

6.5-9.

Give an $O(n \lg k)$ -time algorithm to merge k sorted lists into one sorted list, where n is the total number of elements in all the input lists. (*Hint: Use a min-heap for k -way merging.*)

Answer.

The K-WAY-MERGE procedure first build a min-heap T from head elements of all the k input lists. Now the top element in T is the smallest element to append to the final list U . The procedure then successively transplants this top element to U with its position occupied by its successor in the input list it comes from. A MIN-HEAPIFY operation is performed at the top of T to maintain the min-heap property. To retrieve the original successor of elements that has been removed from the input list efficiently, we augment each element with a pointer *src-list* which points to the list it came from.

```

K-WAY-MERGE( $A_1, A_2, \dots, A_k$ )
1   $n = 0$ 
2  for  $i = 1$  to  $k$ 
3       $n = n + A_i.length$ 
4  for  $i = 1$  to  $k$ 
5       $T[i] = \text{LIST-EXTRACT-HEAD}(A_i)$ 
6  BUILD-MIN-HEAP( $T$ )
7  for  $j = 1$  to  $n$ 
8       $U[j] = T[1]$ 
9       $U[j].src-list = U$ 
10      $T[1] = \text{LIST-EXTRACT-HEAD}(T[1].src-list)$ 
11     MIN-HEAPIFY( $T, 1$ )

```

The LIST-EXTRACT-HEAD procedure in lines 5 and 9 above extracts the head element out of a list.

```

LIST-EXTRACT-HEAD( $L$ )
1   $head = L[1]$ 
2  for  $i = 2$  to  $L.length$ 
3       $L[i-1] = L[i]$ 
4   $L.length = L.length - 1$ 
5  return  $head$ 

```

It takes LIST-EXTRACT-HEAD $O(n/k)$ time to accomplish its work. Observe that the amount of basic operations K-WAY-MERGE perform is dominated by the **for** loop in lines 7–11, in which either LIST-EXTRACT-HEAD or MIN-HEAPIFY takes more time. A little math shows that

$$\lg k > n/k$$

when $k > 2$. Therefore, the running time of K-WAY-MERGE is $O(n \lg k)$.

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