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# Accelerating Spike-by-Spike Neural Networks with Approximate Dot-Product on FPGA

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ABSTRACT The Spike-by-Spike (SbS) neural network algorithm is a powerful machine learning (ML) technique for image classification with an exceptional noise robustness. However, deep SbS networks are highly compute and data intensive, requiring new approaches to improve deployment efficiency in resource-limited devices. In this paper, we accelerate SbS neural networks with a dot-product hardware design based on approximate computing, which leverages the intrinsic error-resilience of neural networks. This approach is compatible with standard floating-point, and it does not require training algorithm adjustments, representing a convenient solution for efficient deployment of emerging ML algorithms on embedded applications. To demonstrate our approach, we address a design exploration flow using high-level synthesis and a Xilinx FPGA. As a result, the proposed design achieves up to  $20.49 \times$  latency enhancement,  $8 \times$  synaptic memory footprint reduction, and less than 0.5% of accuracy degradation on handwritten digit recognition task.

**INDEX TERMS** Artificial intelligence, spiking neural networks, approximate computing, logarithmic, parameterisable floating-point, optimization, hardware accelerator, embedded systems, FPGA

### I. INTRODUCTION

THE exponential improvement in computing perfor-**\\_** mance and the availability of large amounts of data are boosting the use of Artificial Intelligent (AI) applications in our daily lives. Among the various algorithms developed over the years, neural networks (NNs) have demonstrated remarkable performance in a variety of image, video, audio, and text analytics tasks [1], [2]. Historically, ANNs can be classified into three different generations [3]: the first one is represented by the classical McCulloch and Pitts neuron model using discrete binary values as outputs; the second one is represented by more complex architectures as Multi-Layer Perceptrons and Convolutional Neural Networks (CNN) using continuous activation functions; while the third generation is represented by Spiking Neural Networks (SNNs) using spikes as means for information exchange between groups of neurons. Although the AI field is currently dominated by Deep Neural

Networks (DNN) from the second generation, nowadays the SNNs belonging to the third generation are receiving considerable attention [3]–[6] due to their advantages in terms of robustness and the potential to achieve a power efficiency close to that of the human brain (see section III-A for more details).

Among the family of SNNs, the SbS neural network [5] is inspired by the natural computing of the mammalian brain, being a biologically plausible approach although with less complexity than other SNNs. The SbS model differs fundamentally from conventional ANNs since (a) the building block of the network are inference populations (IP) which are an optimized generative representation with non-negative values, (b) time progresses from one spike to the next, preserving the property of stochastically firing neurons, and (c) a network has only a small number of parameters, which is an advantageous stochastic version of Non-Negative Matrix

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Factorization (NNMF), which is noise-robust and easy to handle. In regard to biological realism and computational effort to simulate neural networks, these properties place the SbS network in between non-spiking NN and stochastically spiking NN [7].

Although SbS networks provide numerous advantages over traditional ANNs and CNNs, deep SbS networks are highly compute and data intensive, representing a challenge for efficient deployment in resource-limited devices. As an emerging SNN algorithm, most SbS models use floating-point numerical representation, which imposes high complexity of the required circuits for the floating-point operations. Model quantization has the potential to improve computational performance; however, this solution is often accompanied by quantization-aware training methods that, in some cases, are problematic or even inaccessible, particularly in deep SNN algorithms [9].

As an alternative, based on the relaxed need for fully precise or deterministic computation of neural networks, approximate computing techniques allow substantial enhancement in processing efficiency with moderated accuracy degradation. Some research papers have shown the feasibility of applying approximate computing to the inference stage of neural networks [10]–[13]. Such techniques usually demonstrated small inference accuracy degradation, but significant enhancement in computational performance, resource utilization, and energy consumption. Hence, by taking advantage of the intrinsic error-tolerance of neural networks, approximate computing is positioned as a promising approach for inference on resource-limited devices.

In this paper, we accelerate SbS neural networks with a dot-product hardware design based on approximate computing, this approach leverages the intrinsic error-tolerance of neural networks. As an algorithm-level approximate computing technique, we customize the mantissa bit-width of the floating-point synaptic-weight vector for the dot-product computation. As a design parameter, the mantissa bit-width controls the trade-off for resource utilization versus inference accuracy or quality [14], [15]. Our main contributions are as follows:

- We develop a functional unit for approximate dotproduct computation. This hardware module approximates the sum of pairwise products of two floating-point vectors. This module has the following three design features: (1) the pairwise product is done by adding integer exponents, and the sum of products is done by accumulating denormalized integer products, which increases computational throughput; (2) the synaptic weight vector uses either reduced custom floating-point or logarithmic representation, which reduces memory footprint; and (3) the neuron vector uses either standard or custom floating-point representation, which preserves inference accuracy.
- We address a design exploration evaluating computational latency, accuracy degradation, noise robustness, resource utilization and power dissipation. Experimen-

- tal results show that compared with CPU, the proposed hardware architecture achieves  $20.49 \times$  latency enhancement with less than 0.5% of accuracy degradation on MNIST classification task.
- We present a noise robustness study using positive additive uniformly distributed noise on the input images.
   According to experimental results, the proposed approximate dot-product preserves the noise robustness of the SbS network model, which produces a 5% of accuracy degradation on MNIST classification task with 50% of noise amplitude.
- Our proposed hardware module for approximate dotproduct computation is adaptable for other errorresilient applications. This approach is compatible with standard floating-point, and it does not require training algorithm adjustments, representing a convenient alternative for efficient deployment of emerging ML algorithms on resource-limited devices.

The rest of the paper is organized as follows. Section II covers the related work; Section III introduces the background to SbS networks; Section IV describes the system design and the approximate dot-product hardware module; Section V presents the experimental results thorough a design exploration flow; Section VI concludes the paper.

To promote the research on SbS networks, our design exploration framework is made available to the public as an open-source project at http://www.ids.uni-bremen.de/sbs-framework.html

### **II. RELATED WORK**

A. APPROXIMATE COMPUTING IN NEURAL NETWORKS Approximate computing has been used in a wide range of applications to increase the computational efficiency in hardware [15]. For neural network applications, two main approximation strategies are used, namely network compression and classical approximate computing [16].

### 1) Network compression

Researchers focusing on embedded applications started lowering the precision of weight and activation map to shrink the memory footprint of the large number of parameters representing ANNs, a method known as network compression or quantization. Taking advantage of the intrinsic errortolerance of neural networks, as well as their ability to compensate for approximation while training, reduced bit precision causes a small accuracy loss [17]–[20].

In hardware deployment, weight quantization (WQ) has shown up to  $2\times$  improvement in energy consumption with an accuracy degradation of less than 1% [21], [22]. Some advanced quantization methods yield to binary neural networks (BNNs) allowing the use of XNORs instead of the conventional costly MACs [20]. In [23], Sun et al. report an accuracy of 98.43% on MNIST with a simple BNN. Hence, quantization is a powerful tool for improving the energy efficiency and memory requirements of ANN accelerators, with limited accuracy degradation.



