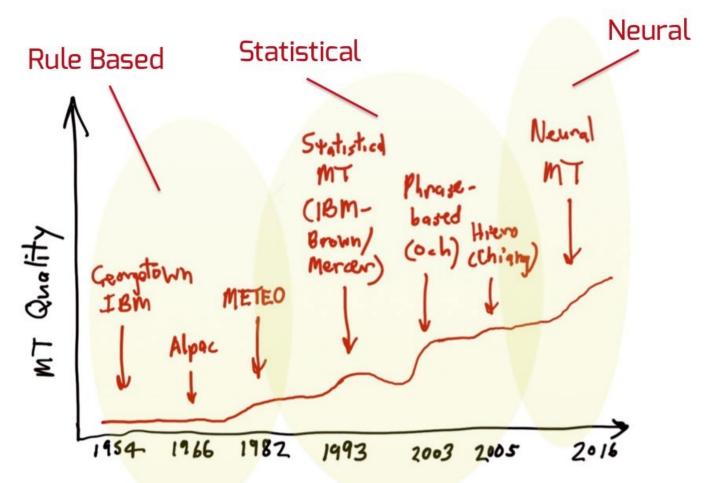
自然语言处理 week-12

凌震华 2024年5月30日



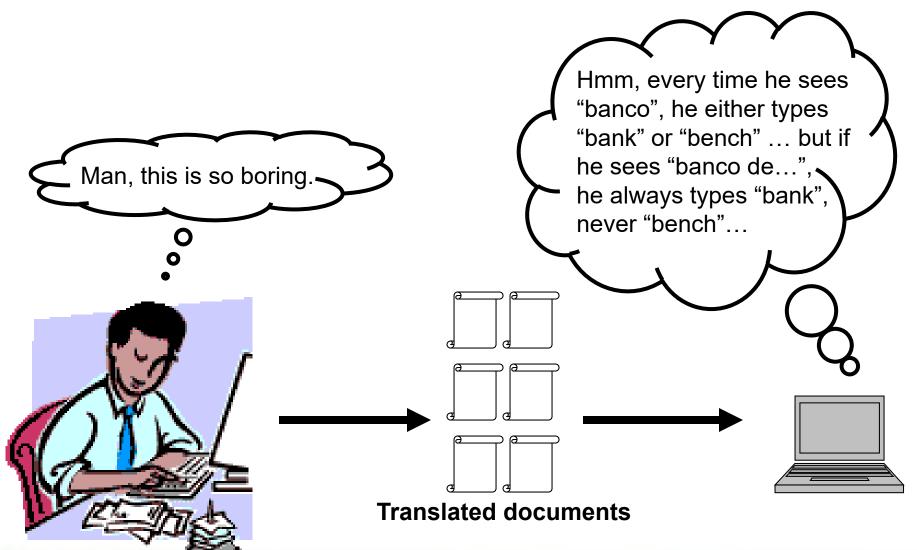
- **■**Machine Translation
- **■**MT Evaluation
- ■Statistical Machine Translation
- ■Neural Machine Translation

Machine Translation Progress



Source (modified from) http://nlp.stanford.edu/projects/nmt/Luong-Cho_Manning-NMT-ACL2016-v4.pdf

Statistical Machine Translation



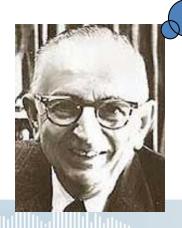
Current Approaches

- Same old noisy channel model...
- If we're translating French to English, the French we're seeing is just a weird garbled 篡改 version of English
- There must have been some process that generated the French from the original English
- The key is to decode the garbles back into the original English by...
- argmax P(E | F) by Bayes
- A very old idea



Warren Weaver (1947)

When I look at an article in Russian, I say to myself: This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.



Your assignment, translate this to Arcturan: farok crrrok hihok yorok clok kantok ok-yurp

1a. ok-voon ororok sprok .	7a. lalok farok ororok lalok sprok izok enemok .
1b. at-voon bichat dat.	7b. wat jjat bichat wat dat vat eneat.
2a. ok-drubel ok-voon anok plok sprok.	8a. lalok brok anok plok nok .
2b. at-drubel at-voon pippat rrat dat.	8b. iat lat pippat rrat nnat .
3a. erok sprok izok hihok ghirok.	9a. wiwok nok izok kantok ok-yurp .
3b. totat dat arrat vat hilat .	9b. totat nnat quat oloat at-yurp .
4a. ok-voon anok drok brok jok .	10a. lalok mok nok yorok ghirok clok .
4b. at-voon krat pippat sat lat.	10b. wat nnat gat mat bat hilat .
5a. wiwok farok izok stok .	11a. lalok nok crrrok hihok yorok zanzanok.
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4a. ok-voon anok drok brok jok .	10a. lalok mok nok yorok ghirok clok .
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3a. erok sprok izok hihok ghirok .	9a. wiwok nok izok kantok ok-yurp.
3b. totat dat arrat vat hilat .	9b. totat nnat quat oloat at-yurp .
4a. ok-voon anok drok brok jok .	10a. lalok mok nok yorok ghirok clok .
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3a. erok sprok izok hihok ghirok.	9a. wiwok nok izok kantok ok-yurp .
3b. totat dat arrat vat hilat .	9b. totat nnat quat oloat at-yurp.
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4b. at-voon krat pippat sat lat .	10b. wat nnat gat mat bat hilat .
5a. wiwok farok izok stok .	11a. lalok nok <mark>crrrok</mark> hihok yorok zanzanok .
5b. totat jjat quat cat .	??? 11b. wat nnat arrat mat zanzanat .
6a. lalok sprok izok jok stok .	12a. lalok rarok nok izok hihok mok .
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3a. erok sprok izok <mark>hihok</mark> ghirok .	9a. wiwok nok izok kantok ok-yurp .
3b. totat dat arrat vat hilat .	9b. totat nnat quat oloat at-yurp.
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4b. at-voon krat pippat sat lat.	10b. wat nnat gat mat bat hilat.
5a. wiwok farok izok stok .	11a. lalok nok crrrok <mark>hihok</mark> yorok zanzanok .
5b. totat jjat quat cat .	11b. wat nnat arrat mat zanzanat .
6a. lalok sprok izok jok stok .	12a. lalok rarok nok izok <mark>hihok</mark> mok .
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Your assignment, translate this to Arcturan: farok crrrok hihok

1a. ok-voon ororok sprok . 1b. at-voon bichat dat . 2a. ok-drubel ok-voon anok plok sprok . 2b. at-drubel at-voon pippat rrat dat . 3a. erok sprok izok hihok ghirok . 3b. totat dat arrat vat hilat . 4a. ok-voon anok drok brok jok . 4b. at-voon krat pippat sat lat . 5a. wiwok farok izok stok . 1b. wat nnat gat mat bat hilat . 5a. wiwok farok izok stok . 1b. wat nnat arrat mat zanzanat . 6a. lalok sprok izok jok stok . 12b. wat nnat forat arrat vat gat .		
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6b. wat dat krat quat cat. 12b. wat nnat forat arrat vat gat.	5b. totat jjat quat cat.	11b. wat nnat arrat mat zanzanat.
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2a. ok-drubel ok-voon anok plok sprok . 8a. lalok brok anok plok nok .
2b. at-drubel at-voon pippat rrat dat . 8b. iat lat pippat rrat nnat .
3a. erok sprok izok hihok ghirok . 9a. wiwok nok izok kantok ok-yurp .
Ju. Wiwok new izek kuntek ek yaip.
3b. totat dat arrat vat hilat . 9b. totat nnat quat oloat at-yurp
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3a. erok sprok izok hihok ghirok.	9a. wiwok nok izok kantok ok-yurp .
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	语音及语言信息处理国家工程实验室

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III III III III III III III III III II	

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3a. erok sprok izok hihok ghirok.	9a. wiwok nok izok kantok ok-yurp .
3b. totat dat arrat vat hilat .	9b. totat nnat quat oloat at-yurp .
4a. ok-voon anok drok brok jok .	10a. lalok mok nok yorok ghirok clok .
4b. at-voon krat pippat sat lat.	10b. wat nnat gat mat bat hilat.
5a. wiwok farok izok stok .	11a. lalok nok <mark>crrrok</mark> hihok yorok <mark>zanzanok</mark> .
5b. totat jjat quat cat.	11b. wat nnat arrat mat zanzanat. cognate?
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6b. wat dat krat quat cat .	12b. wat nnat forat arrat vat gat .

