



# Accelerating Spike-by-Spike Neural Networks with Approximate Dot-Product on FPGA

YARIB NEVAREZ<sup>1</sup>, DAVID ROTERMUND<sup>2</sup>, KLAUS R. PAWELZIK<sup>3</sup>, ALBERTO GARCIA-ORTIZ<sup>4</sup> (Member, IEEE),

<sup>1</sup>Institute of Electrodynamics and Microelectronics, University of Bremen, Bremen 28359, Germany (e-mail: nevarez@item.uni-bremen.de)

<sup>2</sup>Institute for Theoretical Physics, University of Bremen, Bremen 28359, Germany (e-mail: davrot@neuro.uni-bremen.de)

<sup>3</sup>Institute for Theoretical Physics, University of Bremen, Bremen 28359, Germany (e-mail: pawelzik@neuro.uni-bremen.de)

<sup>4</sup>Institute of Electrodynamics and Microelectronics, University of Bremen, Bremen 28359, Germany (e-mail: agaracia@item.uni-bremen.de)

Corresponding author: Yarib Nevarez (e-mail: nevarez@item.uni-bremen.de).

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**ABSTRACT** The Spike-by-Spike (SbS) neural network algorithm is a powerful machine learning (ML) technique for image classification with an exceptional noise robustness. However, deep SbS networks are highly compute and data intensive, requiring new approaches to improve the deployment efficiency in resource-limited devices. In this paper, leveraging its intrinsic error-resilience, we accelerate SbS neural networks with a dot-product hardware unit based on approximate computing with a quality configurable design. This approach reduces computational latency, memory footprint, and power dissipation while preserving accuracy and noise robustness. Additionally, the proposed design is compatible with standard floating-point and adaptable as a building block for other error-resilient applications. To demonstrate our approach, we address a design exploration flow using high-level synthesis and a Xilinx FPGA. As a result, the proposed design achieves up to  $20.49\times$  latency enhancement,  $8\times$  synaptic memory footprint reduction, and less than 0.5% of accuracy degradation on handwritten digit recognition task.

**INDEX TERMS** Artificial intelligence, spiking neural networks, approximate computing, logarithmic, parameterisable floating-point, optimization, hardware accelerator, embedded systems, FPGA

## I. INTRODUCTION

THE exponential improvement in computing performance and the availability of large amounts of data are boosting the use of Artificial Intelligent (AI) applications in our daily lives. Among the various algorithms developed over the years, neural networks (NNs) have demonstrated remarkable performance in a variety of image, video, audio, and text analytics tasks [1], [2]. Historically, ANNs can be classified into three different generations [3]: the first one is represented by the classical McCulloch and Pitts neuron model using discrete binary values as outputs; the second one is represented by more complex architectures as Multi-Layer Perceptrons and Convolutional Neural Networks (CNN) using continuous activation functions; while the third generation is represented by Spiking Neural Networks (SNNs) using spikes as means for information exchange between groups of neurons. Although the AI field is currently dominated by Deep Neural

Networks (DNN) from the second generation, nowadays the SNNs belonging to the third generation are receiving considerable attention [3]–[6] due to their advantages in terms of robustness and the potential to achieve a power efficiency close to that of the human brain (see section III-A for more details).

Among the family of SNNs, the SbS neural network [5] is inspired by the natural computing of the mammalian brain, being a biologically plausible approach although with less complexity than other SNNs. The SbS model differs fundamentally from conventional ANNs since (a) the building block of the network are inference populations (IP) which are an optimized generative representation with non-negative values, (b) time progresses from one spike to the next, preserving the property of stochastically firing neurons, and (c) a network has only a small number of parameters, which is an advantageous stochastic version of Non-Negative Matrix

Factorization (NNMF), which is noise-robust and easy to handle. In regard to biological realism and computational effort to simulate neural networks, these properties place the SbS network in between non-spiking NN and stochastically spiking NN [7].

Although SbS networks provide numerous advantages over traditional ANNs and CNNs, deep SbS networks are highly compute and data intensive, representing a challenge for efficient deployment in resource-limited devices. As an emerging SNN algorithm, most SbS models use floating-point numerical representation, which imposes high complexity of the required circuits for the floating-point operations. Model quantization has the potential to improve computational performance; however, this solution is often accompanied by quantization-aware training methods that, in some cases, are problematic or even inaccessible, particularly in deep SNN algorithms [8].

As an alternative, based on the relaxed need for fully precise or deterministic computation of neural networks, approximate computing techniques allow substantial enhancement in processing efficiency with moderated accuracy degradation. Some research papers have shown the feasibility of applying approximate computing to the inference stage of neural networks [9]–[12]. Such techniques usually demonstrated small inference accuracy degradation, but significant enhancement in computational performance, resource utilization, and energy consumption. Hence, by taking advantage of the intrinsic error-tolerance of neural networks, approximate computing is positioned as a promising approach for inference on resource-limited devices.

In this paper, we accelerate SbS neural networks with a dot-product hardware design based on approximate computing, this approach leverages the intrinsic error-tolerance of neural networks. For quality configurability, we parameterized the mantissa bit-width of the floating-point synaptic-weight vector. As a design parameter, the mantissa bit-width provides a tunable knob to trade-off for resource utilization versus quality of result (QoR) [13], [14], influencing the overall accuracy of the neural network. Since the lower-order bits have smaller significance than the higher-order bits, approximating them may have only a minor impact on QoR [15], [16]. Further on, we can completely remove the mantissa bits in order to use only the exponent of a floating-point representation. Therefore, the worst-case quality configuration becomes a logarithmic representation, which consequently leads to meaningful architectural-level optimizations using only adders and shifters for dot-product approximation in hardware.

Our main contributions are as follows:

- We develop a hardware component for dot-product approximation. To perform the sum of pairwise products of two vectors, this hardware module has the following three design features: (1) the pairwise product is approximated by adding integer exponents, and the sum of products is done by accumulating denormalized integer products, which increases computational throughput;

(2) the synaptic weight vector uses either reduced custom floating-point or logarithmic representation, which reduces memory footprint; and (3) the neuron vector uses either standard or custom floating-point representation, which preserves QoR and overall inference accuracy.

- We address a design exploration with the proposed dot-product approximation using synaptic weight vector with 1-bit mantissa as well as completely neglected. We evaluate computational latency, accuracy degradation, noise robustness, resource utilization and power dissipation. Experimental results demonstrate  $20.49\times$  latency enhancement versus CPU, and less than 0.5% of accuracy degradation on MNIST classification task.
- We present a noise robustness report as an application-specific quality metric. This examination illustrates the overall impact of the proposed approximation technique on the accuracy of the SbS neural network. The experimental results demonstrates a 5% of accuracy degradation on MNIST classification task with 50% amplitude of positive additive uniformly distributed noise on the input images.
- Our proposed design for dot-product approximation is adaptable as a building block for other error-resilient applications.

The rest of the paper is organized as follows. Section II covers the related work; Section III introduces the background to SbS networks; Section IV describes the system design and the approximate dot-product hardware module; Section V presents the experimental results thorough a design exploration flow; Section VI concludes the paper.

To promote the research on SbS networks, our design exploration framework is made available to the public as an open-source project at <http://www.ids.uni-bremen.de/sbs-framework.html>

## II. RELATED WORK

### A. APPROXIMATE COMPUTING IN NEURAL NETWORKS

Approximate computing has been used in a wide range of applications to increase the computational efficiency in hardware [14]. For neural network applications, two main approximation strategies are used, namely network compression and classical approximate computing [17].

#### 1) Network compression

Researchers focusing on embedded applications started lowering the precision of weight and activation map to shrink the memory footprint of the large number of parameters representing ANNs, a method known as network compression or quantization. Taking advantage of the intrinsic error-tolerance of neural networks, as well as their ability to compensate for approximation while training, reduced bit precision causes a small accuracy loss [18]–[21].

In hardware deployment, weight quantization (WQ) has shown up to  $2\times$  improvement in energy consumption with an accuracy degradation of less than 1% [22], [23]. Some

advanced quantization methods yield to binary neural networks (BNNs) allowing the use of XNORs instead of the conventional costly MACs [21]. In [24], Sun et al. report an accuracy of 98.43% on MNIST with a simple BNN. Hence, quantization is a powerful tool for improving the energy efficiency and memory requirements of ANN accelerators, with limited accuracy degradation.

These methods can be used for SNNs as well. In [25], Rathi et al. report up to  $3.1\times$  improvement in energy consumption with an accuracy loss of around 3%. WQ allows the designer to realize a tradeoff between the accuracy of the SNN application against energy and area utilization of the neural networks. Approximate computing can also be applied at the neuron level, where irrelevant units are deactivated to reduce the computation cost of the SNNs [26]. This computation skipping can be applied randomly on synapses, training ANNs with stochastic synapses improves generalization, resulting in a better accuracy [27], [28]. Such method is compatible with SNNs and has been tested both during training [29], [30] and operation [31], and even to define the connectivity between layers [32], [33]. Implementations of spiking neuromorphic systems in FPGA [34] and hardware [35] demonstrated that synaptic stochasticity allows to increase the final accuracy of the networks while reducing memory footprint.

Quantization is therefore a powerful technique to improve energy efficiency and memory requirements of ANN and SNN accelerators, with small accuracy degradation; however, this approach requires quantization-aware training methods that, in some cases, are problematic or even inaccessible, particularly in emerging deep SNN algorithms [8].