These methods can be used for SNNs as well. In [24], Rathi et al. report up to 3.1× improvement in energy consumption with an accuracy loss of around 3%. WQ allows the designer to realize a tradeoff between the accuracy of the SNN application against energy and area utilization of the neural networks. Approximate computing can also be applied at the neuron level, where irrelevant units are deactivated to reduce the computation cost of the SNNs [25]. This computation skipping can be applied randomly on synapses, training ANNs with stochastic synapses improves generalization, resulting in a better accuracy [26], [27]. Such method is compatible with SNNs and has been tested both during training [28], [29] and operation [30], and even to define the connectivity between layers [31], [32]. Implementations of spiking neuromorphic systems in FPGA [33] and hardware [34] demonstrated that synaptic stochasticity allows to increase the final accuracy of the networks while reducing memory footprint.

Quantization is therefore a powerful technique to improve energy efficiency and memory requirements of ANN and SNN accelerators, with small accuracy degradation; however, this approach requires quantization-aware training methods that, in some cases, are problematic or even inaccessible, particularly in emerging deep SNN algorithms [9].

### 2) Classical approximate computing

This approach consists of designing processing elements that approximate their computation by employing modified algorithmic logic units [15]. In [35], Kim et al. have shown SNNs using carry skip adders achieving  $2.4\times$  latency enhancement and 43% more energy efficiency, with an accuracy degradation of 0.97% on MNIST classification task. Therefore, approximate computing provides important enhancement in energy efficiency and processing speed.

However, as the complexity of the dataset increases, as well as the depth of the network topology, such as ResNet [36] on ImageNet [37], the accuracy degradation becomes more important and may not be negligible anymore [20], especially for critical applications such as autonomous driving. Therefore, it is not certain that network compression techniques and approximate computing are suitable for any application.

### B. SPIKE-BY-SPIKE NEURAL NETWORKS ACCELERATORS

In an earlier research, Rotermund et al. demonstrated the feasibility of a neuromorphic SbS IP on a Xilinx Virtex 6 FPGA [38]. It provides a massively parallel architecture, optimized for memory access and suitable for ASIC implementations. Nonetheless, this design is considerably resource-demanding to be implemented as a complete SbS network in today's embedded technology. In [8], we presented a cross-platform accelerator framework for design exploration and testing of fully functional SbS network models in embedded systems. As a hardware/software (HW/SW) co-design solution, this framework offers a comprehensive high level software

API that allows the construction of scalable sequential SbS networks with configurable hardware acceleration. We use this design exploration framework to investigate approximate computing for efficient deployment of deep SbS networks on resource-limited devices.

#### III. BACKGROUND

#### A. SPIKE-BY-SPIKE NEURAL NETWORKS

As a generative model [5], the SbS model iteratively finds an estimate of its input probability distribution p(s) (i.e. the probability of input node s to stochastically send a spike) by its latent variables via  $r(s) = \sum_i h(i)W(s|i)$ . An inference population sees only the spikes  $s_t$  (i.e. the index identifying the input neuron s which generated that spike at time t) produced by its input neurons, not the underlying input probability distribution p(s) itself. By counting the spikes arriving at a group of SbS neurons, p(s) is estimated by  $\hat{p}(s) =$  $1/T \sum_t \delta_{s,s^t}$  after T spikes have been observed in total. The goal is to generate an internal representation r(s) from the string of incoming spikes  $s_t$  such that the negative logarithm of the likelihood  $L=C-\sum_{\mu}\sum_{s}\hat{p}_{\mu}(s)log\left(r_{\mu}(s)\right)$  is minimized. C is a constant which is independent of the internal representation  $r_{\mu}(s)$  and  $\mu$  denotes one input pattern from an ensemble of input patterns. Applying a multiplicative gradient descent method on L, an algorithm for iteratively updating  $h_u(i)$  with every observed input spike  $s_t$  could be

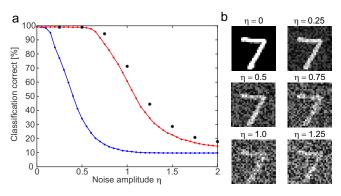
$$h_{\mu}^{new}(i) = \frac{1}{1+\epsilon} \left( h_{\mu}(i) + \epsilon \frac{h_{\mu}(i)W(s_t|i)}{\sum_{j} h_{\mu}(j)W(s_t|j)} \right)$$
 (1)

where  $\epsilon$  is a parameter that controls the strength of sparseness of the distribution of latent variables  $h_{\mu}(i)$ . Furthermore, L can also be used to derive online and batch learning rules for optimizing the weights W(s|i).

Fundamentally, SbS is a stochastic gradient descent dynamics consistent with Non-Negative Matrix Factorization (NNMF) having several advantages. The stochasticity of gradient descent could in principle overcome local minima. Furthermore, it favors sparse solutions with little fluctuations (which is the case for overcomplete representations). Finally this specific mechanism for inducing sparseness selects those sparse solutions that are robust against noise in the inputs.

In SbS, the expected change at a given h-state (i.e.  $\Delta h_i^{s_t} \propto \left\langle \frac{p(s_t|i)h_i}{\sum_j p(s_t|j)h_j} - 1 \right\rangle_{p(s_t)}$  for all  $i \in (1,...,N)$  is exactly the same we would have in a low pass version of NNMF ( $\Delta h_i = \sum_s \frac{p(s)p(s|i)h_i}{\sum_j p(s|j)h_j} - 1$ ). Then, for each given h-state h, the changes of h induced by SbS consist of the expected vector  $\Delta h$  plus fluctuations  $\eta_i(s_t)$  with  $<\eta_i(s_t)>p(s_t)=0$  (i.e.  $\Delta h_i^{s_t} = \sum_s \frac{p(s)p(s|i)h_i}{\sum_j p(s|j)h_j} + \eta_i(s_t)$ ). Thus, SbS performs a random walk with mean  $\Delta h$  and some variance and we have a stochastic process in h-space with the correct drift ( $\Delta h$ ) and diffusion. Such processes drift towards states where the drift vanishes except for remaining fluctuations. Thus, it produces a Brownian motion finally leading to a probability density for h-states centered around the fixed point.





**FIGURE 1.** (a) Performance classification of SbS NN versus equivalent CNN, and (b) Example of the first pattern in the MNIST test data set with different amounts of noise.

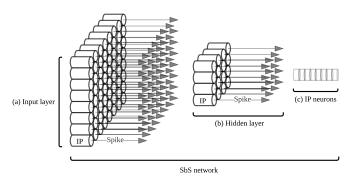


FIGURE 2. (a) Illustrates an input layer with a massive amount of IPs operating as independent computational entities. (b) Illustrate a hidden layer with an arbitrary amount of IPs as independent computational entities. (c) Illustrates a set of neurons grouped in an IP.