Your assignment, put these words in order:

{ jjat, arrat, mat, bat, oloat, at-yurp }

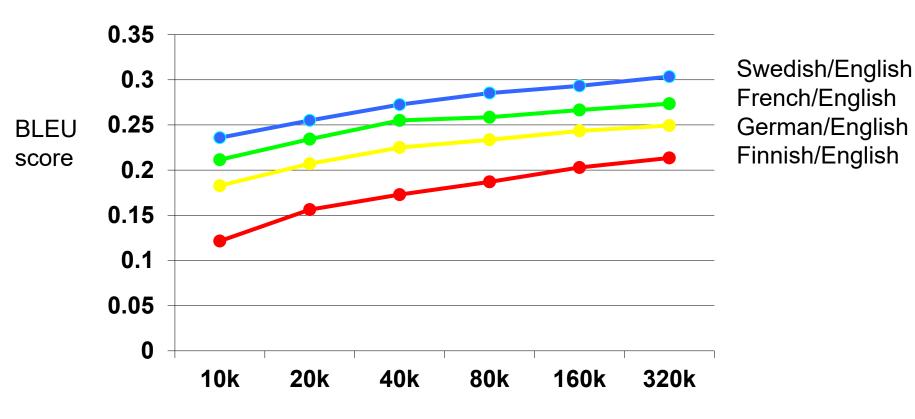
1a. ok-voon ororok sprok .	7a. lalok farok ororok lalok sprok izok enemok.
Tu. ok voon ororok sprok.	/ a. farok farok ororok farok sprok izok ellemok .
1b. at-voon bichat dat.	7b. wat jjat bichat wat dat vat eneat.
2a. ok-drubel ok-voon anok plok sprok.	8a. lalok brok anok plok nok .
2b. at-drubel at-voon pippat rrat dat.	8b. iat lat pippat rrat nnat.
3a. erok sprok izok hihok ghirok .	9a. wiwok nok izok kantok ok-yurp .
3b. totat dat arrat vat hilat .	9b. totat nnat quat oloat at-yurp .
4a. ok-voon anok drok brok jok.	10a. lalok mok nok yorok ghirok clok .
4b. at-voon krat pippat sat lat.	10b. wat nnat gat mat bat hilat.
5a. wiwok farok izok stok .	11a. lalok nok <mark>crrrok</mark> hihok yorok zanzanok .
	zero
5b. totat jjat quat cat .	11b. wat nnat arrat mat zanzanat. fertility
6a. lalok sprok izok jok stok .	12a. lalok rarok nok izok hihok mok .
6b. wat dat krat quat cat.	12b. wat nnat forat arrat vat gat.

Spanish/English text

Translate: Clients do not sell pharmaceuticals in Europe.

1a. Garcia and associates .	7a. the clients and the associates are enemies.
1b. Garcia y asociados.	7b. los clients y los asociados son enemigos.
2a. Carlos Garcia has three associates.	8a. the company has three groups.
2b. Carlos Garcia tiene tres asociados.	8b. la empresa tiene tres grupos .
3a. his associates are not strong.	9a. its groups are in Europe.
3b. sus asociados no son fuertes.	9b. sus grupos estan en Europa.
4a. Garcia has a company also .	10a. the modern groups sell strong pharmaceuticals.
4b. Garcia tambien tiene una empresa.	10b. los grupos modernos venden medicinas fuertes.
5a. its clients are angry.	11a. the groups do not sell zenzanine.
5b. sus clientes estan enfadados.	11b. los grupos no venden zanzanina.
6a. the associates are also angry.	12a. the small groups are not modern.
6b. los asociados tambien estan enfadados.	12b. los grupos pequenos no son modernos.
amadha IIIbd a a	海辛马海 丰信自从19国家工程实验会

Sample Learning Curves



of sentence pairs used in training

Experiments by Philipp Koehn



- **■**Machine Translation
- **■**MT Evaluation
- □Statistical Machine Translation
- ■Neural Machine Translation

MT Evaluation

Traditionally difficult because there is no single "right answer".

20 human translators will translate the same sentence 20 different ways.



Evaluation Metrics

- subjective judgments by human evaluators
- automatic evaluation metrics
- task-based evaluation, e.g.:
 - how much post-editing effort?
 - does information come across?

Adequacy 忠实度 and Fluency 流畅度

- Human judgement
 - given: machine translation output
 - given: source and/or reference translation
 - task: assess the quality of the machine translation output
- Metrics

Adequacy: Does the output convey the same meaning as the input sentence? Is part of the message lost, added, or distorted?

Fluency: Is the output good fluent English?

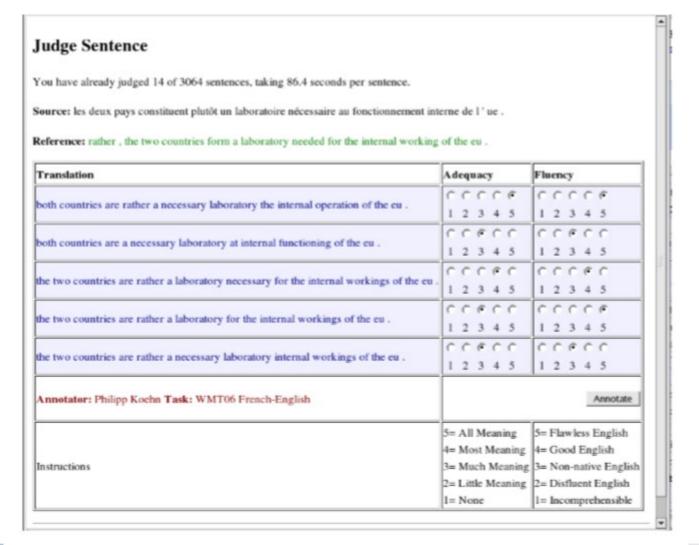
This involves both grammatical correctness and idiomatic word choices.

Adequacy and Fluency Scales

Adequacy				
5	all meaning			
4	most meaning			
3	much meaning			
2	little meaning			
1	none			

Fluency				
5 flawless English				
4 good English				
3	3 non-native English			
2 disfluent English				
1	incomprehensible			

Annotation Tools



Automatic Evaluation Metrics

- Given: MT output, Human ref translation
- Task: compute similarity between them
- Precision/Recall of words fails to include word ordering issues
- WER (word error rate) can be useful
 - Find Min num of edits for MT->Human transform

$$_{ ext{WER}} = rac{ ext{substitutions} + ext{insertions} + ext{deletions}}{ ext{reference-length}}$$



WER example

		Israeli	officials	responsibility	of	airport	safety
	0	1	2	3	4	5	6
Israeli	1	0	1	2	3	4	5
officials	2	1	0	1	2	3	4
are	3	2	1	1	2	3	4
responsible	4	3	2	2	2	3	4
for	5	4	3	3	3	3	4
airport	6	5	4	4	4	3	4
security	7	6	5	5	5	4	4

		airport	security	Israeli	officials	are	responsible
	0	1	2	3	4	5	6
Israeli	1	1	2	2	3	4	5
officials	2	2	2	3	2	3	4
are	3	3	3	3	3	2	3
responsible	4	4	4	4	4	3	2
for	5	5	5	5	5	4	3
airport	6	5	6	6	6	5	4
security	7	6	5	6	7	6	5

Metric	System A	System B
word error rate (WER)	57%	71%

Evaluation Metric (BLEU)

Reference (human) translation:

The U.S. island of Guam is maintaining a high state of alert after the Guam airport and its offices both received an e-mail from someone calling himself the Saudi Arabian Osama bin Laden and threatening a biological/chemical attack against public places such as the airport.

Machine translation:

The American [?] international airport and its the office all receives one calls self the sand Arab rich business [?] and so on electronic mail, which sends out; The threat will be able after public place and so on the airport to start the biochemistry attack, [?] highly alerts after the maintenance.

- N-gram precision (score is between 0 & 1)
 - What percentage of machine n-grams can be found in the reference translation?
 - An n-gram is an sequence of n words
 - Not allowed to use same portion of reference translation twice (can't cheat by typing out "the the the the")
 - Brevity penalty
 - Can't just type out single word "the" (precision 1.0!)
- Amazingly hard to "game" the system (i.e., find a way to change machine output so that BLEU goes up, but quality doesn't)
 - Contra doesn't hold. Can find perfectly good improvements that hurt, or don't help, BLEU

BLEU

- Use all n-grams of size 1 to 4
- Typically compute over entire corpus, not just single sentences

$$BLEU = \min\left(1, \frac{output-length}{reference-length}\right) \left(\prod_{i=1}^{4} precision_i\right)^{\frac{1}{4}}$$

BLEU in Action

Israeli officials responsibility of airport safety
2-GRAM MATCH 1-GRAM MATCH SYSTEM A:

Israeli officials are responsible for airport security REFERENCE:

Israeli officials are responsible SYSTEM B: airport security

2-GRAM MATCH

Metric	System A	System B
precision (1gram)	3/6	6/6
precision (2gram)	1/5	4/5
precision (3gram)	0/4	2/4
precision (4gram)	0/3	1/3
brevity penalty	6/7	6/7
BLEU	0%	52%

Multiple Reference Translations

- To account for variability, use multiple reference translations
 - N-grams may match in any of the references
 - Closest reference length is used.



Multiple Reference Translations

Reference translation 1:

The U.S. island of Guam is maintaining a high state of alert after the Guam airport and its offices both received an e-mail from someone calling himself the Saudi Arabian Osama bin Laden and threatening a biological/chemical attack against public places such as the airport.

Machine translation:

The American [?] international airport and its the office all receives one calls set the sand Arab (rich business [?] and so ordetectronic mail which sends out; The threat will be able after public place and so on the airport to start the biochemistry attack, [?] highly alerts after the maintenance.

| Guam (International Air

Guam International Airport and its offices are maintaining a high state of alert after receiving an e-mail that was from a person claiming to be the wealthy Saudi Arabian businessman Bin Laden and that threatened to launch a biological and chemical attack on the airport and other public places.

Reference translation 2:

Reference translation 3:

The US International Airport of Guam and its office has received an email from a self-claimed Arabian millionaire named Laden, which threatens to launch a biochemical attack on such public places as airport. Guam authority has been on alert.

Reference translation 4:

US Guam International Airport and its office received an email from Mr. Bin Laden and other rich businessman from Saudi Arabia. They said there would be biochemistry air raid to Guam Airport and other public places. Guam needs to be in high precaution about this matter.

