### **Problem 1:**

Clustering analysis on the "CCND3 Cyclin D3" gene expression values of the Golub et al. (1999) data.

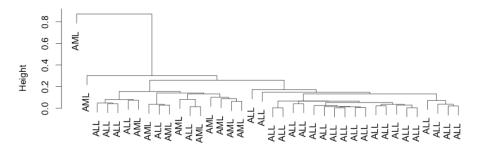
- (a) Conduct hierarchical clustering using single linkage and Ward linkage. Plot the cluster dendrogram for both fit. Get two clusters from each of the methods. Use function table() to compare the clusters with the two patient groups ALL/AML. Which linkage function seems to work better here?
- **(b)** Use *k*-means cluster analysis to get two clusters. Use table() to compare the two clusters with the two patient groups ALL/AML.
- **(c)** Which clustering approach (hierarchical versus k-means) produce the best matches to the two diagnose groups ALL/AML?
- (d) Find the two cluster means from the k-means cluster analysis. Perform a bootstrap on the cluster means. Do the confidence intervals for the cluster means overlap? Which of these two cluster means is estimated more accurately?
- (e) Produce a plot of K versus SSE, for K=1, ..., 30. How many clusters does this plot suggest?

## A) Rscript:

```
# Answer 1
data(golub, package="multtest")
grep("CCND3 Cyclin D3",golub.gnames[,2])
clusdata <- data.frame(golub[1042,])
gol.fac <- factor(golub.cl,levels=0:1, labels=c("ALL","AML"))
# Answer 1a
hcALL.sing<-hclust(dist(clusdata,method="euclidian"),method="single")
hcALL.ward<-hclust(dist(clusdata,method="euclidian"),method="ward.D2")
par(mfrow=c(1,2))
plot(hcALL.sing, labels=gol.fac)
plot(hcALL.ward, labels=gol.fac)
cALL.2sing<- cutree(hcALL.sing, k=2)
cALL.2ward<- cutree(hcALL.ward, k=2)
table(gol.fac,cALL.2sing)
table(gol.fac,cALL.2ward)
```

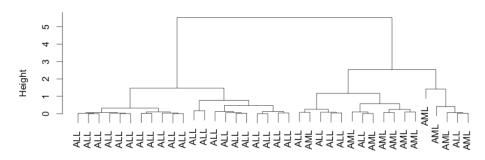
#### Answer:

#### Cluster Dendrogram



dist(clusdata, method = "euclidian") hclust (\*, "single")

#### **Cluster Dendrogram**



dist(clusdata, method = "euclidian") hclust (\*, "ward.D2")

```
> table(gol.fac,cALL.2sing)
      cALL.2sing
gol.fac 1 2
    ALL 27 0
    AML 10 1
> table(gol.fac,cALL.2ward)
      cALL.2ward
gol.fac 1 2
    ALL 21 6
    AML 0 11
```

Using the table function we can see and Ward linkage method is better than single linkage method as in single linkage all the clusters are in one group i.e. there are 10 wrong groups where as in Ward linkage all the AML is correctly placed and only 6 ALL are in incorrect clusters.

## B) Rscript:

clusters.km <- kmeans(clusdata, centers=2) #Do K-means with K=3 clusters
table(gol.fac, clusters.km\$cluster)</pre>

#### Answer:

```
gol.fac 1 2
ALL 5 22
AML 10 1
```

## C)

#### **Answer:**

Comparing hierarchical clustering versus k-means clustering, k-means seems to be better than hierarchical clustering as it gives just 6 incorrect clusters. But for the given data kmeans clustering and ward linkage seems to be working in a same way.

```
D)
cl.2mean <- kmeans(clusdata, centers=2, nstart = 10)
cl.2mean$centers
initial <-cl.2mean$centers
n <- dim(clusdata)[1]; nboot <-1000
boot.cl <- matrix(NA,nrow=nboot,ncol=2)
for (i in 1:nboot){
    dat.star <- clusdata[sample(1:n,replace=TRUE),]
    cl <- kmeans(dat.star, centers=initial)
    boot.cl[i,] <- c(cl$centers[,1])
}
apply(boot.cl,2,mean)
quantile(boot.cl[,1],c(0.025,0.975))
quantile(boot.cl[,2],c(0.025,0.975))
```

### Answer:

```
Cluster means:
golub.1042...
1  0.738366
2  2.045689

> apply(boot.cl,2,mean)
[1] 0.6887524 2.0319940
> quantile(boot.cl[,1],c(0.025,0.975))
  2.5%  97.5%
0.1850939 1.0711327
```

```
2.5% 97.5%
1.847179 2.197224
```