## 2) Classical approximate computing

This approach consists of designing processing elements that approximate their computation by employing modified algorithmic logic units [14]. In [36], Kim et al. have shown SNNs using carry skip adders achieving  $2.4\times$  latency enhancement and 43% more energy efficiency, with an accuracy degradation of 0.97% on MNIST classification task. Therefore, approximate computing provides important enhancement in energy efficiency and processing speed.

However, as the complexity of the dataset increases, as well as the depth of the network topology, such as ResNet [37] on ImageNet [38], the accuracy degradation becomes more important and may not be negligible anymore [21], especially for critical applications such as autonomous driving. Therefore, it is not certain that network compression techniques and approximate computing are suitable for any application.

## B. SPIKE-BY-SPIKE NEURAL NETWORKS ACCELERATORS

In an earlier research, Rotermund et al. demonstrated the feasibility of a neuromorphic SbS IP on a Xilinx Virtex 6 FPGA [39]. It provides a massively parallel architecture, optimized for memory access and suitable for ASIC implementations.

Nonetheless, this design is considerably resource-demanding to be implemented as a complete SbS network in today's embedded technology. In [40], we presented a cross-platform accelerator framework for design exploration and testing of fully functional SbS network models in embedded systems. As a hardware/software (HW/SW) co-design solution, this framework offers a comprehensive high level software API that allows the construction of scalable sequential SbS networks with configurable hardware acceleration. We use this design exploration framework to investigate approximate computing for efficient deployment of deep SbS networks on resource-limited devices.

## III. BACKGROUND

### A. SPIKE-BY-SPIKE NEURAL NETWORKS

As a generative model [5], the SbS model iteratively finds an estimate of its input probability distribution  $p(s)$  (i.e. the probability of input node  $s$  to stochastically send a spike) by its latent variables via  $r(s) = \sum_i h(i)W(s|i)$ . An inference population sees only the spikes  $s_t$  (i.e. the index identifying the input neuron  $s$  which generated that spike at time  $t$ ) produced by its input neurons, not the underlying input probability distribution  $p(s)$  itself. By counting the spikes arriving at a group of SbS neurons,  $p(s)$  is estimated by  $\hat{p}(s) = 1/T \sum_t \delta_{s,s_t}$  after  $T$  spikes have been observed in total. The goal is to generate an internal representation  $r(s)$  from the string of incoming spikes  $s_t$  such that the negative logarithm of the likelihood  $L = C - \sum_\mu \sum_s \hat{p}_\mu(s) \log(r_\mu(s))$  is minimized.  $C$  is a constant which is independent of the internal representation  $r_\mu(s)$  and  $\mu$  denotes one input pattern from an ensemble of input patterns. Applying a multiplicative gradient descent method on  $L$ , an algorithm for iteratively updating  $h_\mu(i)$  with every observed input spike  $s_t$  could be derived

$$h_\mu^{new}(i) = \frac{1}{1+\epsilon} \left( h_\mu(i) + \epsilon \frac{h_\mu(i)W(s_t|i)}{\sum_j h_\mu(j)W(s_t|j)} \right) \quad (1)$$

where  $\epsilon$  is a parameter that controls the strength of sparseness of the distribution of latent variables  $h_\mu(i)$ . Furthermore,  $L$  can also be used to derive online and batch learning rules for optimizing the weights  $W(s|i)$ .

Fundamentally, SbS is a stochastic gradient descent dynamics consistent with Non-Negative Matrix Factorization (NNMF) having several advantages. The stochasticity of gradient descent could in principle overcome local minima. Furthermore, it favors sparse solutions with little fluctuations (which is the case for overcomplete representations). Finally this specific mechanism for inducing sparseness selects those sparse solutions that are robust against noise in the inputs.

In SbS, the expected change at a given h-state (i.e.  $\Delta h_i^{s_t} \propto \left\langle \frac{p(s_t|i)h_i}{\sum_j p(s_t|j)h_j} - 1 \right\rangle_{p(s_t)}$  for all  $i \in (1, \dots, N)$ ) is exactly the same we would have in a low pass version of NNMF ( $\Delta h_i = \sum_s \frac{p(s)p(s|i)h_i}{\sum_j p(s|j)h_j} - 1$ ). Then, for each given h-state  $h$ , the changes of  $h$  induced by SbS consist of the expected vector  $\Delta h$  plus fluctuations  $\eta_i(s_t)$  with  $\langle \eta_i(s_t) \rangle = 0$



**FIGURE 1.** (a) Performance classification of SbS NN versus equivalent CNN, and (b) Example of the first pattern in the MNIST test data set with different amounts of noise.

(i.e.  $\Delta h_i^{st} = \sum_s \frac{p(s)p(s|i)h_i}{\sum_j p(s|j)h_j} + \eta_i(s_t)$ ). Thus, SbS performs a random walk with mean  $\Delta h$  and some variance and we have a stochastic process in h-space with the correct drift ( $\Delta h$ ) and diffusion. Such processes drift towards states where the drift vanishes except for remaining fluctuations. Thus, it produces a Brownian motion finally leading to a probability density for h-states centered around the fixed point.

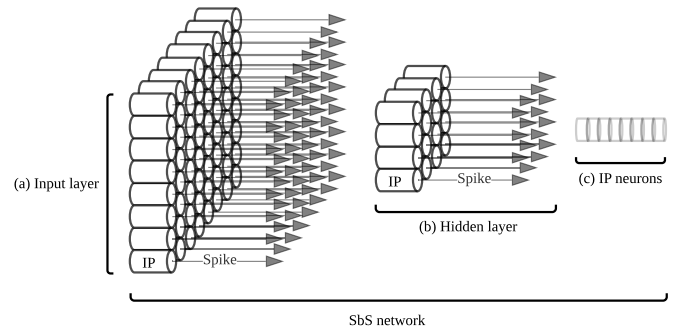
An example of the robustness of SbS is presented in **Fig. 1**. It compares the classification performance of a SbS network and a tensor flow network, with the same amount of neurons per layer as well as the same layer structure. We trained on MNIST dataset [41] without noise (see [7] for details). It shows the correctness for the MNIST test set with its 10000 patterns in dependency of the noise level for positive additive uniformly distributed noise. The blue curve shows the performance for the tensor flow network, while the red curve shows the performance for the SbS network with 1200 spikes per inference population. Beginning with a noise level of 0.1, the respective performances are different with a p - level of at least  $10^{-6}$  (tested with the Fisher exact test). Increasing the number of spikes per SbS population to 6000 (performance values shown as black stars), shows that more spike can improve the performance under noise even more.

The SbS network algorithm is highly suitable for parallelization. SbS network models are constructed in sequential layered structures, each layer consists of many IPs which can be simulated independently while the communication between the IPs is organized by a low bandwidth signal – the spikes [42]. Technically, each IP is an independent computational entity (see **Fig. 2**), this allows to design specialized hardware architectures that can be massively parallelized.

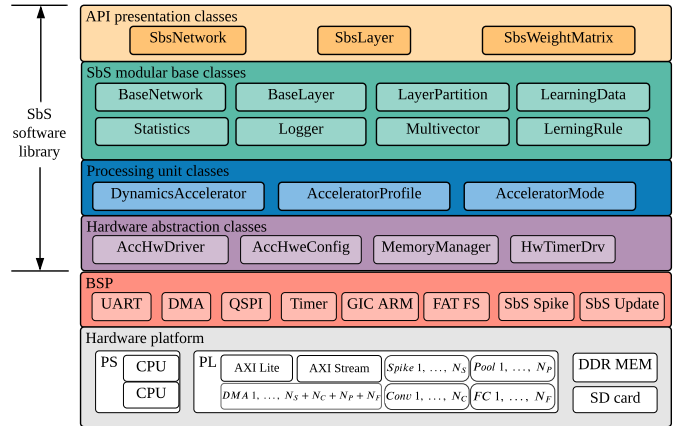
#### IV. SYSTEM DESIGN

In this section, we introduce the system design of [40], as an accelerator framework of SbS networks for inference and incremental learning in embedded systems. In principle, this architecture is a hardware/software cross-platform for design exploration and deployment of scalable SbS networks on FPGA.

Regarding the software architecture, this is structured as a



**FIGURE 2.** (a) Illustrates an input layer with a massive amount of IPs operating as independent computational entities. (b) Illustrate a hidden layer with an arbitrary amount of IPs as independent computational entities. (c) Illustrates a set of neurons grouped in an IP.



**FIGURE 3.** System-level overview of the software architecture.

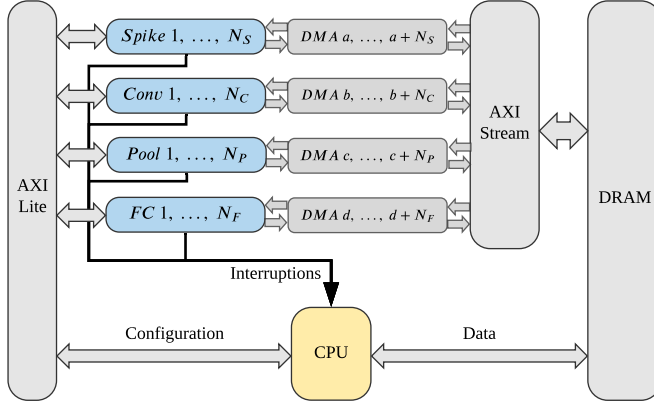
layered object oriented application framework written in C language. This offers a comprehensive high level software API that allows the construction of scalable sequential SbS networks with configurable hardware acceleration. Conceptually this design is modular, reusable, and extensible. The overall structure is depicted in **Fig. 3**.