NIST 2006 Results

Arabic-to-English Results

Large Data Track

NIST Subset

Overall BLEU Scores

Site ID	BLEU-4
google	0.4281
ibm	0.3954
isi	0.3908
rwth	0.3906
apptek*#	0.3874
lw	0.3741
bbn	0.3690
ntt	0.3680
itcirst	0.3466
cmu-uka	0.3369
umd-jhu	0.3333
edinburgh*#	0.3303
sakhr	0.3296

Chinese-to-English Results

Large Data Track

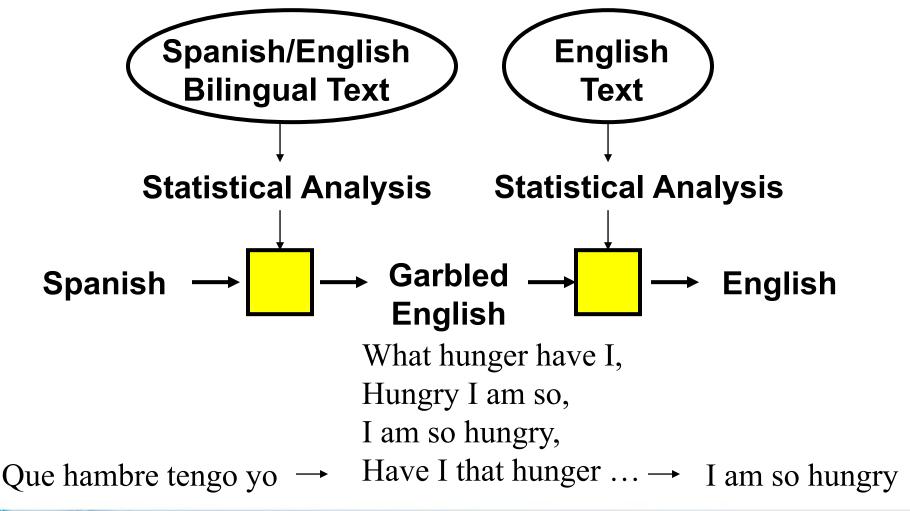
NIST Subset

Overall BLEU Scores

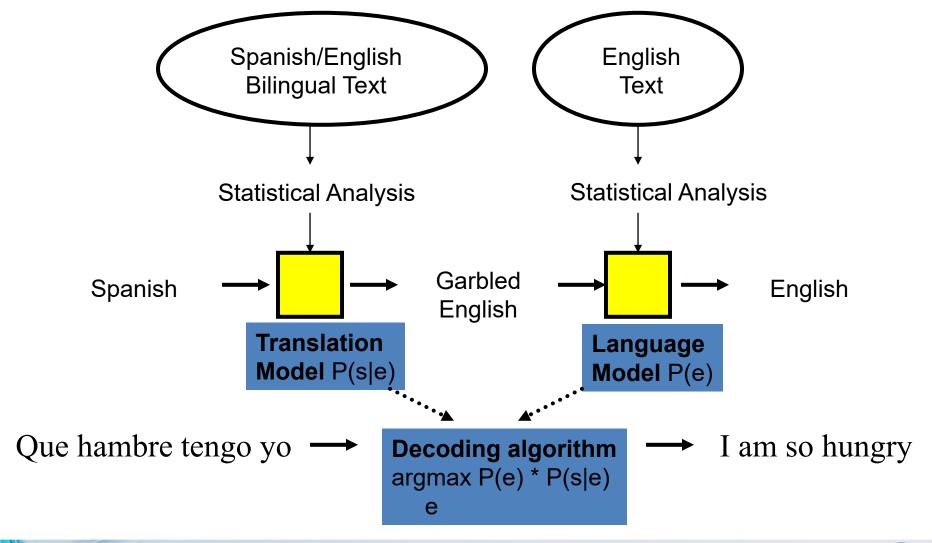
BLEU-4
0.3393
0.3316
0.3278
0.3022
0.2913
0.2830
0.2781
0.2762
0.2749
0.2704

- **■**Machine Translation
- ■MT Evaluation
- ■Statistical Machine Translation
- ■Neural Machine Translation

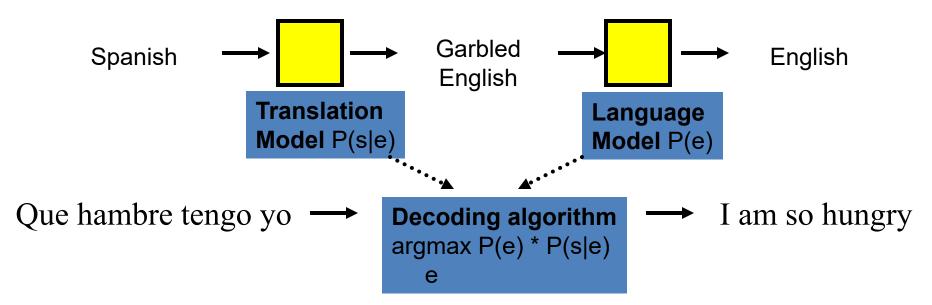
Statistical MT Systems



Statistical MT Systems



Bayes Rule/Noisy Channel



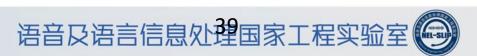
Given a source sentence s, the decoder should consider many possible translations ... and return the target string e that maximizes

By Bayes Rule, we can also write this as:

and maximize that instead. P(s) never changes while we compare different e's, so we can equivalently maximize this:

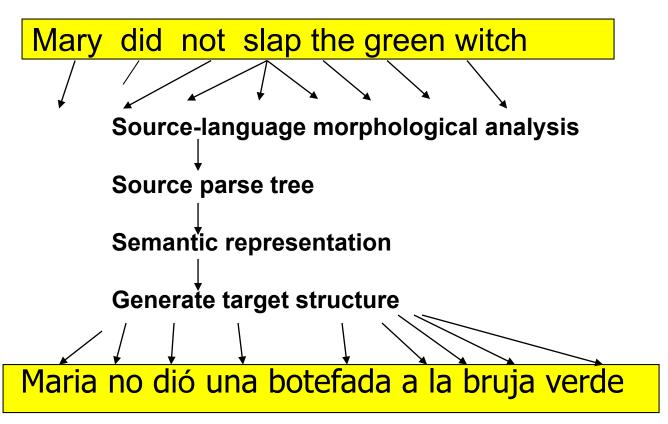
Three Sub-Problems of Statistical MT

- Language model
 - Given an English string e, assigns P(e) by formula
 - good English string-> high P(e)
 - random word sequence-> low P(e)
- Translation model
 - Given a pair of strings <f,e>, assigns P(f | e) by formula
 - <f,e> look like translations -> high P(f | e)
 - <f,e> don't look like translations -> low P(f | e)
- Decoding algorithm
 - Given a language model, a translation model, and a new sentence f ... find translation e maximizing P(e) * P(f | e)



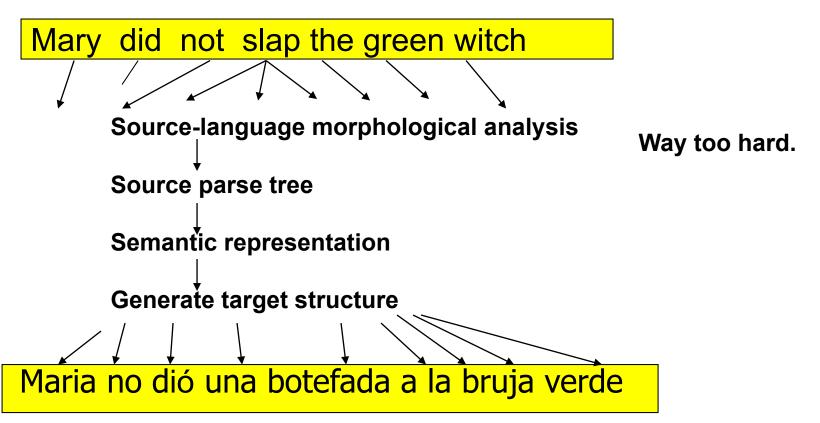
Translation Model

Generative story:



Translation Model?

