

## Guideline for Applications

### 01 IMPULSE GRANTS FOR RESEARCH PROJECTS

#### Instructions for Applicants:

1. Please read the [Declaration](#) before completing your application. In order for your application to be processed, you must send a copy of the Declaration with original signature by post to:

Universität Bremen  
Referat 12 – Forschung und wissenschaftlicher Nachwuchs  
Postfach 33 04 40  
28334 Bremen

The "Declaration" is not bound to the application deadline and can arrive at *Referat 12* after the respective application deadline. However, the application itself must be submitted in due time using the online form. Please **do not** integrate the declaration form sheet into the application document.

2. University of Bremen supports the [Open Researcher and Contributor ID \(ORCID\)](#) which enables an unambiguous correlation of publications to authors. For this reason ORCID is a prerequisite for any funding by the Central Research Development Fund of the University of Bremen (does not apply for doctoral candidates), and it is required in the online application form.
3. Doctoral candidates obtain the status of doctoral candidate upon acceptance by the respective doctoral committee (date of issue of acceptance confirmation). Doctoral candidates must enclose a copy of their acceptance as doctoral candidates with their application. The doctoral status ends with the determination of the overall result of the doctorate by the responsible doctoral committee, which usually corresponds to the date of the defence.
4. Proposed projects may begin starting with the respective application dates (i.e. 15.02., 15.06. or 15.10.); however, any costs that may have occurred beforehand do not automatically qualify an application for funding.

**Only applications meeting the formal requirements will be considered.**

#### Checklist:

- Please refer to the notes below (number 1 to 7) before writing the text of your proposal. The proposal text must not exceed **four pages**.
- If applicable, please attach a scanned copy of your acceptance as a PhD candidate issued by the respective PhD Students Committee or your PhD certificate.
- Create a PDF document comprising the text of your proposal, your résumé of not more than two pages, and, if applicable, a scanned copy of your acceptance as a PhD candidate issued by the respective PhD Students Committee or PhD certificate.
- Fill in the online form and upload the application document with attachments as one PDF file.
- Send the signed Declaration by post to the above mentioned address.

**Any questions?** We would be happy to help you with your application:

- For questions concerning funding lines of the Central Research Development Fund of the University of Bremen, contact Ms. Corinna Volkmann (Phone: +49 218-60321 or [corinna.volkmann@vw.uni-bremen.de](mailto:corinna.volkmann@vw.uni-bremen.de)).
- For further information on the Impulse Grants applications by doctoral researchers that will be handled by the Young Researchers Committee, you can contact Dr. Marie Sander (Phone: +49 421 218-60327 or [marie.sander@vw.uni-bremen.de](mailto:marie.sander@vw.uni-bremen.de)).
- Advice on applications for external funding as designated within certain funding lines is given by Ms. Silke Reinold (EU programs, Phone: +49 421 218-60326; [eu@vw.uni-bremen.de](mailto:eu@vw.uni-bremen.de)) and Dr. Uta Brathauer (national funding, Phone +49 421 218-60325; [uta.brathauer@vw.uni-bremen.de](mailto:uta.brathauer@vw.uni-bremen.de)).
- In the event of technical problems (completing the online form, uploading the application text), please contact Mr. Stefan Lüttgens (Phone: +49 218-60323; [stefan.luettgens@vw.uni-bremen.de](mailto:stefan.luettgens@vw.uni-bremen.de)).

Please include all headlines in bold type in the structure of your application. If you would like to submit the proposal in German, please follow the German application guidelines.

**Name of applicant: Yarib Israel Nevarez Esparza**

**Short title of proposal: Acceleration of state-of-the-art machine learning algorithms for computer vision in IoT applications.**

## **1 Project idea**

Research and development of specialized hardware architectures with approximate processing approaches for the acceleration of state-of-the-art convolutional neural networks (CNN) for computer vision applications in IoT devices.

## **2 Summary**

The purpose of this project is to research, develop, and evaluate the adoption of hardware design approaches from our previous research [1], [2] in practical state-of-the-art computer vision applications. For this purpose, we select four practical applications: (1) face mask detection [3], [4], (2) video surveillance [5], (3) advanced driver assistance system (ADAS) [6], [7], and (4) semantic segmentation for autonomous driving [8],[9]. These applications will be conducted as master thesis. The results will be reviewed and remarkable findings will be presented in conference and journal publications. For this, as a prerequisite, it is necessary the hardware equipment requested in this proposal.