#### A. HARDWARE ARCHITECTURE

As a hardware/software co-design, the system architecture is a CPU+FPGA-based platform, where the acceleration of SbS network computation is based on asynchronous execution of parallel heterogeneous PUs: *Spike* (input layer), *Conv* (convolution), *Pool* (pooling), and *FC* (fully connected). Each PU is connected through an AXI-Lite interface for the operational mode configuration, and AXI-Stream interfaces for data transfer via Direct Memory Access (DMA) allowing data movement with high transfer rate. Each PU asserts an interrupt flag once the task or transaction is complete, this interrupt event is handled by the CPU to collect results and start a new transaction.

The hardware architecture can resize its resource utilization by changing the number of PUs instances, this provides a good tradeoff between area and throughput (see **Fig. 4**).





**FIGURE 4.** System overview of the proposed architecture with scalable number of heterogeneous PUs: *Spike*, *Conv*, *Pool*, and *FC*

The dedicated PUs for *Conv*, and *FC* implement the proposed approximate dot-product as a system component. The PUs are written in C using Vivado HLS (High-Level Synthesis) tool. In this publication, we illustrate the integration of the approximate dot-product component on the *Conv* processing unit.

### B. CONV PROCESSING UNIT

This hardware module computes the IP dynamics defined by Eq. (1) and offers two modes of operation: *configuration* and *computation*.

#### 1) Configuration mode

In this mode of operation, the PU receives and stores in on-chip memory the parameters to compute the IP dynamics:  $\epsilon$  as the epsilon,  $N$  as the length of  $h_\mu \in \mathbb{R}^N$ ,  $K \in \mathbb{N}$  as the size of the convolution kernel, and  $H \in \mathbb{N}$  as the number of IPs to process per transaction, this is the number of IPs in a layer or a partition.

Additionally, the processing unit also stores in on-chip memory the synaptic weight matrix using a number representation with a reduced memory footprint. Fundamentally, the synaptic weight matrix is defined by  $W \in \mathbb{R}^{K \times K \times M \times N}$  with  $0 \leq W(s_t|j) \leq 1$  and  $\sum_{j=0}^{N-1} W(s_t|j) = 1$  [7]; hence,  $W$  employs only positive normalized real numbers. Therefore, for quality configurability,  $W$  is deployed using a reduced floating-point or logarithmic representation as flows:

- Custom floating-point. In this case,  $W$  is deployed with a reduced floating-point representation using the necessary bit width for the exponent and for the mantissa according to the given application. For example, 4-bit exponent, 1-bit mantissa; as a result: 5-bit custom floating-point.
- Logarithmic. In this case, the synaptic weight matrix is  $W \in \mathbb{N}^{K \times K \times M \times N}$  with positive natural numbers. Since  $0 \leq W(s_t|j) \leq 1$  and  $\sum_{j=0}^{N-1} W(s_t|j) = 1$ ,  $W$  has only negative values in the logarithmic domain; hence, the sign bit is avoided, and the values are represented in its positive version. Therefore,  $W$  is deployed

with a representation using the necessary bit width for the exponent according to the given application. For example, 4-bit exponent.

The PU can be reconfigured with different synaptic weight matrix and parameters as needed.

#### 2) Computation mode

In this mode of operation, the PU executes a transaction to process a group of IPs using the previously given parameters and synaptic weight matrix. This process operates in six stages as shown in Fig. 5. In the first two stages, the PU receives  $h_\mu \in \mathbb{R}^N$ , then the PU calculates the firing spike, and stores it in  $S^{new} \in \mathbb{N}^H$  (output spike vector). From the third to the fifth stage, the PU receives  $S_t \in \mathbb{N}^{K \times K}$  (input spike matrix), then it computes the update dynamics, and then it dispatches  $h_\mu^{new} \in \mathbb{R}^N$  (updated IP). The process repeats from the first to the fifth stage for  $H$  number of loops (for each IP of the layer or partition). Finally, the  $S^{new}$  is dispatched.

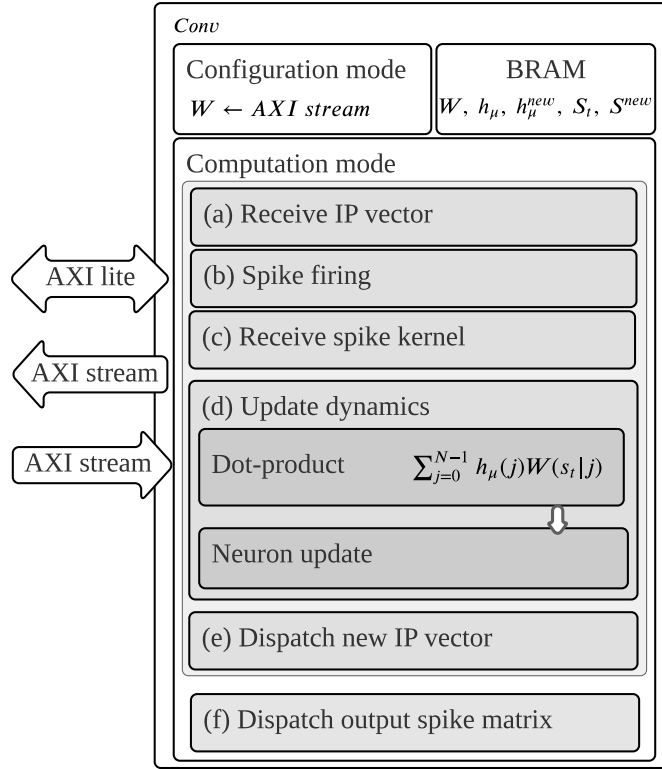
The computation of the update dynamics [see Fig. 5(d)] operates in two modular stages: *dot-product* and *neuron update*. First, the *dot-product* module calculates the sum of pairwise products of  $h_\mu$  and  $W(s_t)$ , while storing each pairwise product as intermediate results. And then, the *neuron update* module calculates Eq. (1) reusing previous results and parameters.

The computation of the dot-product is the main piece of Eq. (1) and represents a considerable computational cost using standard floating-point in non-quantized network models. Fortunately, the pairwise product of  $h_\mu$  and  $W(s_t)$  is identified as an approximable factor in the dot-product piece of Eq. (1). In the following section, we focus on an optimized dot-product hardware design based on approximate computing.

### C. DOT-PRODUCT HARDWARE MODULE

This module is part of an application-specific architecture optimized to approximate the dot-product of arbitrary length, see Eq. (2). For quality configurability, we parameterized the mantissa bit-width of  $W(s_t)$ , which provides a tunable knob to trade-off the resource utilization versus QoR. Since the lower-order bits have smaller significance than the higher-order bits, truncating them may have only a minor impact on QoR, we designate this as custom floating-point approximation. Further on, we completely remove the mantissa bits in order to use only the exponent of a floating-point representation. Hence, the worst-case quality becomes a logarithmic representation, consequently leading to meaningful architectural optimizations using only adders and shifters for dot-product approximation in hardware, we designate this as logarithmic approximation.

In this section, we present three pipelined hardware modules using standard floating-point, custom floating-point, and logarithmic approximation.



**FIGURE 5.** The *Conv* processing unit and its six stages: (a) receive IP vector, (b) spike firing, (c) receive spike kernel, (d) update dynamics, (e) dispatch new IP vector, (f) dispatch output spike matrix.

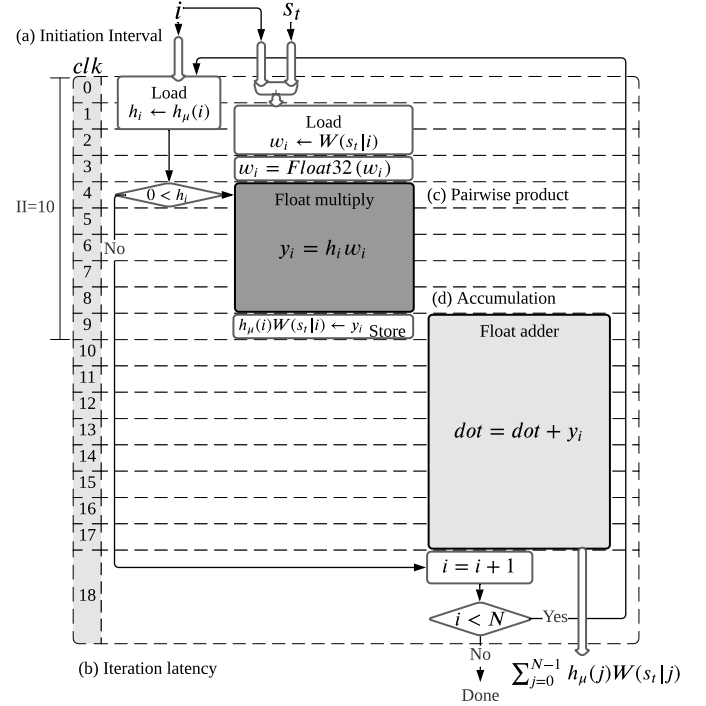
$$r_{\mu}(s_t) = \sum_{j=0}^{N-1} h_{\mu}(j)W(s_t|j) \quad (2)$$