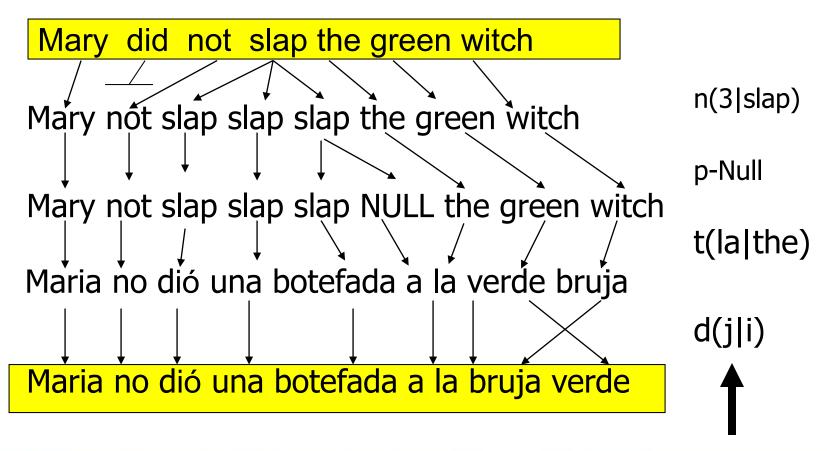
Generative story:



The Classic Translation Model

Word Substitution/Permutation [IBM Model 3, Brown et al., 1993]

Generative story:



Parts List

- We need probabilities for
 - n (x|y) The probability that word y will yield x outputs in the translation... (fertility)
 - p The probability of a null insertion
 - t The actual word translation probability table
 - d(j|i) the probability that a word at position i will make an appearance at position j in the translation

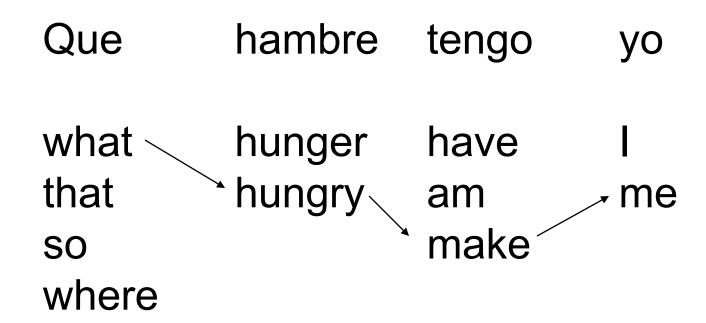
Parts List

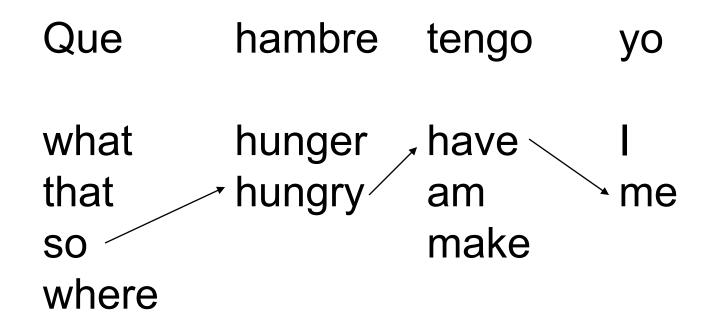
- Every one of these can be learned from a sentence aligned corpus...
 - i.e. A corpus where sentences are paired but nothing else is specified
- And the EM algorithm

EM/Alignment

- We need some parameters
 - which we don't have
- We can get them from a word-aligned corpus
 - which we don't have
- So we make up some parameters to get the alignment and then use that alignment to get the right numbers.

- A Viterbi algorithm
 - Given foreign sentence f, find English sentence e that maximizes P(e) x P(f | e)
 - Space is defined by the model (fertility, distortion, word translation model, etc.)
 - Large space --> efficient decoding is required.

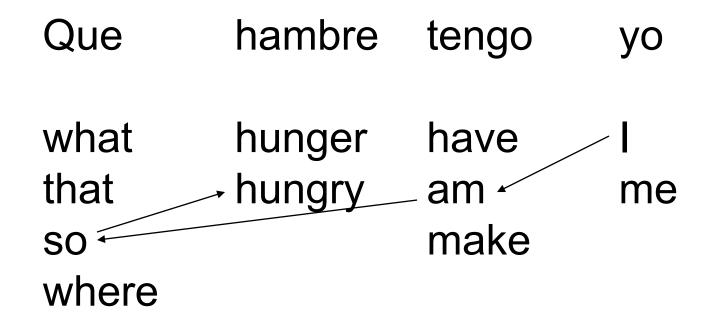




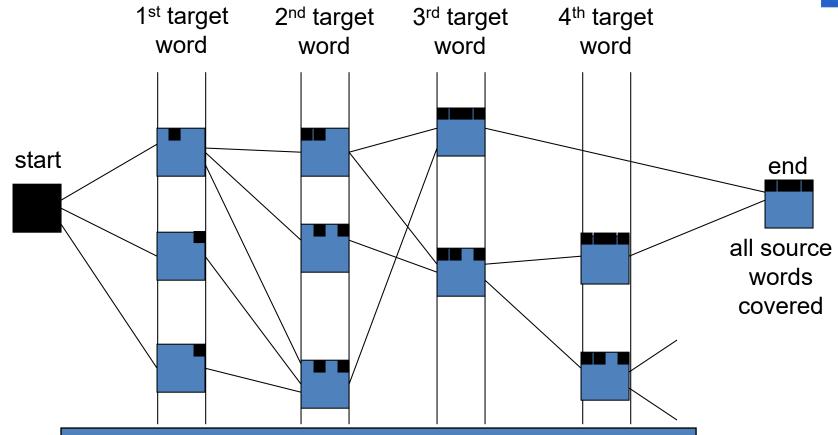
Que hambre tengo yo

what → hunger → have → I

that hungry am me
so make
where



Decoder: Actually Translates New Sentences

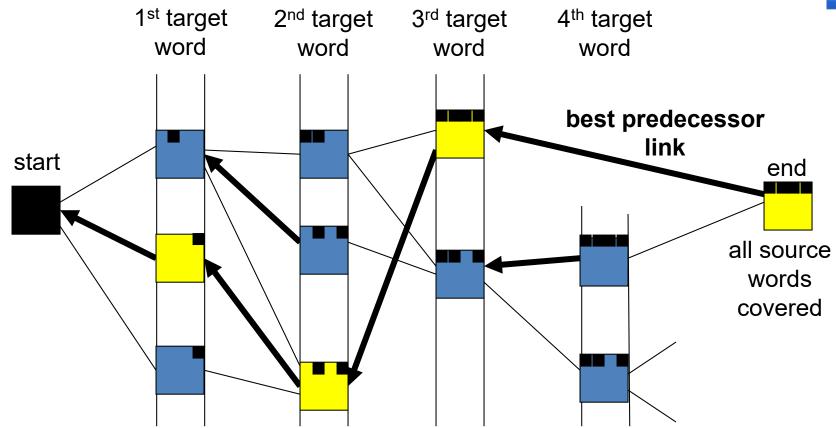


Each partial translation hypothesis contains:

- Last English word chosen + source words covered by it
- Next-to-last English word chosen
- Entire coverage vector (so far) of source sentence
- Language model and translation model scores (so far)



Dynamic Programming Beam Search



Each partial translation hypothesis contains:

- Last English word chosen + source words covered by it
- Next-to-last English word chosen

dilit. dilititor

- Entire coverage vector (so far) of source sentence ■■ ■
- Language model and translation model scores (so far)

[Jelinek, 1969;

Brown et al, 1996 US Patent;

, (Qoh, Ueffing, and Ney, 200



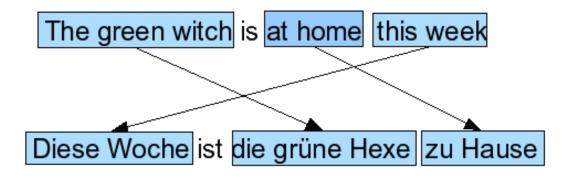
Flaws of Word-Based MT

- Multiple English words for one foreign word
 - IBM models can do one-to-many (fertility) but not many-to-one
- Phrasal Translation
 - "real estate" , "note that" , "interest in"
- Syntactic Transformations
 - Verb at the beginning in Arabic
 - Translation model penalizes any proposed reordering
 - Language model not strong enough to force the verb to move to the right place





Intuition of phrase-based translation (Koehn et al. 2003)

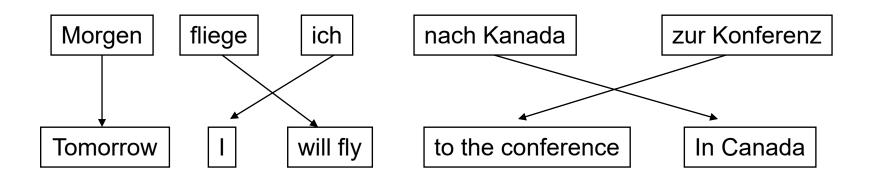


- Generative story has three steps
 - 1) Group words into phrases
 - 2) Translate each phrase
 - 3) Move the phrases around





Phrase-Based Statistical MT



- Source (foreign) input segmented in to phrases
 - "phrase" is any sequence of words
- Each phrase is probabilistically translated into English
 - P(to the conference | zur Konferenz)
 - P(into the meeting | zur Konferenz)
 HUGE TABLE!!
- Phrases are then probabilistically re-ordered



Advantages of Phrase-Based SMT

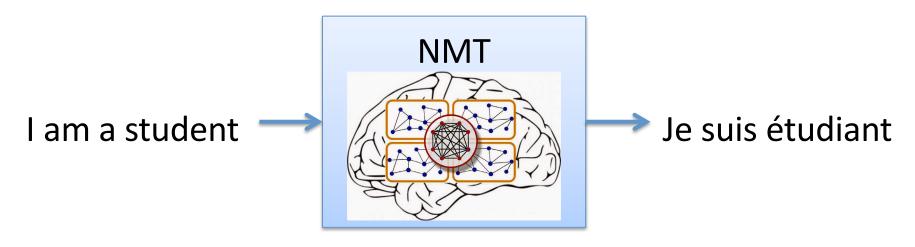
- Many-to-many mappings can handle noncompositional phrases (e.g., "real estate")
- Local context is very useful for disambiguating
 - "Interest rate" → ...
 - "Interest in" \rightarrow ...
- The more data, the longer the learned phrases
 - Sometimes whole sentences
 - Interesting parallel to concatenative synthesis for TTS



- Machine Translation
- ■MT Evaluation
- □Statistical Machine Translation
- ■Neural Machine Translation

(Adapted from Thang Luong's Slides for Stanford CS224d)

Neural Machine Translation



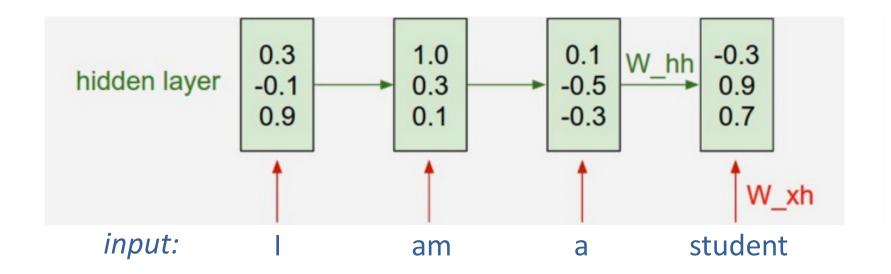
(Sutskever et al., 2014; Cho et al., 2014)

- Sequence-to-sequence: translate globally.
- *End-to-end*: simple & generalizable.

