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## Hippocampal atrophy and ventricular enlargement in normal aging, mild cognitive impairment and Alzheimer's disease

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### Abstract

Alzheimer's disease (AD) is the most common type of dementia worldwide. Hippocampal atrophy and ventricular enlargement have been associated with AD but also with normal aging. We analyzed 1.5T brain MRI data from 46 cognitively normal elderly (NC), 33 mild cognitive impairment (MCI) and 43 AD subjects. Hippocampal and ventricular analyses were conducted with two novel semi-automated segmentation approaches followed by the radial distance mapping technique. Multiple linear regression was used to assess effects of age and diagnosis on hippocampal and ventricular volumes and radial distance. Additional 3D map correction for multiple comparisons was conducted with permutation testing. As expected, most significant hippocampal atrophy and ventricular enlargement were seen in the AD vs. NC comparison. MCI subjects showed intermediate levels of hippocampal atrophy and ventricular enlargement. Significant effects of age on hippocampal volume and radial distance were seen in the pooled sample as well as in the NC and AD groups considered separately. Age-associated differences were detected in all hippocampal subfields and the frontal and body/occipital horn portions of the lateral ventricles. Aging affects both the hippocampus and lateral ventricles independent of AD pathology and should be included as covariate in all structural hippocampal and ventricular analyses when possible.

### Keywords

Alzheimer's disease (AD); mild cognitive impairment (MCI); aging; hippocampal atrophy; lateral ventricle enlargement

### INTRODUCTION

Alzheimer's disease (AD) is the most common type of dementia worldwide, and currently affects 5.5 million people in the U.S. alone<sup>1</sup>. The recent estimate of the direct and indirect healthcare cost incurred worldwide by those affected by AD was \$156 billion annually<sup>2</sup>. Mortality rates are declining among the elderly, and AD is more prevalent at older ages. As

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baby-boomer generation ages, we will soon be confronted with an enormous increase in the incidence and prevalence of individuals affected by AD.

Accelerated hippocampal atrophy is a consistent finding in the AD and mild cognitive impairment (MCI) stage. Jack et al. estimated that annual atrophy occurs as a result of normal aging at a mean rate of 1.6 – 1.7 % in elderly controls. The rates in the MCI and AD stages are higher – 2.8 % in stable MCI, 3.7 % in MCI transitioning to AD (MCI progressors) and 3.5 – 4.0 % in AD<sup>3, 4</sup>. AD pathology accumulates for years and maybe even decades before AD is typically diagnosed and sensitive imaging biomarker techniques may be able to pick up signs of neurodegeneration pre-symptomatically. Using the radial distance mapping technique<sup>5</sup> we have already demonstrated that hippocampal atrophy is an early diagnostic biomarker and can predict which MCI subjects would progress to AD during 3-year follow-up.<sup>6</sup> We also have found that hippocampal atrophy is a promising biomarker for pre-symptomatic disease as it can be detected in cognitively normal elderly up to 3 years prior to MCI and 6 years prior to AD diagnosis<sup>7</sup>.

The exact localization of aging effects on hippocampal structure has been long disputed. Some groups report isolated involvement of the CA3/dentate gyrus and CA1 subregions<sup>8</sup>. Others claim that CA1 and subiculum<sup>9</sup>, or subiculum and dentate gyrus<sup>10</sup> or subiculum alone are preferentially involved<sup>11</sup>. These seemingly conflicting reports may be due to the facts that the hippocampal subregions are fairly hard to identify reliably while at the same time both the effect and sample sizes in these studies are usually small. Given the only partial replication of subregional aging effects on the hippocampus in these reports, it seemed also plausible that all subfields are subject to structural changes with increasing age – a hypothesis that we were able to address with our hippocampal surface mapping technique.

Ventriculomegaly is commonly observed in most neurodegenerative disorders and results from passive enlargement of the lateral, third and fourth ventricles following brain parenchymal shrinkage. Significant ventricular enlargement has been associated with AD<sup>12–15</sup>, but it is a rather nonspecific finding. Nevertheless ventriculomegaly has a large effect size in AD and is a strong biomarker of disease progression. AD subjects demonstrate significantly greater rates of ventriculomegaly compared to NC and MCI<sup>5, 12, 13, 16–19</sup>. NC and MCI subjects who experience cognitive decline also show greater ventricular enlargement over time relative to their cognitively stable counterparts<sup>12, 14, 15</sup>. Ventricular enlargement is strongly correlated with decline in cognitive performance<sup>5, 16, 20</sup> as well as with cerebrospinal fluid<sup>16</sup> and pathologic markers of AD<sup>20</sup>. As less labor-intensive automated segmentation methods for lateral ventricle segmentation have been developed, the lateral ventricles are increasingly being studied as promising AD biomarkers<sup>12, 15, 17, 19, 21, 22</sup>. Ventricular changes over time are also very strongly associated with aging both in cognitively normal and diseased populations<sup>13, 18, 20, 23</sup>. Our group recently demonstrated that ventricular enlargement performs better in discriminating cognitively normal elderly from MCI as opposed to discriminating MCI from AD subjects suggesting that lateral ventricular measures may be an early and potentially pre-symptomatic disease biomarker<sup>24</sup>. Finally another important observation in respect to ventricular changes over time is its very strong association with aging both in cognitively normal and diseased populations<sup>13, 18, 20, 23</sup>.

In this study we aimed to assess the independent effects of age and diagnosis on the hippocampus and the lateral ventricles. Our goals were to establish any unique ageing effect on the hippocampal subfields and ventricular subregions and compare the ageing pattern of hippocampal and ventricular involvement to that in AD.

