHERM

#### **Description**

Fit the Bayesian hierarchical exposure response model (BHERM). See detail.

## Usage

```
BHERM(file, varnames,
    safe.model = c("Logistic", "Poisson", "NegBin", "Emax", "Cox", "NULL"),
    eff.model = c("Logistic", "Poisson", "NegBin", "Emax", "Cox", "NULL"),
    rescale.pk.safe = NULL, rescale.pk.eff = NULL,
    file.prior = NULL, pred.doses = NULL,
    increment.dose = 10, pred.time.max = NULL,
    increment.time = 10, pred.NPK = 3,
    chains = 4, warmup = 500, iter = 1500, adapt_delta = 0.8,
    stepsize = 0.1, max_treedepth = 10, seed, ...)
```

# **Arguments**

file File name for a subject-level data file containing some required variables. See

details.

varnames A character vector of variable names needed. See details.

safe.model PK-Response model option for Safety part.

eff.model PK-Response model option for Efficacy part.

rescale.pk.safe

Rescaling factor for individual PK observations of SAF. If not provided, the PK

observations are rescaled by dividing the geometric mean.

rescale.pk.eff Same as above, but for EFF part.

file.prior An R file containing prior specifications for model parameters. Depending on

different models selected, the parameters are different.

pred. doses Dose levels used for prediction. The range of dose levels for prediction is defined

by [min(observed dose levels), max(pred.doses)]. The increment of dose

is defined by increment.dose below.

increment.dose Explained as above.

pred.time.max Maximum follow-up time used to trace out survival curve. Only used for Cox

models.

increment.time Increment of time for tracing out survival curve. Only used for Cox models.

pred.NPK A positive integer specifying the number of PK observations used for predicting

event probability.

chains A positive integer specifying the number of Markov chains. The default is 4.

Note that this is also the number of cores used.

warmup A positive integer specifying the number of warmup (aka burnin) iterations per

chain. The default is 500. See stan for details.

iter A positive integer specifying the number of iterations for each chain (including

warmup). The default is 1500.

adapt\_delta A parameter that controls the sampler's behavior. The default is 0.8. See stan

for details.

stepsize A parameter that controls the sampler's behavior. The default is 0.1. See stan

for details.

max\_treedepth A parameter that controls the sampler's behavior. The default is 10. See stan

for details.

seed The seed for random number generation. See stan for details.

... Any other parameters used in stan can be passed. For example, number of thins

thin, initial parameter values init, etc. See stan for details.

#### Details

The BHERM package fits the Bayesian hierarchical exposure response model (BHERM) for Exposure-Response (ER) analysis. BHERM consists of two parts: the lower layer is the Dose-PK linear model; the upper layer is the PK-Response model. There are 5 model options implemented for the PK-Response model: (1) Logistic, Poisson, Negative Binomial (NegBin), and Emax model for count data; (2) Bayesian Cox proportional-hazards model for time-to-event data. Moreover, BHERM can simultaneously accommodate for two PD responses, i.e., Safety (SAF) and Efficacy (EFF), if for count data. That is, two PK-Response models will be constructed if there are PK and response data specified for both SAF and EFF. Detailed model structure, parameters, and specifications are documented in the User Guide.

To use this package, two data files are needed. First is the raw subject-level data file (.csv file) with following variables:

- ID: Subject ID.
- TRT: Treatment code (character). For example, "A", "B", "C", "D", etc, representing different treatments.

• Dose: Dose levels (numeric). For example, 50, 100, 200, 300, etc., representing different dose levels corresponding to TRT.

- PK\_SAF (PK\_EFF): PK parameter for SAF (EFF). For example, AUC, Cmax, SS trough concentration, etc.
- RESP\_SAF (RESP\_EFF): Binary event indicator for SAF (EFF). For example, Grade 3/4 AE, tumor response status, etc.
- Time\_SAF (Time\_EFF): Follow-up time for SAF (EFF) response. Used by Cox model.
- CENSOR\_SAF (CENSOR\_EFF): Censoring indicator for SAF (EFF) event, so that "1" means "censored" and "0" means "event". Used by Cox model.