An example of the robustness of SbS is presented in **Fig.** 1. It compares the classification performance of a SbS network and a tensor flow network, with the same amount of neurons per layer as well as the same layer structure. We trained on MNIST dataset [39] without noise (see [7] for details). It shows the correctness for the MNIST test set with its 10000 patterns in dependency of the noise level for positive additive uniformly distributed noise. The blue curve shows the performance for the tensor flow network, while the red curve shows the performance for the SbS network with 1200 spikes per inference population. Beginning with a noise level of 0.1, the respective performances are different with a p - level of at least  $10^{-6}$  (tested with the Fisher exact test). Increasing the number of spikes per SbS population to 6000 (performance values shown as black stars), shows that more spike can improve the performance under noise even more.

The SbS network algorithm is highly suitable for parallelization. SbS network models are constructed in sequential layered structures, each layer consists of many IPs which can be simulated independently while the communication between the IPs is organized by a low bandwidth signal – the spikes [40]. Technically, each IP is an independent computational entity (see **Fig.** 2), this allows to design specialized hardware architectures that can be massively parallelized.

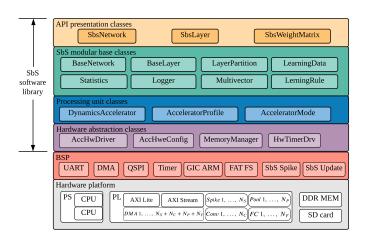


FIGURE 3. System-level overview of the software architecture.

### **IV. SYSTEM DESIGN**

In this section, we introduce the system design of [8], as an accelerator framework of SbS networks for inference and incremental learning in embedded systems. In principle, this architecture is a hardware/software cross-platform for design exploration and deployment of SbS networks on FPGA.

Regarding the software architecture, this is structured as a layered object oriented application framework written in C language. This offers a comprehensive high level software API that allows the construction of scalable sequential SbS networks with configurable hardware acceleration. Conceptually this design is modular, reusable, and extensible. The overall structure is depicted in **Fig.** 3.

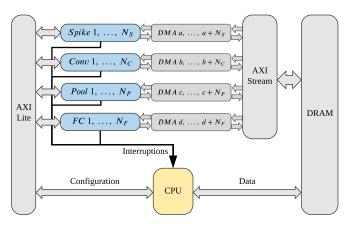
### A. HARDWARE ARCHITECTURE

As a hardware/software co-design, the system architecture is a CPU+FPGA-based platform, where the acceleration of SbS network computation is based on asynchronous execution of parallel heterogeneous PUs: *Spike* (input layer), *Conv* (convolution), *Pool* (pooling), and *FC* (fully connected). Each PU is connected through an AXI-Lite interface for the operational mode configuration, and AXI-Stream interfaces for data transfer via Direct Memory Access (DMA) allowing data movement with high transfer rate. Each PU asserts an interrupt flag once the task or transaction is complete, this interrupt event is handled by the CPU to collect results and start a new transaction.

The hardware architecture can resize its resource utilization by changing the number of PUs instances, this provides a good tradeoff between area and throughput (see **Fig.** 4). The dedicated PUs for *Conv*, and *FC* implement the dot-product functional unit, therefore our approximate approach.

The PUs are written in C using Vivado HLS (High-Level Synthesis) tool. In this publication we focus on the optimizations achieved by implementing the approximate approach in the processing unit dedicated for convolution layers.





**FIGURE 4.** System overview of the proposed architecture with scalable number of heterogeneous PUs: *Spike*, *Conv*, *Pool*, and *FC* 

### B. CONV PROCESSING UNIT

This hardware module computes the IP dynamics defined by **Eq.** (1) and offers two modes of operation: *configuration* and *computation*.

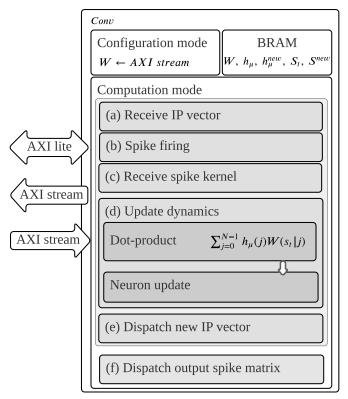
### 1) Configuration mode

In this mode of operation, the PU receives and stores in onchip memory the parameter to compute the IP dynamics:  $\epsilon$  as the epsilon, N as the length of  $h_{\mu} \in \mathbb{R}^{N}$ ,  $K \in \mathbb{N}$  as the size of the convolution kernel, and  $H \in \mathbb{N}$  as the number of IPs to process per transaction, this is the number of IPs in a layer or a partition.

Additionally, the processing unit also stores in on-chip memory the synaptic weight matrix using a number representation with a reduced memory footprint. In principle, the synaptic weight matrix is defined by  $W \in \mathbb{R}^{K \times K \times M \times N}$  with  $0 \leq W(s_t|j) \leq 1$  and  $\sum_{j=0}^{N-1} W(s_t|j) = 1$  [7]; hence, W employs only positive normalized real numbers. With this, W is deployed using a reduced floating-point or logarithmic representation as flows:

- Custom floating-point. In this case, W is deployed with a reduced floating-point representation using the necessary bit width for the exponent and for the mantissa according to the given application. For example, 4bit exponent, 1-bit mantissa; as a result: 5-bit custom floating-point.
- Logarithmic. In this case, the synaptic weight matrix is  $W \in \mathbb{N}^{K \times K \times M \times N}$  with positive natural numbers. Since  $0 \le W(s_t|j) \le 1$  and  $\sum_{j=0}^{N-1} W(s_t|j) = 1$ , W has only negative values in the logarithmic domain; therefore, the sign bit is avoided, and the values are represented in its positive version. Therefore, W is deployed with a representation using the necessary bit width for the exponent according to the given application. For example, 4-bit exponent.

The PU can be reconfigured with different synaptic weight matrix and parameters as needed.



**FIGURE 5.** The *Conv* processing unit and its six stages: (a) receive IP vector, (b) spike firing, (c) receive spike kernel, (d) update dynamics, (e) dispatch new IP vector, (f) dispatch output spike matrix.

### 2) Computation mode

In this mode of operation, the PU executes a transaction to process a group of IPs using the previously given parameters and synaptic weight matrix. This process operates in six stages as shown in **Fig.** 5. In the first two stages, the PU receives  $h_{\mu} \in \mathbb{R}^{N}$ , then the PU calculates the firing spike, and stores it in  $S^{new} \in \mathbb{N}^{H}$  (output spike vector). From the third to the fifth stage, the PU receives  $S_{t} \in \mathbb{N}^{K \times K}$  (input spike matrix), then it computes the update dynamics, and then it dispatches  $h_{\mu}^{new} \in \mathbb{R}^{N}$ . The process repeats from the first to the fifth stage for H number of loops. Finally, the  $S^{new}$  is dispatched.