Let's find out!



Recurrent Neural Networks (RNNs)



(Picture adapted from Andrej Karparthy)





Recurrent Neural Networks (RNNs)

$$h_t = \sigma\left(W_{xh}x_t + W_{hh}h_{t-1}\right)$$

$$h_{t-1} \qquad h_t$$

$$0.3 \qquad 0.1 \qquad 0.1 \qquad 0.3 \qquad 0.9 \qquad 0.7$$

$$0.1 \qquad 0.5 \qquad 0.9 \qquad 0.7$$

$$0.1 \qquad 0.3 \qquad 0.9 \qquad 0.7$$

RNNs to represent sequences!

(Picture adapted from Andrej Karparthy)

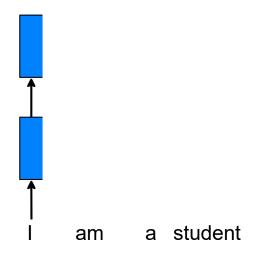




I am a student Je suis étudiant

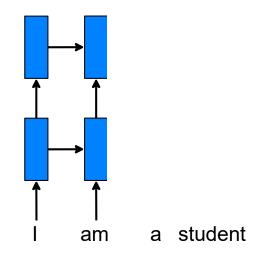
- Recurrent Neural Networks:
 - Model P(target | source) directly.
 - Can be trained end-to-end.



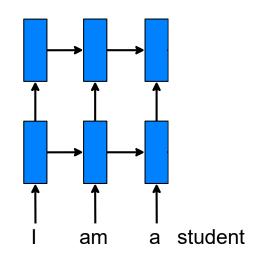


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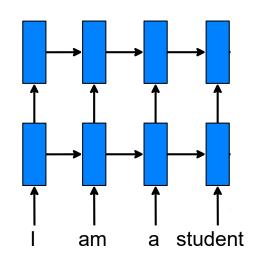


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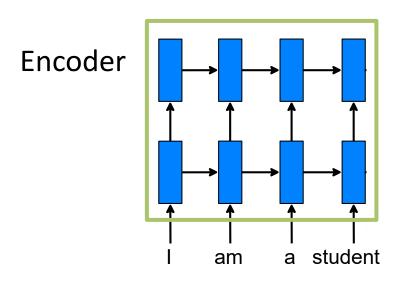
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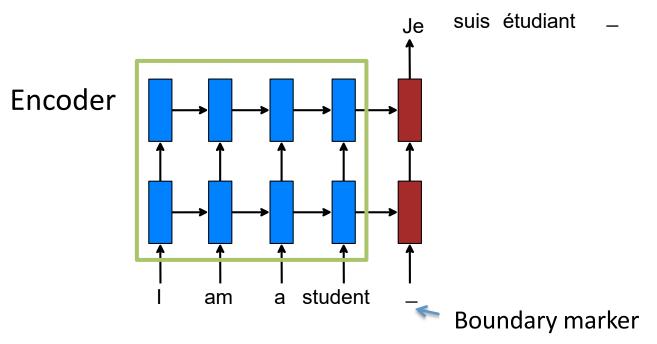


- Recurrent Neural Networks:
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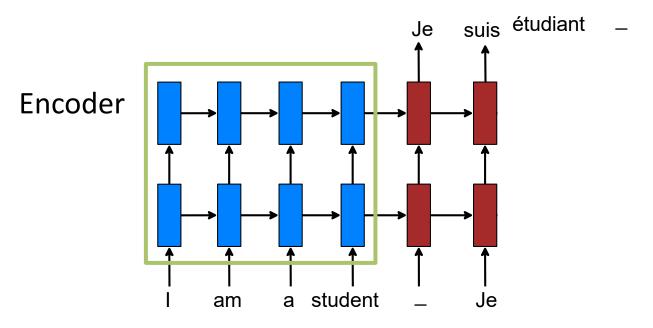




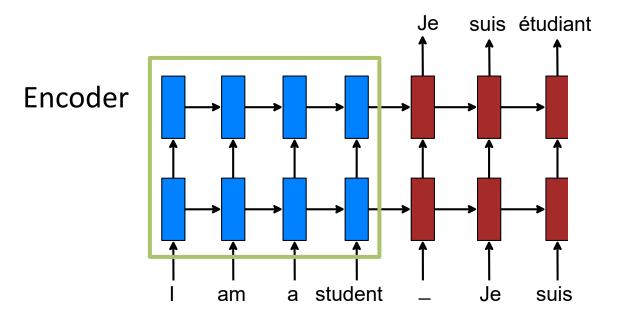
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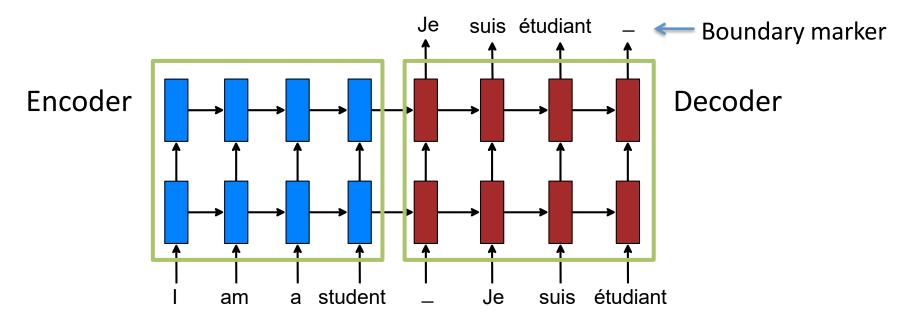
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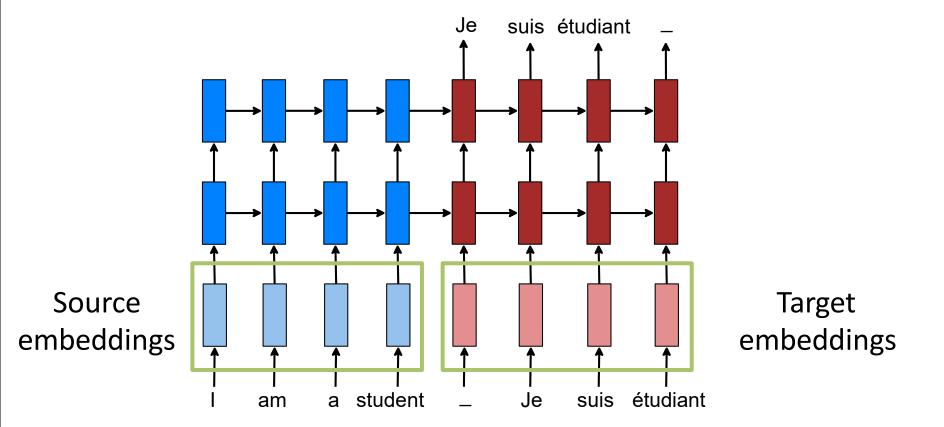


- Recurrent Neural Networks:
 - Model P(target | source) directly.
 - Can be trained end-to-end.



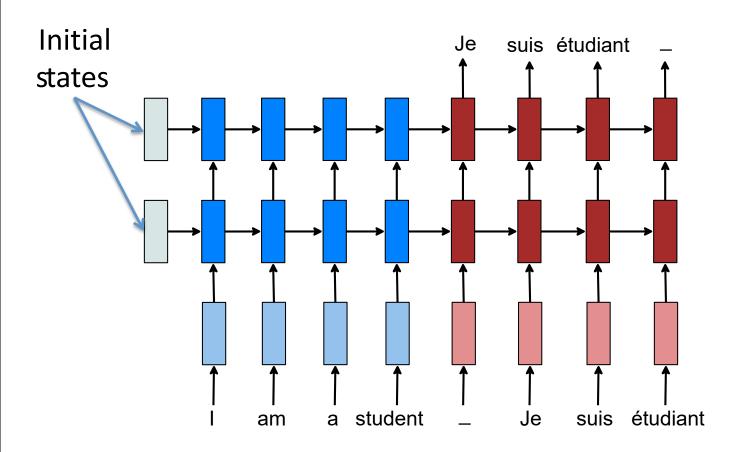
- Recurrent Neural Networks:
 - Model P(target | source) directly.
 - Can be trained end-to-end.