**NOTE** (1): the variable names in the raw data file don't need to be exactly the same as the names stated above. However, the program needs to know which variable in the raw data file corresponds to which variable listed above (by correctly specifying varnames).

**NOTE (2):** varnames must be specified in the following order, depending on different model specifications.

- (a) both SAF and EFF, no Cox model for PK-Response: varnames = c("ID", "TRT", "REP\_SAF", "PK\_SAF", "I
- (b) only SAF, not Cox model for PK-Response: varnames = c("ID", "TRT", "REP\_SAF", "PK\_SAF")
- (c) only EFF, not Cox model for PK-Response: varnames = c("ID", "TRT", "RESP\_EFF", "PK\_EFF")
- (d) only SAF, Cox model for PK-Response: varnames = c("ID", "TRT", "CENSOR\_SAF", "Time\_SAF", "PK\_SAF"
- (e) only EFF, Cox model for PK-Response: varnames = c("ID", "TRT", "CENSOR\_SAF", "Time\_SAF", "PK\_SAF

**NOTE** (3): if safe.model (eff.model) is "Cox", then eff.model (safe.model) must be "NULL". That is, if the Cox model is selected, only one PK-Response model is allowed. The other PD response must not be specified.

The other file needed is an R file that contains all prior specifications for the model parameters. The file is passed to the program by specifying file.prior. There is a template prior file provided in the source folder (./R/default\_priors.R) that includes all the model parameters with flat prior values by default. If file.prior is not specified (i.e., == "NULL" by default), then the data in default\_priors.R file will be used. If the user wants to specify different priors, she/he can simply do following: (1) copy default\_priors.R file into current working directory; (2) only change prior values for those model parameters needed based on the model specifications; (3) pass the copied prior file name to file.prior. The user doesn't need to be bothered by all other parameters in the prior file since the program can automatically discard those useless parameters based on the model specifications.

## Value

A BHERM class object containing following variables:

file Same as that in Arguments Section above.
varnames Same as that in Arguments Section above.
safe.model Same as that in Arguments Section above.
eff.model Same as that in Arguments Section above.
rescaled.pk.safe

= NA if safe.model == 'NULL'; = geometric mean of individual PK observations of SAF part otherwise.

rescaled.pk.eff

= NA if eff.model == 'NULL'; = geometric mean of individual PK observations of EFF part otherwise.

model.case	An integer indicating the case of model specification. Internal use only. The user doesn't need to know it.
summary.data	Dose-level summary data, including summary PK data, the number of PD events and observations, the number of PK observations, etc., at each dose level.
stan.data	Data variables prepared for running stan model.
stan.fit	A S4 object of stanmodel produced by stan() function. See stan for details.
pars.model	Model parameters that have sample output from stan. Posterior summary statistics of these parameters will be saved into a text file when calling post_analysis().
pars.pred	Prediction parameters that also have sample output for prediction plots.
pars.out	Parameters that have sample output.

## Author(s)

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#### See Also

```
post_analysis
```

## **Examples**

```
## Not run:
seed <- 2016
file <- "LDK378_DLT_time_CB_pfs_final.csv"</pre>
## case 1: both SAF and EFF
safe.model <- 'Logistic' # specify Logistic model for SAF</pre>
eff.model <- 'Poisson' # specify Poisson model for EFF
varnames <- c("SID1A", 'TRTREG1C', 'dosemg', 'AEG30R4',</pre>
               'AVGSSTPA', 'EFFRESP', 'AVGSSALL')
main.fit <- BHERM(file, varnames, seed = seed,</pre>
                   adapt_delta = 0.99, stepsize = 0.01,
                   max\_treedepth = 12,
                   safe.model = safe.model,
                   eff.model = eff.model,
                   pred.doses = 1100
post_analysis(main.fit)
## case 2: safety only
safe.model <- 'Logistic'</pre>
eff.model <- 'NULL'
varnames <- c("SID1A", 'TRTREG1C', 'dosemg', 'AEG30R4',</pre>
               'AVGSSTPA')
safe.main.fit <- BHERM(file, varnames, seed = seed,</pre>
                        safe.model = safe.model,
                        eff.model = eff.model)
## case 3: efficacy only
safe.model <- 'NULL'</pre>
```