Two cluster means from the k-means cluster analysis is 0.738366 and 2.045689. Two cluster means after bootstrapping is 0.6887524 and 2.0319940

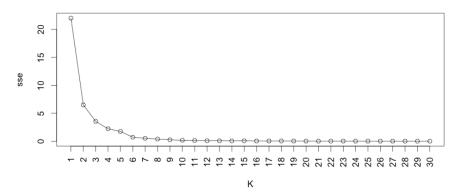
Confidence interval for cluster mean overlap is: bootstrap 95% CI for first coordinate of the cluster mean 2.5% 97.5% 0.1850939 1.0711327

bootstrap 95% CI for second coordinate of the cluster mean 2.5% 97.5% 1.847179 2.197224

The confidence intervals for the cluster means doesn't overlap. Second cluster seems to be more accurate as 2.032 and 2.046.

```
E)
Rscript:
K<-(1:30); sse<-rep(NA,length(K))
for (k in K) {
    sse[k]<-kmeans(clusdata, centers=k,nstart = 10)$tot.withinss
    }
plot(K, sse, type='o', xaxt='n')
axis(1, at = K, las=2)</pre>
```

Answer:



As there is a drop from 1 to 2 and 2 to 3 there can be 2 or 3 clusters.

### Problem 2

# Cluster analysis on part of Golub data.

clusters <- kmeans(golub.data, centers=2)

cluster.kmed <- pam(golub.data, k=2)
table(data.fac,cluster.kmed\$cluster)</pre>

table(data.fac,clusters\$cluster)

- (a) Select the oncogenes and antigens from the Golub data. (Hint: Use grep()).
- **(b)** On the selected data, do clustering analysis for the genes (not for the patients). Using K-means and K-medoids with K=2 to cluster the genes. Use table() to compare the resulting two clusters with the two gene groups oncogenes and antigens for each of the two clustering analysis.
- **(c)** Use appropriate tests (from previous modules) to test the marginal independence in the two by two tables in (b). Which clustering method provides clusters related to the two gene groups?
- (d) Plot the cluster dendrograms for this part of golub data with single linkage and complete linkage, using Euclidean distance.

```
A)
Rscript:
oncogene <- grep("oncogene",golub.gnames[,2])
antigen <-grep("antigen",golub.gnames[,2])
oncogene
antigen
Answer:
> oncogene
[1] 501 502 503 587 758 766 775 805 817 819 938 1067 1090 1111
[15] 1211 1268 1542 1596 1615 1735 1747 1750 1788 1818 1820 1837 1839 2004
[29] 2291 2302 2488 2517 2661 2681 2692 2703 2714 2715 2892 2981 2990 2993
> antigen
[1] 166 313 388 497 504 514 527 540 548 614 646 664 685 763
[15] 808 826 832 833 834 872 885 890 892 893 926 936 947 1008
[29] 1010 1075 1087 1208 1258 1279 1287 1412 1422 1467 1531 1616 1645 1719
[43] 1748 1752 1756 1760 1781 1789 1798 1806 1808 1827 1852 1863 1882 1893
[57] 1908 1911 1964 2007 2170 2171 2231 2371 2546 2581 2613 2653 2672 2749
[71] 2761 2855 2989 3026 3047
B)
Rscript:
library(cluster)
combined<-c(oncogene,antigen)
golub.data<-data.frame(golub[combined,])
data.fac<-factor(c(rep("oncogene",length(oncogene)),rep("antigen",length(antigen))))
```

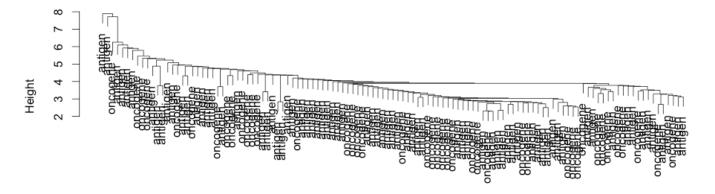
```
Answer:
data.fac 1 2
 antigen 4134
 oncogene 22 20
data.fac 1 2
 antigen 49 26
 oncogene 29 13
C)
Null hypothesis: Two by two tables are marginally independent
Rscript:
chisq.test(table1)
chisq.test(table2)
Answer:
Pearson's Chi-squared test with Yates' continuity correction
data: table1
X-squared = 0.002, df = 1, p-value = 0.9644
Pearson's Chi-squared test with Yates' continuity correction
data: table2
X-squared = 0.0418, df = 1, p-value = 0.838
```