Word Embedding

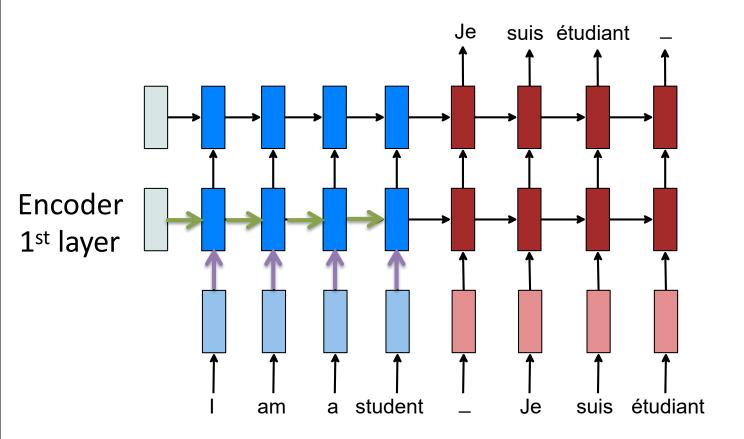


One for each language: can learn from scratch.

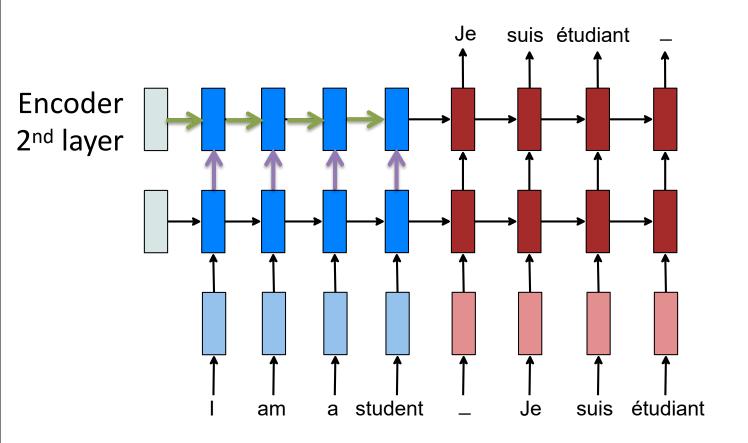
Recurrent Connections



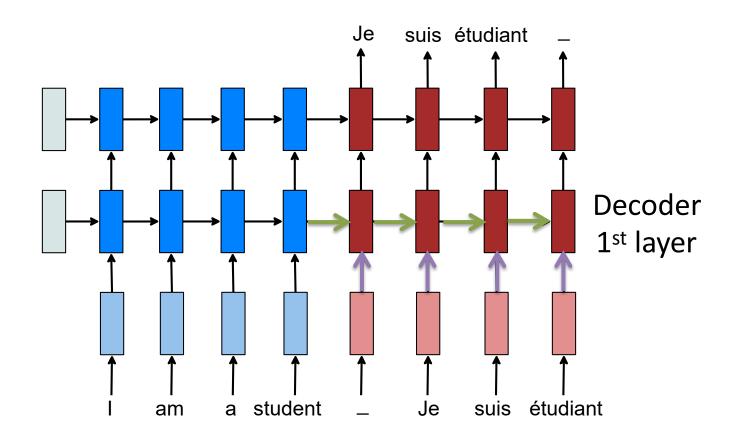
• Often set to 0.



Different: {1st layer, 2nd layer} x {encoder, decoder}.

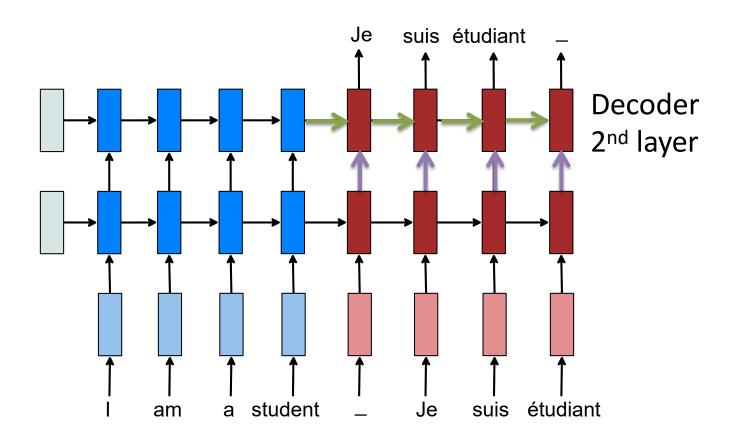


• Different: {1st layer, 2nd layer} x {encoder, decoder}.



Different: {1st layer, 2nd layer} x {encoder, decoder}.

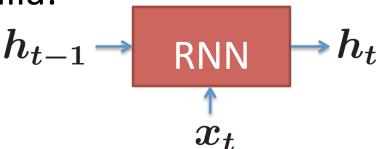




• Different: {1st layer, 2nd layer} x {encoder, decoder}.

Recurrent Units

• Vanilla:



Vanishing gradient problem!

• LSTM:

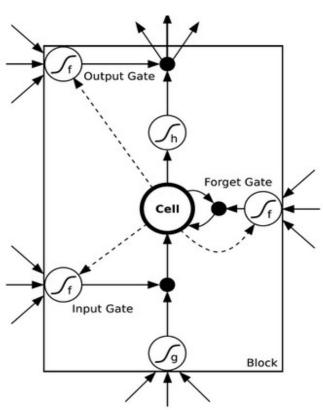
LSTM

LSTM Unit

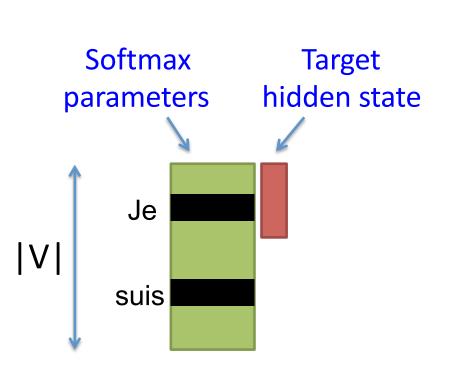
- a complex hidden unit
- capable of remembering information over a long span of time steps

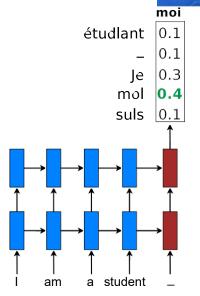
Unit Components

- cell store history information
- forget gate whether to discard information in the cell
- input gate whether to update the cell state
- output gate whether to make an output
- peephole make gates accept inputs
 from the cell

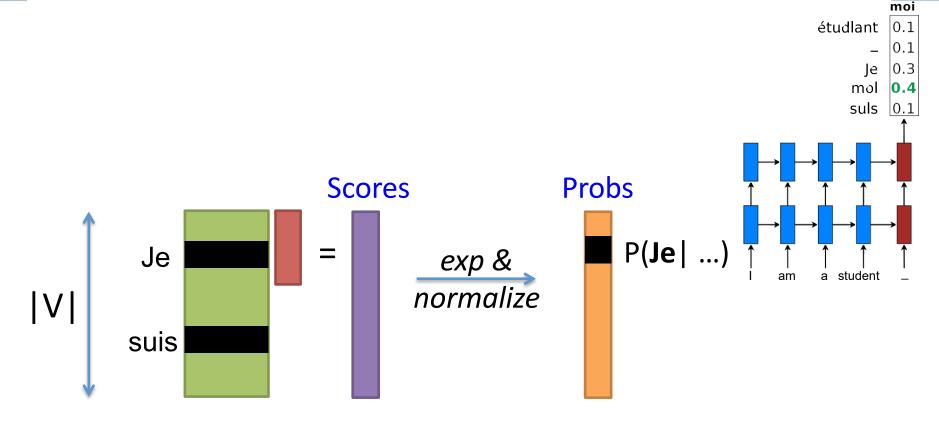


Softmax: *vectors → categories*

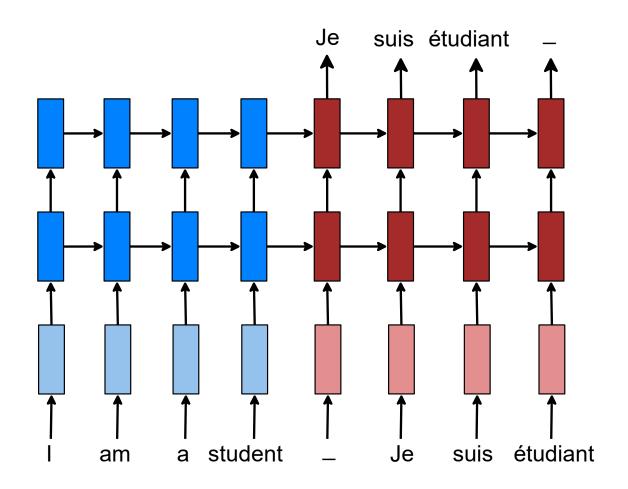




Softmax: *vectors* → *categories*

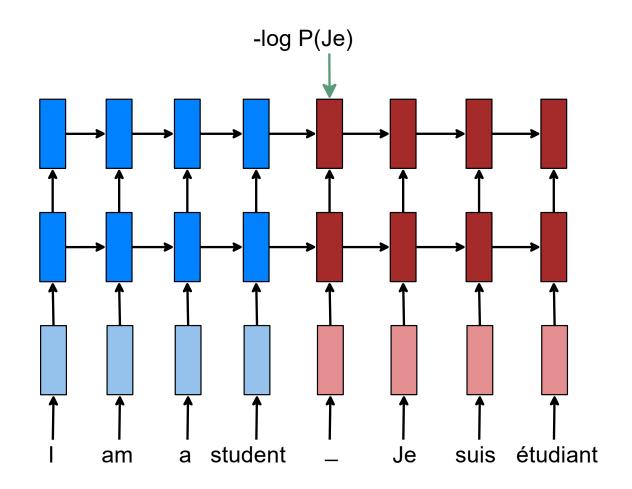


Hidden states → scores → probabiliHes.