#### 1) Dot-product using standard floating-point computation

The hardware module to calculate the dot-product using standard floating-point computation is shown in **Fig. 6**, this diagram exhibits the hardware blocks and their clock cycle schedule. This module loads both  $h_{\mu}(i)$  and  $W(s|i)$  from BRAM, then the PU executes the pairwise product [**Fig. 6(c)**] and accumulation [**Fig. 6(d)**]. The intermediate results of  $h_{\mu}(j)W(s_t|j)$  are stored in BRAM for reuse in the neuron update. The latency in clock cycles of this hardware module is defined by **Eq. (3)**, where  $N$  is the dot-product length. This latency equation is obtained from the general pipelined hardware latency formula:  $L = (N - 1)II + IL$ , where  $II$  is the initiation interval [**Fig. 6(a)**], and  $IL$  is the iteration latency [**Fig. 6(b)**]. Both  $II$  and  $IL$  are obtained from the high-level synthesis analysis.

$$L_{f32} = 10N + 9 \quad (3)$$

In this design, the high level synthesis tool infers computational blocks with considerable latency cost for standard floating-point. In the case of floating-point multiplication [**Fig. 6(c)**], the synthesis infers a hardware block with



**FIGURE 6.** Dot-product hardware module using standard floating-point computation. (a) Illustrates the iteration interval of 10 clock cycles. (b) Illustrates the iteration latency of 19 clock cycles. (c) Illustrates the pairwise product block in dark-gray. (d) Illustrates the accumulation block in light-gray.

a latency cost of 5 clock cycles; theoretically, this block would handle exponents addition, mantissas multiplication, and mantissa correction if needed. Moreover, in the case of floating-point addition [**Fig. 6(d)**], the synthesis infers a hardware block with a latency cost of 9 clock cycles; theoretically, this block would handle mantissas alignment, addition, and correction if needed. Therefore, the use of standard floating-point in high-level synthesis results in a high computational cost that can be enhanced by a custom design.

#### 2) Dot-product using custom floating-point and logarithmic approximation

The hardware module to calculate dot-product using custom floating-point approximation is shown in **Fig. 7**. In this design,  $h_{\mu}$  uses standard floating-point number representation, and  $W(s)$  uses a positive reduced custom floating-point number representation, where the mantissa bit width is the quality configurability knob, this parameter is tuned by the designer to trade-off between QoR versus resource utilization, thus, energy consumption.

As the worst-case quality configuration, by completely removing the  $W(s)$  mantissa leads to a slightly different hardware architecture using only adders and shifters, which computes the dot-product using logarithmic approximation, this is shown in **Fig. 8**.

Additionally, the exponent bit width of  $W(s)$  is a design parameter for efficient resource utilization, and it is defined based on the application or deployment needs.

The custom floating-point and logarithmic approximation designs work in three phases: *Computation*, *Threshold-test*, and *Result normalization*.

- Phase I, *Computation*:

In this phase, it is calculated the magnitude of the dot-product in a denormalized representation. This is done in two iterative steps: *pairwise product* and *accumulation*. Where *pairwise product* is executed either in custom floating-point or logarithmic computation described below.

- Pairwise product.

- Custom floating-point. As shown in **Fig. 7(c)** in dark-gray, the pairwise product is obtained by adding the exponents and multiplying the mantissa of both  $W(s|i)$  and  $h_\mu(i)$ . If the mantissa multiplication results in an overflow, then it is corrected by increasing the exponent and shifting the resulting mantissa by one position to the right. Then we have  $h_\mu(j)W(s_t|j)$  as an intermediate result which is stored for future reuse on the neuron update calculation. In this design the pairwise product has a latency of 5 clock cycles.

- Logarithmic. As shown in **Fig. 8(c)** in dark-gray, the content values of  $W(s)$  are represented in the logarithmic domain, and  $h_\mu$  in standard floating-point. Hence, the pairwise product is obtained by adding  $W(s|i)$  to the exponent of  $h_\mu(i)$ . In this design the pairwise product has a latency of one clock cycle.

- Accumulation. As shown in both **Fig. 7(d)** and **Fig. 8(d)** in light-gray, first, it is obtained the denormalized representation of  $h_\mu(j)W(s_t|j)$  by shifting its mantissa using its exponent as shifting parameter (barrel shifter). And then, this denormalized representation is accumulated to obtain the approximated magnitude of the dot-product.

The pairwise product and accumulation is an iterative process, the computation latency is given by **Eq. (4)** for custom floating-point, and **Eq. (5)** for logarithmic, where  $N$  is the length of the vectors. Both pipelined hardware modules have the same throughput, since both have two clock cycles as initiation interval.

$$L_{custom} = 2N + 11 \quad (4)$$

$$L_{log} = 2N + 7 \quad (5)$$

- Phase II, *Threshold-test*:

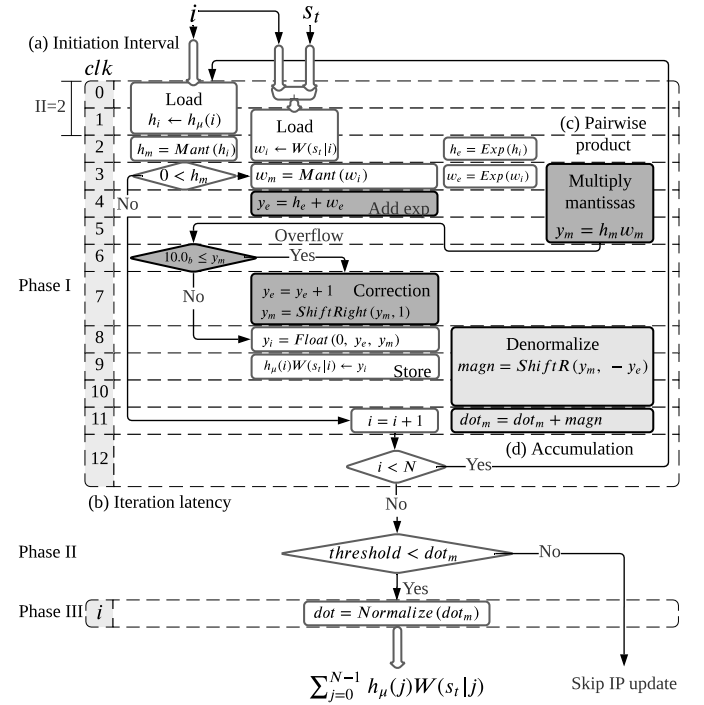
In this phase, the accumulated denormalized magnitude is tested to be above of a predefined threshold, this must be above zero, since the dot-product is a denominator in **Eq. (1)**. If passing the threshold, then the next phase is executed, otherwise the rest of update dynamics is skipped. The threshold-test takes one clock cycle.

- Phase III, *Result-normalization*:

In this phase, the dot-product is normalized to obtain the exponent and mantissa in order to build a standard floating-point for later use in the neuron update. The normalization is obtained by shifting the approximated dot-product magnitude in a loop until it is in the form of a normalized mantissa where the iteration count represents the negative exponent of the dot-product, each iteration takes one clock cycle.

The total latency of the hardware module using custom floating-point and logarithmic computation is the accumulated latency of the three phases.

The proposed architecture using custom floating-point and logarithmic computation overcomes the performance of the design using standard floating-point. The performance enhancement is achieved by decomposing the floating-point computation into an advantageous handling of exponent and mantissa using intermediate accumulation in denormalized representation and one final normalization.

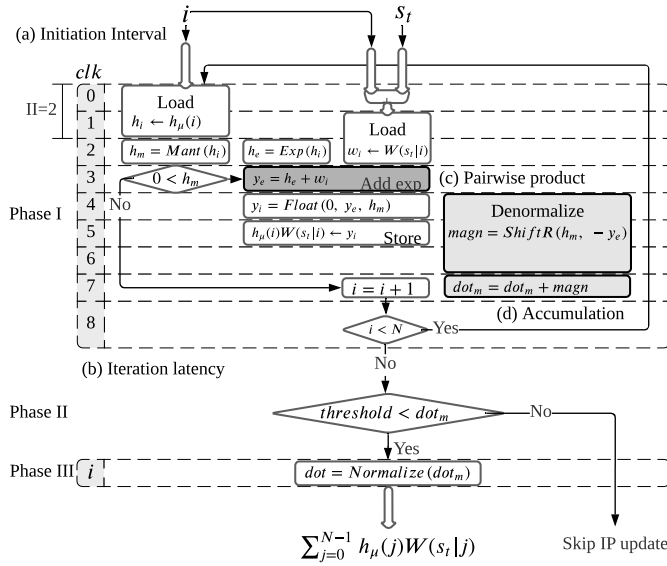


**FIGURE 7.** Dot-product hardware module using custom floating-point computation. (a) Illustrates the iteration interval of 2 clock cycles. (b) Illustrates the iteration latency of 13 clock cycles. (c) Illustrates the pairwise product blocks in dark-gray. (d) Illustrates the accumulation blocks in light-gray.

## V. EXPERIMENTAL RESULTS

The proposed architecture is demonstrated on a Xilinx Zynq-7020. This device integrates a dual ARM Cortex-A9 based processing system (PS) and programmable logic (PL) equivalent to Xilinx Artix-7 (FPGA) in a single chip [43]. The Zynq-7020 architecture conveniently maps the custom logic and software in the PL and PS respectively as an embedded system.