## METHODS

### Subjects

Our study cohort consisted of 46 cognitively normal elderly controls (NC), 33 MCI (30 amnestic and 3 nonamnestic subtype) and 43 AD subjects. All subjects were participants in the University of California Los Angeles (UCLA) AD Research Center's database and provided informed consent according to the Declaration of Helsinki and the restrictions and the policies of the UCLA Institutional Review Board. The diagnostic work-up consisted of physician interview, general and neurological examination and detailed neuropsychological evaluation consisting of the following tests: Mini-Mental State Examination (MMSE)<sup>25</sup>, the Wechsler Adult Intelligence Scale – 3<sup>rd</sup> edition (WAIS-3: 8 subsets)<sup>26</sup>, the Boston Naming Test<sup>27</sup>, the Controlled Oral Word Association Test (FAS and Animals)<sup>28</sup>, the Wechsler Memory Scale – 3<sup>rd</sup> edition (WMSIII: Logical Memory and Visual Reproduction)<sup>26</sup>, the Rey-Osterrieth Complex Figure test – copy and delayed recall (ROCFT)<sup>29</sup>, the Stroop Test<sup>30</sup>, Trailmaking A and B<sup>31</sup>, and the Wisconsin Card Sorting Test (WCST)<sup>32</sup>. Diagnostic impressions were reached by consensus among neurologists, psychiatrists and neuropsychologists, and were based on the National Institute of Neurologic and Communicative Disorders and Stroke and the AD and Related Disorders Association (NINCDS-ADRDA) criteria for AD<sup>33</sup> and Petersen criteria for MCI<sup>34</sup>. The latter required cognitive decline of at least 1.5 SD below the age- and education-adjusted neuropsychological norms on at least one neuropsychological test, in the context of generally preserved intellectual function and activities of daily living. NC subjects scored within expectation on all cognitive tests. Additional inclusion criteria were age 55–90 years, no evidence of concurrent general medical condition of sufficient severity to impact cognition, no history of significant drug or alcohol abuse, no concurrent psychiatric or other neurological illness and a Mini-Mental State Evaluation (MMSE) score above 18 for the mild AD group.

### Imaging data acquisition and preprocessing

Imaging data were collected on a 1.5 T Signa MRI scanner (Milwaukee, Wisconsin) with the following protocol: 3D spoiled gradient echo, gapless coronal acquisition, TR 28 msec, TE 6 msec, FOV 220 mm, 256 × 192 matrix, slice thickness 1.5 mm, voxel size 0.9 × 0.9 × 1.5 mm (non-isotropic). We used the Minctracc algorithm<sup>35</sup> to rotate and globally scale all MRI scans to match the International Consortium for Brain mapping (ICBM) ICBM53 average brain imaging template<sup>36</sup> using a 9-parameter linear transformation (3 translations, 3 rotations and 3 scales) and a regularized tricubic B-spline approach for image intensity inhomogeneity correction<sup>37</sup>. The post-processed images had a reconstructed isotropic voxel dimension of 1 × 1 × 1 mm. The ICBM53 (obtainable on request from the authors) was chosen over the ICBM152 and the ICBM305 templates as it has better contrast and more sharply defined cortical and subcortical structural definition, which may be helpful for improved cross-subject image registration.

### Hippocampal tracing

Hippocampal traces included the hippocampus proper, dentate gyrus and subiculum and were traced on gapless coronal slices by two experienced raters blinded to subjects' age, gender, and diagnosis following a detailed, well-established protocol<sup>38, 39</sup>. We used Crohnbach's alpha coefficient (a measure of intercorrelation, i.e. internal consistency among test items<sup>40</sup>) to determine inter- and intra-rater variability followed by one – or two-tailed t-test as appropriate to test for statistically significant mean differences in hippocampal volume between the two raters and in intra-rater test-retest conditions (LGA, intra-rater reliability Cronbach's alpha=0.987, one-sample two-tailed t-test  $p=0.24$  and AEG, inter-rater reliability Cronbach's alpha=0.975, one-sample two-tailed t-test  $p=0.3$ ; LGA vs. AEG inter-

rater reliability Cronbach's alpha=0.9, one-sample two-tailed t-test  $p=0.37$ ). The reliability for hippocampal tracing is determined on a single data set after each tracer receives extensive training with feedback on several tracing datasets different from the reliability dataset. When boundaries were ambiguous, a standard neuroanatomical atlas was consulted<sup>41</sup>. Volumetric data were extracted for subsequent statistical analyses. We used t-tests to assess any systematic biases between the raters; a high Cronbach's alpha is not sufficient to assess inter-rater consistency, as it can be high if differences between two independent raters are in a consistent direction (i.e. one constantly underestimates or overestimates volumes relative to the other).

### Ventricular tracing and extraction

Ventricular extraction followed a semi-automated ventricular segmentation approach<sup>17</sup>. Briefly, an experienced human rater (AEG, intra-rater reliability Cronbach's Alpha =0.995) traced the lateral ventricles of four subjects in three partitions per hemisphere – superior horn, temporal horn and ventricular body/occipital horn. These traces were converted into 3D parametric ventricular mesh models, termed atlases. Next each of the four atlases was fluidly registered to each unsegmented study image<sup>17</sup>. The resulting four ventricular segmentations per study subject were averaged resulting in one final 3D ventricular model for each study participant. Averaging four automated segmentations for each subject minimizes as much as possible automated labeling errors and most accurately captures individual anatomy.

### Radial distance mapping approach

The hippocampal and ventricular contours were next split into top and bottom components and redigitized to normalize the spatial frequency of the surface points within and across brain slices. We stretched a regular parametric grid (100×150 surface points) over each surface, resampling and redigitizing the surface, to achieve spatial uniformity for precise point-wise comparison between subjects<sup>5</sup>. To create a measure of 'radial distance' we derived first the medial curve (centroid) of the structure of interest and then calculated the distance from this curve to each surface point. The resulting hippocampal or ventricular "thickness" metric (in mm) is sensitive to local atrophy, or expansion - in the case of the lateral ventricle.

### Statistical analyses

We conducted between-group comparisons of demographic characteristics and neuropsychological scores using analysis of variance (ANOVA) statistics for continuous (age, education and cognitive scores) and chi-squared tests for categorical variables (gender). All subsequent analyses were adjusted accordingly for demographic variables showing significant between-group differences.