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```
eff.model <- 'Logistic'
varnames <- c("SID1A", 'TRTREG1C', 'dosemg', 'EFFRESP', 'AVGSSALL')</pre>
eff.main.fit <- BHERM(file, varnames, seed = seed,</pre>
                      safe.model = safe.model,
                      eff.model = eff.model)
## case 4: efficacy cox only
varnames <- c("SID1A", 'TRTREG1C', 'dosemg', "PFS1_CS",</pre>
              "PFS1_1N", "AVGSSALL")
safe.model <- 'NULL'</pre>
eff.model <- 'Cox'
eff.cox.main.fit <- BHERM(file, varnames,</pre>
                          safe.model = safe.model, eff.model = eff.model,
                          adapt_delta = 0.99, stepsize = 0.01,
                          max\_treedepth = 15,
                          seed = seed)
## case 5: Safety cox only
#-----
varnames <- c("SID1A", 'TRTREG1C', 'dosemg', "AEG30R4",</pre>
              "DAEV_1N", "AVGSSTPA")
safe.model <- 'Cox'</pre>
eff.model <- 'NULL'
safe.cox.main.fit <- BHERM(file, varnames,</pre>
                           safe.model = safe.model, eff.model = eff.model,
                           adapt_delta = 0.99, stepsize = 0.01,
                           max\_treedepth = 15,
                           seed = seed)
## End(Not run)
```

plot\_dose\_pk\_EFF

produce Dose-PK prediction plot for EFF

# **Description**

Produce Dose-PK prediction plot for EFF, along with a confidence band and observed PK values at each dose level.

# Usage

```
plot_dose_pk_EFF(fit, conf.band = c("95%", "90%"), xlab = "Dose", ylab = "PK")
```

# Arguments

fit	A BHERM class object produced by calling function BHERM.
conf.band	Show 95% or 90% confidence band in the prediciton plots.
xlab	The x-axis label for Dose-PK prediciton plot(s). Default is "Dose".
ylab	The y-axis label for Dose-PK prediciton plot(s). Default is "PK".

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#### **Details**

Returned is a ggplot object. Both axies are plotted on log scale, whereas the tick marks are on linear scale. Observed PK values at each dose level are shown as dots.

#### Author(s)

```
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```

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## See Also

```
BHERM, post_analysis, plot_dose_pk_SAF
```

plot\_dose\_pk\_SAF

produce Dose-PK prediction plot for SAF

# **Description**

Produce Dose-PK prediction plot for SAF, along with a confidence band and observed PK values at each dose level.

## Usage

```
plot_dose_pk_SAF(fit, conf.band = c("95%", "90%"), xlab = "Dose", ylab = "PK")
```

# Arguments

†1t	A BHERM class object produced by calling function BHERM.
conf.band	Show 95% or 90% confidence band in the prediciton plots.
xlab	The x-axis label for Dose-PK prediciton plot(s). Default is "Dose".
ylab	The y-axis label for Dose-PK prediciton plot(s). Default is "PK".

#### **Details**

Returned is a ggplot object. Both axies are plotted on log scale, whereas the tick marks are on linear scale. Observed PK values at each dose level are shown as dots.

## Author(s)

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#### See Also

```
BHERM, post_analysis, plot_dose_pk_EFF
```

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plot_mean_survival	plot posterior mean survival curves
	F · · · F · · · · · · · · · · · · · · ·

# Description

This function produces the posterior mean survival curves corresponding to specified dose levels in a given range of follow-up time.

#### Usage

```
plot_mean_survival(fit)
```

# **Arguments**

fit A BHERM class object produced by calling function BHERM with the PK-Response

model being Cox PH model.