**FIGURE 8.** Dot-product hardware module using logarithmic computation. (a) Illustrates the iteration interval of 2 clock cycles. (b) Illustrates the iteration latency of 9 clock cycles. (c) Illustrates the pairwise product block in dark-gray. (d) Illustrates the accumulation blocks in light-gray.

In this platform, we implement the proposed hardware architecture to deploy an SbS network structure for MNIST classification task as shown in **Fig. 9**. The training of the SbS model is performed in Matlab and the resulting synaptic weight matrices are deployed on the embedded system. The SbS network is built as a sequential model using the API from the SbS embedded software framework [40], where the computation of the network is distributed among the hardware processing units and the CPU.

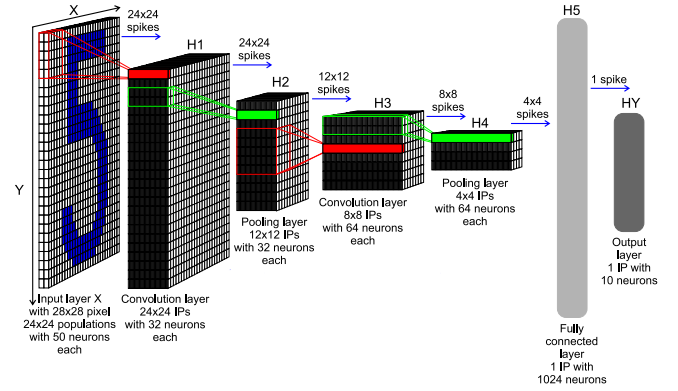
For the evaluation of our approach, we elaborate a design exploration reviewing the computational latency, inference accuracy, noise robustness, resource utilization, and power dissipation. First, we benchmark the performance of SbS network simulation using standard floating-point computation on CPU, and then hardware processing units. Afterwards, we evaluate our dot-product architecture addressing a design exploration using custom floating-point, and then logarithmic computation. Finally, we present a comparison table of the given results.

### A. PERFORMANCE BENCHMARK

#### 1) Benchmark on CPU

We examine the performance of the CPU for SbS network simulation with no hardware coprocessing. In this case, the embedded software builds the SbS network as a sequential model mapping the entire computation to the CPU (ARM Cortex-A9) at 666 MHz and a power dissipation of 520mW.

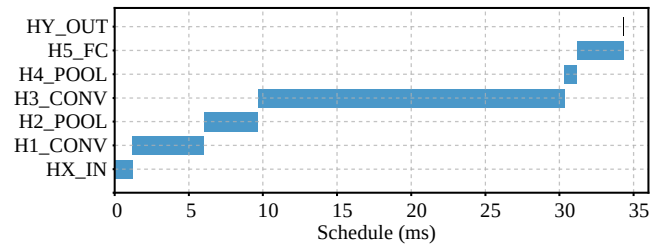
The SbS network computation on the CPU achieves a latency of 34.279ms per spike with an accuracy of 99.3% correct classification on the 10,000 image test set at 1000 spikes. The latency and schedule of the SbS network computation are displayed in **Tab. 1** and **Fig. 10** respectively.



**FIGURE 9.** SbS network structure for MNIST classification task. Input X: Input layer with  $28 \times 28$  normalization modules for  $28 \times 28$  input pixel. From this layer spikes are send to layer H1. H1: Convolution layer H1 with  $24 \times 24$  IPs with 32 neurons each. Every IP processes the spikes from  $5 \times 5$  spatial patches of the input pattern ( $x$  and  $y$  stride is 1). H2:  $2 \times 2$  pooling layer H2 with  $12 \times 12$  IPs with 32 neurons each. The weights between H1 and H2 are not learned but set to a fixed weight matrix that creates a competition between the 32 features of H1. H3:  $5 \times 5$  convolution layer H3 ( $x$  and  $y$  stride is 1) with  $8 \times 8$  IPs. Similar to H1 but with 64 neuron for each IP. H4:  $2 \times 2$  pooling layer H4 ( $x$  and  $y$  stride is 2) with  $4 \times 4$  IPs with 64 neurons each. This layer is similar to layer H2. H5: Fully connected layer H5. 1, 024 neurons in one big IP which are fully connected to layer H4 and output layer HY. HY: Output layer HY with 10 neurons for the 10 types of digits. selected.

**TABLE 1.** Computation on CPU.

Layer	Latency (ms)
HX_IN	1.184
H1_CONV	4.865
H2_POOL	3.656
H3_CONV	20.643
H4_POOL	0.828
H5_FC	3.099
HY_OUT	0.004
TOTAL	34.279

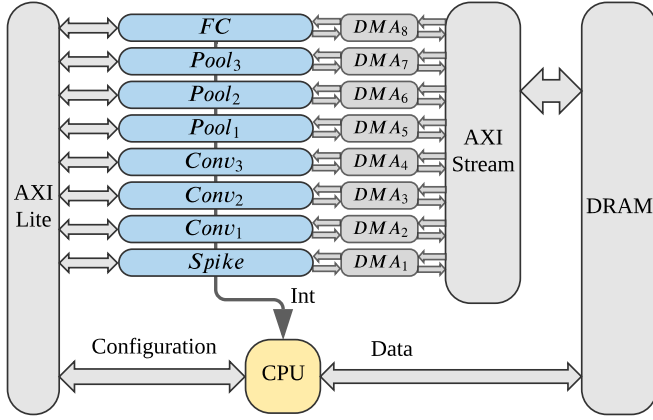


**FIGURE 10.** Computation on CPU.

#### 2) Benchmark on processing units using standard floating-point

To benchmark the computation on hardware PUs using standard floating-point, we implement the system architecture shown in **Fig. 11**. In this case, the embedded software builds the SbS network as a sequential model mapping the network computation to the hardware processing units at 200 MHz as clock frequency.

In this deployment, it is distributed the computation workload of H2\_POOL and H3\_CONV among two PUs each one, since these are the heaviest pooling and convolution layers

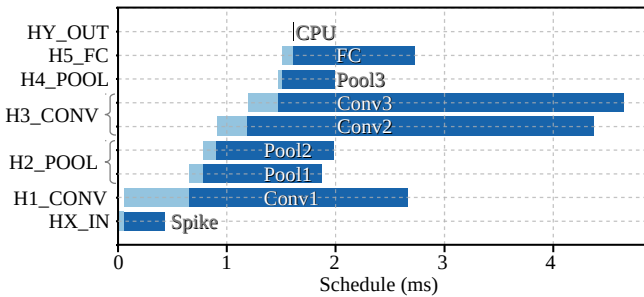


**FIGURE 11.** System overview of the proposed architecture with 8 processing units.

respectively. The output layer  $HY\_OUT$  is fully processed by the CPU, since it is the lightest one. The hardware mapping and the computation schedule of this deployment are displayed in **Tab. 2** and **Fig. 12**.

**TABLE 2.** Performance of processing units using standard floating-point computation.

Hardware mapping		Computation schedule (ms)			
Layer	PU	$t_s$	$t_{CPU}$	$t_{PU}$	$t_f$
HX_IN	Spike	0	0.056	0.370	0.426
H1_CONV	Conv1	0.058	0.598	2.002	2.658
H2_POOL	Pool1	0.658	0.126	1.091	1.875
	Pool2	0.785	0.125	1.075	1.985
H3_CONV	Conv2	0.911	0.280	3.183	4.374
	Conv3	1.193	0.279	3.176	4.648
H4_POOL	Pool3	1.473	0.037	0.481	1.991
H5_FC	FC	1.512	0.101	1.118	2.731
HY_OUT	CPU	1.615	0.004	0	1.619

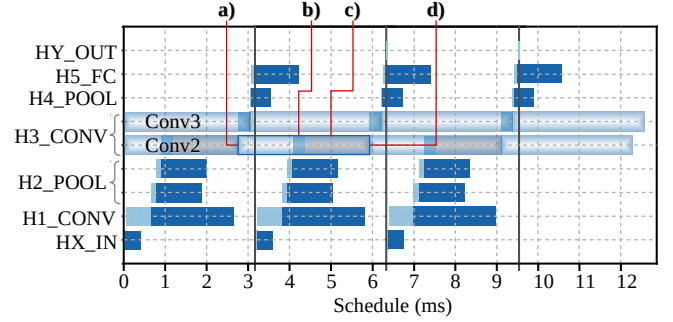


**FIGURE 12.** Performance of processing units using standard floating-point computation.

In the computation schedule, the following terms are defined:  $t_s(n)$  as the start time of the layer (as a computation node)  $n \in L$  where  $L$  represents the set of layers,  $t_{CPU}(n)$  as the CPU preprocessing time,  $t_{PU}(n)$  as the PU latency, and  $t_f(n)$  as the finish time. The  $t_{CPU}(n)$  is the period of time in which the CPU writes a DRAM buffer with  $h_\mu$  (neuron vector) of the current processing layer and  $S_t$  (spike vector)

from its preceding layer, this buffer is streamed to the PU via DMA.

The total execution time of the CPU is defined by **Eq. (6)**. In a cyclic inference, the execution time of the network computation is the longest path among the processing units including the CPU, this is denoted as the latency of a spike cycle, and it is defined by **Eq. (8)**. The total execution time of the network computation is the latest finish time defined by **Eq. (9)**.