Two types of imaging data – volumetric and 3D radial distance maps – were used for statistical analyses. For our main analyses, we used multiple regression with volume or radial distance as the dependent variables, diagnosis or age as the predictor variables and demographic variables showing statistically significant between-group differences as covariates. For 3D image analyses, independent point-wise regressions were performed and p-values assigned at each surface point. Type I error correction was conducted by statistically permuting the predictor variable - in this case, clinical diagnosis - and iteratively testing the global significance of the maps in each permuted experiment using the stringent significance threshold of  $p<0.01$ . We assessed the proportion of the anatomical surface exceeding this threshold, and compared it with what would have been obtained by chance in random re-assignments of covariates to the subjects. We applied 100,000 permutations to each comparison and derived a single global corrected  $p$ -value.

## RESULTS

### Demographic comparisons

The means of the demographic and cognitive variables for each diagnostic group are provided in Table 1. There were significant age, education and sex differences between the groups - AD subjects were oldest, least educated and had a greater proportion of women than the other groups, while the NC were youngest, most educated and had a greater proportion of men. The MCI group was intermediate with respect to all these demographic factors. All statistical analyses were corrected for age, sex and education. Table 2 lists the mean and standard deviations of the neuropsychologic test results for each diagnostic group.

### Hippocampal analyses

The results of the age-, sex- and education-corrected hippocampal volumetric analyses are presented in the top portion of Table 3. All hippocampal volumes listed in the table are adjusted for the effects of age, sex and educational level. The group-specific univariate statistics for the age-, sex- and education-adjusted hippocampal volumes can be seen in Figure 1. AD subjects had significantly smaller left and right hippocampal volumes when compared to NC (left  $p<0.0001$ , right  $p=0.001$ ). When compared to MCI AD subjects showed trend volumetric differences on the left only (left  $p=0.088$ ; right  $p=0.32$ ). MCI subjects had significantly smaller hippocampal volumes bilaterally relative to NC (left  $p<0.0001$ ; right  $p=0.034$ ). After excluding the three nonamnestic subjects MCI still had significantly smaller hippocampal volumes relative to NC (left  $p<0.0001$ , right  $p=0.024$ ) while the trend for volumetric difference with AD on the left was no longer present ( $p>0.05$ ).

The effects of age on the hippocampus within each diagnostic group after correcting for gender and education can be seen in Table 3.

The results of the 3D hippocampal radial distance analyses are presented in Figure 2. AD subjects showed highly significant atrophy in all hippocampal subfields relative to NC (left  $p_{\text{corrected}}<0.0001$ ; right  $p_{\text{corrected}}<0.0001$ ) and MCI (left  $p_{\text{corrected}}=0.0123$ ; right  $p_{\text{corrected}}=0.0001$ ). MCI subjects showed bilaterally significant atrophy relative to NC (left  $p_{\text{corrected}}=0.002$ ; right  $p_{\text{corrected}}=0.0014$ ). Quantitatively, the absolute differences in average radial distance, for each group, ranged between 10–40% in the AD vs. NC, 10–25% in the MCI vs NC and 5–30% in the MCI vs. AD comparisons (Figure 2, *right panel*). After excluding the three nonamnestic MCI subjects the between group differences remained significant for both comparisons (NC vs. amnestic MCI left  $p_{\text{corrected}}=0.028$ , right  $p_{\text{corrected}}=0.0021$ ; AD vs. amnestic MCI left  $p_{\text{corrected}}=0.026$ , right  $p_{\text{corrected}}=0.0001$ ).

Figure 3 shows the effect of age on hippocampal radial distance while controlling for diagnosis, sex and educational level, in the pooled sample. The permutation-corrected statistical significance in the pooled sample was  $p_{\text{corrected}}=0.0001$  on the left and  $p_{\text{corrected}}=0.1$  on the right. Within diagnostic categories significant age effects were also seen on the left in NC (left  $p_{\text{corrected}}=0.0024$ ; right  $p_{\text{corrected}}=0.08$ ) and AD subjects (left  $p_{\text{corrected}}=0.014$ ; right  $p_{\text{corrected}}=0.1$ ) but not in MCI (left  $p_{\text{corrected}}=0.065$ , right  $p_{\text{corrected}}=0.44$ ).

### Ventricular analyses

The results of the age-, sex- and education-corrected ventricular volumetric analyses are presented in the bottom portion of Table 3. All ventricular volumes listed in the table are adjusted for age, sex and educational level. AD subjects had significantly larger left and right lateral ventricular volumes when compared to NC subjects ( $p<0.0001$  on the left and  $p=0.001$  on the right). These differences were largely driven by differences in the frontal horns bilaterally, but differences in the left body/occipital horn were also significant.

Relative to NC, MCI subjects showed significantly larger left frontal horn volumes ( $p=0.039$ ). After excluding the three nonamnestic MCI subjects the significance for the left frontal horn became  $p=0.059$ , however now the total left ventricular volumes reached significance (left  $p=0.036$ , right  $p=0.071$ ). The MCI subjects had significantly larger right frontal horn ( $p=0.031$ ) and total right ventricular volume ( $p=0.021$ ) relative to AD subjects. After excluding the three nonamnestic MCI subjects significant differences persisted for the right total ventricular volume ( $p=0.043$ ) and showed a trend for the right frontal horn ( $p=0.069$ ).

3D ventricular maps are presented in Figure 4. AD subjects showed significantly enlarged frontal (left  $p_{\text{corrected}} < 0.022$ ; right  $p_{\text{corrected}} < 0.013$ ) and body/occipital horn (left  $p_{\text{corrected}} < 0.035$ ; right  $p_{\text{corrected}} < 0.017$ ) relative to the NC group. Relative to MCI, AD subjects showed trend-level significant enlargement of the left body/occipital horn ( $p_{\text{corrected}} = 0.088$ ) as well as the right temporal horn ( $p_{\text{corrected}} = 0.065$ ). After excluding the three nonamnestic MCI subjects the trend persisted for the right temporal horn only ( $p_{\text{corrected}} = 0.09$ ). MCI subjects showed trend-level significant enlargement of the right frontal horn relative to NC ( $p_{\text{corrected}} = 0.098$ ). The trend disappeared after excluding the three nonamnestic MCI subjects. Quantitatively, the absolute differences in mean ventricular radial distance, between groups, ranged between 5–30% in AD vs. NC, 5–20% in the MCI vs. NC and 3–13% in the MCI vs. AD comparisons (Figure 4, *right panel*).

