Please include all headlines in bold type in the structure of your application. If you would like to submit the proposal in German, please follow the German application guidelines.

Name of applicant: Yarib Israel Nevarez Esparza

Short title of proposal: Acceleration of state-of-the-art machine learning algorithms for computer vision in IoT applications.

1 Project idea

Research and development of specialized hardware architectures with approximate processing approaches for the acceleration of state-of-the-art convolutional neural networks (CNN) for computer vision applications in IoT devices.

2 Summary

The purpose of this project is to research, develop, and evaluate the adoption of hardware design approaches from our previous research [1], [2] in practical state-of-the-art computer vision applications. For this purpose, we select four practical applications: (1) face mask detection [3], [4], (2) video surveillance [5], (3) advanced driver assistance system (ADAS) [6], [7], and (4) semantic segmentation for autonomous driving [8],[9]. These applications will be conducted as master thesis. The results will be reviewed and remarkable findings will be presented in conference and journal publications. For this, as a prerequisite, it is necessary the hardware equipment requested in this proposal.

3 Description of proposal

The CNN-based algorithms are identified by their exceptional performance in computer vision in both research and industrial applications. For example, image-based disease detection in medical applications [10], inspection systems in agriculture [11], monitoring in smart industry [12], [13], and self-driving cars in automotive industry [14], [15]. However, the state-of-the-art of CNN-based algorithms, such as object detection models [16], [17], are characterized by their elevated memory and computational costs. Hence, the applicability of these algorithms is restricted to high performance computers equipped with power hungry processing units (e.g., GPUs and TPUs). This disadvantage represents the main constrain for an efficient deployment and performance of these algorithms in devices with limited resources, such as IoT devices and mobile applications [18], [19].

In order to enable the usability of the state-of-the-art of CNN-based algorithms in resource-limited devices, we propose a project that focuses on the research and design exploration of dedicated hardware architectures for CNN-based algorithms with reduced resource utilization and energy consumption. Based on the intrinsic error-resilience of image processing and machine learning algorithms [20], [21], we propose the implementation of approximate processing as the main approach for our work.

Approximate computing has been used in a wide range of applications to increase the computational efficiency in hardware [22]. For neural network applications, two main approximation strategies are used, namely network compression and classical approximate computing [23]. The method known as network compression or quantization focuses on lowering the precision of weights and activation maps to shrink the memory footprint of the large number of parameters of neural networks [24], in addition to quantization, network pruning reduces the model size by removing structural portions of the parameters and its associated computations [25]. While on the other hand, the classical approximate computing consists of designing hardware processing units that approximate their computation by employing modified algorithmic logic blocks [20], [22].

In previous research, we applied approximate processing to accelerate Spike-by-Spike (SbS) neural networks on FPGA. We implemented a dedicated hardware module for vector dot-prod-

uct computation using approximate processing with hybrid custom floating-point and logarithmic number representations. This hardware unit has a quality configurable scheme based on the bit truncation of the synaptic-weight vectors. **Fig. 1.** illustrates the vector dot-product hardware module with standard floating-point (IEEE 754) arithmetic, and our approach with hybrid custom floating-point as well as logarithmic approximations. As a design parameter, the mantissa bit-width of the weight vector provides a tunable knob to trade-off between efficiency and quality of result (QoR). Since the lower-order bits have smaller significance than the higher-order bits, truncating them may have only a minor impact on QoR [20]. Further on, we can remove completely the mantissa bits in order to use only the exponent of a floating-point representation. Therefore, the most efficient setup becomes a logarithmic representation, which consequently leads to significant architectural hardware level optimizations using only adders and barrel shifters for vector dot-product approximation. Moreover, since approximations and noise have qualitatively the same effect [26], we apply noise tolerance plots as an intuitive visual measure to provide insights into the quality degradation of neural networks under approximate processing effects. As a result, our hardware design accelerates SbS neural network computation by 20.5× and reduces the synaptic weight memory footprint by 8×, with less than 0.5% degradation in the task accuracy.