**FIGURE 13.** Performance bottleneck of cyclic computation on processing units using standard floating-point. a) Illustrates the starting of  $t_{PU}$  of  $Conv2$  on a previous computation cycle. b) Illustrates  $t_{CPU}$  of  $Conv2$  on the current computation cycle. c) Illustrates the CPU waiting time (in gray color) for  $Conv2$  as a busy resource (awaiting for  $Conv2$  interruption). d) Illustrates the  $t_f$  from the previous computation cycle, and the starting of  $t_{PU}$  on the current computation cycle ( $Conv2$  interruption on completion, and start current computation cycle).

$$T_{CPU} = \sum_{n \in L} t_{CPU}(n) \quad (6)$$

$$T_{PU} = \max_{n \in L} (t_{PU}(n)) \quad (7)$$

$$T_{SC} = \begin{cases} T_{PU}, & \text{if } T_{CPU} \leq T_{PU} \\ T_{CPU}, & \text{otherwise} \end{cases} \quad (8)$$

$$T_f = \max_{n \in L} (t_f(n)) \quad (9)$$

As the heaviest layer, the computational workload of  $H3\_CONV$  is evenly partitioned among two PUs:  $Conv2$  and  $Conv3$ . However, in the cyclic schedule,  $Conv2$  causes the performance bottleneck as shown in **Fig. 13**. In this case, the CPU has to await for  $Conv2$  to finish the computation of the previous cycle in order to start the current computation cycle. Applying **Eq. (8)**, we obtain a latency of 3.183 ms per spike cycle.

This deployment achieves an accuracy of 98.98% correct classification on the 10,000 image test set at 1000 spikes. Furthermore, as quality metric, the noise robustness report is measured using input patterns with positive additive equidistributed random noise up to 55% of amplitude as shown in **Fig. 14**. The post-implementation resource utilization and power dissipation are shown in **Tab. 3** and **Tab. 4**, respectively.

Each *Conv* PU instantiates a BRAM stationary weight matrix of 52,000 entries to store  $W \in \mathbb{R}^{5 \times 5 \times 2 \times 32}$  and  $W \in \mathbb{R}^{5 \times 5 \times 32 \times 64}$  for *H1\_CONV* and *H3\_CONV*, respectively. In order to reduce BRAM utilization, we use a custom floating-point representation composed of 4-exponent and 4-bit mantissa. Each 8-bit entry is promoted to its standard floating-point representation for the dot-product computation. The methodology to find the appropriate bit width parameters for custom floating-point representation is presented in the next section.

**TABLE 3.** Resource utilization of processing units using standard floating-point.

PU	LUT	FF	DSP	BRAM 18K
Spike	2,640	4,903	2	2
Conv	2,765	4,366	19	37
Pool	2,273	3,762	5	3
FC	2,649	4,189	8	9

**TABLE 4.** Power dissipation of processing units using standard floating-point.

PU	Power (mW)
Spike	38
Conv	89
Pool	59
FC	66
CPU	520

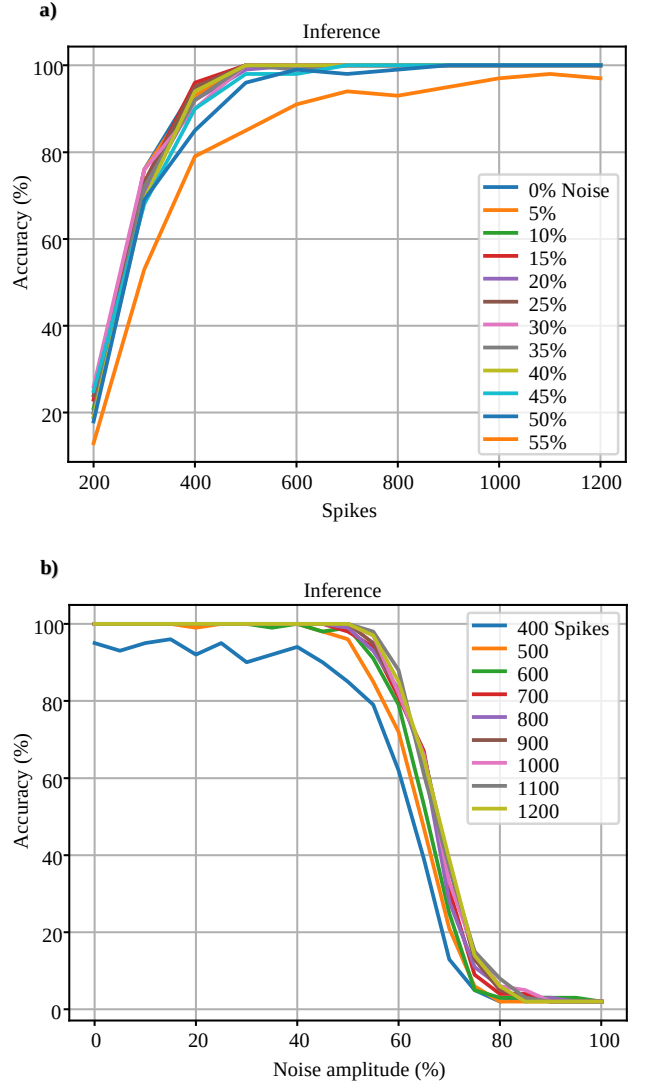
## B. DESIGN EXPLORATION FOR CUSTOM FLOATING-POINT AND LOGARITHMIC APPROXIMATION

In this section, we address a design exploration to evaluate our approach for SbS neural network simulation using custom floating-point and logarithmic computation. First, we examine each synaptic weight matrix in order to obtain the minimum requirements for numeric representation and memory storage. Second, we implement the proposed dot-product architecture using the minimal floating-point and logarithmic representation as design parameters. Finally, we evaluate overall performance, inference accuracy, noise robustness, resource utilization, and power dissipation.

### 1) Parameters for numeric representation of synaptic weight matrix

We obtain the parameters for numeric representation from the  $\log_2$ -histograms of each synaptic weight matrix as shown in Fig. 12. Since  $0 \leq W(s_t|j) \leq 1$  and  $\sum_{j=0}^{N-1} W(s_t|j) = 1$ , the  $W$  elements have only negative values in the logarithmic domain; hence, the sign bit is disregarded and the values are stored in its positive version, as stated in Section IV-A. The smallest floating-point entry of  $W$  represents the minimum exponent value, as defined by Eq. (10), and the bit width needed for its absolute binary representation is defined by Eq. (11).

$$E_{\min} = \log_2(\min_{\forall i}(W(i))) \quad (10)$$



**FIGURE 14.** Benchmark of accuracy and noise robustness of SbS network simulation on hardware PU using standard floating-point computation on 100 images. (a) Illustrates the accuracy vs number of spikes at given noise amplitudes. (b) Illustrates the accuracy vs noise amplitude at given number of spikes.

$$N_E = \lceil \log_2(|E_{\min}|) \rceil \quad (11)$$

Applying Eq. (10) and Eq. (11) to the given SbS network, we obtain  $-13$  as the minimum exponent value of the synaptic weights, and 4-bit needed for its absolute binary representation.

As a quality-configurable approximate computing approach, the mantissa bit width is a parameter that is modulated/tuned by the designer. This parameter leverages the builtin error-tolerance of neural networks and performs a trade-off between computation accuracy and synaptic memory footprint. In this publication we present a case study with 1-bit mantissa corresponding to the custom floating-point in the next section.

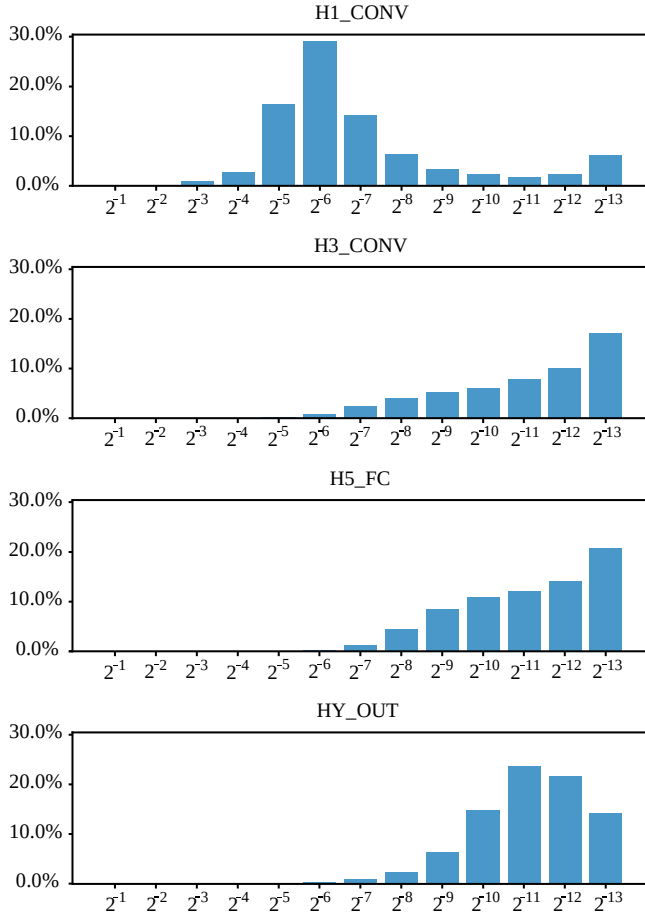


FIGURE 15.  $\log_2$ -histogram of each synaptic weight matrix showing the percentage of matrix elements with given integer exponent.