## **3 Description of proposal**

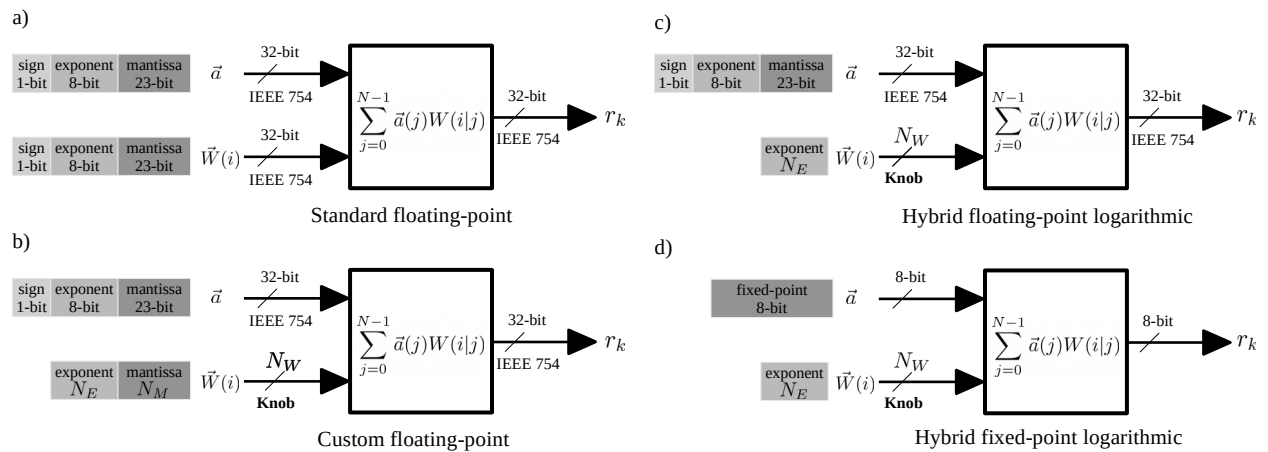
The CNN-based algorithms are identified by their exceptional performance in computer vision in both research and industrial applications. For example, image-based disease detection in medical applications [10], inspection systems in agriculture [11], monitoring in smart industry [12], [13], and self-driving cars in automotive industry [14], [15]. However, the state-of-the-art of CNN-based algorithms, such as object detection models [16], [17], are characterized by their elevated memory and computational costs. Hence, the applicability of these algorithms is restricted to high performance computers equipped with power hungry processing units (e.g., GPUs and TPUs). This disadvantage represents the main constrain for an efficient deployment and performance of these algorithms in devices with limited resources, such as IoT devices and mobile applications [18], [19].

In order to enable the usability of the state-of-the-art of CNN-based algorithms in resource-limited devices, we propose a project that focuses on the research and design exploration of dedicated hardware architectures for CNN-based algorithms with reduced resource utilization and energy consumption. Based on the intrinsic error-resilience of image processing and machine learning algorithms [20], [21], we propose the implementation of approximate processing as the main approach for our work.

Approximate computing has been used in a wide range of applications to increase the computational efficiency in hardware [22]. For neural network applications, two main approximation strategies are used, namely network compression and classical approximate computing [23]. The method known as network compression or quantization focuses on lowering the precision of weights and activation maps to shrink the memory footprint of the large number of parameters of neural networks [24], in addition to quantization, network pruning reduces the model size by removing structural portions of the parameters and its associated computations [25]. While on the other hand, the classical approximate computing consists of designing hardware processing units that approximate their computation by employing modified algorithmic logic blocks [20], [22].

In previous research, we applied approximate processing to accelerate Spike-by-Spike (SbS) neural networks on FPGA. We implemented a dedicated hardware module for vector dot-prod-

uct computation using approximate processing with hybrid custom floating-point and logarithmic number representations. This hardware unit has a quality configurable scheme based on the bit truncation of the synaptic-weight vectors. **Fig. 1.** illustrates the vector dot-product hardware module with standard floating-point (IEEE 754) arithmetic, and our approach with hybrid custom floating-point as well as logarithmic approximations. As a design parameter, the mantissa bit-width of the weight vector provides a tunable knob to trade-off between efficiency and quality of result (QoR). Since the lower-order bits have smaller significance than the higher-order bits, truncating them may have only a minor impact on QoR [20]. Further on, we can remove completely the mantissa bits in order to use only the exponent of a floating-point representation. Therefore, the most efficient setup becomes a logarithmic representation, which consequently leads to significant architectural hardware level optimizations using only adders and barrel shifters for vector dot-product approximation. Moreover, since approximations and noise have qualitatively the same effect [26], we apply noise tolerance plots as an intuitive visual measure to provide insights into the quality degradation of neural networks under approximate processing effects. As a result, our hardware design accelerates SbS neural network computation by 20.5× and reduces the synaptic weight memory footprint by 8×, with less than 0.5% degradation in the task accuracy.



**Fig. 1.** Dot-product hardware module with (a) standard floating-point (IEEE 754) arithmetic, (b) hybrid custom floating-point approximation, (c) hybrid floating-point logarithmic approximation, and (d) hybrid fixed-point logarithmic approximation.