## Author(s)

```
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```

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#### See Also

```
BHERM, post_analysis
```

```
plot_predict_EFF produce probability prediction plot for EFF
```

# **Description**

Produce probability prediction plot for EFF, along with a confidence band, and observed event proportions (and confidence intervals).

# Usage

```
plot_predict_EFF(fit, conf.band = c("95%", "90%"), xlab = "PK", ylab = "Probability")
```

# Arguments

fit	A BHERM class object produced by calling function BHERM.
conf.band	Show 95% or 90% confidence band in the prediciton plots.
xlab	The x-axis label for Dose-PK prediciton plot(s). Default is "PK".
ylab	The y-axis label for Dose-PK prediction plot(s). Default is "Probability".

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#### **Details**

Returned is a ggplot object. Note there are four segments in the plots showing the observed event proportions (and confidence intervals) for each quartile of the observed PK values. Basically the observed PK values are divided into four quartiles, less than 25%, 25-50%, 50-75% and above 75%. Then in each quartile the observed event proportion is computed, and an exact binomial test is conducted to obtain the 95% (or 90%) confidence interval.

#### Author(s)

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#### See Also

BHERM, post\_analysis, plot\_predict\_SAF

plot\_predict\_SAF

produce probability prediction plot for SAF

## **Description**

Produce probability prediction plot for SAF, along with a confidence band, and observed event proportions (and confidence intervals).

#### Usage

```
plot_predict_SAF(fit, conf.band = c("95%", "90%"), xlab = "PK", ylab = "Probability")
```

#### **Arguments**

fit A BHERM class object produced by calling function BHERM.

conf. band Show 95% or 90% confidence band in the prediction plots.

xlab The x-axis label for Dose-PK prediction plot(s). Default is "PK".

ylab The y-axis label for Dose-PK prediction plot(s). Default is "Probability".

# **Details**

Returned is a ggplot object. Note there are four segments in the plots showing the observed event proportions (and confidence intervals) for each quartile of the observed PK values. Basically the observed PK values are divided into four quartiles, less than 25%, 25-50%, 50-75% and above 75%. Then in each quartile the observed event proportion is computed, and an exact binomial test is conducted to obtain the 95% (or 90%) confidence interval.

#### Author(s)

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#### See Also

```
BHERM, post_analysis, plot_predict_EFF
```

post\_analysis

post_analysis	produce all post analysis results and plots

## **Description**

This function saves to files posterior summary statistics, Dose-PK prediction plot(s), and event probability prediction plot(s), etc.

## Usage

#### Arguments

fit	A BHERM class object produced by calling function BHERM.
diagnosis.plot	Whether to produce trace and density plots of model parameters for checking convergence. Default is TRUE.
post.summary	Whether to save posterior summary statistics of model parameters. Default is TRUE.
post.pred.plot	Whether to generate event probability prediction $plot(s)$ and save into a pdf file or png file. If NULL, don't generate $plot(s)$ .
dose.pk.plot	Whether to generate Dose-PK prediction $plot(s)$ and save into a pdf file or png file. If NULL, don't generate $plot(s)$ .
conf.band	Show 95% or 90% confidence band in the prediciton plots.
xlab.dose.pk	The x-axis label for Dose-PK prediciton plot(s). Default is "Dose".
ylab.dose.pk	The y-axis label for Dose-PK prediciton plot(s). Default is "PK".
xlab.pred.prob	The x-axis label for event probability prediciton plot(s). Default is "PK".
ylab.pred.prob	The y-axis label for event probability prediction plot(s). Default is "Probability".

#### **Details**

- If both SAF and EFF models are specified, the Dose-PK prediction plots of both SAF and EFF are produced. They are then combined into one single file. So are the event probability prediction plots.
- All the files are automatically saved to current working directory.
- If separate plots for SAF and EFF are desired, the user can call functions plot\_dose\_pk\_SAF, plot\_dose\_pk\_EFF for Dose-PK prediction plots, and call functions plot\_predict\_SAF, plot\_predict\_SAF for probability prediction plots.

# Author(s)

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# See Also

 $BHERM, \verb|plot_dose_pk_SAF|, \verb|plot_dose_pk_EFF|, \verb|plot_predict_SAF|, \verb|plot_predict_SAF|,$ 

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