Figure 5 shows the effect of age on ventricular radial distance in the pooled sample while controlling for diagnosis, gender and education (top row) and each diagnostic category while controlling for gender and education (rows 2–4). Permutation-corrected statistically significant aging effects in the pooled sample were seen for the frontal horn (left  $p_{\text{corrected}} = 0.0001$ , right  $p_{\text{corrected}} = 0.0007$ ) and for the body/occipital horn portions (left  $p_{\text{corrected}} = 0.0012$ , right  $p_{\text{corrected}} = 0.036$ ) of the lateral ventricles. A trend level effect was seen for the left temporal horn ( $p_{\text{corrected}} = 0.09$ ). After excluding the three nonamnestic MCI subjects these effects persisted – frontal horn left  $p_{\text{corrected}} = 0.0001$ , right  $p_{\text{corrected}} = 0.0009$ ; occipital horn left  $p_{\text{corrected}} = 0.0017$ , right  $p_{\text{corrected}} = 0.059$ , left temporal horn  $p_{\text{corrected}} = 0.065$ . Within diagnostic categories, the age effects survived stringent permutation-correction for multiple comparisons in NC and AD only. Among NC, significant effects were seen in the frontal horns (left  $p_{\text{corrected}} = 0.00085$ ; right  $p_{\text{corrected}} = 0.0025$ ) as well as the body/occipital horn (left  $p_{\text{corrected}} = 0.0002$ ; right  $p_{\text{corrected}} = 0.013$ ). The temporal horn in NC showed a trend for age-related expansion (left  $p_{\text{corrected}} = 0.05$ , right  $p_{\text{corrected}} = 0.09$ ). Among AD subjects, the permutation-corrected significant age effects were detected in the right frontal horn only (left  $p_{\text{corrected}} = 0.092$ ; right  $p_{\text{corrected}} = 0.02$ ). In MCI, the permutation-corrected significance for the frontal horns reached trend-level on the left (left  $p_{\text{corrected}} = 0.067$ ) but became nonsignificant after excluding the three nonamnestic MCI subjects.

## DISCUSSION

AD, the most common neurodegenerative disorder, results in profound cognitive and functional deterioration, and ultimately death. AD pathology is triggered by the aberrant processing and deposition of two proteins – A $\beta$  (beta-amyloid) and tau - leading to neuronal dysfunction and neuronal death. The cumulative loss of neurons and their connections results in macroscopic changes such as cortical and hippocampal atrophy and ventricular enlargement. Brain atrophy is a common feature of virtually all neurodegenerative disorders, and each disorder has a unique pattern of involvement. The classic AD pattern consists of early prominent involvement of the entorhinal cortex and the hippocampus, followed by significant atrophy of the posterior (i.e., temporal, parietal and occipital) and later on the frontal association cortices. Sulcal dilation and ventriculomegaly reflecting both gray and

white matter loss are prominent and easily seen on conventional diagnostic images. While indeed AD is considered a primary gray matter disorder, there are significant secondary changes in the white matter in subjects with AD. Most likely white matter loss is due to secondary loss of the axons following neuronal death. We could further support this argument by the findings reported in<sup>42</sup>. The authors of this paper report a gray/white matter ratio of 1.7 (corresponding to approximately 63% gray and 37% white matter) in healthy elderly (mean age 71 y, range 65–76 y) and a gray/white matter ratio of 1.9 (corresponding to approximately 66% gray and 34% white matter) in AD subjects (mean age 70 y, range 61–75 y).

Normal aging is also associated with hippocampal atrophy<sup>9</sup> and ventricular dilation<sup>13, 23</sup>. However, unlike in AD, these changes are modest and their rate of progression over time is relatively slow. Both AD and normal aging result in similar changes, so separating these two states is frequently challenging. Here we have investigated effects of age and AD independent of each other.

Despite the cross-sectional nature of this work the pervasive AD effect on the hippocampal formation is clearly demonstrable. We found significant between-group differences between NC and AD in all hippocampal subfields. Statistically significant differences between MCI and NC were largely restricted to the hippocampal head and the subiculum bilaterally as well as the CA2–3 area on the right. The structural differences between MCI and AD were most pronounced in the CA1 subfield but were also seen in the CA2–3 areas bilaterally.

The effects of full-blown AD on the lateral ventricles localized not only to the posterior portions (body/occipital horn) but also to the frontal horns. This predominantly posterior ventricular enlargement in MCI agrees with the known early posterior predominant disease effect, which later spreads to the frontal horns. Whether involvement of the frontal horns coincides or predates the conversion to full-blown AD syndrome and hence marks the transition to functional impairment remains to be proven.

Previous studies report that aging affects only selected hippocampal subfields, but no two studies have agreed on the exact regions involved. Some report involvement of the CA3/dentate gyrus and CA1<sup>8</sup>, others of CA1 and subiculum<sup>9</sup>, the subiculum and the dentate gyrus<sup>10</sup> or the subiculum alone<sup>11</sup>. The disagreement between these studies likely reflects MR acquisition and methodological differences, including limited statistical power and relatively small effect sizes. Our results suggest an age effect on all these subfields and confirm all of these previous observations. Our finding of trend-level effect in the MCI group in the context of significant effects in the NC and AD groups may be due to relative lack of power due to the smaller size of our MCI group.