### 3.1 Purpose of Impulse application

The purpose of this project is to explore the implementation of hardware design approaches from our previous research in practical applications of CNN machine vision in IoT devices. As one of the objectives of my PhD project, I developed a fully functional and scalable hardware architecture for computing SbS networks in embedded systems [1]. This hardware architecture is optimized with a computational module with hybrid custom floating-point and logarithmic vector dot-product approximation. Given that the vector dot-product is a computational block widely used in CNN and in image/video processing algorithms [27], [28], today we propose to explore and evaluate the performance of our processing block in practical state-of-the-art computer vision applications.

Aside from my doctoral project, the evaluation of our proven hardware design techniques on practical CNN applications represents a promising contribution to the field of hardware architectures for machine learning on mobile devices. For this, we selected four practical applications of computer vision that will be carried out as master thesis. The results will be reviewed and remarkable findings will be presented in conference and journal publications. This will contribute to my doctoral dissertation and to state-of-the-art knowledge. In addition, this project will provide

experience to students and ultimately this will contribute to the development of the local industry.

### 3.2 Project implementation

For the project implementation, we initially offer four master thesis topics: (1) face mask detection, (2) video surveillance, (3) advanced driver assistance system (ADAS), and (4) semantic segmentation for autonomous driving. The progress of the work will be closely supervised for its appropriate methodology and development. The schedule of each thesis has a flexible duration of six months, each individual thesis is handled separately. For this purpose, as a prerequisite, it is necessary the hardware equipment requested in this proposal. If the resources are granted, the duration of this project is one year, the starting date is planned for May 2021 and the completion date is May 2022.

### 4 Cooperations

There is no third party cooperation.

### 5 Links to other projects receiving third-party funding

My Ph.D. is sponsored by the Consejo Nacional de Ciencia y Tecnología – CONACYT (the Mexican National Council for Science and Technology). My scholarship covers university fees, insurance, and living expenses. However, it does not cover materials and equipment.

### 6 Costs

#### 6.1 Outline of costs

Item	Quantity	Description	Unit price	Amount
1	4	Ultra96-V2 Zynq UltraScale+ ZU3EG Dev. board. <a href="https://de.farnell.com/avnet/aes-ultra96-v2-g/sbc-arm-cortex-a53-cortex-r5/dp/3050481">https://de.farnell.com/avnet/aes-ultra96-v2-g/sbc-arm-cortex-a53-cortex-r5/dp/3050481</a>	€202.67	€810.68
2	4	USB to JTAG/UART adapter for Ultra96 Dev. board. <a href="https://de.farnell.com/yageo/aes-acc-u96-jtag/usb-zu-jtag-uart-pod/dp/2915522?MER=sy-me-pd-mi-acce">https://de.farnell.com/yageo/aes-acc-u96-jtag/usb-zu-jtag-uart-pod/dp/2915522?MER=sy-me-pd-mi-acce</a>	€36.06	€144.24
3	4	Power supply kit, 12 V, 4 A, for Ultra96 Dev. boards. <a href="https://de.farnell.com/votool/vp-1204000/netzteil-kit-12v-4a/dp/2921438?MER=sy-me-pd-mi-acce">https://de.farnell.com/votool/vp-1204000/netzteil-kit-12v-4a/dp/2921438?MER=sy-me-pd-mi-acce</a>	€19.95	€79.80
4	2	Webcam, BRIO 4K. <a href="https://de.farnell.com/en-DE/logitech/960-001106/webcam-brio-4k/dp/3403183?st=webcam">https://de.farnell.com/en-DE/logitech/960-001106/webcam-brio-4k/dp/3403183?st=webcam</a>	€192.04	€384.08
5	2	Webcam, HD Pro, 1280 x 720p resolution, 3MP. <a href="https://de.farnell.com/en-DE/logitech/960-001063/hd-pro-webcam-3mp-720p/dp/2675982?st=webcam">https://de.farnell.com/en-DE/logitech/960-001063/hd-pro-webcam-3mp-720p/dp/2675982?st=webcam</a>	€34.79	€69.58
<b>Total</b>				<b>€1,488.38</b>

### 7 References

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## **Attachments**

### **A) Applicant's résumé in tabular form**

On a **maximum of two pages** provide a short description of your academic career to date including any awards or prizes, and list your most important publications (can also include conference papers) or patents.

### **B) Copy of your acceptance as a PhD candidate issued by the respective Dissertation Committee**

Please scan a copy of your acceptance as a PhD candidate issued by the respective PhD Students Committee and include this with your application.

### **C) PhD certificate**

If you are applying as postdoc please attach a scanned copy of your PhD certificate.