The computation of the update dynamics [see **Fig.** 5(d)] operates in two modular stages: dot-product and neu-ron update. First, the dot-product module calculates  $\sum_{j=0}^{N-1} h_{\mu}(j)W(s_t|j)$ , while storing each pairwise product as intermediate results. And then, the neuron update module calculates **Eq.** (1) reusing previous results and parameters.

The computation of the dot-product is the main piece of **Eq.** (1) and represents a considerable computational cost using standard floating-point in non-quantized network models. In the following section, we focus on an optimized design for this dot-product module to improve performance while preserving inference accuracy.



### C. DOT-PRODUCT HARDWARE MODULE

This module is part of an application-specific architecture optimized to calculate dot-product of arbitrary length, see **Eq.** (2). In this section, we present three pipelined hardware modules using standard floating-point, custom floating-point, and logarithmic computation, respectively.

$$r_{\mu}(s_t) = \sum_{j=0}^{N-1} h_{\mu}(j)W(s_t|j)$$
 (2)

### 1) Dot-product using standard floating-point computation

The hardware module to calculate the dot-product using standard floating-point computation is shown in **Fig.** 6, this diagram exhibits the hardware blocks and their clock cycle schedule. This module loads both  $h_{\mu}(i)$  and W(s|i) from BRAM, then the PU executes the pairwise product [**Fig.** 6(c)] and accumulation [**Fig.** 6(d)]. The intermediate results of  $h_{\mu}(j)W(s_t|j)$  are stored in BRAM for reuse in the neuron update. The latency in clock cycles of this hardware module is defined by **Eq.** (3), where N is the dot-product length. This latency equation is obtained from the general pipelined hardware latency formula: L = (N-1)II + IL, where II is the initiation interval [**Fig.** 6(a)], and IL is the iteration latency [**Fig.** 6(b)]. Both II and IL are obtained from the high-level synthesis analysis.

$$L_{f32} = 10N + 9 \tag{3}$$

In this design, the high level synthesis tool infers computational blocks with considerable latency cost for standard floating-point. In the case of floating-point multiplication [Fig. 6(c)], the synthesis infers a hardware block with a latency cost of 5 clock cycles; theoretically, this block would handle exponents addition, mantissas multiplication, and mantissa correction when needed. Moreover, in the case of floating-point addition [Fig. 6(d)], the synthesis infers a hardware block with a latency cost of 9 clock cycles; theoretically, this block would handle mantissas alignment, addition, and correction if necessary. Therefore, the use of standard floating-point in high-level synthesis results in a high computational cost that can be enhanced by a custom design.

### 2) Dot-product using custom floating-point and logarithmic computation

The hardware module to calculate dot-product using custom floating-point computation is shown in Fig. 7. In this design,  $h_{\mu}$  uses standard floating-point number representation, and W(s) uses a positive custom floating-point number representation where the exponent and mantissa bit width are design parameters. A slight modification in this design yields a hardware module which computes the dot-product using logarithmic computation, this is shown in Fig. 8. In this design, W(s) uses a logarithmic number representation where the exponent bit width is a design parameter.

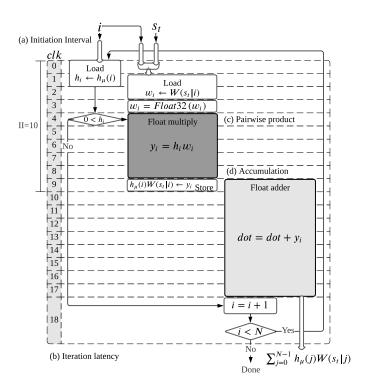


FIGURE 6. Dot-product hardware module using standard floating-point computation. (a) Illustrates the iteration interval of 10 clock cycles. (b) Illustrates the iteration latency of 19 clock cycles. (c) Illustrates the pairwise product block in dark-gray. (d) Illustrates the accumulation block in light-gray.

The computation of these designs work in three phases: *Computation, Threshold-test*, and *Result normalization*.

### • Phase I, Computation:

In this phase, it is calculated the magnitude of the dotproduct in a denormalized representation. This is done in two iterative steps: *pairwise product* and *accumulation*. Where *pairwise product* is executed either in custom floating-point or logarithmic computation described below.

- Pairwise product.
  - Custom floating-point. As shown in **Fig.** 7(c) in dark-gray, the pairwise product is obtained by adding the exponents and multiplying the mantissa of both W(s|i) and  $h_{\mu}(i)$ . If the mantissa multiplication results in an overflow, then it is corrected by increasing the exponent and shifting the resulting mantissa by one position to the right. Then we have  $h_{\mu}(j)W(s_t|j)$  as an intermediate result which is stored for future reuse on the neuron update calculation. In this design the pairwise product has a latency of 5 clock cycles.
  - Logarithmic. As shown in **Fig.** 8(c) in dark-gray, the content values of W(s) are represented in the logarithmic domain, and  $h_{\mu}$  in standard floating-point. Hence, the pairwise product is obtained by adding W(s|i) to the exponent of  $h_{\mu}(i)$ . In this design the pairwise product has a latency of one



clock cycle.

– Accumulation. As shown both **Fig.** 7(d) and **Fig.** 8(d) in light-gray, first, it is obtained the denormalized representation of  $h_{\mu}(j)W(s_t|j)$  by shifting its mantissa using its exponent as shifting parameter. And then, this denormalized representation is accumulated to obtain the magnitude of the dot-product.

The pairwise product and accumulation is an iterative process, the computation latency is given by **Eq.** (4) for custom floating-point, and **Eq.** (5) for logarithmic, where N is the length of the vectors. Both pipelined hardware modules have the same throughput, since both have the same initiation interval of two clock cycles.

$$L_{custom} = 2N + 11 \tag{4}$$

$$L_{log} = 2N + 7 \tag{5}$$

### • Phase II, Threshold-test:

In this phase, the accumulated denormalized magnitude is tested to be above of a predefined threshold, this must be above zero, since the dot-product is a denominator in **Eq.** (1). If passing the test, then the next phase is executed, otherwise the rest of update dynamics is skipped. The threshold-test takes one clock cycle.

• Phase III, *Result-normalization*:

In this phase, the dot-product is normalized to obtain the exponent and mantissa in order to build a standard floating-point for later use in the neuron update. The normalization is obtained by shifting the resulting dotproduct magnitude in a loop until it is in the form of a normalized mantissa where the iteration count represents the negative exponent of the dot-product, each iteration takes one clock cycle.

The total latency of the hardware module using custom floating-point and logarithmic computation is the accumulated latency of its three phases.