In agreement with prior reports<sup>14, 43</sup>, we found a significant effect of age on the shape and size of the lateral ventricles. This effect is most pronounced in the frontal horns perhaps as the result of structural changes in one or more tissue classes in the frontal lobes<sup>44–47</sup>. These data are also in keeping with the pattern of cognitive decline seen in the elderly, including decline in working memory, information processing speed and retrieval of previously learned information<sup>48</sup>. We found the strongest ventricular age effect in our youngest group – the NC group, and weaker age effects in our older groups – the MCI and AD groups. This may seem counterintuitive, but may be attributable to several factors. One could speculate that either the effect of age on ventricular volume/radial distance is nonlinear with steeper slopes in the younger as opposed to older elderly subjects as was recently demonstrated<sup>49</sup>. Further evidence is the data reported by Sowell et al.<sup>50, 51</sup> where the investigators plotted trajectories of cortical atrophy over the lifespan, and some age effects slowed down. Another possible reason for this in a longitudinal study, is cohort attrition due to mortality or inability

to remain in the study when atrophy has exceeded a certain level. This might lead to a censoring of data available to understand the age effects. Yet here we only work with cross-sectional data. It may also be that once the brain and ventricular volumes are affected by Alzheimer's pathology the strength of the association between age and ventricular volume or radial distance weakens. The only way to find out whether any of these two speculations are true would be to study the effect of aging longitudinally in sample of cognitively normal elderly who later in life have succumbed to AD.

Several strengths and limitations of this work should be recognized. The strengths of this work lie in the thorough evaluation of this relatively large subject pool and the advanced imaging software used. Additionally, we carefully modeled the effects of age and by doing so we build upon previous reports of associations between age and change in hippocampal and ventricular volume over time. Our 3D results show aging effects in all hippocampal subfields. We also demonstrated a fronto-parietal pattern of age effects on the lateral ventricles. Given these findings, we conclude that aging accounts for some of the variability of hippocampal and lateral ventricular structural measures and thus should be included as covariate in all structural hippocampal and ventricular analyses when possible. The major weakness of this study is its cross-sectional design. Subject follow-up and the use of longitudinal datasets such as those from the Alzheimer's Disease Neuroimaging Initiative are planned. The second limitation is the fact that original imaging data collection was conducted on 1.5Tesla MR scanner. It would certainly be valuable to collect high resolution hippocampal scans, such as T2-weighted scans at 3 or 7 Tesla<sup>52–55</sup> however this type of scan is not routinely acquired in large scale database projects such as the UCLA imaging database or ADNI<sup>56</sup>, where the whole brain needs to be assessed and scan time is limited. Finally, we corrected for potentially confounding variables such as age, sex, and education using a general linear model, but it may be that age and education effects are nonlinear in nature.