## 2) Design exploration for dot-product using custom floating-point approximation

For this design exploration, we use a custom floating-point representation composed of 4-bit exponent and 1-bit mantissa for the synaptic weight matrix on the proposed dot-product architecture. In this case, each *Conv* PU instantiates a BRAM stationary weight matrix for 52,000 entries of 5-bit each one, which is enough to store  $W \in \mathbb{R}^{5 \times 5 \times 2 \times 32}$  and  $W \in \mathbb{R}^{5 \times 5 \times 32 \times 64}$  for *H1\_CONV* and *H3\_CONV*, respectively. The same dot-product architecture is implemented in *FC* processing unit, however, this does not instantiate BRAM stationary synaptic weight matrix. Instead, *FC* receives neuron and synaptic vectors during performance. The hardware mapping and the computation schedule of this deployment are displayed in **Tab. 5** and **Fig. 16**.

With a reduction from 32-bit to 5-bit in all the synaptic weight matrices, this design exploration achieves a maximum hardware PU latency of 1.309ms according to **Eq. (7)**, and a CPU latency of 1.673ms. Therefore, applying **Eq. (8)**, we obtain a latency of 1.673ms per spike cycle as shown in **Fig. 16**. In this case, the cyclic bottleneck is in the CPU performance.

This deployment achieves an accuracy of 98.97% correct

TABLE 5. Performance of hardware processing units using custom floating-point computation.

Hardware mapping		Computation schedule (ms)			
Layer	PU	$t_s$	$t_{CPU}$	$t_{PU}$	$t_f$
HX_IN	Spike	0	0.055	0.307	0.362
H1_CONV	Conv1	0.057	0.654	1.309	2.020
	Pool1	0.713	0.131	1.098	1.942
H2_POOL	Pool2	0.845	0.125	1.098	2.068
	Conv2	0.972	0.285	1.199	2.456
H3_CONV	Conv3	1.258	0.279	1.184	2.721
H4_POOL	Pool3	1.538	0.037	0.484	2.059
H5_FC	FC	1.577	0.091	0.438	2.106
HY_OUT	CPU	1.669	0.004	0	1.673

classification on the 10,000 image test set at 1000 spikes. Furthermore, as quality metric, the noise robustness is shown in **Fig. 17**, this report illustrates a minor overall impact on the neural network accuracy. The post-implementation resource utilization and power dissipation are shown in **Tab. 6** and **Tab. 7**, respectively.

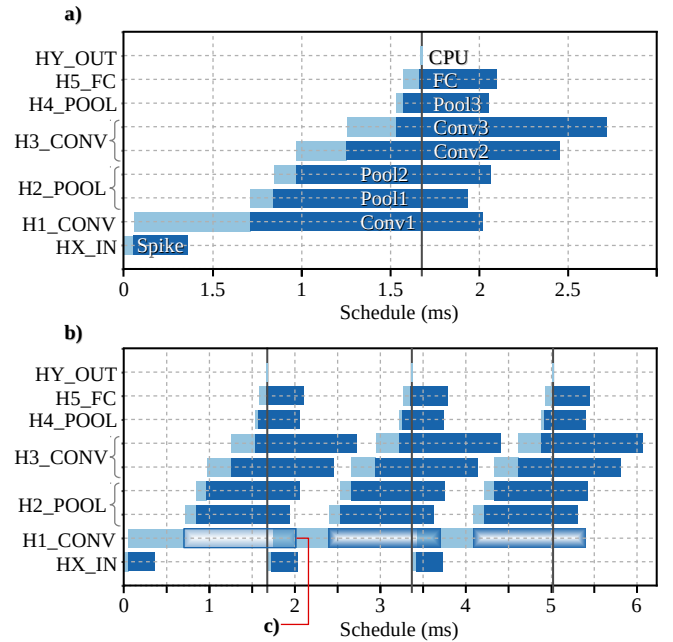


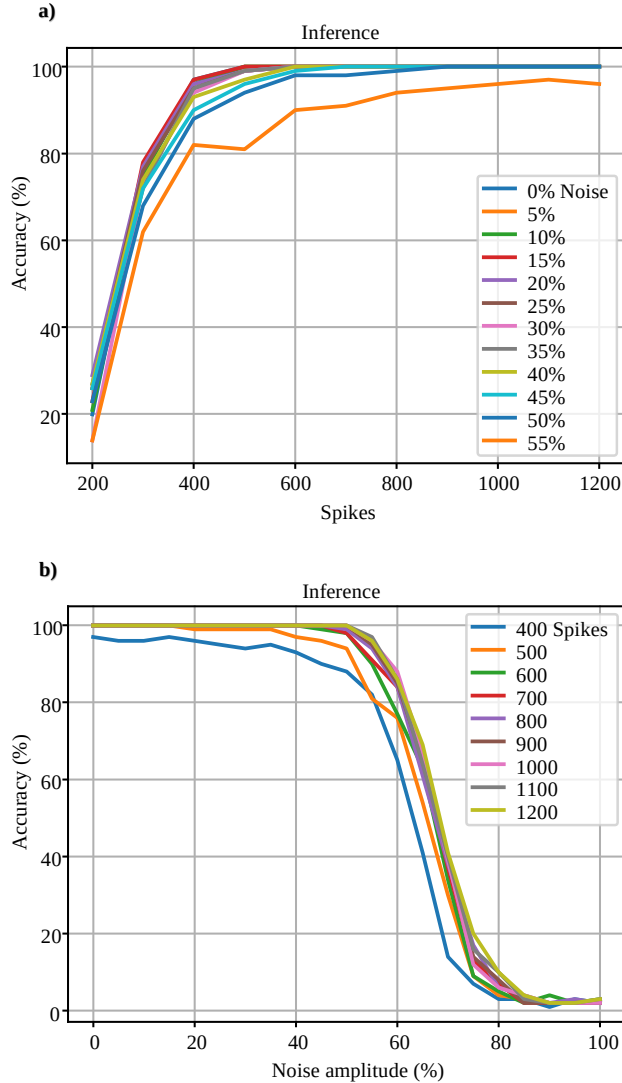
FIGURE 16. Performance on processing units using custom floating-point computation. a) Illustrates computation schedule. b) Illustrates cyclic computation schedule. c) Illustrates the performance of *Conv2* from a previous computation cycle during the preprocessing of *H1\_CONV* on the current computation cycle without bottleneck.

TABLE 6. Resource utilization of processing units using custom floating-point.

PU	LUT	FF	DSP	BRAM 18K
Conv	3,139	4,850	19	25
FC	3,265	5,188	8	9

**TABLE 7.** Power dissipation of processing units using custom floating-point.

PU	Power (mW)
Conv	82
FC	66

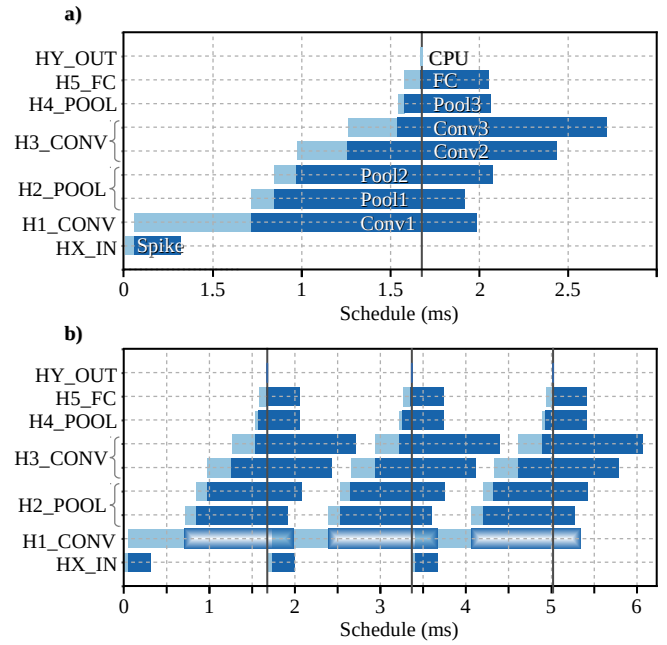
**FIGURE 17.** Accuracy and noise robustness of SbS network simulation on hardware PU using custom floating-point computation on 100 images. (a) Illustrates the accuracy vs number of spikes at given noise amplitudes. (b) Illustrates the accuracy vs noise amplitude at given number of spikes.

### 3) Design exploration for dot-product using logarithmic approximation

For this design exploration, we use a 4-bit integer exponent for logarithmic representation of the synaptic weight matrix. In this case, each *Conv* processing unit implements the proposed dot-product architecture and a BRAM stationary weight matrix for 52,000 entries of 4-bit integer each one to store  $W \in \mathbb{N}^{5 \times 5 \times 2 \times 32}$  and  $W \in \mathbb{N}^{5 \times 5 \times 32 \times 64}$  for *H1\_CONV* and *H3\_CONV*, respectively. The same dot-product architecture is implemented in *FC* processing unit without stationary

**TABLE 8.** Performance of hardware processing units using logarithmic computation.

Hardware mapping		Computation schedule (ms)			
Layer	PU	$t_s$	$t_{CPU}$	$t_{PU}$	$t_f$
HX_IN	Spike	0	0.055	0.264	0.319
H1_CONV	Conv1	0.057	0.655	1.271	1.983
H2_POOL	Pool1	0.714	0.130	1.074	1.918
H2_POOL	Pool2	0.845	0.126	1.106	2.077
H3_CONV	Conv2	0.973	0.285	1.179	2.437
H3_CONV	Conv3	1.258	0.278	1.176	2.712
H4_POOL	Pool3	1.538	0.037	0.488	2.063
H5_FC	FC	1.577	0.091	0.388	2.056
HY_OUT	CPU	1.669	0.004	0	1.673

**FIGURE 18.** Performance of processing units using logarithmic computation. a) Illustrates computation schedule. b) Illustrates cyclic computation schedule.

synaptic weight matrix. The hardware mapping and the computation schedule of this deployment are displayed in **Tab. 8** and **Fig. 18**.