The proposed architecture using custom floating-point and logarithmic computation overcomes the performance of the design using standard floating-point. The performance enhancement is achieved by decomposing the floating-point computation into an advantageous handling of exponent and mantissa using intermediate accumulation in denormalized representation and one final normalization.

### V. EXPERIMENTAL RESULTS

The proposed architecture is demonstrated on a Xilinx Zynq-7020. This device integrates a dual ARM Cortex-A9 based processing system (PS) and programmable logic (PL) equivalent to Xilinx Artix-7 (FPGA) in a single chip [41]. The Zynq-7020 architecture conveniently maps the custom logic and software in the PL and PS respectively as an embedded system.

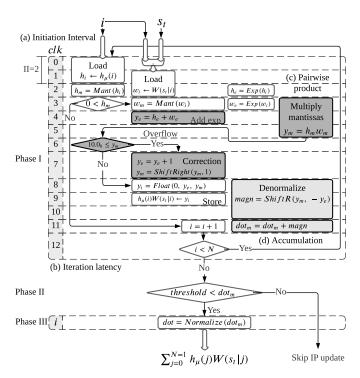
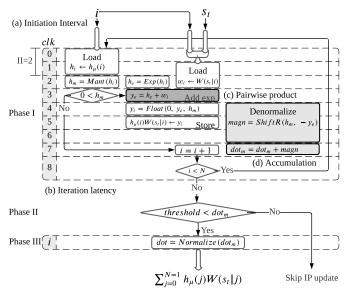


FIGURE 7. Dot-product hardware module using custom floating-point computation. (a) Illustrates the iteration interval of 2 clock cycles. (b) Illustrates the iteration latency of 13 clock cycles. (c) Illustrates the pairwise product blocks in dark-gray. (d) Illustrates the accumulation blocks in light-gray.



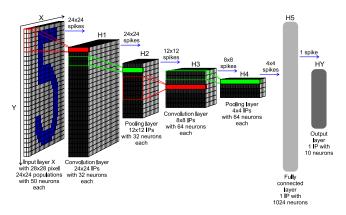
**FIGURE 8.** Dot-product hardware module using logarithmic computation. (a) Illustrates the iteration interval of 2 clock cycles. (b) Illustrates the iteration latency of 9 clock cycles. (c) Illustrates the pairwise product block in dark-gray. (d) Illustrates the accumulation blocks in light-gray.

In this platform, we implement the proposed hardware architecture to deploy an SbS network structure for MNIST classification task as shown in **Fig.** 9. The training of the SbS model is performed in Matlab and the resulting synaptic weight matrices are deployed on the embedded system. The SbS network is built as a sequential model using the API from



the SbS embedded software framework [8], where the computation of the network is distributed among the hardware processing units and the CPU.

For the evaluation of our approach, we elaborate a design exploration reviewing the computational latency, inference accuracy, noise robustness, resource utilization, and power dissipation. First, we benchmark the performance of SbS network simulation using standard floating-point computation on CPU, and then hardware processing units. Afterwards, we evaluate our dot-product architecture addressing a design exploration using custom floating-point, and then logarithmic computation. Finally, we present a comparison table of the given results.



**FIGURE 9.** SbS network structure for MNIST classification task. Input X: Input layer with  $28 \times 28$  normalization modules for  $28 \times 28$  input pixel. From this layer spikes are send to layer H1. H1: Convolution layer H1 with  $24 \times 24$  IPs with 32 neurons each. Every IP processes the spikes from  $5 \times 5$  spatial patches of the input pattern (x and y stride is 1). H2:  $2 \times 2$  pooling layer H2 (x and y stride is 2) with  $12 \times 12$  IPs with 32 neurons each. The weights between H1 and H2 are not learned but set to a fixed weight matrix that creates a competition between the 32 features of H1. H3:  $5 \times 5$  convolution layer H3 (x and y stride is 1) with  $8 \times 8$  IPs. Similar to H1 but with 64 neuron for each IP. H4:  $2 \times 2$  pooling layer H4 (x and y stride s) with  $4 \times 4$  IPs with 64 neurons each. This layer is similar to layer H2. H5: Fully connected layer H5. 1, 024 neurons in one big IP which are fully connected to layer H4 and output layer HY. HY: Output layer HY with 10 neurons for the 10 types of digits. selected.

### A. PERFORMANCE BENCHMARK

#### 1) Benchmark on CPU

We examine the performance of the CPU for SbS network simulation with no hardware coprocessing. In this case, the embedded software builds the SbS network as a sequential model mapping the entire computation to the CPU (ARM Cortex-A9) at 666 MHz and a power dissipation of 520mW.

The SbS network computation on the CPU achieves a latency of 34.279ms per spike with an accuracy of 99.3% correct classification on the 10,000 image test set at 1000 spikes. The latency and schedule of the SbS network computation are displayed in **Tab.** 1 and **Fig.** 10 respectively.

### 2) Benchmark on processing units using standard floating-point

To benchmark the computation on hardware PUs using standard floating-point, we implement the system architecture shown in **Fig.** 11. In this case, the embedded software builds

TABLE 1. Computation on CPU.

Layer	Latency (ms)
HX_IN	1.184
H1_CONV	4.865
H2_POOL	3.656
H3_CONV	20.643
H4_POOL	0.828
H5_FC	3.099
HY_OUT	0.004
TOTAL	34.279

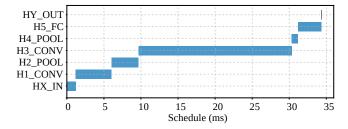


FIGURE 10. Computation on CPU.

the SbS network as a sequential model mapping the network computation to the hardware processing units at 200 MHz as clock frequency.

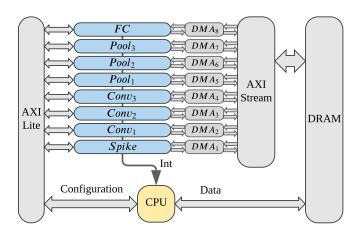


FIGURE 11. System overview of the proposed architecture with 8 processing units.

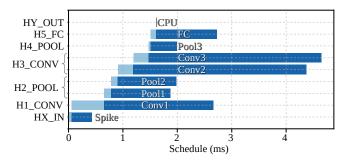
In this deployment, it is distributed the computation work-load of  $H2\_POOL$  and  $H3\_CONV$  among two PUs each one, since these are the heaviest pooling and convolution layers respectively. The output layer  $HY\_OUT$  is fully processed by the CPU, since it is the lightest one. The hardware mapping and the computation schedule of this deployment are displayed in **Tab.** 2 and **Fig.** 12.

