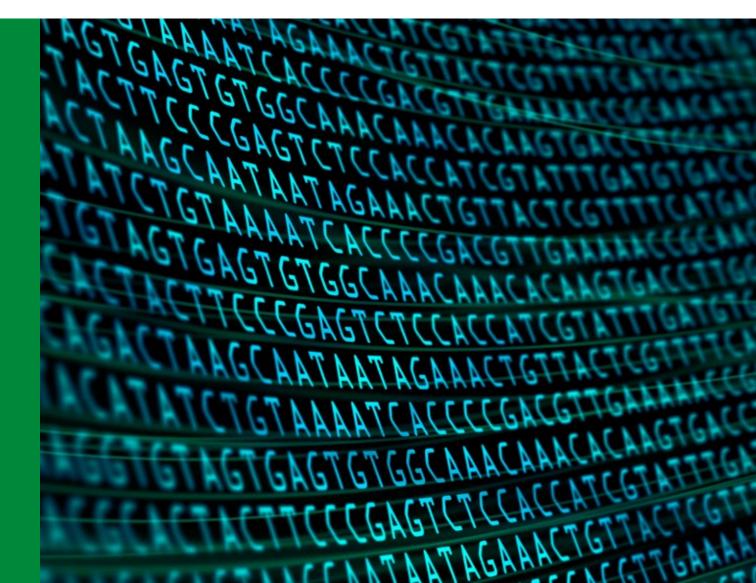


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## Interpreting Sequencebased Deep Learning Models for Genomic Data



Yichen Han, 10.09.2024



### 1. Background

- i. Research on model interpretability in Genomics focuses more on gene-based models, instead of sequence-based.
- ii. Attempt to embed prior biological knowledge into the sequencebased model architecture (visible networks) is not applicable to DeepG.
- iii. Papers published about feature selection in seq-based models, without discussing biological significance of selected features.
- iv. Existing permutation-based feature importance methods are inefficient and expensive for long sequences.





### 2. Project Overview

The DeepG package has already implemented Integrated Gradients (IG), a gradient-based feature attribution method with some desirable mathematical properties.

- i. How reliable is IG? Does it produce biologically meaningful explanations?
  - a) Expectation: task-specific or species-specific
  - b) If not, is it due to our model or due to IG?
- ii. Can we improve the current IG so that it fits genomic data better?
  - a) Affordable computational costs given very long sequences
  - b) Explanations can be facilitated by sequence annotations
- iii. Comparison with other interpretable deep learning methods.





### **Integrated Gradients**

Empirical Approximation (Sundararajan et al. 2017):

$$IG_i^{emp}(x) \coloneqq \left(x_i - x_i'\right) \times \sum_{k=1}^m \frac{\partial F\left(x' + \frac{k}{m} \times (x - x')\right)}{\partial x_i} \times \frac{1}{m}$$

 $\rightarrow$  Contribution of the *i*-th feature to model F prediction, given baseline x' and instance x.

Iterated over *m* steps.

Original image

Top label and score

Score: 0.993755

Top label: reflex camera

Integrated gradients

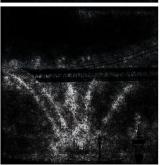






Top label: fireboat Score: 0.999961







#### **Example Input**

Our model takes a one-hot encoded (1, maxlen, 4) tensor / array as input. The input has fixed length, so that the instance of IG needs to be a subsequence.

- → Input of sequence –AG– [[[1, 0, 0, 0], [0, 0, 1, 0]]]
- → Corresponding least-informative baseline ("Baseline 0.25", current default): [[[0.25, 0.25, 0.25, 0.25], [0.25, 0.25, 0.25]]]
- → Interpretation: each nucleotide base has equal probability to be present.
- → Experimenting on different baselines is also part of the project.
- → Result visualization: aggregate each locus by sum, then scatter plot.



### **Example Tasks**

→ Locus level: Motif detection with synthetic sequences

→ Gene level: 16s rRNA gene vs. random bacteria sequence

→ Genome level: Sporulation phenotype prediction



#### **Motif Detection**

→ Experiment 1: motifs with different information entropy

→ Experiment 2: single vs. recurrent motifs

→ Experiment 3: motifs with different lengths (TODO: model training)



### **Experiment 1: motifs with different information entropy**

→ 10000 sequences 600bp sampled from A,C,G,T (random sequences). 50% with motif.