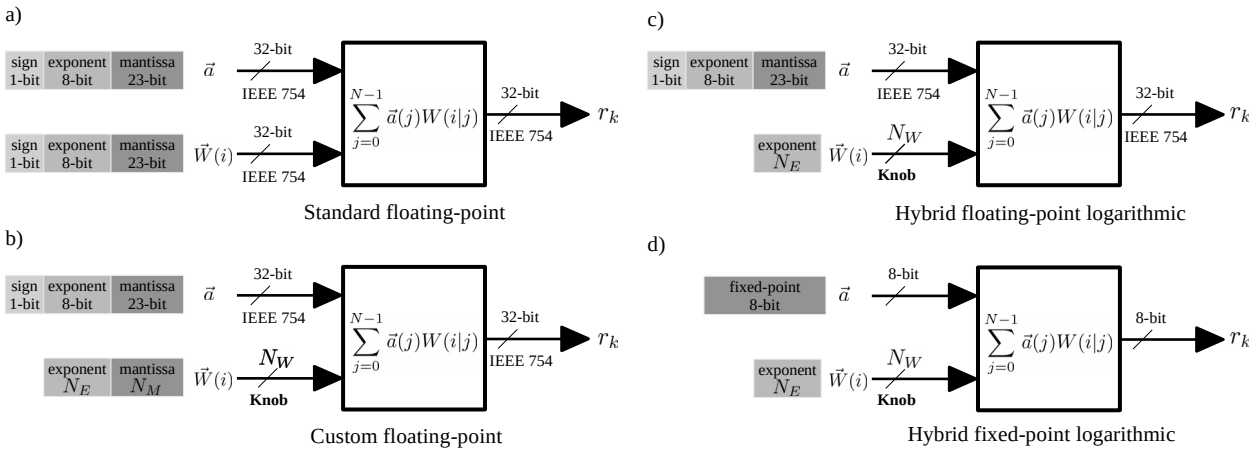

Fig. 1. Dot-product hardware module with (a) standard floating-point (IEEE 754) arithmetic, (b) hybrid custom floating-point approximation, (c) hybrid floating-point logarithmic approximation, and (d) hybrid fixed-point logarithmic approximation.

3.1 Purpose of Impulse application

The purpose of this project is to explore the implementation of hardware design approaches from our previous research in practical applications of CNN machine vision in IoT devices. As one of the objectives of my PhD project, I developed a fully functional and scalable hardware architecture for computing SbS networks in embedded systems [1]. This hardware architecture is optimized with a computational module with hybrid custom floating-point and logarithmic vector dot-product approximation. Given that the vector dot-product is a computational block widely used in CNN and in image/video processing algorithms [27], [28], today we propose to explore and evaluate the performance of our processing block in practical state-of-the-art computer vision applications.

Aside from my doctoral project, the evaluation of our proven hardware design techniques on practical CNN applications represents a promising contribution to the field of hardware architectures for machine learning on mobile devices. For this, we selected four practical applications of computer vision that will be carried out as master thesis. The results will be reviewed and remarkable findings will be presented in conference and journal publications. This will contribute to my doctoral dissertation and to state-of-the-art knowledge. In addition, this project will provide

experience to students and ultimately this will contribute to the development of the local industry.

3.2 Project implementation

For the project implementation, we initially offer four master thesis topics: (1) face mask detection, (2) video surveillance, (3) advanced driver assistance system (ADAS), and (4) semantic segmentation for autonomous driving. The progress of the work will be closely supervised for its appropriate methodology and development. The schedule of each thesis has a flexible duration of six months, each individual thesis is handled separately. For this purpose, as a prerequisite, it is necessary the hardware equipment requested in this proposal. If the resources are granted, the duration of this project is one year, the starting date is planned for May 2021 and the completion date is May 2022.

4 Cooperations

There is no third party cooperation.

5 Links to other projects receiving third-party funding

My Ph.D. is sponsored by the Consejo Nacional de Ciencia y Tecnologia – CONACYT (the Mexican National Council for Science and Technology). My scholarship covers university fees, insurance, and living expenses. However, it does not cover materials and equipment.

6 Costs

6.1 Outline of costs

Item	Quantity	Description	Unit price	Amount
1	4	Ultra96-V2 Zynq UltraScale+ ZU3EG Dev. board. https://de.farnell.com/avnet/aes-ultra96-v2-g/sbc-arm-cortex-a53-cortex-r5/dp/3050481	€202.67	€810.68
2	4	USB to JTAG/UART adapter for Ultra96 Dev. board. https://de.farnell.com/yageo/aes-acc-u96-jtag/usb-zu-jtag-uart-pod/dp/2915522?MER=sy-me-pd-mi-acce	€36.06	€144.24
3	4	Power supply kit, 12 V, 4 A, for Ultra96 Dev. boards. https://de.farnell.com/votoo/vp-1204000/netzteil-kit-12v-4a/dp/2921438?MER=sy-me-pd-mi-acce	€19.95	€79.80
4	2	Webcam, BRIO 4K. https://de.farnell.com/en-DE/logitech/960-001106/webcam-brio-4k/dp/3403183?st=webcam	€192.04	€384.08
5	2	Webcam, HD Pro, 1280 x 720p resolution, 3MP. https://de.farnell.com/en-DE/logitech/960-001063/hd-pro-webcam-3mp-720p/dp/2675982?st=webcam	€34.79	€69.58
			Total	**€1,488.38**

7 References

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Yarib Israel Nevárez Esparza.