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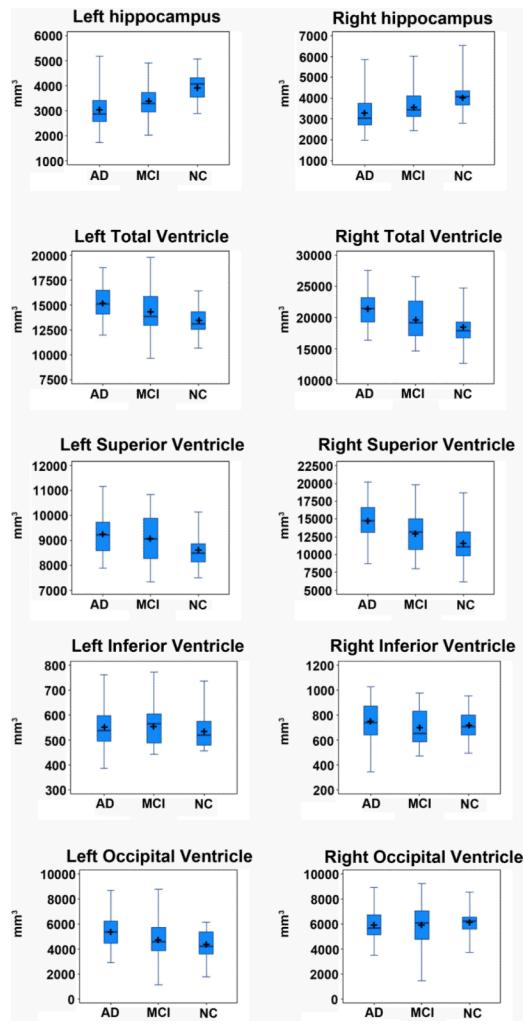
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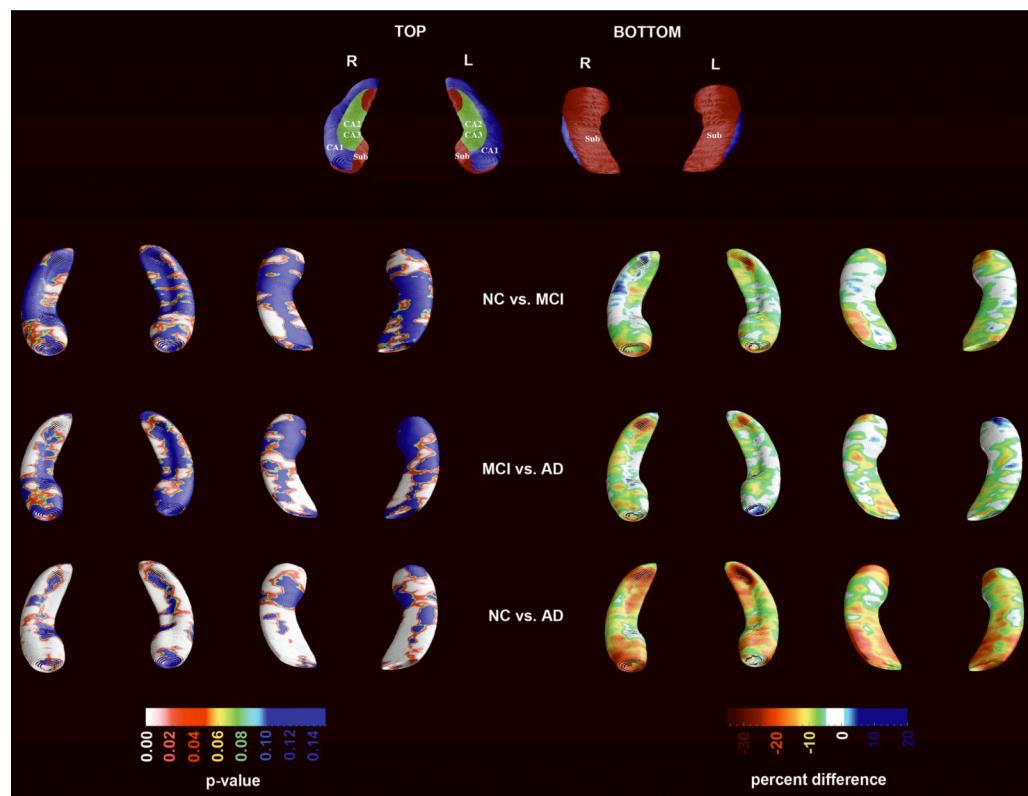
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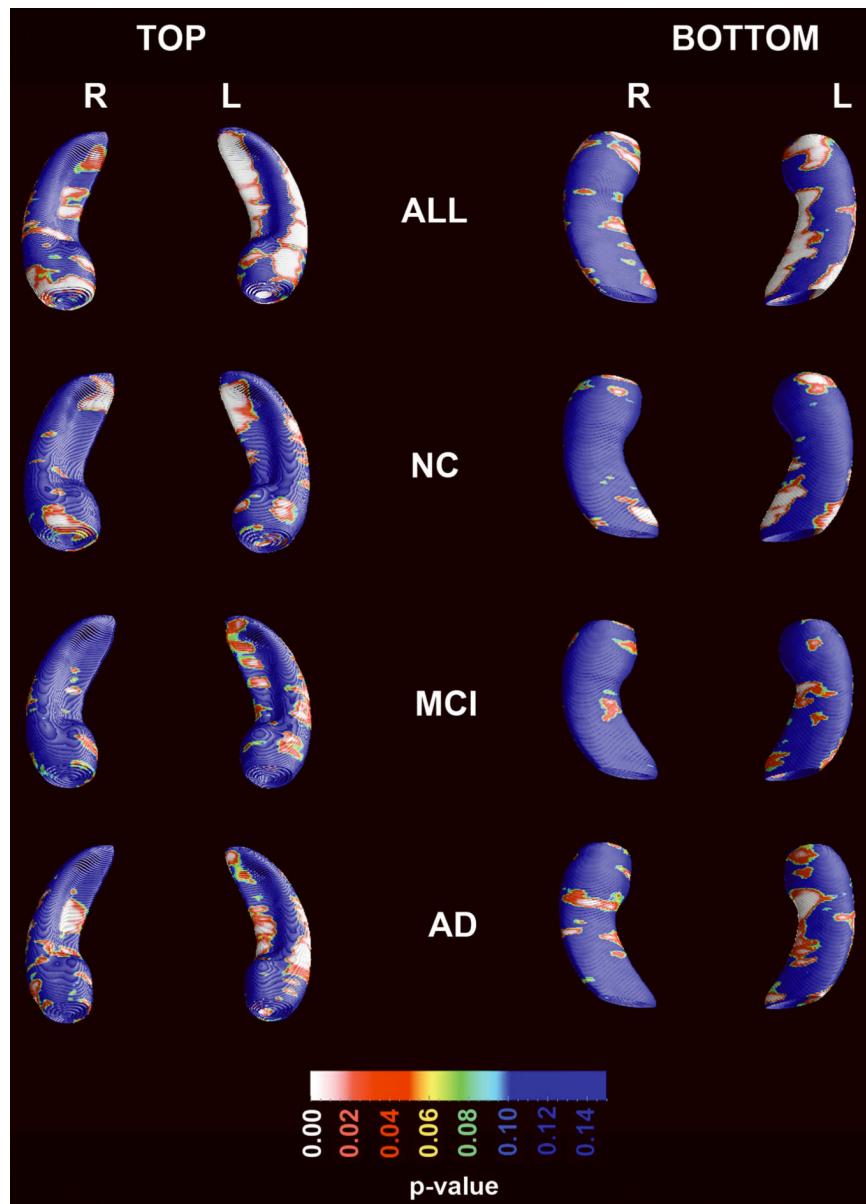
**Figure 1.**

Box plot of the age-, gender- and education-normalized hippocampal and ventricular volumes in NC, MCI and AD. The central box displays the data between the upper and lower quartiles with the line representing the median and the cross representing the mean. The “whiskers” display the range for the upper and lower quartiles, resp. The feet of the whiskers represent the full range of each variable.