One dataset for each of the 30bp motifs:

• High: GGTCGACACGAGTATAGCTAGTATACTCCG

Medium: TATAGCGCAGCCCTTATGGAGAGTCCATGA

• ...

Low: TATAG \* 6

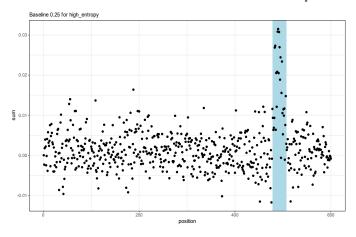
Lowest: A \* 30

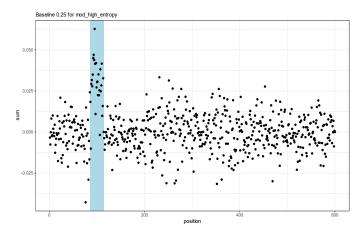
→ Model: CNN. Same HPs for each dataset. Balanced Acc. > 97%.

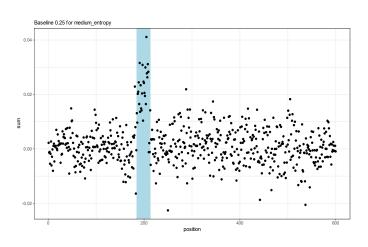


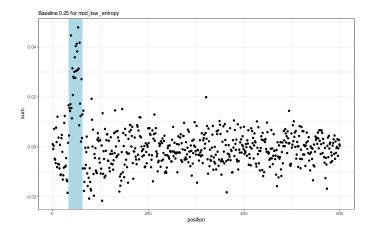
### IG can detect a motif well regardless of its information entropy.

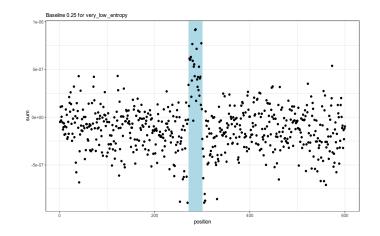
### Blue area indicates motif presence.

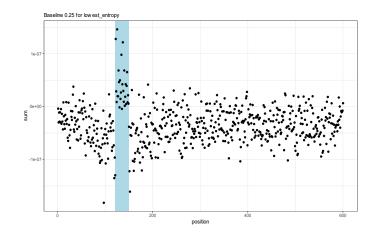








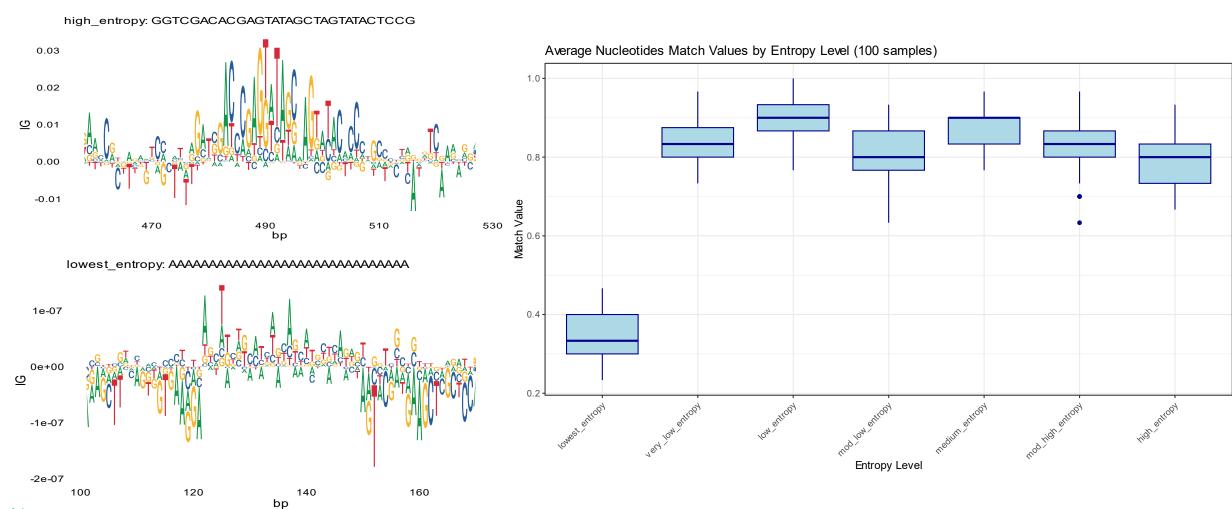






# IG does not always assign highest importance to the correct base at each locus of the motif and is affected by information entropy.

