

Section 2: Risk Assessment		
Services		Yes/No
Section A: Nature of Services Required by Customer/Client		
1	Forming of corporations or other legal persons	<div></div>
2	Acting, or arranging for another person to act i) as a director or secretary of a corporation; ii) partner of a partnership; or iii) a position similar to the above in relation to other legal persons	<div></div>
3	Acting, or arranging for another person to act as a shareholder on behalf of any corporation other than one whose securities are listed on a securities exchange under section 2(1) or recognised securities exchange under section 283(1) of the Securities and Futures Act	<div></div>
4	Providing a registered office, business address or correspondence or administrative address or other related services	<div></div>
5	Buying and selling of real estates	<div></div>
6	Managing of client money, securities or other assets	<div></div>
7	Management of bank, savings or securities accounts	<div></div>
8	Organisation of contributions for the creation, operation or management of companies	<div></div>
9	Buying and selling of business entities	<div></div>
10	Statutory audit services	<div></div>
	Indicate the type of service rendered: Providing other services (e.g. Annual Return filing, etc.)	<div></div>
Section B1: Customer's/Client Risk Factors		
1	Is this a new customer/client?	<div></div>
2	Is the customer/client a public company listed on any stock exchange not subjected to disclosure requirements?	<div></div>
3	Is the customer/client a legal person or an entity that can hold assets in its own name?	<div></div>
4a	Does the customer/client use nominee director(s) or shareholder(s)?	<div></div>
4b	Where applicable, do the nominee shareholders represent majority ownership?	<div></div>
5	Does the ownership structure of the customer/client appear unusual or excessively complex given the nature of its business?	<div></div>
6	Is the customer/client’s business cash-intensive?	<div></div>
7	Does the customer/client frequently make unaccounted cash transactions to similar recipient(s)?	<div></div>
8	Do the proposed directors/partners/shareholders have prior criminal convictions involving fraud or dishonesty?	<div></div>
9	Is any of the customer/client, beneficial owner or its agent a politically exposed person?	<div></div>

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10	Are the customer/client’s company accounts outdated?	<div></div>
11	Do the customer/client’s shareholders and/or directors frequently change, and the changes are within reason?	<div></div>
12	Is there any problem obtaining the required information in the relevant form?	<div></div>
13	Can the information obtained be verified by independent and reliable sources?	<div></div>
14	Is the customer/client a charitable or non-profit organisation that is not registered in Singapore?	<div></div>
15	Does the client appear to be a shell company?	<div></div>
16	Is the client in a high-risk industry?	<div></div>
17	Are there adverse news or information arising?	<div></div>
18	Exceptions noted cannot be disposed of satisfactorily.	<div></div>
Count of "Yes"	If “Yes” has been selected for the majority of the 18 questions above, you are advised to adopt a risk-sensitive approach and perform enhanced CDD measures before establishing a business relationship with the customer/client.	<div></div>
B2 Country/Territory Risk Factors		
1	Is the customer/client connected to or transacting with a country or a territory that is identified as not having adequate antimoney laundering or counter financing terrorism measures?	<div></div>
2	Is the customer/client connected to or transacting with a country or a territory that has dealings with high-risk jurisdiction?	<div></div>
3	Is the customer/client connected to or transacting with a country or a territory that is sanctioned by a regulatory body, such as the United Nations (UN)?	<div></div>
4	Is the customer/client connected to or transacting with a country or a territory that is identified to be funding or supporting terrorist activities or that have designated terrorist organizations operating within their territories?	<div></div>
5	Is the customer/client connected to or transacting with a country or a territory in relation to which the FATF has called for countermeasures?	<div></div>
Count of "Yes"	Is “Yes” selected for any of the questions under B2 Country/Terrority Risk Factors? If it has been selected for any of the questions above, you are advised to adopt a risk-sensitive approach and perform enhanced CDD measures before establishing a business relationship with the customer/client.	<div></div>
B3 Services/Transactions Risk Factors		
1	Has the customer/client given any instruction to perform a transaction (which may include cash) anonymously?	<div></div>
2	Has the customer/client transferred any funds without the provision of underlying services or transactions?	<div></div>
3	Are there unusual patterns of transactions that have no apparent economic purpose or cash payments that are large in amount, in which disbursement would have been normally made by other modes of payment (such as cheque, bank drafts, etc.)?	<div></div>
4	Are there unaccounted payments received from unknown or unassociated third parties for services and/or transactions provided by the customer/client?	<div></div>
5	Is there instruction from the customer/client to incorporate shell companies with nominee shareholder(s) and/or director(s)?	<div></div>

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6	Does the customer/client purchase companies or business entities that have no obvious commercial purpose? This would include: - Multi-layer, multi-country and complex group structures. - Setting up entities in Singapore where there is no obvious commercial purpose, or any other personal or economic connection to the client.	<div><div></div></div>
7	Is this business relationship being established without any physical meeting?	<div><div></div></div>
8	Are there any transactions being performed without any physical meeting?	<div><div></div></div>
9	Are the transactions required by the customer/client inconsistent with the professional intermediaries' knowledge on the customer/client's risk profile and nature of business?	<div><div></div></div>
Count of "Yes"	If "Yes" has been selected for the majority of the 9 questions above, you are advised to adopt a risk-sensitive approach and perform enhanced CDD measures before establishing a business relationship with the customer/client.	<div><div></div></div>

Date

Signature