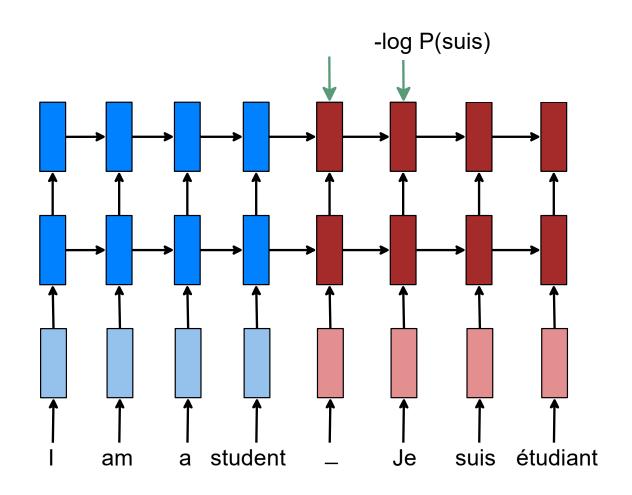


Maximize P(target | source)

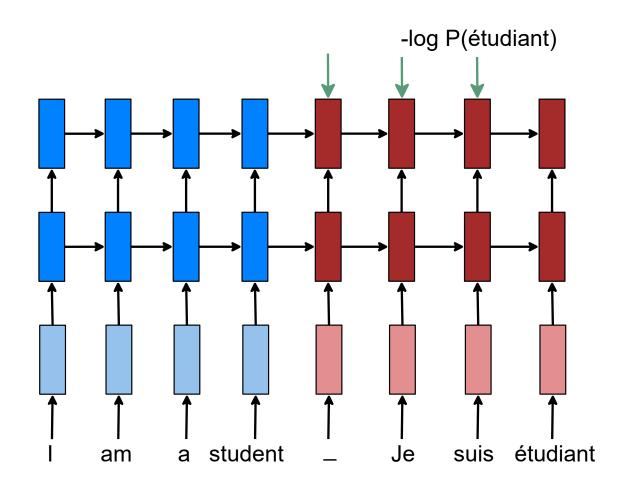




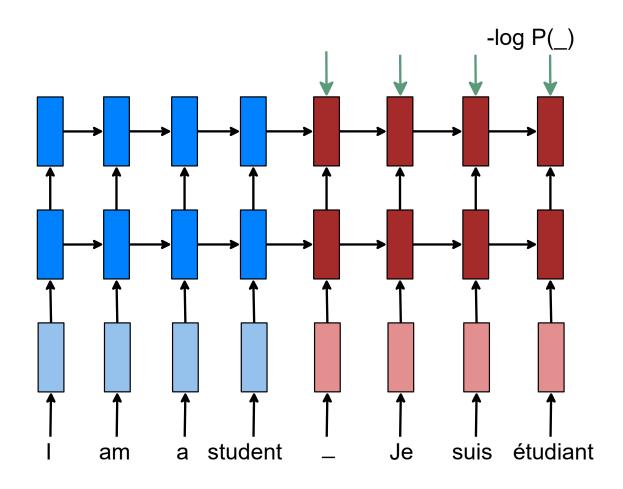




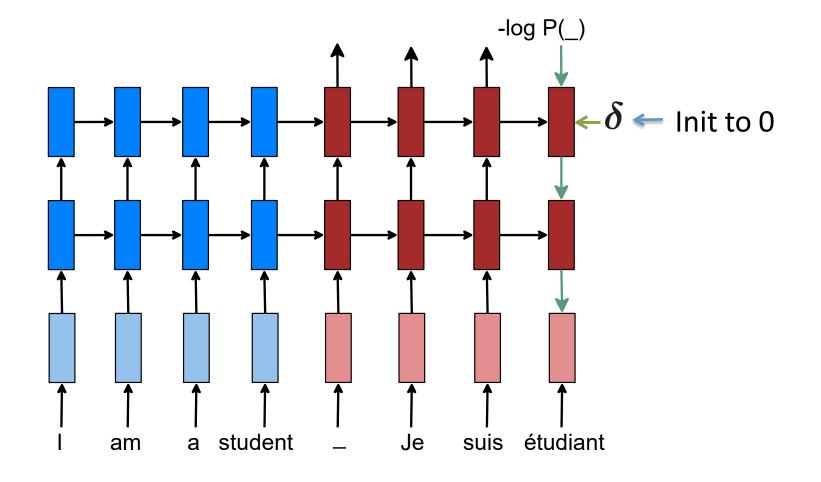


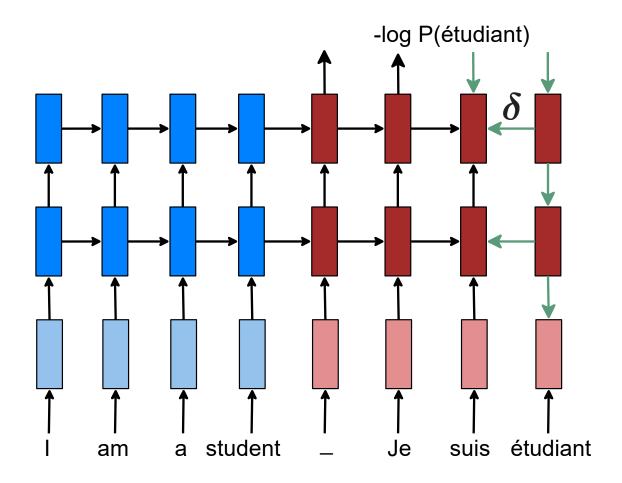


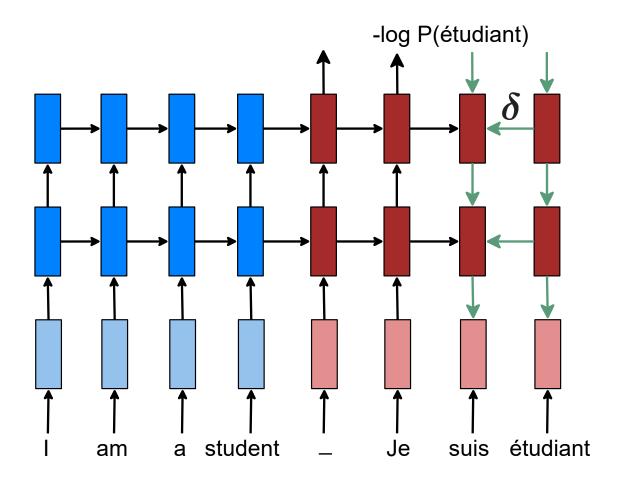


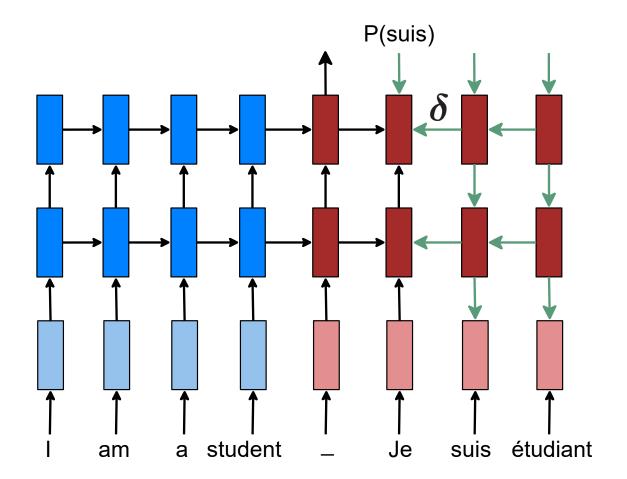


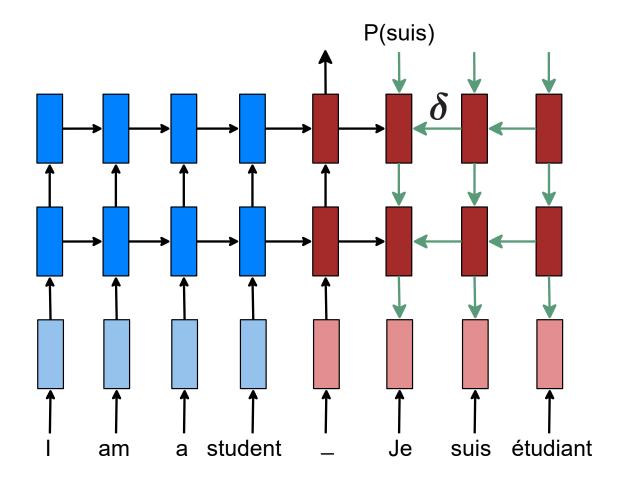


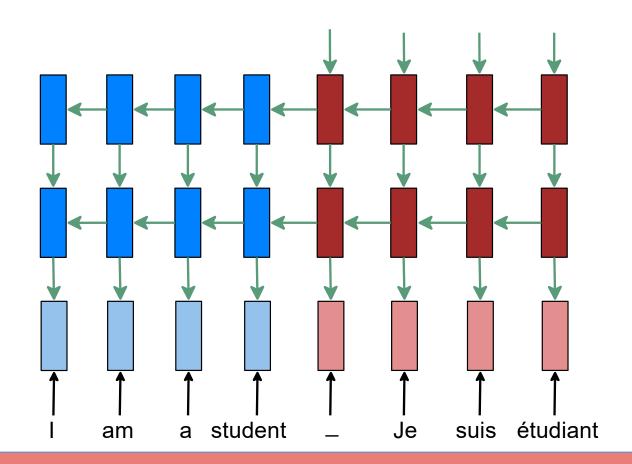












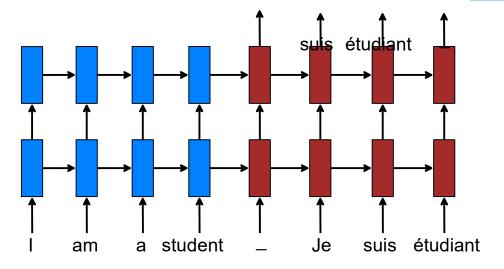
RNN gradients are accumulated.