Seq logo plot. Avg.Match%: average percentage of nt with max IG score == true nt in motif

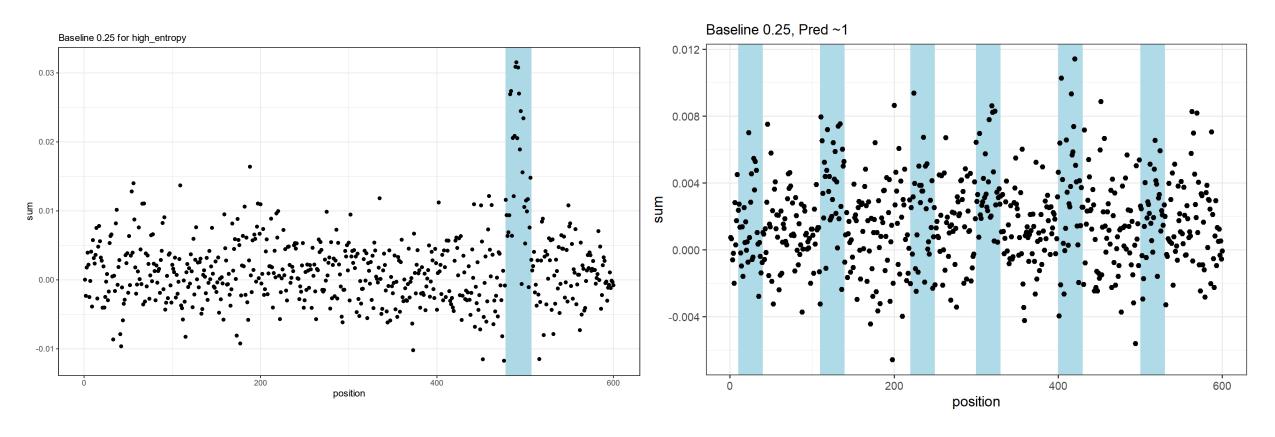




# (Experiment 2: Recurrent Motifs) IG seems to lose precision in detecting motif if it is recurrent in the sequence.

**Left:** former example with high entropy motif.

Right: same motif, recurrent at about every 100 bp. Model: CNN, balanced accuracy ~ 97%





### **Sporulation**

→ Pretrained model with accuracy 96.25%

→ Using the WA Subset. We also annotated each of them using the latest version of *Prokka*.

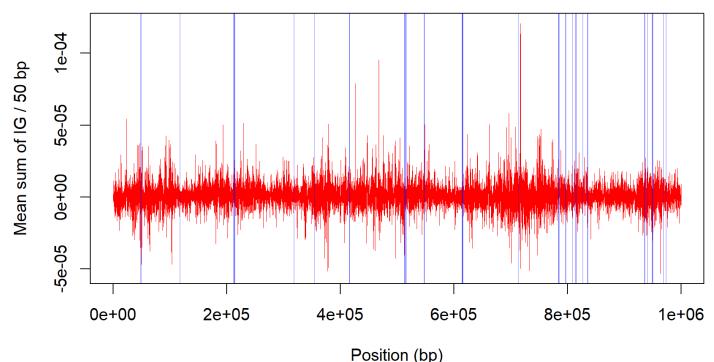
→ Maxlen = 1 Mp. Usually computationally expensive operations are involved.



### IG scores do not correlate with spore-related genes in general.

Instance: Bacillus subtilis, first 1 Mp subseq. Baseline: 0.25 Red curve is the zoo roll mean with k = 50.