In the computation schedule, the following terms are defined:  $t_s(n)$  as the start time of the layer (as a computation node)  $n \in L$  where L represents the set of layers,  $t_{CPU}(n)$  as the CPU preprocessing time,  $t_{PU}(n)$  as the PU latency, and  $t_f(n)$  as the finish time. The  $t_{CPU}(n)$  is the period of time in which the CPU writes a DRAM buffer with  $h_\mu$  (neuron vector) of the current processing layer and  $S_t$  (spike vector)



**TABLE 2.** Performance of processing units using standard floating-point computation.

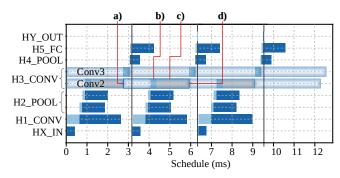
Hardware n	napping	Computation schedule (ms)					
Layer	PU	$t_s$	$t_{CPU}$	$t_{PU}$	$t_f$		
HX_IN	Spike	0	0.056	0.370	0.426		
H1_CONV	Conv1	0.058	0.598	2.002	2.658		
H2 DOOL	Pool1	0.658	0.126	1.091	1.875		
H2_POOL	Pool2	0.785	0.125	1.075	1.985		
H2 CONV	Conv2	0.911	0.280	3.183	4.374		
H3_CONV	Conv3	1.193	0.279	3.176	4.648		
H4_POOL	Pool3	1.473	0.037	0.481	1.991		
H5_FC	FC	1.512	0.101	1.118	2.731		
HY_OUT	CPU	1.615	0.004	0	1.619		



**FIGURE 12.** Performance of processing units using standard floating-point computation.

from its preceding layer, this buffer is streamed to the PU via DMA.

The total execution time of the CPU is defined by Eq. (6). In a cyclic inference, the execution time of the network computation is the longest path among the processing units including the CPU, this is denoted as the latency of an spike cycle, and it is defined by Eq. (8). The total execution time of the network computation is the latest finish time defined by Eq. (9).



**FIGURE 13.** Performance bottleneck of cyclic computation on processing units using standard floating-point. a) Illustrates the starting of  $t_{PU}$  of Conv2 on a previous computation cycle. b) Illustrates  $t_{CPU}$  of Conv2 on the current computation cycle. c) Illustrates the CPU waiting time (in gray color) for Conv2 as a busy resource (awaiting for Conv2 interruption). d) Illustrates the  $t_f$  from the previous computation cycle, and the starting of  $t_{PU}$  on the current computation cycle (Conv2 interruption on completion, and start current computation cycle).

$$T_{CPU} = \sum_{n \in L} t_{CPU}(n) \tag{6}$$

$$T_{PU} = \max_{n \in L} (t_{PU}(n)) \tag{7}$$

$$T_{SC} = \begin{cases} T_{PU}, & \text{if } T_{CPU} \le T_{PU} \\ T_{CPU}, & \text{otherwise} \end{cases}$$
 (8)

$$T_f = \max_{n \in L} (t_f(n)) \tag{9}$$

As the heaviest layer, the computational workload of  $H3\_CONV$  is evenly partitioned among two PUs: Conv2 and Conv3. However, in the cyclic schedule, Conv2 causes the performance bottleneck as shown in Fig. 13. In this case, the CPU has to await for Conv2 to finish the computation of the previous cycle in order to start the current computation cycle. Applying Eq. (8), we obtain a latency of 3.183 ms per spike cycle.

This deployment achieves an accuracy of 98.98% correct classification on the 10,000 image test set at 1000 spikes. Furthermore, the noise robustness is measured using input patterns with positive additive equidistributed random noise up to 55% of amplitude as shown in **Fig.** 14. The postimplementation resource utilization and power dissipation are shown in **Tab.** 3 and **Tab.** 4, respectively.

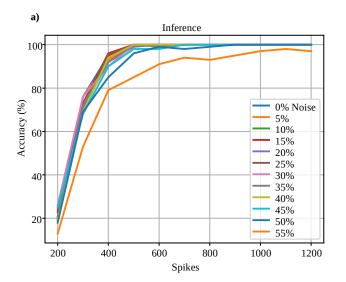
Each Conv PU instantiates a BRAM stationary weight matrix of 52,000 entries to store  $W \in \mathbb{R}^{5 \times 5 \times 2 \times 32}$  and  $W \in \mathbb{R}^{5 \times 5 \times 32 \times 64}$  for  $H1\_CONV$  and  $H3\_CONV$ , respectively. In order to reduce BRAM utilization, we use a custom floating-point representation composed of 4-exponent and 4-bit mantissa. Each 8-bit entry is promoted to its standard floating-point representation for the dot-product computation. The methodology to find the appropriate bit width parameters for custom floating-point representation is presented in the next section.

**TABLE 3.** Resource utilization of processing units using standard floating-point.

PU	LUT	FF	DSP	BRAM 18K
Spike	2,640	4,903	2	2
Conv	2,765	4,366	19	37
Pool	2,273	3,762	5	3
FC	2,649	4,189	8	9

TABLE 4. Power dissipation of processing units using standard floating-point.

Spike 38	
Conv 89 Pool 59	
FC 66 CPU 520	



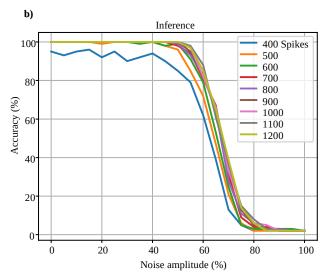


FIGURE 14. Benchmark of accuracy and noise robustness of SbS network simulation on hardware PU using standard floating-point computation on 100 images. (a) Illustrates the accuracy vs number of spikes at given noise amplitudes. (b) Illustrates the accuracy vs noise amplitude at given number of spikes.

### B. DESIGN EXPLORATION FOR CUSTOM FLOATING-POINT AND LOGARITHMIC COMPUTATION

In this section, we address a design exploration to evaluate our approach for SbS neural network simulation using custom floating-point and logarithmic computation. First, we examine each synaptic weight matrix in order to obtain the minimum requirements for numeric representation and memory storage. Second, we implement the proposed dot-product architecture using the minimal floating-point and logarithmic representation as design parameters. Finally, we evaluate overall performance, inference accuracy, noise robustness, resource utilization, and power dissipation.

Parameters for numeric representation of synaptic weight
matrix

We obtain the parameters for numeric representation from the  $\log_2$ -histograms of each synaptic weight matrix as shown in **Fig.** 12. Since  $0 \le W(s_t|j) \le 1$  and  $\sum_{j=0}^{N-1} W(s_t|j) = 1$ , the W elements have only negative values in the logarithmic domain; hence, the sign bit is disregarded and the values are stored in its positive version, as stated in Section IV-A. The smallest floating-point entry of W represents the minimum exponent value, as defined by **Eq.** (10), and the bit width needed for its absolute binary representation is defined by **Eq.** (11).

$$E_{\min} = \log_2(\min_{\forall i}(W(i))) \tag{10}$$

$$N_E = \lceil \log_2(|E_{\min}|) \rceil \tag{11}$$

Applying Eq. (10) and Eq. (11) to the given SbS network, we obtain -13 as the minimum exponent value of the synaptic weights, and 4-bit needed for its absolute binary representation.