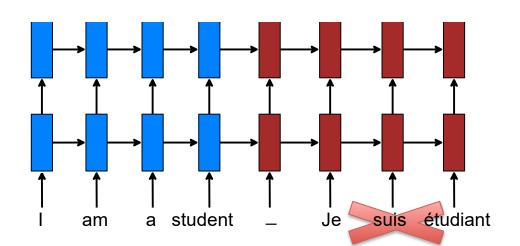


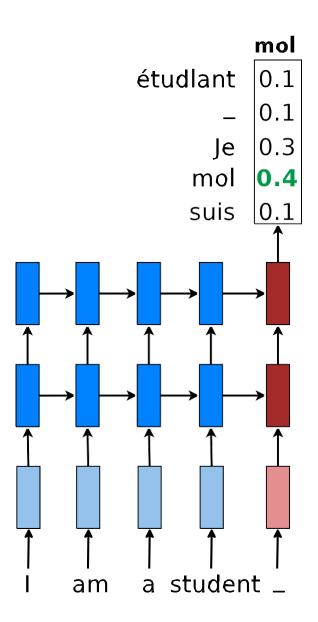
Training & Testing

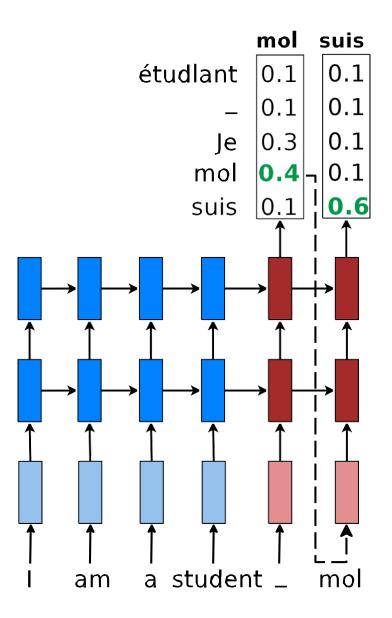
- Training
 - Correct translations are available.

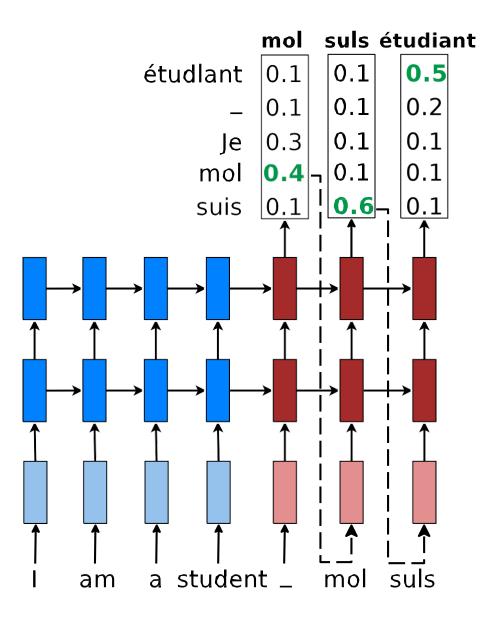


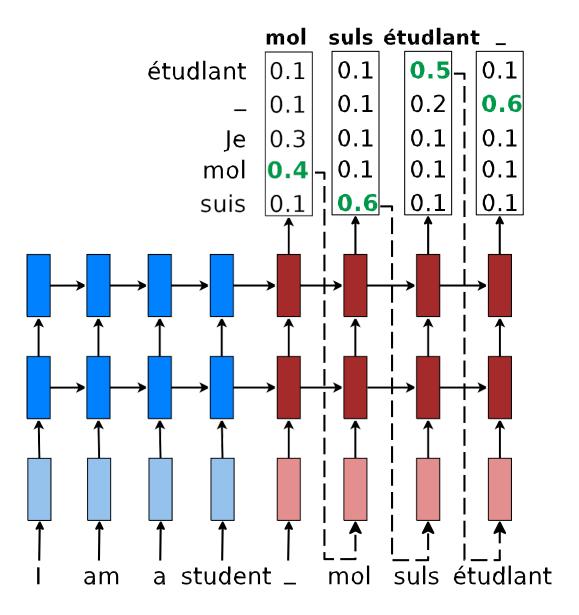
- Testing
 - Only source sentences are given.

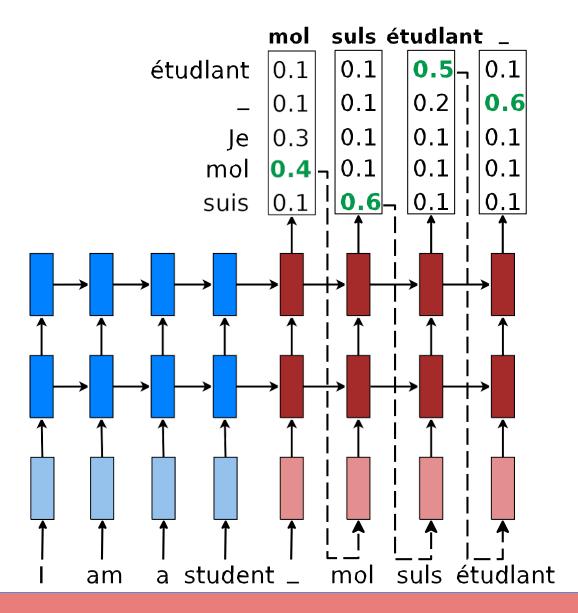






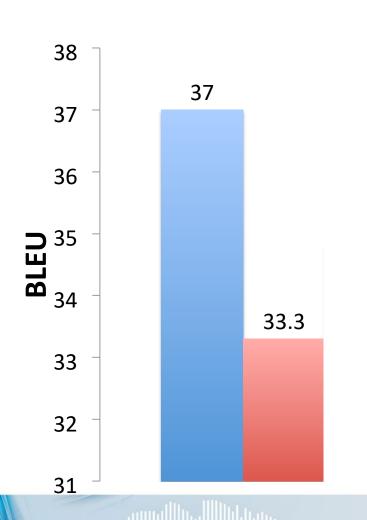






Simple beam-search decoders!

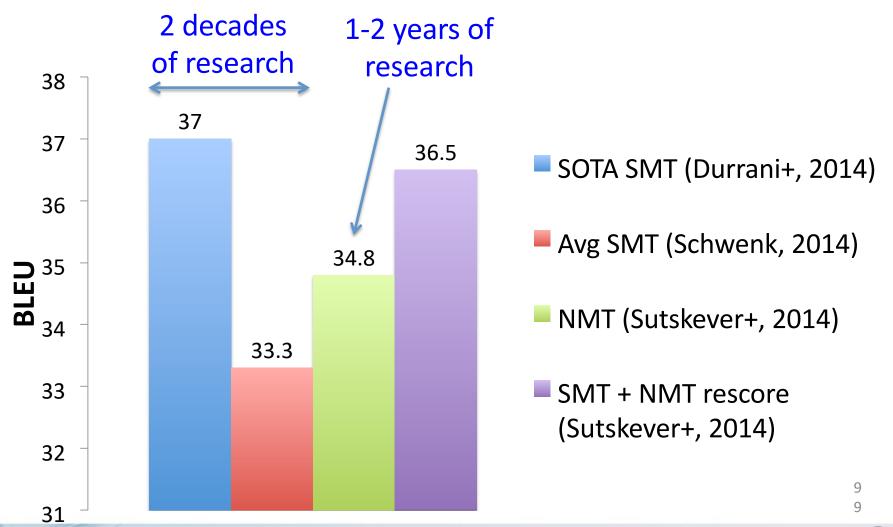
English-French WMT'14 results



- SOTA SMT (Durrani+, 2014)
- Avg SMT (Schwenk, 2014)

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English-French WMT'14 results



Encoder-decoder Variants

	Encoder	Decoder
(Sutskever et al., 2014)	Deep LSTM	Deep LSTM
(Cho et al., 2014) (Bahdanau et al., 2015) (Jean et al., 2015)	(Bidirectional) GRU	GRU
(Kalchbrenner & Blunsom, 2013)	CNN	(Inverse CNN) RNN

Limitations

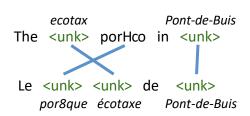
- #1: the *vocabulary size* problem
 - Goal: extend the vocabulary coverage.

- #2: the sentence length problem
 - Goal: translate long sentences better.

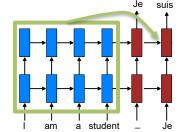
- #3: the language complexity problem
 - Goal: handle more language variations.

Advancing NMT

- #1: the vocabulary size problem
 - Sol: "copy" mechanism.



- #2: the sentence length problem
 - Sol: attention mechanism.



- #3: the language complexity problem
 - Sol: character-level translation.