#### **Bacillus Subtilis, Baseline 0.25**

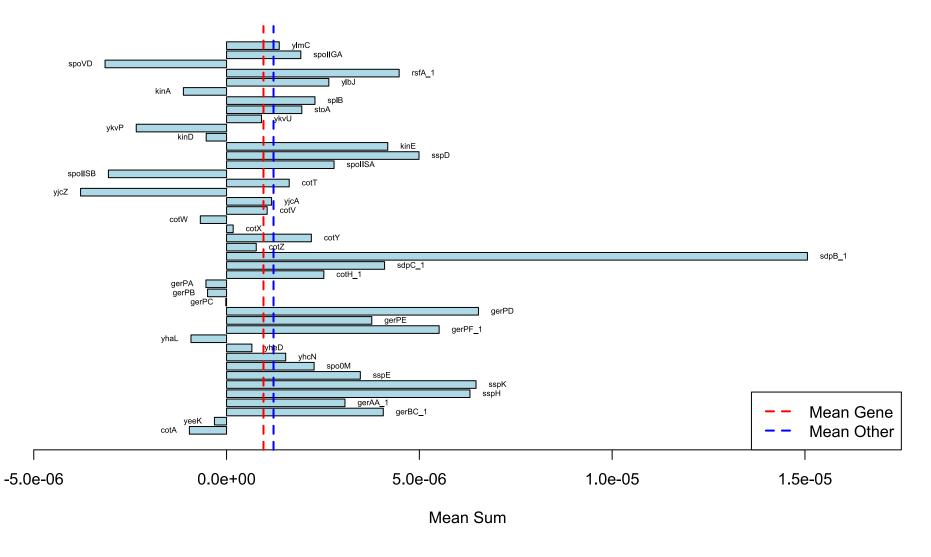


Annotated using downloaded GFF. Blue area indicates genes related to sporulation.



### IG scores do not correlate with spore-related genes in general.

Instance: Bacillus subtilis, first 1 Mp subseq. Baseline: 0.25
The spore-related genes can be positive or negative, at a not very impressive quantile.



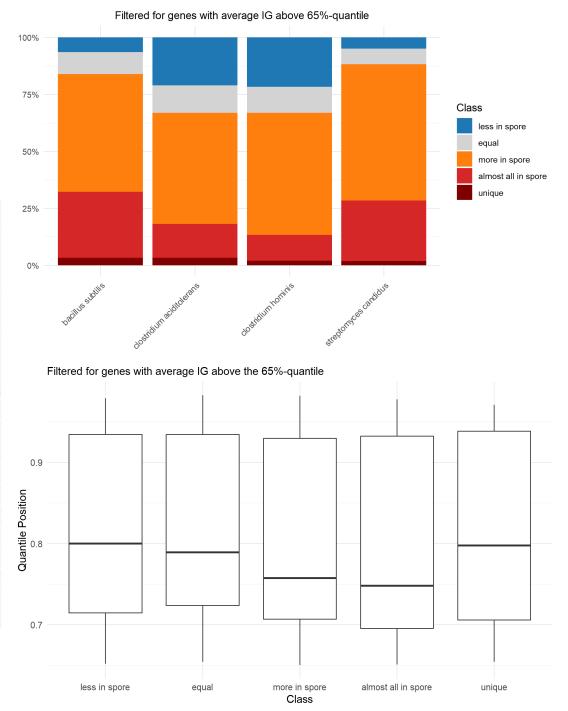


### IG scores could be speciesaware. Stress-related genes may be preferred.

#### Bacillus subtilis

Gene	Description	Quantile Position	Prevalence	
steT	Serine/threonine exchanger SteT	0,927647	Equal	
	Organic hydroperoxide resistance			
ohrR	transcriptional regulator	0,833909	More in spore	
sdpB_1	Sporulation-delaying protein SdpB	0,82038	Almost all in spore	
opuE	Osmoregulated proline transporter OpuE	0,814637	Equal	
yitU	5-amino-6-(5-phospho-D-ribitylamino)uracil phosphatase YitU	0,799016	More in spore	
khtS	K(+)/H(+) antiporter modulator KhtS	0,772803	Unique to B. subtilis	
nhaX	Stress response protein NhaX	0,753741	More in spore	
	putative siderophore transport system			
yfiZ_1	permease protein YfiZ	0,747927	More in spore	
trpP	putative tryptophan transport protein	0,738799	Almost all in spore	
yfkM	General stress protein 18	0,723087	More in spore	
gutB_2	Sorbitol dehydrogenase	0,719846	More in spore	
yodF_1	putative symporter YodF	0,713505	More in spore	
gltT	Proton/sodium-glutamate symport protein	0,708389	More in spore	
swrC	Swarming motility protein SwrC	0,702472	More in spore	
fetB	putative iron export permease protein FetB	0,701218	More in spore	

Consider investigating non-coding regions.