Place and date of birth: Durango, Mexico. 05/26/1986
Nationality: Mexican
Marital status: Single
Profession: Electronics engineer

Residence City: Bremen
Country: Germany
Address: Luisental 29 C, 6-123
Zip Code: 28359
Cell phone: +49 178 8936794

E-mail: nevarez@item.uni-bremen.de, yarib_007@hotmail.com
LinkedIn: Yarib Nevarez Esparza
GitHub portfolio: YaribNevarez (Yarib Nevarez) https://github.com/YaribNevarez

Education.

Spring 2009	**Bachelor of Science in Electronics engineering** Instituto Tecnológico de Durango Durango, México.
Fall 2017	**Master of Science in Embedded Systems Design** University of Applied Sciences Bremerhaven Bremerhaven Bremen, Germany

Professional experience.

January 2009 – June 2011 Mexico	**Texas Instruments** (*Embedded software engineer – contractor)* Support and development of embedded GUI applications. Software architecture and development of data structures for handling color and images for TI – NSPIRE Graphic Calculator.
June 2011 – March 2012 Mexico	**IBM** (*Software engineer – contractor)* Software architecture and development of infrastructure for parsing compressed data. Design and development of Qt application tool for Anaconda 4th generation storage library, and support of MFC application tool for TS3500 storage library.
March 2012 – March 2013 Mexico	**Continental Automotive (***Embedded software engineer)* Support of firmware bootloaders and drivers for automotive cluster panel of GM and Chrysler. Platform adaptation for cluster panel of Suzuki. Implementation of new features for Toyota bootloader.
March 2013 – September 2015 Mexico	**IBM TOSHIBA TGCS (***Staff software engineer)* Operating system field defect support, Linux–4690 POS machines. Field defect support and development of customer application for POS machines.
October 2015 – March 2017 Germany	**University of Applied Sciences Bremerhaven (***Student - Master of Science In Embedded Systems Design)* Real-time software, model-based software development, digital systems - VHDL, AP SoC design, discrete control, DSP design, and analog-digital instrumentation.
May 2017 – October 2017 Germany	**E.I.S. Electronics GmbH (***Master thesis intern)* Thesis: “Concept of smart avionics controller involving the integration of internet protocols, file systems, data acquisition, processing, and storage.” Platform: FPGA SoC (ARM Cortex-A9), and embedded Linux.
January 2018 - May 2019 The USA remote work	**Carbon Robotics Inc (***Embedded Systems Engineer)* Hardware/Software Co-Design of platform based on Xilinx Zynq device. VHDL hardware implementation. Linux device drivers development for dedicated hardware. Support and development of middleware and low-level layers of robotic drivers for UNIX systems. Support and development of GUI Qt application tools for robotics.

Yarib Israel Nevárez Esparza
April 6th, 2021

Universität Bremen

Universität Bremen | Postfach 33 04 40, 28334 Bremen

Herrn
Yarib Nevarez
Luisental 29 C, App. 6-123

28359 Bremen

Bremen, d. 20.04.2021
Unser Zeichen: 465

Fachbereich 01
Physik / Elektrotechnik

Angela Jöstingmeier
Geschäftsstelle Promotionsausschuss Dr.-Ing.

NW 1 / S 2360
Otto-Hahn-Allee 1

28359 Bremen

Tel. 0421/218-62709

E-Mail: joestingmeier@fb1.uni-bremen.de
www.uni-bremen.de/fb1/studium/promotion

Annahme als Doktorand

Sehr geehrter Herr Nevarez,

der Promotionsausschuss Dr.-Ing. beschloss am 16.04.2021 Ihre Annahme als Doktorand gem. § 5 der Promotionsordnung (Dr.-Ing.) v. 27.01.2015 mit dem Thema:

„Deep Neural Network Accelerators Based on Approximation Techniques for Energy-Efficient Industrial Internet-of-Things"

unter der Betreuung von Herrn Prof. Dr.-Ing. García- Ortiz.

Nach § 5 (3) gilt die Annahme als Doktorand zunächst für 4 Jahre und kann auf begründeten Antrag des Doktoranden nach Stellungnahme des Betreuers verlängert werden, wenn mit einer erfolgreichen Promotion in angemessener Zeit zu rechnen ist.

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