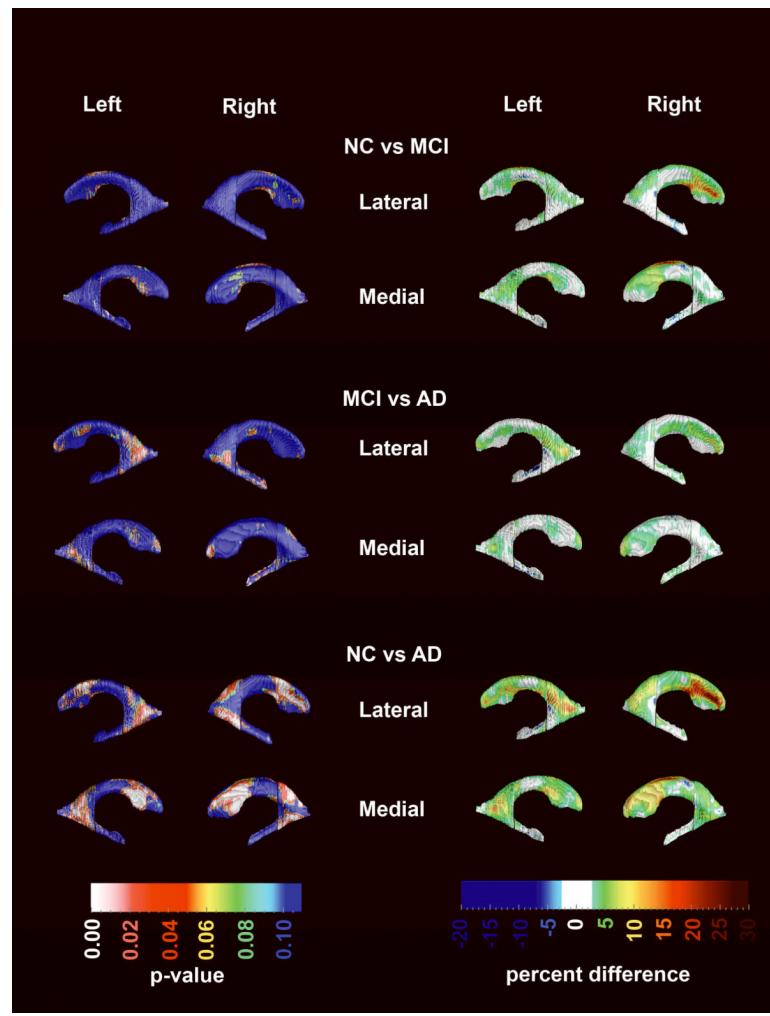
**Figure 2.**

3D hippocampal between-group statistical (left) and quantitative (right) comparisons. The statistical maps are corrected for age, sex and education.



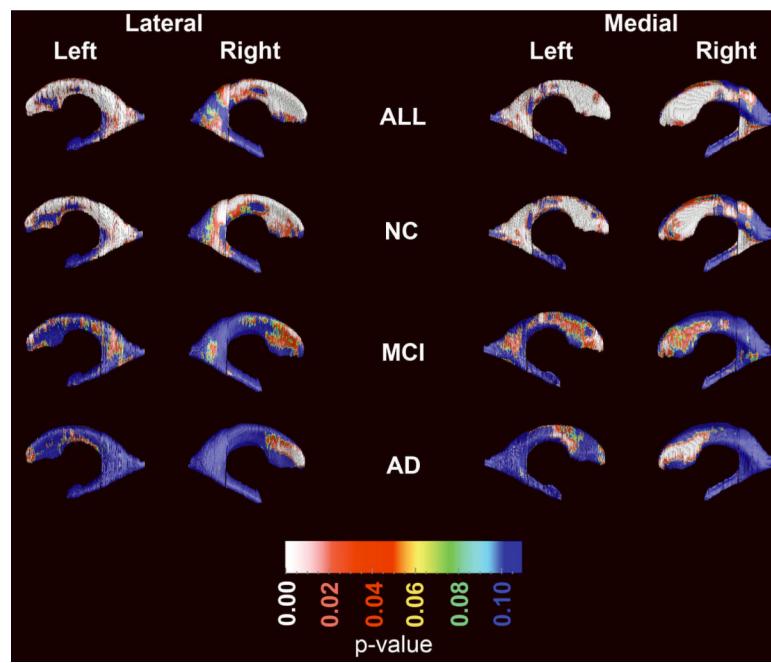
**Figure 3.**

Effect of age on hippocampal radial distance while controlling for diagnosis, sex and education in the pooled sample and in each diagnostic group while controlling for gender and education.



**Figure 4.**

3D ventricular between-group statistical (left) and quantitative (right) comparisons. The statistical maps are corrected for age, sex and education.



**Figure 5.**

Effect of age on hippocampal radial distance while controlling for diagnosis, gender and education in the pooled sample and in each diagnostic group while controlling for gender and education.

**Table 1**

Demographic and MMSE data

Variable	Hippocampal analysis						Ventricular analysis	
	NC N=46	MCI N=33	AD N=43	ANOVA/Chi-Square p-value	NC N=44	MCI N=32	AD N=43	
Age, yr	66.4 (7.8)	73.1 (6.0)	75.7 (7.6)	<0.0001	66.3 (8.0)	72.8 (5.9)	75.7 (7.6)	<0.0001
Gender, M/F	25:21	22:11	16:27	0.035	24:20	21:11	16:27	0.044
Education, yr	17.2 (2.6)	16.3 (2.6)	14.6 (2.7)	<0.0001	17 (2.5)	16.4 (2.6)	14.6 (2.7)	<0.0001
MMSE	29.5 (0.6)	27.8 (2.3)	22.2 (4.9)	<0.0001	29.5 (0.6)	27.8 (2.3)	22.2 (4.9)	<0.0001

NC – cognitively normal elderly, MCI – mild cognitive impairment, AD- Alzheimer's disease, yr – years, M-male gender, F-female gender, MMSE – Mini-Mental State Examination score, ANOVA – analysis of variance

**Table 2**

## Neuropsychologic test performance

Neuropsychologic test	NC Mean (SD)	MCI Mean (SD)	AD Mean (SD)
WAIS-III Digit Span Forward	6.9 (1.2) <sup>*,##</sup>	6.1 (1.3) <sup>^</sup>	5.9 (1.2) <sup>^^</sup>
WAIS-III Digit Span Backwards	5.1 (1.2) <sup>##</sup>	4.9 (1.1) <sup>#</sup>	4.1 (1.0) <sup>*,^^</sup>
WAIS-III Digit Symbol	65.7 (13.8) <sup>**,##</sup>	51.7 (13.3) <sup>##,^^</sup>	37.8 (13.6) <sup>**,^^</sup>
WAIS-III Block Design	12.6 (2.4) <sup>##</sup>	11.0 (3.2) <sup>##</sup>	7.9 (3.0) <sup>**,^^</sup>
Boston Naming Test	57.1 (2.6) <sup>##</sup>	53.8 (4.1) <sup>##</sup>	39.9 (13.6) <sup>**,^^</sup>
Phonemic fluency (FAS)	47.8 (12.7) <sup>*,##</sup>	37.6 (12.4) <sup>#,^</sup>	28.7 (12.9) <sup>*,^^</sup>
Animal fluency	22.5 (5.1) <sup>**##</sup>	17.3 (4.3) <sup>##,^^</sup>	9.4 (4.1) <sup>**,##</sup>
WMS -III Logical Memory 1 story 1	45.6 (10.0) <sup>**,##</sup>	30.2 (12.9) <sup>##,^^</sup>	15.6 (8.8) <sup>**,^^</sup>
WMS -III Visual Reproduction I	81.4 (11.8) <sup>**,##</sup>	58.4 (17.4) <sup>##,^^</sup>	38.5 (15.2) <sup>**,^^</sup>
WMS -III Visual Reproduction II	59.6 (19.0) <sup>**,##</sup>	19.9 (21.3) <sup>##,^^</sup>	38.5 (15.2) <sup>**,^^</sup>
ROCF Copy	32.8 (3.0) <sup>##</sup>	31.1 (4.3) <sup>##</sup>	22.9 (8.7) <sup>**,^^</sup>
ROCF Recall	17.8 (5.7) <sup>^,##</sup>	9.7 (7.0) <sup>##,^^</sup>	2.9 (2.9) <sup>**,^^</sup>
Trails A	30.2 (9.1) <sup>##</sup>	42.8 (16.2) <sup>##</sup>	70.0 (38.0) <sup>**,^^</sup>
Trails B	61.4 (17.1) <sup>**,##</sup>	108.6 (50.6) <sup>##,^^</sup>	188.1 (80.8) <sup>**,^^</sup>
Stroop A	59.4 (10.2) <sup>*,##</sup>	71.2 (13.5) <sup>##,^^</sup>	88.1 (22.8) <sup>**,^^</sup>
Stroop B	44.8 (6.6) <sup>##</sup>	50.4 (13.0)	56.2 (11.9) <sup>^^</sup>
Stroop C	115.5 (19.6) <sup>**##</sup>	149.6 (41.2) <sup>##,^^</sup>	206.4 (57.3) <sup>**,^^</sup>