# Random Forest taking binary gene matrix shows no preference for spore-related genes.

Random forest trained on gene names (0/1) for all named genes in all GFF files.

Tree = 500, OOB error rate = 3.14%. ~3k Obs vs ~40k features.

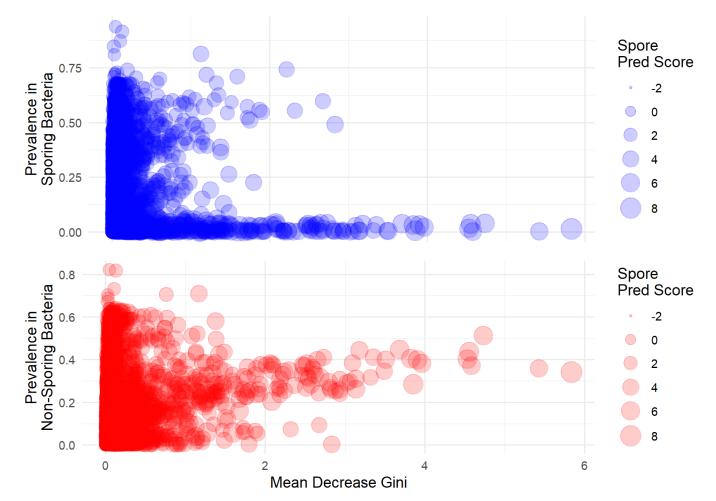
Low interpretability: genes rarely seen in spore-building bacteria have positive Spore scores?

Gene	Description	Mean Decrease Gini (RANKED)	non_spore	spore	Mean Decrease Accuracy		Prevalence in non-spore	Class
pepN	Aminopeptidase N	5,84	-7,19	8,72	7,75	0,01	0,34	less in spore
	Ubiquinone biosynthesis							
ubiK	accessory factor UbiK	5,43	-3,80	5,32	5,00	0,00	0,36	less in spore
	HTH-type transcriptional							
dmlR_1	regulator DmIR	4,73	-3,72	6,42	6,06	0,04	0,51	less in spore
	Ribosomal RNA large subunit							
lmJ	methyltransferase J	4,58	-5,75	5,79	5,32	0,00	0,37	less in spore
tuB_1	Vitamin B12 transporter BtuB	4,56	-3,62	6,69	6,52	0,03	0,44	less in spore
/gfZ	tRNA-modifying protein YgfZ	4,53	-5,82	6,40	6,05	0,02	0,40	less in spore
	Glycine cleavage system							
jcvA_1	transcriptional activator	3,96	-5,84	6,16	5,72	0,02	0,38	less in spore
	ATP-dependent protease							
nsIU	ATPase subunit HslU	3,90	-5,83	6,46	5,96	0,03	0,40	less in spore
	Outer membrane protein							
prM_1	OprM	3,85	-4,14	7,18	6,81	0,01	0,29	less in spore
	Outer membrane protein							
amD	assembly factor BamD	3,82	-5,27	6,38	5,62	0,03	0,41	less in spore
	HTH-type transcriptional							
mIR_2	regulator DmIR	3,68	-3,17	6,32	6,07	0,04	0,45	less in spore
ecB	Protein-export protein SecB	3,51	-5,16	5,23	4,64	0,00	0,40	less in spore



## Random Forest shows some preference for species-specific or rare genes.

Gene prevalence in spore building bacteria has negative corr with MDG. Gene prevalence in non-spore-building bacteria has positive corr.



```
call: lm(formula = MeanDecreaseGini ~
spore_prop + non_spore_prop, data =
combined_df2)
```

```
Coefficients:
(Intercept) 0.0063384 ***
spore_prop -0.2539945 ***
non_spore_prop 0.9482056 ***
```

```
Residual standard error: 0.1437 on 40637
```

degrees of freedom

Multiple R-squared: 0.1333, Adjusted R-squared: 0.1332.

F-statistic: 3124 on 2 and 40637 DF,

p-value: < 2.2e-16



### 3. Ideas

- i. How is a gene preferred? Examination on nucleotide level.
- ii. How is a non-coding area preferred?
- iii. Blacking a selected gene out, how would it affect prediction and interpretation?
- iv. How can we improve the model?
- v. Will different baselines provide different results?
- vi. ...