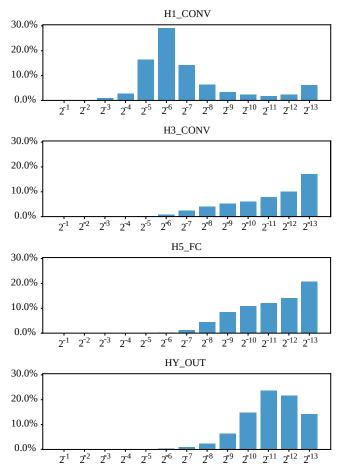
As a quality-configurable approximate computing approach, the mantissa bit width is a parameter that is modulated/tuned by the designer. This parameter leverages the builtin error-tolerance of neural networks and performs a trade-off between computation accuracy and synaptic memory footprint. In this publication we present a case study with 1-bit mantissa corresponding to the custom floating-point in the next section.

### 2) Design exploration for dot-product using custom floating-point computation

For this design exploration, we use a custom floating-point representation composed of 4-bit exponent and 1-bit mantissa for the synaptic weight matrix on the proposed dot-product architecture. In this case, each Conv PU instantiates a BRAM stationary weight matrix for 52,000 entries of 5-bit each one, which is enough to store  $W \in \mathbb{R}^{5\times5\times2\times32}$  and  $W \in \mathbb{R}^{5\times5\times32\times64}$  for  $H1\_CONV$  and  $H3\_CONV$ , respectively. The same dot-product architecture is implemented in FC processing unit, however, this does not instantiate BRAM stationary synaptic weight matrix. Instead, FC receives neuron and synaptic vectors during performance. The hardware mapping and the computation schedule of this deployment are displayed in Tab. 5 and Fig. 16.

With a reduction from 32-bit ot 5-bit in all the synaptic weight matrices, this design exploration achieves a maximum hardware PU latency of 1.309ms according to Eq. (7), and a CPU latency of 1.673ms. Therefore, applying Eq. (8), we obtain a latency of 1.673ms per spike cycle as shown in Fig. 16. In this case, the cyclic bottleneck is in the CPU performance.

This deployment achieves an accuracy of 98.97% correct classification on the 10,000 image test set at 1000 spikes.



**FIGURE 15.**  $\log_2$ -histogram of each synaptic weight matrix showing the percentage of matrix elements with given integer exponent.

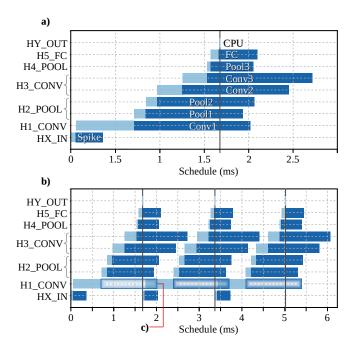
**TABLE 5.** Performance of hardware processing units using custom floating-point computation.

Hardware n	napping	Con	Computation schedule (ms)					
Layer	PU	$t_s$	$t_{CPU}$	$t_{PU}$	$t_f$			
HX_IN	Spike	0	0.055	0.307	0.362			
H1_CONV	Conv1	0.057	0.654	1.309	2.020			
H2 POOL	Pool1	0.713	0.131	1.098	1.942			
H2_POOL	Pool2	0.845	0.125	1.098	2.068			
H3 CONV	Conv2	0.972	0.285	1.199	2.456			
H3_CONV	Conv3	1.258	0.279	1.184	2.721			
H4_POOL	Pool3	1.538	0.037	0.484	2.059			
H5_FC	FC	1.577	0.091	0.438	2.106			
HY_OUT	CPU	1.669	0.004	0	1.673			

Furthermore, the noise robustness is shown in **Fig.** 17. The post-implementation resource utilization and power dissipation are shown in **Tab.** 6 and **Tab.** 7, respectively.

TABLE 6. Resource utilization of processing units using custom floating-point.

PU	LUT	FF	DSP	BRAM 18K
Conv	3,139	4,850	19	25
FC	3,265	5,188	8	9



**FIGURE 16.** Performance on processing units using custom floating-point computation. a) Illustrates computation schedule. b) Illustrates cyclic computation schedule. c) Illustrates the performance of *Conv2* from a previous computation cycle during the preprocessing of *H1\_CONV* on the current computation cycle without bottleneck.

TABLE 7. Power dissipation of processing units using custom floating-point.

PU	Power (mW)
Conv	82
FC	66

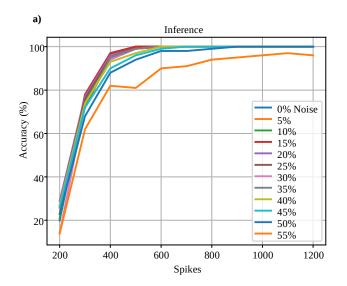
### 3) Design exploration for dot-product using logarithmic computation

For this design exploration, we use a 4-bit integer exponent for logarithmic representation of the synaptic weight matrix. In this case, each Conv processing unit implements the proposed dot-product architecture and a BRAM stationary weight matrix for 52,000 entries of 4-bit integer each one to store  $W \in \mathbb{N}^{5 \times 5 \times 2 \times 32}$  and  $W \in \mathbb{N}^{5 \times 5 \times 32 \times 64}$  for  $H1\_CONV$  and  $H3\_CONV$ , respectively. The same dot-product architecture is implemented in FC processing unit without stationary synaptic weight matrix. The hardware mapping and the computation schedule of this deployment are displayed in **Tab.** 8 and **Fig.** 18.

With a reduction from 32-bit of 4-bit in all the synaptic weight matrices, this design exploration achieves a maximum hardware PU latency of 1.271ms according to Eq. (7), and a CPU latency of 1.673ms. Therefore, applying Eq. (8), we obtain a latency of 1.673ms per spike cycle as shown in Fig. 18. In this case, the cyclic bottleneck is in the CPU performance.

This deployment achieves an accuracy of 98.84% correct classification on the 10,000 image test set at 1000 spikes. Furthermore, the noise robustness is shown in **Fig.** 17. The





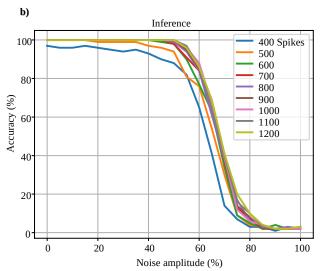


FIGURE 17. Accuracy and noise robustness of SbS network simulation on hardware PU using custom floating-point computation on 100 images. (a) Illustrates the accuracy vs number of spikes at given noise amplitudes. (b) Illustrates the accuracy vs noise amplitude at given number of spikes.