Here p values are greater than 0.05 so we fail to reject the null hypothesis of marginal independence hence we conclude that both the clustering method doesn't provides clusters related to the two gene groups

```
D)
Rscript:
hc.sing<-hclust(dist(golub.data,method="euclidian"),method="single")
plot(hc.sing,labels=data.fac)
hc.comp<-hclust(dist(golub.data,method="euclidian"),method="complete")
plot(hc.comp,labels=data.fac)

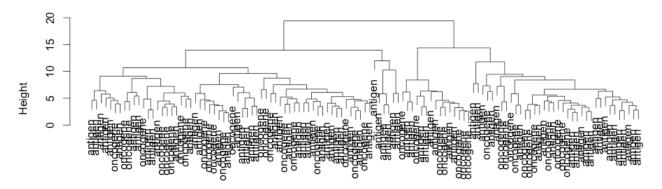
Answer:
```

# **Cluster Dendrogram**



dist(golub.data, method = "euclidian") hclust (\*, "single")

# **Cluster Dendrogram**



dist(golub.data, method = "euclidian") hclust (\*, "complete")

### Problem 3

# Clustering analysis on NCI60 cancer cell line microarray data (Ross et al. 2000)

We use the data set in package ISLR from r-project (Not Bioconductor). You can use the following commands to load the data set.

install.packages('ISLR')

library(ISLR)

ncidata <- NCI 60 \$ data

ncilabs<-NCI60\$labs

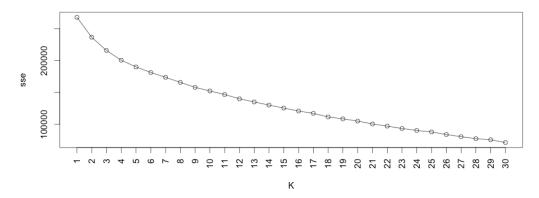
The ncidata (64 by 6830 matrix) contains 6830 gene expression measurements on 64 cancer cell lines. The cancer cell lines labels are contained in ncilabs. We do clustering analysis on the 64 cell lines (the rows).

- (a) Using k-means clustering, produce a plot of K versus SSE, for K=1,...,30. How many clusters appears to be there?
- **(b)** Do K-medoids clustering (K=7) with 1-correlation as the dissimilarity measure on the data. Compare the clusters with the cell lines. Which type of cancer is well identified in a cluster? Which type of cancer is not grouped into a cluster? According to the clustering results, which types of cancer are most similar to ovarian cancer?

. .

```
Rscript:
install.packages('ISLR')
library(ISLR)
ncidata<-NCI60$data
ncilabs<-NCI60$labs
K<-(1:30); sse<-rep(NA,length(K))
for (k in K) {
    sse[k]<-kmeans(ncidata, centers=k,nstart = 10)$tot.withinss
}
plot(K, sse, type='o', xaxt='n')
axis(1, at = K, las=2)
```

#### Answer:



Sharp decline seems to stop at around 7-8 suggesting there could be 7-8 clusters.

```
B)
Rscript:
clusters.7medoid <- pam(as.dist(1-cor(t(ncidata))),k=7)
table(factor(ncilabs), clusters.7medoid$cluster)
table(NCI60$labs)
```

### Answer:

```
1234567
BREAST 0300202
CNS
      1400000
COLON
       0007000
K562A-repro 0 0 0 0 0 1 0
K562B-repro 0 0 0 0 0 1 0
LEUKEMIA 000060
MCF7A-repro 0 0 0 0 1 0 0
MCF7D-repro 0 0 0 0 1 0 0
MELANOMA 010007
NSCLC
       2203110
OVARIAN 2012100
PROSTATE 0011000
RENAL 7110000
```

BREAST CNS COLON K562A-repro K562B-repro
7 5 7 1 1

LEUKEMIA MCF7A-repro MCF7D-repro MELANOMA NSCLC
6 1 1 8 9

OVARIAN PROSTATE RENAL UNKNOWN
6 2 9 1

- Which type of cancer is well identified in a cluster?

  According to the clusters of NCI60\$labs colon cancer and leukemia seems to be well identified in cluster 4 and cluster 7 respectively.
- Which type of cancer is not grouped into a cluster?
  All the cancer is grouped into a cluster apart from 1 which is unknown.
- which types of cancer are most similar to ovarian cancer? NSCLC and prostate cancer are most similar to ovarian cancer.