<sup>^^</sup> p<0.001<sup>^</sup> p<0.05 relative to NC<sup>\*\*</sup> p<0.001<sup>\*</sup> p<0.05 relative to MCI<sup>##</sup> p<0.001<sup>#</sup> p<0.05 relative to AD

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Volumetric analyses. The age and diagnosis effects were obtained in a multiple linear regression model with hippocampal or ventricular volumes as the outcome and age, gender, education and diagnosis as predictor variables.

**Table 3**

Group 1 vs. Group 2	Side	Group 1, Adjusted* volume, mm <sup>3</sup>	Group 2 Adjusted* volume, mm <sup>3</sup>	Age effect		Diagnosis effect	
				t	p-value	t	p-value
<b>Hippocampi</b>							
NC vs. MCI	Left	3947 (787)	3387 (787)	-1.84	0.07	-3.16	<0.0001
	Right	4027 (713)	3597 (757)	-0.59	0.6	-2.66	<b>0.034</b>
MCI vs. AD	Left	3387 (787)	3022 (698)	-2.85	<b>0.006</b>	-2.97	<b>0.088</b>
	Right	3597 (757)	3291 (798)	-1.82	0.07	-1.55	0.13
NC vs. AD	Left	3947 (787)	3022 (698)	-2.42	<b>0.018</b>	-6.05	<0.0001
	Right	4027 (713)	3291 (798)	-2.37	<b>0.02</b>	-3.36	<b>0.001</b>
<b>Ventricles</b>							
NC vs. MCI	Left ventricle	13481 (1327)	14339 (2227)	2.9	<b>0.004</b>	0.66	0.5
	Left frontal horn	8608 (633)	9090 (884)	1.13	0.3	2.10	<b>0.039</b>
	Left temporal horn	535 (64)	553 (82)	2.06	<b>0.043</b>	0.16	0.9
	Left body/occipital horn	4338 (1102)	4695 (1675)	3.11	<b>0.003</b>	-0.35	0.7
	Right ventricle	18411 (2599)	19705 (3016)	2.71	<b>0.008</b>	1.0	0.32
MCI vs. AD	Right frontal horn	11555 (2770)	13053 (2898)	3.28	<b>0.002</b>	1.07	0.3
	Right temporal horn	717 (121)	702 (143)	-0.31	0.8	-0.03	1
	Right body/occipital horn	6139 (921)	5950 (1664)	-1.1	0.3	-0.12	0.9
	Left ventricle	14339 (2227)	15172 (1545)	1.63	0.11	1.47	0.14
AD	Left frontal horn	9090 (884)	9256 (797)	1.13	0.3	0.14	0.9
	Left temporal horn	553 (82)	552 (74)	0.04	1	0.19	0.9
	Left body/occipital horn	4695 (1675)	5363 (1202)	1.28	0.2	1.86	0.07

Group 1 vs. Group 2	Side	Group 1, Adjusted* volume, mm <sup>3</sup>	Group 2 Adjusted* volume, mm <sup>3</sup>	Age effect		Diagnosis effect	
				t	p-value	t	p-value
Right ventricle	Right ventricle	19705 (3016)	21371 (2780)	1.88	0.07	1.75	<b>0.021</b>
	Right frontal horn	13053 (2898)	14711 (2786)	2.59	<b>0.012</b>	1.92	<b>0.031</b>
	Right temporal horn	702 (143)	748 (151)	1.22	0.2	-0.4	0.7
	Right body/occipital horn	5950 (1664)	5913 (1209)	-1.32	0.2	-0.13	0.9
NC vs. AD	Left ventricle	13481 (1327)	15172 (1545)	3.69	<b>0.004</b>	2.81	<0.0001
	Left frontal horn	8608 (633)	9256 (797)	1.72	0.09	2.02	<b>0.047</b>
	Left temporal horn	535 (64)	552 (74)	0.54	0.6	0.58	0.6
	Left body/occipital horn	4338 (1102)	5363 (1202)	3.42	<b>0.001</b>	2.14	<b>0.036</b>
	Right ventricle	18411 (2599)	21371 (2780)	3.29	<b>0.001</b>	3.39	<b>0.001</b>
	Right frontal horn	11555 (2770)	14711 (2786)	3.28	<b>0.002</b>	3.12	<b>0.002</b>
	Right temporal horn	717 (121)	748 (151)	0.66	0.5	0.23	0.8
	Right body/occipital horn	6139 (921)	5913 (1209)	-1.76	0.08	0.53	0.6

NC – cognitively normal elderly, MCI – mild cognitive impairment, AD- Alzheimer's disease, mm<sup>3</sup>- cubic millimeters

\* all volumes are adjusted for age, sex and education