**TABLE 8.** Performance of hardware processing units using logarithmic computation.

Hardware n	napping	(	Computation schedule (ms)					
Layer	PU	t	3	$t_{CPU}$	$t_{PU}$	$t_f$		
HX_IN	Spike		)	0.055	0.264	0.319		
H1_CONV	Conv1	0.05	7	0.655	1.271	1.983		
H2 DOOL	Pool1	0.71	4	0.130	1.074	1.918		
H2_POOL	Pool2	0.84	5	0.126	1.106	2.077		
H2 CONV	Conv2	0.97	3	0.285	1.179	2.437		
H3_CONV	Conv3	1.25	3	0.278	1.176	2.712		
H4_POOL	Pool3	1.53	3	0.037	0.488	2.063		
H5_FC	FC	1.57	7	0.091	0.388	2.056		
HY_OUT	CPU	1.669	)	0.004	0	1.673		

post-implementation resource utilization and power dissipation are shown in **Tab.** 6 and **Tab.** 7, respectively.

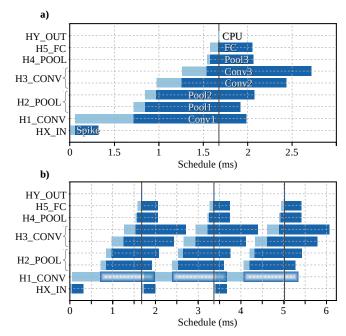


FIGURE 18. Performance of processing units using logarithmic computation. a) Illustrates computation schedule. b) Illustrates cyclic computation schedule.

**TABLE 9.** Resource utilization of processing units using logarithmic calculation.

PU	LUT	FF	DSP	BRAM 18K
Conv	3,086	4,804	19	21
FC	3,046	4,873	8	8

**TABLE 10.** Power dissipation of processing units using logarithmic calculation.

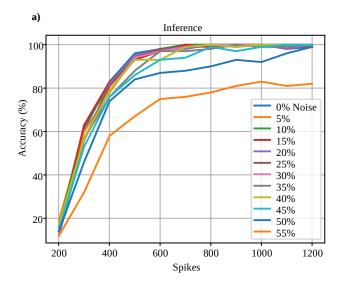
PU	Power (W)
Conv	78
FC	66

### C. RESULTS AND DISCUSSION

As a benchmark, the SbS network simulation on CPU using 32-bit standard floating-point achieves an accuracy of 99.3% with a latency of spike cycle  $T_{SC}=34.279ms$ . As a second benchmark, the network simulation on processing units using 8-bit custom floating-point (4-bit exponent, 4-bit mantissa) for synaptic storage and promoted to standard floating-point for computation achieves an accuracy of 98.98% with a latency  $T_{SC}=3.183ms$ . As the benchmark result, we get a  $10.77\times$  latency enhancement and an accuracy degradation of 0.32%.

As a demonstration of the proposed dot-product architecture, the SbS network simulation on hardware PUs with synaptic representation using 5-bit custom floating-point (4-bit exponent, 1-bit mantissa) and 4-bit logarithmic (4-bit exponent) achieve  $20.49 \times$  latency enhancement and accuracy of 98.97% and 98.84%, respectively. As a result, we obtain an accuracy degradation of 0.33% and 0.46%, respectively.





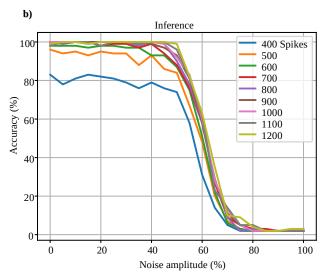


FIGURE 19. Accuracy and noise robustness of SbS network simulation on hardware PU using logarithmic computation on 100 images. (a) Illustrates the accuracy vs number of spikes at given noise amplitudes. (b) Illustrates the accuracy vs noise amplitude at given number of spikes.

Moreover, adding 50% of noise amplitude to the input images, the SbS network simulation presents an accuracy degradation of 0.67% and 4.08%, respectively. Regarding resource utilization and power dissipation, the Conv processing units present up to 43.24% of BRAM reduction, and 12.35% of energy efficiency improvement over the standard floating-point implementation. The experimental results of the design exploration are summarized in  ${\bf Tab.}$  11.

### VI. CONCLUSIONS

In this publication, we accelerate SbS neural networks with a dot-product functional unit based on approximate computing. This approach reduces computational latency, memory foot-print, and power dissipation while preserving accuracy and noise robustness. This solution is compatible with standard floating-point, and it does not require training algorithm

adjustments, representing a convenient solution for efficient deployment of emerging ML algorithms on resource-limited devices.

We demonstrate our approach with a design exploration flow on a Xilinx Zynq-7020 with a deployment of NMIST classification task, this implementation achieves up to  $20.49 \times$  latency enhancement,  $8 \times$  synaptic memory footprint reduction, less than 0.5% of accuracy degradation, with a 12.35% of energy efficiency improvement over the standard floating-point hardware implementation. Moreover, with positive additive uniformly distributed noise at 50% of amplitude on the input image, the SbS network simulation presents an accuracy degradation of less than 5%.

In conclusion, based on the relaxed need for fully accurate or deterministic computation of SbS neural networks, approximate computing techniques allow substantial enhancement in processing efficiency with moderated accuracy degradation.

#### **ACKNOWLEDGMENTS**

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TABLE 11. Experimental results of design exploration.

Implementation	PU	Post-implementation resou			urce utilization	Power (mW)	Laten	ıcy	Accı	ıracy (%)	) <sup>e</sup>
<b>.</b>		LUT	FF	DSP	BRAM 18K	,	$T_{SC}$ (ms)	Gain <sup>d</sup>	Noise 0%	25%	50%
Standard floating-point <sup>a</sup>	Conv FC	2,765 2,649	4,366 4,189	19 8	37 9	89 66	3.183	10.77x	98.98	98.96	98.63
Custom floating-point <sup>b</sup>	Conv FC	3,139 3,265	4,850 5,188	19 8	25 9	82 66	1.673	20.49x	98.97	98.94	98.47
Logarithmic <sup>c</sup>	Conv FC	3,086 3,046	4,804 4,873	19 8	21 8	78 66	1.673	20.49x	98.84	98.83	95.22

- a Synaptic storage composed of 4-bit exponent and 4-bit mantissa. For dot-product computation, each entry is promoted to its standard floating-point representation.
- <sup>b</sup> Synaptic storage composed of 4-bit exponent and 1-bit mantissa.
- <sup>c</sup> Synaptic storage composed of 4-bit exponent.
- d Latency gain with respect to the CPU computation ( $T_{SC}=34.279ms$ ).
- <sup>e</sup> Accuracy on 10,000 image test set at 1000 spikes.
  - ral networks," in *Proceedings of the 35th International Conference on Computer-Aided Design*, 2016, pp. 1–7.
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