With a reduction from 32-bit to 4-bit in all the synaptic weight matrices, this design exploration achieves a maximum hardware PU latency of 1.271ms according to **Eq. (7)**, and a CPU latency of 1.673ms. Therefore, applying **Eq. (8)**, we obtain a latency of 1.673ms per spike cycle as shown in **Fig. 18**. In this case, the cyclic bottleneck is in the CPU performance.

This deployment achieves an accuracy of 98.84% correct classification on the 10,000 image test set at 1000 spikes. Furthermore, as quality metric, the noise robustness is shown in **Fig. 19**, this report illustrates a moderated overall impact using logarithmic representation on the synaptic-weight vector. The post-implementation resource utilization and power dissipation are shown in **Tab. 6** and **Tab. 7**, respectively.

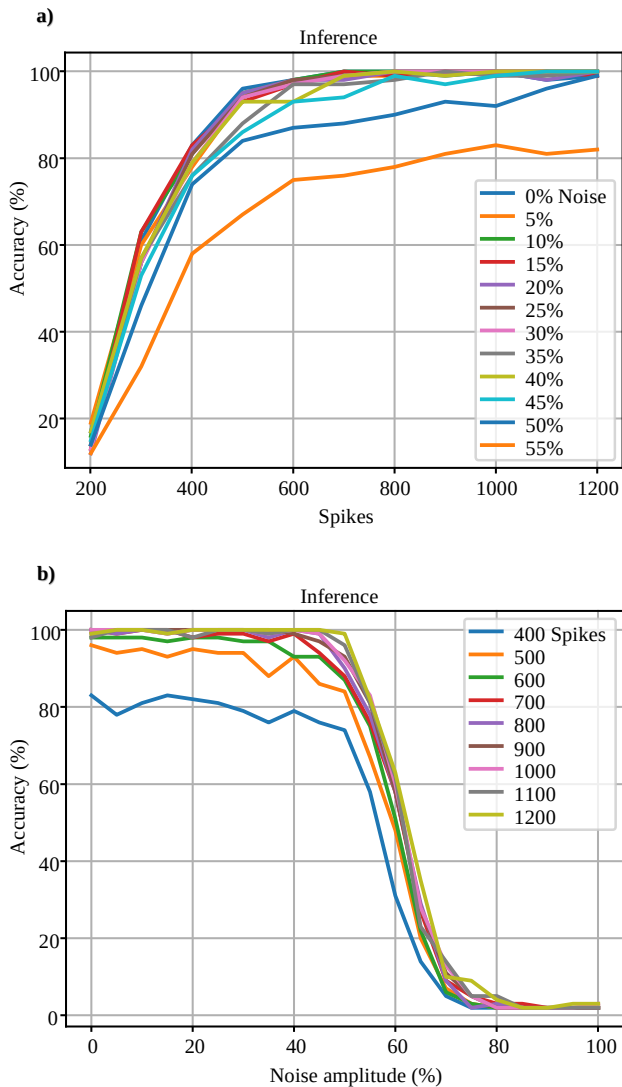


**TABLE 9.** Resource utilization of processing units using logarithmic calculation.

PU	LUT	FF	DSP	BRAM 18K
Conv	3,086	4,804	19	21
FC	3,046	4,873	8	8

**TABLE 10.** Power dissipation of processing units using logarithmic calculation.

PU	Power (W)
Conv	78
FC	66

**FIGURE 19.** Accuracy and noise robustness of SbS network simulation on hardware PU using logarithmic computation on 100 images. (a) Illustrates the accuracy vs number of spikes at given noise amplitudes. (b) Illustrates the accuracy vs noise amplitude at given number of spikes.

### C. RESULTS AND DISCUSSION

As a benchmark, the SbS network simulation on CPU using 32-bit standard floating-point achieves an accuracy of

99.3% with a latency of  $T_{SC} = 34.279ms$ . As a second benchmark, the network simulation on hardware processing units using floating-point (for storage, 4-bit exponent and 4-bit mantissa, and then promoted to standard floating-point for the computation) achieves an accuracy of 98.98% with a latency  $T_{SC} = 3.183ms$ . As the benchmark result, we get a  $10.77\times$  latency enhancement and an accuracy degradation of 0.32%.

As a demonstration of the proposed dot-product architecture, the SbS network simulation on hardware PUs with synaptic representation using 5-bit custom floating-point (4-bit exponent, 1-bit mantissa) and 4-bit logarithmic (4-bit exponent) achieve  $20.49\times$  latency enhancement and accuracy of 98.97% and 98.84%, respectively. As a result, we obtain an accuracy degradation of 0.33% and 0.46%, respectively. Moreover, adding 50% of noise amplitude to the input images, the SbS network simulation presents an accuracy degradation of 0.67% and 4.08%, respectively. As application-specific quality metric, the resulting noise robustness demonstrates a sufficient QoR for minimal impact on the overall accuracy of the neural network using the proposed approximate computing technique.

Regarding resource utilization and power dissipation, the Conv processing units present up to 43.24% of BRAM reduction, and 12.35% of energy efficiency improvement over the standard floating-point implementation. The experimental results of the design exploration are summarized in **Tab. 11**.

### VI. CONCLUSIONS

In this publication, we accelerate SbS neural networks with a dot-product functional unit based on approximate computing. This approach reduces computational latency, memory footprint, and power dissipation while preserving accuracy and noise robustness. Additionally, the proposed design is compatible with standard floating-point and adaptable as a building block for other error-resilient applications.

We demonstrate our approach addressing a design exploration flow on a Xilinx Zynq-7020 with a deployment of NMIST classification task, this implementation achieves up to  $20.49\times$  latency enhancement,  $8\times$  synaptic memory footprint reduction, less than 0.5% of accuracy degradation, with a 12.35% of energy efficiency improvement over the standard floating-point hardware implementation. Furthermore, with positive additive uniformly distributed noise at 50% of amplitude on the input image, the SbS network simulation presents an accuracy degradation of less than 5%. As application-specific quality metric, the resulting noise robustness demonstrates a sufficient QoR for minimal impact on the overall accuracy of the neural network using the proposed approach. These results suggest available room for further and more aggressive approximation techniques.

In conclusion, based on the relaxed need for fully accurate or deterministic computation of SbS neural networks, approximate computing techniques allow substantial enhancement in processing efficiency with moderated accuracy degradation.

TABLE 11. Experimental results of design exploration.

Implementation	PU	Post-implementation resource utilization				Power (mW)	Latency		Accuracy (%) <sup>e</sup>		
		LUT	FF	DSP	BRAM 18K		$T_{SC}$ (ms)	Gain <sup>d</sup>	Noise 0%	25%	50%
Standard floating-point <sup>a</sup>	Conv	2,765	4,366	19	37	89	3.183	10.77x	98.98	98.96	98.63
	FC	2,649	4,189	8	9	66					
Custom floating-point <sup>b</sup>	Conv	3,139	4,850	19	25	82	1.673	20.49x	98.97	98.94	98.47
	FC	3,265	5,188	8	9	66					
Logarithmic <sup>c</sup>	Conv	3,086	4,804	19	21	78	1.673	20.49x	98.84	98.83	95.22
	FC	3,046	4,873	8	8	66					

<sup>a</sup> Synaptic storage composed of 4-bit exponent and 4-bit mantissa. For dot-product computation, each entry is promoted to its standard floating-point representation.

<sup>b</sup> Synaptic storage composed of 4-bit exponent and 1-bit mantissa.

<sup>c</sup> Synaptic storage composed of 4-bit exponent.

<sup>d</sup> Latency gain with respect to the CPU computation ( $T_{SC} = 34.279ms$ ).

<sup>e</sup> Accuracy on 10,000 image test set at 1000 spikes.

## ACKNOWLEDGMENTS

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DAVID ROTERMUND



KLAUS R. PAWELZIK



ALBERTO GARCIA-ORTIZ



**YARIB NEVAREZ** received the B.E. (Hons) degree in electronics from the Durango Institute of Technology, Durango, Mexico, in 2009, and the M.Sc. degree in Embedded Systems Design from the University of Applied Sciences Bremerhaven, Bremen, Germany, in 2017. He is currently pursuing a Ph.D. degree with the Institute of Electrodynamics and Microelectronics, University of Bremen, Germany. His research interest is focused mainly on System-on-Chip architectures and hardware implementation for deep learning accelerators in Embedded Systems. During his professional experience, he served as a Senior Embedded Software Engineer at Texas Instruments, IBM, Continental Automotive, TOSHIBA, and Carbon Robotics. He has designed and developed software architectures for graphic calculators, automotive systems, robotic drivers, and more.

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